

**Ecotoxicological and potential endocrine effects of selected aquatic herbicides on life stages of the African clawed frog, *Xenopus laevis*.**

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## **DECLARATION**

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Date..March 2016

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***Dedication***

*To El-SHADAI, the I am that I am*

*The beginning and the end of all things*

*Never seen with naked eyes*

*Yet bigger than one could imagine*

*The God who never come late*

*But arrive at his own appointed time*

*I thank you once again, for proving to be my Alpha and Omega*

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## General Abstract

Many pesticides have shown health impacts in wildlife and humans, including endocrine system modulation. The potential health effects of the pesticides elicit concerns considering high volume being used in South Africa, especially herbicides in agriculture and alien plants eradication at the government “Working for Water” programme. These herbicides included Midstream (diquat dibromide), Basta (glufosinate ammonium), Arsenal (imazapyr), Roundup, Kilo-Max and Enviro-glyphosate (glyphosate) formulation. For most of these herbicides, toxicity and endocrine modulating potential are unknown. The present study therefore assessed the toxicity and endocrine modulating potential through teratogenicity, thyroidal and reproductive disruption, using the African Clawed frog, *X. laevis* as sentinel species. International validated protocols, including a 96-hour toxicity assay; frog embryo teratogenic assay (FETAX); Xenopus metamorphosis assay (XEMA), and semi-static exposure of adult male frogs, were used to evaluate relevant endpoints. The result showed that in 96-hour comparative toxicity study, the early tadpoles (NF-stage 48) in comparison to the embryos (NF-stage 8-11) and transitional tadpoles (NF-stage 60), were the most sensitive and vulnerable in the developmental stages of *X. laevis*. This present study confirmed a stage-dependent sensitivity to environmental herbicides during metamorphosis/developmental program. In the FETAX study, the 96-hour lethal concentration (LC<sub>50</sub>) for Midstream, Arsenal, Basta, Roundup, Kilo-Max and Enviro-glyphosate were 0.833, 35.99, 2.24, 1.052, 207.25 and 465.95 mg/L respectively. The 96-hour effective concentrations (EC<sub>50</sub>) were 0.241, 28.13, 2.01, 0.76, 150.8 and 287 mg/L, respectively. The LC<sub>50</sub>/EC<sub>50</sub> ratio produced a positive teratogenic index (TI) for Midstream and Enviro-glyphosate with a TI of 3.5, 1.3, 1.1, 1.4, 1.4 and 1.6 for Midstream, Arsenal, Basta, Roundup, Kilo-Max and Enviro-glyphosate formulations, respectively. The minimum concentrations inhibiting growth were computed to be 0.5, 2.0, 2.0, 0.9, 190 and 440 mg/L for Midstream, Basta, Arsenal, Roundup, Kilo Max, Enviro-glyphosate formulations, respectively. Observed malformations from these formulations included edema (cardiac, abdominal and severe), gut, axial, blisters and eye malformations. The XEMA results (thyroidal modulation) revealed that Arsenal, Midstream, and Kilo-Max formulations resulted in thyroidal modulation as these significantly reduced the average developmental stages relative to the control tadpoles after 21-days exposure. Extending XEMA to completion of metamorphosis revealed a significantly skewed sex ratios at 0.14 and 280 mg/L of Midstream and Kilo-Max formulations, respectively. The gonadotoxicity assessment showed that the highest abnormality index ranged from 60%, 43%,

37.5%, 35%, 30% and 27.5% for Midstream, Kilo-Max, Enviro-Glyphosate, Arsenal, Roundup and Basta formulations, respectively. The observed gonadal malformations included folded gonads, segmented hypertrophy, hypoplasia, segmented aplasia and translucence. For adult exposure, no significant difference in the results, showing that adult, relative to other developmental stages, are less sensitive to exposure impacts of pesticides. In summary, different stages were differentially sensitive to the herbicide toxicity. The six formulations showed differential endocrine modulation, including teratogenicity (Midstream and Enviro-glyphosate), thyroid and growth disruption (all the six formulations), gonadotoxicity (all the six formulations), skewed sex ratios (Midstream and Kilo-Max) and estrogenicity/antiandrogen (Midstream). Finally, these herbicides have shown secondary effects on non-targets organisms, therefore their inclusion in aquatic weeds control should be investigated beyond lethal toxicity but also endocrine modulation.

*“All meaningful and lasting changes starts from  
imagination..... and then works its way out. Imagination is  
more important than knowledge.” ----- Albert Einstein*

