Sanctioning of Illegal and Dangerous Ruck Cleanouts During the 2018 Super Rugby Competition

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Rugby is a high contact sport that results in many injuries. The majority of injuries at senior elite levels result from contact phases during match-play. It is estimated that 10% of all match injuries are associated with the ruck in professional and community rugby. Surveillance of legal and illegal ruck cleanouts and the sanctions imposed by the on-field referees will help identify whether referees are actually enforcing the law according to the laws of the game, which will consequently contribute to the creation and implementation of further injury prevention strategies. Players should play the game in accordance with the laws of the game and be mindful of their own safety and that of others. Coaches and trainers of the game have the responsibility to ensure that players are prepared in a manner that comply with the World Rugby (WR) laws of the game and safe practices. Laws and law amendments are fundamental to the development of sport and introduced for a variety of reasons. The aim of this study was to investigate the rate of sanctioning of illegal and dangerous ruck cleanouts during the 2018 Super Rugby competition by using Nacsport Basic+ video software; 120 round robin matches from the 2018 Super Rugby competition were coded and analyzed. The analysis of the intra reliability showed an almost perfect (> 0.95) agreement between all the performance indicators. In total, 22,281 ruck cleanouts were coded of which 9% (n = 2111) were illegal ruck cleanouts and 93% were not sanctioned by the referees; 57% (1087 out of 1953) of the illegal ruck cleanouts not sanctioned by the referees were deemed dangerous. The majority of dangerous illegal ruck cleanouts not sanctioned by the referees were “shoulder charge” (88%, n = 280), “neck roll” (86%, n = 100), and “contact above the shoulder” (81%, n = 201). To aid injury prevention efforts in rugby, future research studies should investigate why on-field referees are not sanctioning all illegal and dangerous ruck cleanouts according to WR Laws of the Game.

Keywords: injury prevention, ruck cleanouts, sanctioning, dangerous play, referees, attack, defense

INTRODUCTION

Rugby union (rugby) is an invasion field-based team sport. At senior level matches last 80 min, which are characterized by short intermittent bouts of high intensity activity and multiple high impact contact situations between 30 players (Fuller et al., 2007). Rugby also has one of the highest incidences of injury, irrespective of the injury definition used in the investigation.
The ability to repeatedly engage and win rucks in rugby during match play. The ruck is a contest between the attacking and defending team and players involved in the ruck; and (h) joining the ruck, while in an offside position. The minimum sanction for all of these infringements is a penalty kick for the opposition. It should be noted that not all illegal ruck clean out techniques are deemed dangerous with a potential injury risk.

According to Van Mechelen and Hlobil (1992), the first step in injury prevention process is the surveillance step. A recent study by Brown et al. (2018) investigated the sanctioning of illegal tackling in the South African under 18 Craven Week rugby tournament and revealed that 59% of illegal tackles were not penalized appropriately by the referees. A study by Fuller et al. (2010) on professional English rugby found that only a minority of illegal/dangerous tackles were penalized correctly by the on-field referee according to the laws of the game; 6% (14 out of 238) of the high tackles (to the head/neck region of ball carriers) were penalized by the on-field referees in the study of Fuller et al. (2010). Surveillance of illegal and dangerous (not-dangerous) ruck clean outs and the sanctions imposed by referees will help identify whether the referees are actually enforcing the laws according to the WR law book. The findings of the current study can lead to the development and implementation of further injury prevention strategies in order to make the game safer for all the role-players involved. Therefore, the primary aim of this study was to investigate the rate of sanctioning of illegal and dangerous ruck cleanouts during the 2018 Super Rugby competition.

MATERIALS AND METHODS

Research Design

The study followed a descriptive and retrospective research design. Ethical approval (SU-HSD-001220) was obtained from the Research Ethics Committee: Human Research at Stellenbosch University.