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**LIST OF ABBREVIATIONS**

<i>DMSO</i>	<i>Dimethyl Sulphoxide</i>
<i>ED</i>	<i>Endocrine Disruption</i>
<i>EDC</i>	<i>Endocrine Disrupting Contaminant</i>
<i>EEC</i>	<i>Expected Environmental Concentration</i>
<i>EIA</i>	<i>Environmental Impact Assessment</i>
<i>ELISA</i>	<i>Enzyme-Linked Immunosorbent Assay</i>
<i>ER</i>	<i>Oestrogen Receptor</i>
<i>FETAX</i>	<i>Frog Embryo Teratogenesis Assay-Xenopus</i>
<i>GSI</i>	<i>Gonadosomatic Index</i>
<i>Hcg</i>	<i>Human Chorionic Gonadotropin</i>
<i>HPG</i>	<i>Hypothalamic Pituitary Gonadal</i>
<i>HPT</i>	<i>Hypothalamic Pituitary Thyroid</i>
<i>HSD</i>	<i>Honest Significant Difference</i>
<i>IC50</i>	<i>Concentration Giving 50% Inhibition</i>
<i>IPCS</i>	<i>International Programme on Chemical Safety</i>
<i>IR</i>	<i>Intermediate Region</i>
<i>LC50</i>	<i>Lethal Concentration 50%</i>
<i>MCIG</i>	<i>Minimum Concentration Inhibiting Growth</i>
<i>ND</i>	<i>Not Detected</i>
<i>NF</i>	<i>Nieuwkoop and Faber Developmental Stage</i>
<i>NOEL</i>	<i>No Observed Effect Level</i>
<i>NP</i>	<i>Nuptial Pad</i>
<i>OECD</i>	<i>Organisation for Economic Co-operation and Development</i>
<i>PAS</i>	<i>Periodic Acid-Schiff</i>
<i>PBS</i>	<i>Phosphate Buffered Saline</i>
<i>PNEC</i>	<i>Predicted No-effect Concentration</i>
<i>RO</i>	<i>Reverse Osmosis</i>
<i>RP</i>	<i>Relative Potency</i>
<i>SPC</i>	<i>Spermatocyte</i>
<i>SPG</i>	<i>Spermatogonia</i>
<i>SPT</i>	<i>Spermatid</i>
<i>SPZ</i>	<i>Spermatozoa</i>

<i>T</i>	<i>Testosterone</i>
<i>T3</i>	<i>Triiodothyronine</i>
<i>T4</i>	<i>Thyroxine</i>
<i>TDCs</i>	<i>Thyroid-disrupting Contaminants</i>
<i>TEDX</i>	<i>The Endocrine Disruptor Exchange</i>
<i>Tr<math>\alpha</math></i>	<i>Thyroid Receptor <math>\alpha</math></i>
<i>Tr<math>\beta</math></i>	<i>Thyroid Receptor <math>\beta</math></i>
<i>Tsh<math>\beta</math></i>	<i>Thyroid Stimulating Hormone <math>\beta</math></i>
<i>USEPA</i>	<i>United States Environmental Protection Agency</i>
<i>VTG</i>	<i>Vitellogenin</i>
<i>WfW</i>	<i>Working for Water</i>
<i>WHO</i>	<i>World Health Organisation</i>
<i>WRC</i>	<i>Water Research Commission</i>
<i>XEMA</i>	<i>Xenopus Metamorphosis Assay</i>

## Chapter One

### General Introduction

#### 1.1 Introduction

Since the dawn of civilisation and advent of agriculture, mankind has continuously been engaged in improving its living conditions (Ansara-Ross *et al.*, 2012). This is by increasing its food production through soil enrichment and reduction of pest attack through the application of numerous fertilizers and pesticides (Ansara-Ross *et al.*, 2012). As human population grow, so does the demand for food, with concomitant increase in pest control activities, to reduce pest damages. This increasing pest control, coupled with industrial growth has led to unprecedented introduction of chemicals into the environment.

Man-made chemicals are an important part of modern life, and human and wildlife populations cannot avoid contact with chemicals that are employed in various human activities (Swedenborg *et al.*, 2009; WHO, 2013). Today, human and wildlife are exposed to more than 100,000 of these anthropogenic substances (Soto *et al.*, 2007), aside from their unknown interactive, environmental by-products and metabolites that are also present as pollutants (Benachour and Seralini, 2009; Marllatt *et al.*, 2012). Evidence of this persistent chemical exposure have been observed in samples of human blood, breathe, hair, tissue and body fluids and hence has aroused a strong interest in identifying their presence in food, drinking water and soil. These chemicals range from pesticides (herbicides, insecticides, fungicides, and bactericides), pharmaceuticals, industrial compounds and household, including beauty care products (WHO, 2013).

The annual global pesticides use is currently estimated at 11.2 billion kg, which are largely herbicides (Suntharasingham *et al.*, 2010; Yadav *et al.*, 2013). The global herbicide consumption for example, is as much as 48% of the total pesticide usage (Gupta, 2007). The increased in use of these herbicides, particularly in the aquatic ecosystem, has become a growing hazard (Pettersson and

1 Ekelund, 2006, Mensah *et al.*, 2013). The concern is premised on effects of these chemicals on non-  
2 target and at-risk aquatic organisms like fish and amphibians. Aside from direct application of these  
3 herbicides, their indiscriminate use, careless handling, accidental spillage, or discharge of untreated  
4 effluents and run-off into natural water-ways could and may contribute to long-term effects in the  
5 environment (Jiraungkooskuul *et al.*, 2001; Gluszczak *et al.*, 2006; Chen *et al.*, 2008;  
6 Govandarajulu, 2008; WHO, 2013), including reducing species diversity, changing community  
7 structures, modifying food chain, altering pattern of energy flow and nutrient recycling (Perez *et*  
8 *al.*, 2011; Mensah *et al.*, 2013).

9         Aside from their active ingredients, these various herbicide formulations also contain other  
10 chemical compounds generally referred to as surfactants, which facilitate emulsification, dispensing  
11 and wetting properties of the formulations (Hu *et al.*, 2005; Wijnja, 2010). These surfactants are  
12 mostly classified into two groups: non-ionic forms that include alcohol ethoxylate (AEs),  
13 alkylphenol ethoxylate (APEs), alkylamine ethoxylate (ANEs), silico-based surfactants  
14 (organosilicones), and oils, as well as anionic forms which include phosphate ethoxylated esters  
15 (PE) (Wijnja, 2010). Despite their suggested inert tags, these surfactants may be biochemically  
16 active, and are only labelled inert because of their inert function in the formulated products (Cox  
17 and Sorgan, 2006).

18         Many of these surfactants most often do not share the target specificity of the active  
19 ingredients (Mann *et al.*, 2003), leading to higher toxicological risk. Hence, concerns have been  
20 raised about the potential risk that these surfactants and their degradation products may pose to  
21 aquatic organism, especially amphibians (Bakke, 2003; Wijnja, 2010). This is especially true for  
22 nonyl phenol ethoxylate (NPE) surfactants and their nonylphenol metabolites that have been  
23 identified as endocrine disruptors (Bakke, 2003; Trumbo, 2005; Yang *et al.*, 2005; Othman, 2009;  
24 Mann *et al.*, 2009).

1           One of the early victims of these widespread physiological disruptions are the amphibians  
2 that are globally declining at an alarming proportion (Khan and Law, 2005). The declining  
3 phenomenon was first observed as a global trend in the early 1990s (Hayes *et al.*, 2010). Currently,  
4 over 60% of the known amphibian species are threatened or declining in numbers (Blausten, 1994;  
5 Perkins *et al.*, 2000). This decline has now been documented in a variety of habitats across the six  
6 continents (Carey and Bryant, 1995), thus making some scientists to suggest that the current rate of  
7 amphibian extinction is greater than any known in the last 100,000 years (Wilson, 1992; Eldredge,  
8 1998). In this amphibian decline, several possible hypotheses have been formulated, among which  
9 the anthropogenic alteration of the environment is the most implicated (Brunelli *et al.*, 2009). This  
10 includes agrochemicals like herbicides, insecticides, fungicides, rodenticides and even fertilizers  
11 (Lavorato *et al.*, 2013). In fact, with their dual life cycles and permeable skin, amphibians are  
12 particularly sensitive to environmental contaminants, so much so that environmental pollution is  
13 considered one of the causes of global amphibian declines (Reeder *et al.*, 1998; Relyea, 2005;  
14 Johnson *et al.*, 2006; Quassinti *et al.*, 2009; Bruhl *et al.*, 2013; Lavorato *et al.*, 2013).

15           With about 32% of the worlds estimated 6600 amphibian species threatened with extinction,  
16 43% experiencing declines, and another 22% with insufficient data, this phenomenon represents  
17 the earth's sixth mass extinction (Hayes *et al.*, 2010) and 211 times the background amphibian  
18 extinction rate (Brunelli *et al.*, 2009). The concern over this rapid global decline arise as frogs are  
19 considered as living barometers for the earth's environmental health (Blaustein, 1994; Blaustein  
20 and Wake, 1995; Perkins *et al.*, 2000). By this barometer gauge, it means that the amphibian decline  
21 is a possible early signal of an impending crisis for all other living things.

22           Agricultural chemicals are receiving increasing attention as one of the potential causes for  
23 this amphibian decline (Mann *et al.*, 2009; Porter *et al.*, 2011; Lajmanoich *et al.*, 2013; Gungordu,  
24 2013), either acting singly or in combination with other stressors (Relyea and Mills, 2001). This is  
25 because surveys of natural populations have shown correlations between amphibian decline and

1 proximity to agricultural lands (Bishops *et al.*, 1999; Edge *et al.*, 2011). These surveys are further  
2 corroborated by many malformed amphibians. Decreased species richness has also been reported  
3 in agricultural areas where herbicides and insecticides are widely used (Mann *et al.*, 2009; Brunelli  
4 *et al.*, 2009; Lavorato *et al.*, 2013).

5 Vulnerability of amphibians to pesticides may be attributed to their specific habitat  
6 requirements, including shallow temporary ponds, which is essential to their life cycle. These  
7 shallow or temporary water bodies are also areas where these agrochemicals mostly contaminate  
8 by spray drift and then accumulate, due to low dilution rate (Bishop and Pettit, 1992; Mann *et al.*,  
9 2003). Another factor for amphibian vulnerability is their various physiological uniqueness among  
10 the vertebrates which include; their eggs that are only covered by a layer of gelatinous materials,  
11 which makes the eggs easily exposed to the environmental chemicals (Vitt *et al.*, 1990; Lavorato *et*  
12 *al.*, 2013). The dual ecological mode of amphibian, where they alternate between aquatic and  
13 terrestrial habitat also exposed them to divergent forms of contaminants compared to fish that are  
14 permanently in water or reptiles that are permanently on land.