Sample

Television video recordings of \(N = 120\) round robin matches from the 2018 Super Rugby competition were used for this study. The Super Rugby competition is a professional men’s rugby competition involving teams from Argentina, Australia, New Zealand, South Africa, and Japan. The Western Province Rugby Union (South Africa) video analysis department supplied the video recordings.
Data Collection Procedure
Coding
Nacsport software (version: Basic+, Spain, 2008) was used to code all ruck clean outs of the 120 round robin matches. Prior to coding, a “gold standard” was set by an international referee, using the 2018 WR Laws of the Game definitions and analyzing a match in conjunction with the coder that consisted of 180 legal and illegal clean-outs. The performance indicators displayed in Table 1 were analyzed based on the aims of the study. The software allowed control over the speed at which each activity could be viewed, and the recording and saving of each coded event into a database thereafter, reflected as performance indicators.

Reliability
The reliability of the coded ruck cleanouts was tested using inter-rater reliability. The test and retest reliability of coded performance indicators were assessed using methods described by O’Donoghue (2010). In brief, performance indicators and operational definitions were developed based on published peer-reviewed literature specifically pertaining to the ruck area and clean outs and the 2018 WR Laws of the Game. After all the matches were coded by the primary researcher, an international referee and rugby injury specialist re-coded 25% ($n = 30$) of the matches which were randomly selected by the statistician in order to test for the inter-rater reliability. The inter-rater reliability of the coding was determined by using the intraclass correlation coefficient (ICC) of the test and retest data (Gratton and Jones, 2004). The inter-rater agreement data was interpreted as follows: poor (<0.20), fair (0.30–0.40); moderate (0.50–0.60); strong (0.70–0.95); and almost perfect (>0.95). The test and retest data showed that the agreement between all the performance indicators was almost perfect (Table 2), and thus considered as very reliable and were included in the study.

Statistical Analysis
Descriptive data of the performance indicators were reported as frequencies (number of observations), and percentages with an applied significance level of 5% ($p < 0.05$) was applied. Differences between categorical frequencies were determined by using chi-square. Some of the performance indicators were expressed as percentages, which according to Hughes and Bartlett (2002) provides a more accurate analysis of team performance during match-play. Six a priori proportions were decided upon as proxies of referee/player behavior, similar to that used by Brown et al. (2018). Referee behavior: proportion of (a) non-sanctioned illegal ruck clean outs out of all illegal ruck clean outs; (b) non-sanctioned illegal ruck clean outs out of all ruck clean outs (legal and illegal combined); (c) non-sanctioned dangerous ruck clean outs out of all illegal ruck clean outs; and (d) non-sanctioned dangerous illegal ruck clean outs out of all ruck clean outs (legal and illegal combined). Player behavior: proportion of

<table>
<thead>
<tr>
<th>Performance indicators</th>
<th>Operational definition</th>
</tr>
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<tbody>
<tr>
<td>Ruck</td>
<td>The ruck is defined as a phase of play where one or more players from each team remain on their feet and are in physical contact close around the ball on the ground</td>
</tr>
<tr>
<td>Attacking team cleaning</td>
<td>Attackers are actively driving opponents off the ball in order to retain possession</td>
</tr>
<tr>
<td>Defending team cleaning</td>
<td>Defenders are actively attempting to regain possession</td>
</tr>
<tr>
<td>Arrival at the ruck: attacking and defending team</td>
<td>Each match was divided into two halves of 40 min (first and second half) and four quarters of 20 min (first, second, third, and fourth quarter)</td>
</tr>
<tr>
<td>Types of illegal ruck clean outs</td>
<td>Sanctioning of illegal ruck clean outs: Whether the illegal ruck cleanout was sanctioned (the first infringement) or not by the referee(s) according to the World Rugby Laws of the Game</td>
</tr>
<tr>
<td>Dangerous clean out</td>
<td>Dangerous clean out – action was deemed dangerous if the action of the player could lead to possible injury of (a) himself, (b) own players, and (c) opposition players</td>
</tr>
</tbody>
</table>
TABLE 2 | Inter-rater reliability of the coding test-retest using intraclass correlation coefficient (ICC).

<table>
<thead>
<tr>
<th>Reliability</th>
<th>Ruck clean out</th>
<th>Illegal ruck clean out sanctioned</th>
<th>Illegal ruck clean out not sanctioned</th>
<th>Dangerous illegal ruck clean out not sanctioned</th>
</tr>
</thead>
<tbody>
<tr>
<td>Inter-reliability</td>
<td>1.00</td>
<td>0.98</td>
<td>1.00</td>
<td>0.97</td>
</tr>
</tbody>
</table>

(e) illegal ruck clean outs out of all ruck clean outs (legal and illegal combined); and (f) dangerous illegal clean outs out of all illegal ruck clean outs.