15 Accumulating evidence over the last two decades showed that wide range of anthropogenic  
16 chemicals have the ability to alter endocrine functions (interfere in hormonally controlled  
17 pathways) in humans and wildlife (Sparling *et al.*, 2001; Jugan *et al.*, 2009; Carr and Patino, 2010;  
18 Hinthner *et al.*, 2010; Marlatt *et al.*, 2012; Heindel *et al.*, 2013; WHO, 2013). The consequences of  
19 this interference are most often irreversible, in some cases leading to early death, but in others, not  
20 manifested until the individual reaches adulthood, with resultant loss of fertility and increased  
21 reproductive impairment (OECD, 2004). Through various subtle biochemical and physiological  
22 changes, many of these chemicals disrupt the normal pathways of hormones associated with a range  
23 of endocrine system (Figure 1.1).

24 The best studied interaction is receptor binding, eliciting a spectrum of downstream  
25 biological effects, either through organizational pathways during the development stage or during

1 adulthood (Orton *et al.*, 2011). However, it is now known that endocrine modulation may also occur  
2 through interaction/modulation of other pathways associated with the life history of a hormone (for  
3 example, synthesis, transport, breaking down and uptake by target cells) but also affecting  
4 molecular expression of receptor and non-receptor proteins (Govindarajulu, 2008; Diamanti-  
5 Kandarakis *et al.*, 2010; Bergman *et al.*, 2013; Heindel *et al.*, 2013). Chemicals modulating the  
6 endocrine system in some unnatural way, are collectively referred to as endocrine modulating  
7 substances or endocrine disrupting compounds (EDCs) (OECD, 2004; Li, 2007; OECD, 2008;  
8 Swedenborg *et al.*, 2009; WHO, 2013).

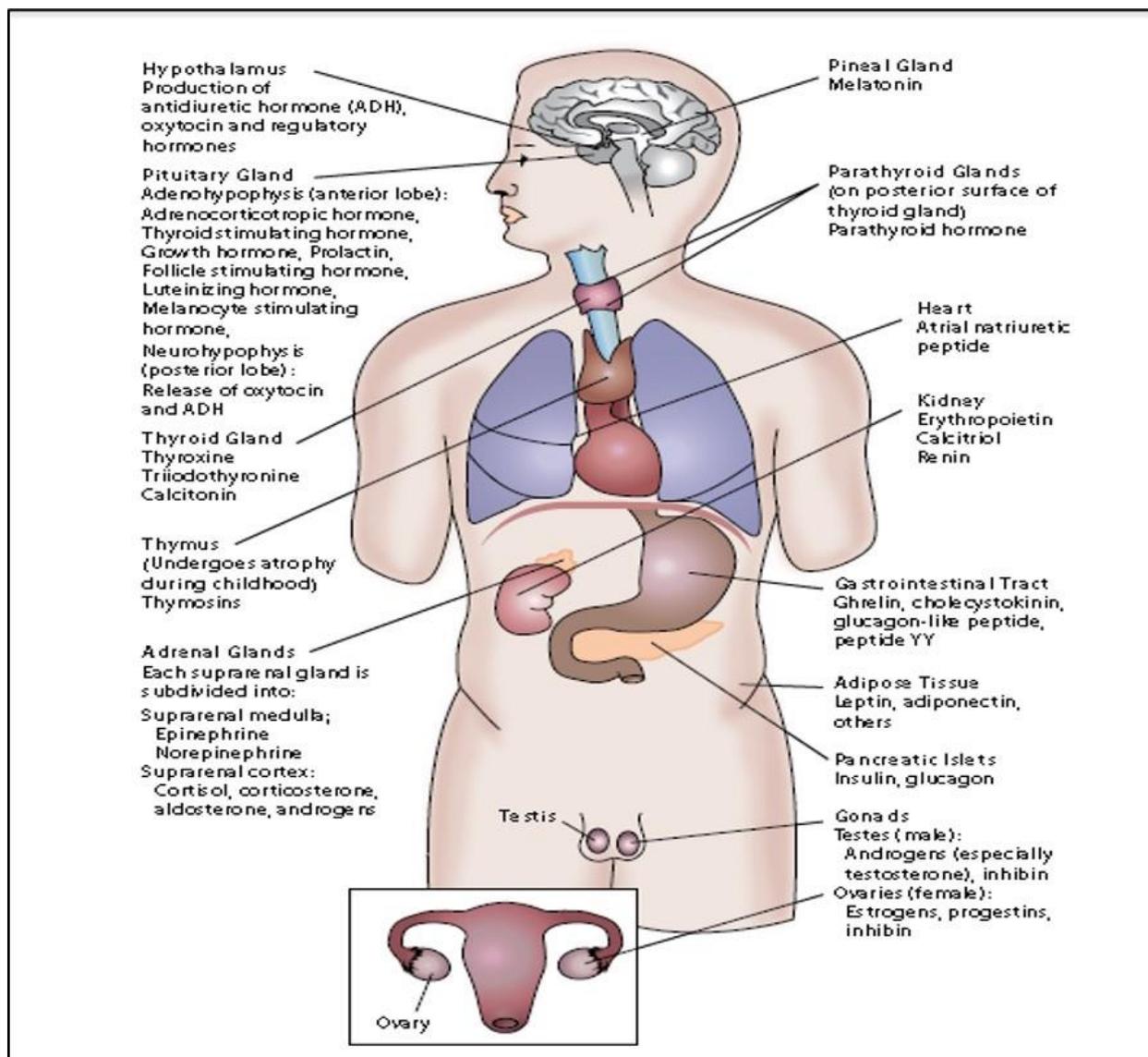
9

## 10 **1.2. Overview of the Endocrine System**

11 The endocrine system is the assemblage of ductless glands that secrete hormones directly into the  
12 bloodstream, which regulate a wealth of biological processes (Chou, 2005; Watamura, 2008;  
13 Leblanc *et al.*, 2011). The traditional organization of the endocrine system include hypothalamus,  
14 pituitary, pineal body, thyroid, parathyroid, adrenals, liver, kidney, gastrointestinal cells, ovaries,  
15 placenta, uterus as well as testes processes (Chou, 2005; Watamura, 2008). In addition, many  
16 organs not recognised as primary endocrine organs have secondary endocrine functions and also  
17 secrete hormones, for example heart, kidneys, liver, intestines and fat tissue (Diamanti-Kandarakis  
18 *et al.*, 2010). In general, these glands, in a single and complex interaction coordinate and regulate  
19 numerous physiological processes including development and growth, homeostasis and metabolism  
20 in general as well as the extended reproductive system (Diamanti-Kandarakis *et al.*, 2010; Zoeller  
21 *et al.*, 2012).

22 Ultimately, hormonal control is mediated through binding to hormone specific receptor-  
23 protein associated with the target tissues (Chou, 2005). Steroid hormones (cholesterol derivatives)  
24 are mostly dependent on “transporter” proteins to be carried through the blood and can passively  
25 enter cells through the phospholipid-like cell membrane and bind to specific intracellular receptor

- 1 proteins (mostly nuclear-based). Protein hormones generally enter the cell passively and need to
- 2 bind with membrane bound receptors and enter the cell by a secondary messenger system.



3  
4 Figure 1.1: The human endocrine system showing the associated organ systems with the major  
5 glands and organs (WHO, 2013).  
6

7 In addition to the classical models, where the thyroid hormone can enter the cell with the  
8 aid of specific transporter proteins and subsequently bind to intracellular receptor similar to steroid  
9 hormones, it is now known that steroid hormones may also bind to external membrane bound  
10 receptors (WHO, 2013). Nuclear receptors (steroid and thyroid hormones) form receptor-hormone

1 complexes that bind to specific regions of DNA, regulate gene transcription, mRNA synthesis and  
2 subsequently protein synthesis in the extra-nuclear environment (WHO, 2013). Adding to the  
3 complexity of the hormonal control system is the temporal and spatial differential expression of  
4 receptors resulting in target cell specific effects within certain temporal windows (WHO, 2013).

5         The window period is important because when disrupting chemicals are introduced during  
6 the developmental window, they affect the programming of cells and tissue development, in a way  
7 that the effects become permanent (WHO, 2013). According to the WHO, 2013 report, there are  
8 different effects (which could be transient) when the same endocrine disruptor is introduced later  
9 at childhood or during the adult phase. This varied sensitivity of endocrine disruptors during the  
10 life span has important implications which makes it important that the potential modulating effects  
11 of chemicals be studied using various developmental stages (Diamanti-Kandarakis *et al.*, 2010;  
12 Heindel *et al.*, 2013; WHO, 2013). Another implication of this varied sensitivity is that not all  
13 endpoints of hormone actions usually exhibit same sensitivity to chemicals exposure (WHO, 2013)

#### 14 **1.2.1 Endocrine modulation/disruption**

15 Chemicals acting as endocrine disruptors interfere with hormone action in a way that can produce  
16 adverse effects on human and wildlife health, by interfering with the ability of cells, tissues and  
17 organs to communicate hormonally, resulting in numerous negative health effects including  
18 reduced fertility, skewed sex ratios of the offspring of the exposed parents, reduced viability of the  
19 offspring, genital deformities, birth defects and thyroid disorders etc. (Guillette *et al.*, 2000; Baskin  
20 *et al.*, 2001; Schreinemachens, 2003; Gary, 2004; Zala and Penn, 2004; Sikka and Wang, 2008;  
21 Swedenborg *et al.*, 2009; WHO, 2013).

22         Endocrine disruptors, aside from mimicking the natural hormones, usually have two  
23 pathways by which they disrupt hormone action; a direct action on a hormone receptor protein  
24 complex, or a direct action on a specific protein that control aspect of hormone production (e.g.

1 aromatase), hormone transportation (e.g. sodium/iodide symporter) or a hormone carrier protein  
2 (e.g. cortisol binding protein) (Sikka and Wang, 2008; WHO, 2013). Although, hormone receptors  
3 usually have a high affinity for endocrine disruptors (Ruenitz *et al.*, 1996; WHO, 2013), some  
4 exogenous chemicals have been shown to display an affinity similar to, or greater than that of  
5 natural ligands e.g. tributyltin (TBT), which has a high affinity for retinoid-X-receptor (RXR) and  
6 peroxisome proliferator activating receptor gamma (PPAR $\gamma$ ) (Grun and Blumberg, 2006).  
7 Essentially, the mechanism by which a chemical disrupt hormone action has a very large impact on  
8 the pattern of effects (WHO, 2013). However, the ability of endocrine disruptors to alter the normal  
9 hormonal control of development is perhaps the most significant consequence of exposure, as  
10 developmental effects will occur at lower doses than are required for effects in adults (Alonso-  
11 Magdalena *et al.*, 2010).