RESULTS

The current study revealed that 22,281 ruck clean outs occurred during the 2018 Super Rugby competition at an average of 186 cleanouts per match. The analysis revealed that 9% ($n = 2111$) of these ruck clean outs were deemed illegal according to the 2018 WR Laws of the Game at an average of 18 per match. The referees did not sanction 93% ($n = 1953$) of the illegal ruck clean outs at an average of 16 per match. Of the total illegal ruck cleanouts not sanctioned by the referees, 57% ($n = 1087$) were considered dangerous at an average of 10 per match.

The number of legal and illegal ruck clean outs coded is presented in Figure 1. The results reveal that the illegal ruck clean outs were consistently spread across the two halves and four quarters. The analysis revealed a statistically significant difference ($p < 0.001$) in the percentage of illegal clean outs between the first (11%, 1072 of 9436) and second (7%, 1038 of 10,707) halves of play. A statistically significant difference was also observed when comparing the percentage of illegal clean outs in quarter 2 (11%, 545 of 5118) and 3 (9%, 484 of 5583) ($p < 0.001$), as well as quarter 2 and 4 (9%, 555 of 6163) ($p < 0.001$). The attacking team accounted for 90% (1895 of 2111) of the total illegal ruck clean outs at an average of 16 per match. No statistically significant difference was found between the attacking and defending, or the number of cleaners, involved in the ruck cleanout. The first cleaner for both the attacking and defending team were responsible for 67% (1450 of 2111), of the illegal ruck clean outs.

Figure 2 shows the sanctioned and not-sanctioned illegal ruck clean outs in which the referees did not sanction 93% (1953 of 2111) of total illegal ruck clean outs, presenting 9% (1953 of 22,281) of the total ruck clean outs (legal and illegal combined). The non-sanctioned illegal rucks clean outs were evenly spread across the two halves, but revealed a statistical significant ($p < 0.05$) increase from quarter 3 ($n = 444$) to 4

![Figure 1](image1.png)

**Figure 1** | The proportion of legal (red) versus illegal (green) ruck clean outs during the 2018 Super Rugby Tournament.

![Figure 2](image2.png)

**Figure 2** | The proportion of sanctioned (red) versus non-sanctioned (green) illegal ruck cleanouts coded during the 2018 Super Rugby Tournament.
(n = 529) of the match. The referees did not sanction the attacking team for 90% (1804 out of 1953) of the illegal ruck clean outs not sanctioned. The first cleaner for both the attacking and defending team were not sanctioned for 68% (1333 of 1953) of the total illegal ruck clean outs.

Table 3 presents the non-sanctioned illegal ruck clean outs not sanctioned for both the attacking and defending team. The majority of illegal ruck clean outs not sanctioned by the referee were “not supporting own body weight” (32%; n = 624), followed by “side entry” (16%; n = 318), “shoulder charge” (16%; n = 317), and “contact above the shoulder” (13%; n = 247). The attacking team were not sanctioned when “not supporting own body weight” (96%; n = 599) and “side entry” (95%; n = 303) compared to the defending team for “cleaning a player not involved in ruck” (27%; n = 12) and “joining the ruck from an offside position” (12%; n = 22). The illegal ruck clean outs not sanctioned for the defending team were “cleaning a player not involved in ruck” (27%; n = 12), followed by “joining the ruck from an offside position” (12%; n = 9).

Figure 3 presents the dangerous and not-dangerous illegal ruck clean outs not sanctioned by the referee; 5% in terms of proportion (1087 of 22,281) of all the ruck clean outs and 51% (1087 of 2111), of the total illegal clean outs were deemed dangerous. The current study showed a statistical increase (p < 0.001) in dangerous non-sanctioned illegal ruck clean outs from the first (n = 481) to the second half (n = 606), as well as an increase from quarter 1 (n = 215) to 2 (n = 266). The same trend was observed for quarter 3 (n = 210) to 4 (n = 396). The referees did not sanction the attacking team for 95% (1037 of 1087) of the dangerous illegal ruck clean outs. The first cleaner for the attacking team was not sanctioned 77% (801 of 1037) of the dangerous illegal ruck clean outs.

Table 4 presents the dangerous and not dangerous illegal ruck cleanouts not sanctioned by the referees. The majority of dangerous illegal clean outs not sanctioned were “shoulder charge” (88%, n = 280), followed by “neck roll” (86%, n = 100) and “contact above the shoulder” (81%, n = 201).

**DISCUSSION**

The major findings of this study were that: (a) on average 57% (n = 1087) of all the dangerous illegal ruck clean outs were not sanctioned by the on-field referees according to the 2018 WR Laws of the Game; (b) the on-field referees did not sanction the attacking team for 95% (1037 out of 1087) of all the dangerous illegal ruck clean outs; and (c) the majority of the dangerous illegal clean outs not sanctioned by the referees were “shoulder charge,” “neck roll,” and “contact above the shoulder.” To the researchers’ knowledge, this is the first study that investigated non-sanctioning of dangerous illegal clean outs at the ruck by on-field referees during match play. Other studies investigated the non-sanctioning of illegal tackles in professional (Fuller et al., 2010) and youth (Brown et al., 2018) rugby. The findings of the present study are a concern for rugby referee stakeholders from an error rate perspective as 1953 non-sanctioned illegal ruck clean outs were identified. A greater concern for rugby safety and rugby referee stakeholders should be that 1087 of these non-sanctioned ruck clean outs were deemed dangerous, which could pose an injury risk to the players involved in the ruck area.

The rate of illegal and dangerous illegal ruck clean outs were considered high: 9 and 5%, respectively, of the ruck clean outs, this finding does not bode well for player behavior at elite level. Furthermore, when the errors made by the on-field referees were contextualized in comparison to the total number of ruck clean outs, it will be difficult for referees to detect and sanction all illegal ruck clean outs due to the number of players involved. However, from an injury prevention perspective, referees can minimize the risk by focusing on “shoulder charge,” “neck roll,” and “contact above the shoulder,” given the high proportion of these infringements that are deemed dangerous. While the rate of non-sanctioned illegal ruck and non-sanctioned dangerous illegal
ruck clean outs to all the ruck clean outs could be seen as a proxy for referee behavior, the rate of illegal and dangerous illegal ruck clean outs to all the ruck clean outs in turn can be seen as a metrics of player behavior during match-play.

The high error rate by the referees for illegal and dangerous illegal ruck clean outs (especially the first cleaner for both the attacking and defending team) in the current study could be due to the positioning of the referee at the ruck, which influences whether there is a clear view of the clean out for both the attacking and defending players. The study by Kraak et al. (2011) revealed that the on-field referees movement patterns varied per game due to (a) referee experience – during the study the experienced referees moved less because they anticipate subsequent play better than the novice referees; (b) the level of/and quality of the competition that are being observed; (c) the intensity and different match-play activities completed by the attacking and defending players; (d) the referees time of arrival at the ruck; and (e) application of the laws – Spitz et al. (2016) stated that perceptual and cognitive skills are required by on-field referees to make sure that the decision-making process results in accurate, consistent, and uniform decisions, (f) decision-making (application of the law) ability by the on-field referee. Wheeler et al. (2013) indicated that it is difficult for on-field referees to officiate and sanction the ruck area accurately due to the number of attacking and defending players involved in this phase of play. According to Souchon et al. (2010) on-field referees are faced with making complex decisions in limited time, research has shown that on-field referees rely on judgmental heuristics (i.e., quick and easy decision laws), to help them make their decisions. Research by Mascarenhas et al. (2005) in rugby and Hancock and Ste-Marie (2013) in ice hockey attempted to quantify referee decisions during match play. The rugby on-field referees were accurate in their sanctioning 50% of the time compared to the 75% accuracy of the ice hockey officials (Mascarenhas et al., 2005; Hancock and Ste-Marie, 2013). Although video-based assessments could provide valid examination of decision-making performance by on-field referees, they do not replicate the physical, physiological, and psychological aspects of the match (Emmonds et al., 2015). The lowest accuracy in sanctioning was observed during the last quarter of the match, advising that physical and psychological fatigue could occur during the final stage of the match (Emmonds et al., 2015). This is supported by the fact that the referees had higher error rates when players were going off their feet, joining from the side and charging into the ruck with the shoulder. The referee can easily miss these infringements because of poor positioning. The current study revealed that referees were not consistent with the application of the laws for the attacking and defending team because the referees favored the attacking team more. According to Kraak et al. (2016, 2017), a possible reason why referees tend to favor the attacking team, by penalizing the defending team more frequently, was to improve the continuity of the game. If more of these dangerous illegal ruck clean outs were picked up by referees and sanctioned accordingly, it might improve player behavior and subsequently reduce the number of dangerous illegal ruck clean outs. Therefore, the potential knock-on effect of stricter application of the laws and minimizing the sanctioning error rates of referees should not be ignored (Brown et al., 2018).