12 Just like normal hormones that act at low doses due to the strong affinity for their  
13 corresponding receptors, some exogenous substances also have very high affinity for nuclear  
14 receptors, which also make them act at very low doses. But importantly, some endocrine disruptors  
15 can also act at low doses even if their affinity for hormone receptors is considerably lower than that  
16 of the natural hormones (WHO, 2013). This according to the WHO, (2013) report can happen  
17 because the impacts of the small changes in hormone action at the low end of the dose-response  
18 curve is much greater than at the high end of the curve. These endocrine disruptors that modulate  
19 hormone action at low doses can do so in a specific manner, such that traditional toxicological  
20 endpoints are not sufficient to preclude adverse outcome and these substances interact at dose  
21 responses that are nonlinear and potentially non-monotonic (Vandenberg *et al.*, 2012). The overall  
22 effect of this low dose effects is that they pose a threat to human health (Kumar *et al.*, 2008).

23 Initial concern about the threat posed by environmental contaminants, (including pesticides  
24 to human and wildlife health) was voiced in several books, including Silent Spring (Carson, 1962)  
25 and Our Stolen Future (Colborn *et al.*, 1996). Although, the concept of endocrine disrupting activity

1 associated with man-made chemicals was suggested at a much earlier time (Krimsky, 2000;  
2 Burkhardt-Holm, 2010), this field of research exploded during the last decade with powerful  
3 weight-of-evidence showing all major aquatic wildlife groups are experiencing modulation of the  
4 endocrine system in a variety of contaminated sites (Hutchinson *et al.*, 2006; Zoeller *et al.*, 2012;  
5 Kidd *et al.*, 2013). It is not surprising to find that aquatic organisms are the most affected, since  
6 more than 100,000 chemicals are thought to be discharged directly into the freshwater environment  
7 (Trudeau and Tyler, 2007).

8         Increased scientific evidence suggests that several man-made synthetic chemicals modulate  
9 several aspects of these endocrine pathways and processes, both in vertebrates as well as  
10 invertebrates (Knechtges *et al.*, 2006), resulting in disruption of numerous physiological processes  
11 (vom Saal *et al.*, 2008; Watamura, 2008). The effects of many of these chemicals on humans for  
12 examples, are highlighted by several keystone case-studies that accessioned the potential health  
13 hazards of these chemicals, including increasing incidence of cancer, reproductive and  
14 developmental anomalies like hypospadias and polycystic ovaries, cryptorchidism, diminishing  
15 sperm counts in the last fifty years, as well as developmental anomalies in reproductive organs  
16 (Harrison *et al.*, 1997; Boettger-Tong *et al.*, 1998; van Wyk *et al.*, 2005; Orton *et al.*, 2011).

17

### 18 **1.3. The Human Wildlife Connection**

19 In general, the endocrine systems of vertebrates conservatively share structural chemistry and  
20 molecular mechanisms associated with various hormone processes (Iguchi and Katsu, 2008),  
21 although the receptors are somehow different among the vertebrate species, which can influence  
22 the interaction of the exogenous chemicals (WHO, 2013). However, physiological consequences  
23 of several of these differentiated receptors may differ between vertebrate classes, especially in the  
24 area of sex determination and differentiation. The understanding of these species differences in  
25 hormone receptors will further help in protecting wildlife from endocrine disruption. Since humans

1 and wildlife share the same environment, wildlife sentinel species like amphibians and fish have  
2 the potential to serve as early warning signposts of potential modulation of specific physiological  
3 pathways by environmental chemicals either singly or in complex mixtures (Diamantis- Kandarakis  
4 *et al.*, 2010). Although the design of high throughput screening tests based on in vitro exposures  
5 (mostly receptor binding approach) make sense at the tier 1 level, however, testing endocrine  
6 modulation hypotheses using whole animal (in vivo) exposures at different life stages will always  
7 be essential to fully understand the potential risks associated with potential EDCs (OECD, 2004;  
8 2007).

9 In wildlife, abnormalities such as masculinisation in bivalves and gastropods; feminization  
10 of fish when exposed to sewage effluents; discontinuous gonads, reduced metamorphosis, delay  
11 growth and disrupts sex ratios in *Hoplobatrachus rugulosus*, hermaphroditism when exposed to  
12 Atrazine herbicide formulation (Kime, 1998; Hotchkiss *et al.*, 2008). In addition to that, altered  
13 sex determination and differentiation in reptiles, including turtles and alligators, reduced fertility;  
14 hatchability and wasting syndrome in birds are reportedly caused by exposure to EDCs (Fry, 1995;  
15 Kime, 1998; Hotchkiss *et al.*, 2008; Zaya *et al.*, 2011; Tranchantong *et al.*, 2013). There was also  
16 a significant increase in intersex animals due to certain herbicide formulations (Reeder *et al.*, 1998;  
17 Larson *et al.*, 1998; Hayes *et al.*, 2002; Tavera-Mendoza *et al.*, 2002 a, b; Carr *et al.*, 2003;  
18 Trachantong *et al.*, 2013). In addition, increase embryo lethality, malformation and growth  
19 inhibition as well as follicle hyperplasia testis weight reduction have been reported when *Xenopus*  
20 *laevis* were exposed to methoxychlor herbicide formulation (Fort *et al.*, 2004).

#### 21 **1.4. Endocrine Mode of Action/Mechanism of Action**

22 Hormones acts differently in different cell types, and they also acts differently in some cells at  
23 different developmental stages (WHO, 2013). This varied activities according to the WHO report,  
24 arises as multiple receptors types mediate the action of a single hormone. These different receptors

1 and varied activities also produces various mode of actions (MOAs), including estrogen and  
2 antiestrogen, androgen and antiandrogen, thyroid and antithyroidal etc.

### 3 1.4.1. (Anti) estrogenic activity (MOA)

4 Many pesticides have been shown to interact with estrogen receptors (ERs) (Kojima *et al.*, 2010;  
5 WHO, 2013), by mimicking the natural estrogen hormone, leading to various estrogenic effects  
6 (Coster and Labereke, 2012). It is well-known that certain cells are naturally responsive to  
7 estrogens. This means that these cells naturally express estrogen-receptor proteins. These Receptor  
8 proteins may be located in the cytosol or nucleus of the response cell (genomic pathway) (Norris  
9 and Carr, 2006) or alternatively be incorporated in the cell membrane (non-genomic pathway)  
10 (Cornil *et al.*, 2006). However, estrogenic effects include the control of other proteins associated  
11 with the estrogenic response (Wahlstrum, 2008). Some pesticides have also been shown to have  
12 antagonised effects on estrogen receptor binding or by affecting enzymatic hormone transformation  
13 pathways, for example inhibition of enzyme, aromatase (testosterone to estrogen transformation),  
14 therefore leading to antiestrogenic effects (Whitehead and Rice, 2006; Coster and Larebeke, 2012).  
15 Another important mode of action is the activities of certain pesticides that interfere with the  
16 binding of natural estrogens to estrogen receptors, thereby competing and preventing the estrogen  
17 receptor and hormone binding.

### 18 1.4.2 (Anti) androgenic activity (MOA)

19 Many pesticides have been identified with potential androgenic and anti-androgenic mode of action.  
20 These modulations are mostly achieved by upregulating expression of, or through competitive  
21 binding to, the androgen receptor (AR) in one way or the other (Coster and Larebeke, 2012). It can  
22 also be by affecting upstream steroidogenesis pathways and transformation enzymes or  
23 transformation enzymes ( $5\alpha$  Reductase Testosterone to Dihydrotestosterone) (Kojima *et al.*, 2004;  
24 Lorenz *et al.*, 2011; Wu *et al.*, 2012). For example, antiandrogenic herbicides like chlornitrofen and

1 chlomithomyfes have been shown to have more potent inhibitory activity than known  
2 antiandrogenic pesticides, Viclozolin and p, p'-diclorodiphenyldichloro ethyne (DDE) (Kojima *et*  
3 *al.*, 2004). Early life exposure to endocrine disrupting chemicals (EDCs) may be causative factors  
4 of numerous male human disorders including testicular dysgenesis syndrome, cryptorchidism,  
5 hypospadias, decreased penile length, reduced sperm quality, cancer from sources associated with  
6 chlordecone-producing companies (Waring and Harris, 2005), PCBs (Aneck-Hahn *et al.*, 2007) and  
7 insecticides such as p, p'-DDE and Endosulfan (Kelce and Gray, 1999; Dalvie *et al.*, 2004).

### 8 **1.4.3. (Anti) thyroidal activity (MOA)**

9 In addition to affecting the reproductive hormones, many pesticides and chemicals have been shown  
10 to interact with thyroid receptors, with both agonist and antagonist effects (Kojima *et al.*, 2010;  
11 Coster and Labereke, 2012). Chemicals can also affect thyroid metabolism either through the  
12 hypothalamic-pituitary-axis or directly via nuclear receptors (Patrick, 2009). Thyroid hormone has  
13 two receptor classes, TR $\alpha$  and TR $\beta$ , each with different temporal and spatial patterns of expression  
14 (WHO, 2013). The spatial distribution of these receptor types varies widely and can influence the  
15 wider nature of the effects (WHO, 2013). It has been shown that only those chemicals with closely  
16 related structures to thyroid hormones can bind to ligand-binding pocket of TRs (Kojima *et al.*,  
17 2010), a good example include dioxin (Ahlborg *et al.*, 1995; Nilsson *et al.*, 2001).

18 Iodine is very essential in thyroid functioning. Through the pituitary and specific receptors  
19 binding, the TSH increases the uptake of iodine into the follicular cells of the thyroid, iodination of  
20 thyroglobulin (TG), synthesis and oxidation of thyroglobulin, uptake of TG, as well as synthesis  
21 and oxidation of thyroid hormones including the T3 and T4. (Norris and Carr, 2006; OECD, 2006).  
22 Both the T3 and T4 are iodinated derivatives of the amino acid tyrosine.