The findings of the current study showed that the attacking teams arriving players will engage in an illegal clean out in order to retain possession of the ball more frequently. A typical game situation on attack can be as follows: after the initial collision and placement by the ball carrier, the first attacking arriving player has to clear the first defender away from the ball carrier and then the second attacking arriving player secures the possession along with engaging the additional defenders as they arrive to support the first defender (Kraak and Welman, 2014). A possible reason for the high error rate by the attacking team could be because of: (a) the ball carrier is not dominating the collision and is not presenting the ball in an effective position (Hendricks et al., 2018), therefore, the arriving player must use an illegal technique to try and retain possession, (b) the attacking teams arriving players reaction time is poor from the prior activity, and thus, arrive late at the collision, (c) poor decision-making and assessment of the situation, (d) poor ruck cleaning techniques used in the latter period of the match due to fatigue (Burger et al., 2018), and (e) the defending team might be infringing already because the attacking team has no other option but to use illegal techniques in order to retain possession. Based on studies by Wheeler et al. (2010) and Kraak and Welman (2014), it is obvious that players have to execute specific actions and techniques to retain (attacking team) or regain (defending team) possession of the ball at the ruck.

The current study further identified a need for coaches and trainers to equip themselves with information pertaining to safe and effective techniques. The coaches and trainers should emphasize the importance of safe and effective techniques during training and matches and one of the few possible modes to reduce injuries, especially non-fatal catastrophic injuries toward the head, neck, brain, and spine (Posthumus and Viljoen, 2008; Brukner and Khan, 2012). The ruck is a dynamic situation, and therefore, coaches should not coach the ruck clean out in isolation because this limits the decision-making ability of the players. Ruck drills should include the initial tackle, fight for dominance by the ball carrier, placement of the ball, and clearing techniques in the same drill because this will

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**TABLE 4 | Dangerous and not dangerous illegal ruck cleanouts not sanctioned.**

<table>
<thead>
<tr>
<th>Type of illegal ruck cleanout</th>
<th>Dangerous</th>
<th>Not dangerous</th>
</tr>
</thead>
<tbody>
<tr>
<td>Shoulder charge</td>
<td>88% (n = 280)</td>
<td>12% (n = 37)</td>
</tr>
<tr>
<td>Neck roll</td>
<td>86% (n = 100)</td>
<td>14% (n = 18)</td>
</tr>
<tr>
<td>Contact above the shoulder</td>
<td>81% (n = 201)</td>
<td>19% (n = 46)</td>
</tr>
<tr>
<td>Not supporting own body weight*</td>
<td>64% (n = 280)</td>
<td>36% (n = 344)</td>
</tr>
<tr>
<td>Side entry*</td>
<td>60% (n = 190)</td>
<td>40% (n = 128)</td>
</tr>
<tr>
<td>Cleaning a player not involved in ruck*</td>
<td>22% (n = 10)</td>
<td>78% (n = 85)</td>
</tr>
<tr>
<td>Not grasping*</td>
<td>10% (n = 20)</td>
<td>90% (n = 190)</td>
</tr>
<tr>
<td>Joining the ruck from an offside position*</td>
<td>8% (n = 6)</td>
<td>92% (n = 70)</td>
</tr>
</tbody>
</table>

*The first infringement by the cleaner was not deemed dangerous but the action by the cleaner that followed the initial cleanout was deemed dangerous; n – number of observations and % – percentage.
assist players with adjustment and decision-making based on the situation. For example, after demonstrating the techniques to carry the ball effective into contact, the ball carrier should also be expected to fall sideward (away from the defending team cleaners), and present the ball (Hendricks et al., 2018), which is followed by the arriving player(s) who clean out the ruck based on the situation. These safe and effective techniques should be incorporated and emphasized during training in order to prepare players for matches. Coaches should also invite referees to training to officiate contact training sessions/drills according to the laws of the game, which will provide clarity to both players and coaches with input from the referees.

It, therefore, seems clear that referees, coaches and players play an integral part in cleaning up the ruck area and so additional strategies aimed at these role players might be beneficial to further reduce the risk of injury at this phase of play. This perspective is also consistent with the BokSmart's adopted goal of “Vision Zero” which try to eliminate all serious injuries from the game (Brown et al., 2017).

**Practical Application**

The findings of this study provide referees with an understanding of the importance of the decision-making process during match-play, especially at the rucks area. On-field referees should be firmer when it comes to discipline at the rucks because illegal dangerous ruck clean outs could result in serious injuries for players. Rugby stakeholders should also consider using two referees during a game as is currently being used by Rugby League and residence rugby union at Stellenbosch University, South Africa. The study further provides players, coaches, and rugby stakeholders with data that suggests that the ruck area needs to be more seriously recognized when it comes to injury prevention. Player behavior plays a large role regarding discipline and executing of safe and effective cleanout technique at the ruck. They must recognize their mistakes and illegalities and take responsibility for their actions. The ability of a player to engage and tolerate frequent contact events such as the ruck, whether as a ball-carrier, tackle, or attacking or defending cleaner influences the performance of the team and exposes the players to a high risk of injury. Furthermore, these safe techniques need to be added to coaching manuals for the ruck cleanout. Additional interventions need to be targeted at referees to improve identification according to the WR Laws of the Game and appropriate sanctioning of illegal ruck clean outs whether dangerous or not.

**CONCLUSION**

This study of referee sanctioning in the 2018 Super Rugby competition found that 91% of the ruck clean outs were legal and 9% illegal according to the 2018 WR Laws of the Game. Of the illegal ruck clean outs 93% (n = 1953) were not sanctioned by the referees of which 57% (n = 1087) were deemed dangerous. The attacking team were not sanctioned 92% (n = 1804) for illegal ruck clean outs. The first cleaner for both the attacking 68% (n = 1224) and defending 73% (n = 109) teams contributed to the majority of the illegal ruck clean outs that were not sanctioned by the referees. The majority of dangerous illegal ruck clean outs not sanctioned by the referees were “shoulder charge” (88%, n = 280), “neck roll” (86%, n = 100), and “contact above the shoulder” (81%, n = 201). Similar to the study by Brown et al. (2018), the current study was not designed to identify factors associated with non-sanctioning behaviors of on-field referees during match-play. The fact that on-field referees error rates did not change as the match progressed, suggests that the primary cause could not be associated with fatigue. What should be highlighted is that there was an increase in dangerous illegal ruck clean outs not sanctioned in the second half, quarter 2 and 4 of the match. However, to aid injury prevention efforts, future studies should explore why referees are not sanctioning all illegal ruck clean outs as per the WR Laws of the Game. Additional interventions like referee decision-making and specific fitness interventions need to be targeted at referees to improve this shortcoming. The results from this study highlight many areas for potential research. Future studies should investigate the non-sanctioning of illegal ruck clean outs in other elite competitions, as well as at the amateur level and should also include factors like zonal locations, score line, log position, and nationality of teams. Furthermore, studies should also focus on the behavioral aspects regarding the player’s discipline toward technique used for cleaning out at the ruck and education of coaches.

**AUTHOR CONTRIBUTIONS**

WK was the leader author, responsible for data collection, and conceptualized the research idea with JB, SK, SH, and UJ. JB, SK, SH, and UJ were responsible for exporting the raw data from the Nacsport Basic+ software into Excel and conducted the literature search for the study. KS provided expert advice on the injury prevention and safety section of the article. All the authors were responsible for drafting and approval of the final manuscript.

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**REFERENCES**


Conflict of Interest Statement: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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