23

## 1 **1.5. Pesticides as Endocrine Disruptors**

2 Agricultural and environmental pesticides application has increased globally, and is now an  
3 important sector in the world economic (with more than 1000 active ingredients) mainly because  
4 this sector has grown exponentially alongside of growth in other related industries including  
5 agriculture (Plakas and Karabelas, 2012). These pesticides are now found in most compartments of  
6 the environment, and have been detected in ground water as well as surface water that are used as  
7 sources of drinking water (Plakas and Karabelas, 2012). It is therefore not surprising, that  
8 authorities all around the world are concerned about the potential health effects that pesticides may  
9 pose, even at very low concentrations (pg/L-ng/L range) (WHO, 2013). These pesticide chemicals,  
10 apart from their toxic effects that are often exhibited at high concentration, may also have other  
11 differential effects that may include teratogenic, thyroidal and reproductive effects, by acting  
12 through numerous pathways (Mckinlay *et al.*, 2008; Mnif *et al.*, 2011; Hamid and Eskicioglu,  
13 2012). About 127 pesticides have been listed as having endocrine disruptive properties (Mckinlay  
14 *et al.*, 2008). This has generated widespread concerns for their non-target impacts (Relyea, 2003;  
15 Govandarajulu, 2008; Mann *et al.*, 2009; Piola *et al.*, 2013; WHO, 2013).

## 16 **1.6. Pesticides and South African Environment**

17 South Africa is the highest produce-producing country in the African continent, and therefore has  
18 the largest pesticides market in the Sub-Saharan Africa (Bollmohr *et al.*, 2008; Dalvie *et al.*, 2009a;  
19 Ansara-Ross *et al.*, 2012; Ansara-Ross *et al.*, 2013; Dabrowski *et al.*, 2014). Accordingly, there are  
20 approximately 180 pesticide active ingredients formulated within approximately 400 registered  
21 trade names currently available in South Africa (PAN, 2013). With the increase in demand for food  
22 productivity, due to population increase and increase in food demand, pesticide use in South Africa  
23 represent 60% of the pesticide market in Africa (Dabrowski *et al.*, 2014). This plethora of pesticides  
24 has huge potential impacts consequences on the environment and ecological diversity, and not

1 going without public and government concern (Bollmohr *et al.*, 2009; Ansara-Ross *et al.*, 2012;  
2 Mensah *et al.*, 2013; Dabrowski *et al.*, 2014)

3         Ansara-Ross *et al.*, (2012) reviewed all pesticides-related researches conducted in South  
4 Africa since 1970. These data showed that relative high levels of pesticides have been detected in  
5 various South African river catchments and man-made reservoirs. Both human and wildlife in South  
6 Africa environment are possibly exposed to these chemicals, the health implication of which is still  
7 largely unknown. Dabrowski *et al.* (2014) in a report categorized only 12% of total 152 pesticides  
8 active ingredients as potential EDCs.

### 9 10 **1.6.1 Chemical control of invasive aquatic plants: Working for Water Programme (WfW)** 11 **as a case study** 12

13 The South African ‘Working for Water’ programme is an ecological conservation scheme, launched  
14 in 1995 by the South African Government, as a multi-departmental public project to control alien  
15 plants in river catchments. The project aims primarily to enhance water security, improve ecological  
16 integrity and restore the productive potential of land. The project identified invasive alien plants  
17 (Act No 10 of 2004) as one of major problems when considering the future of water conservation.  
18 Accordingly, alien plants waste about 7% of South Africa’s water annually, thus impeding farming  
19 and irrigation, intensifying floods and fire, causing erosion, destroying rivers and promoting poor  
20 water quality (Working for Water, 2007). In attempt to eradicate these invasive plant species,  
21 particularly the aquatic weeds, one approach was to use chemical eradication by applying selected  
22 herbicide formulations. The herbicides applied include Glyphosate (Touchdown, Seismic, Glyph,  
23 Mamba and Roundup, Kilo Max and Muscle-up), Diquat dibromide (Midstream), Imazapyr  
24 (Chopper, Arsenal, Format and Hatchet) and Glufosinate ammonium (Basta) (Bold, 2007). The use  
25 of these herbicide formulations has elicited wide public and scientific concerns about the safety of  
26 non-target aquatic organisms like fish and amphibians (Radosevich *et al.*, 2007; Nweke and  
27 Sanders, 2009; Ochoa-Heima *et al.*, 2009).

## 1 **1.7. Herbicides as Endocrine Disruptors**

### 2 **1.7.1 General overview**

3 Herbicides are the leading group of pesticides in terms of annual production, total acreage size  
4 usage and total revenue sales (Mensal *et al.*, 2013). Just like other groups of pesticides, herbicides  
5 are designed to interfere with living species and are necessarily characterised by variable level of  
6 toxicity (Collosio *et al.*, 1999). Herbicides generally have relatively short half lives in soil and  
7 water, but can be found at relatively high concentration in the environment (Orton *et al.*, 2009).  
8 Herbicide formulations have been assumed not to pose a great risk to non-target organisms  
9 (animals), but evidence are mounting showing that these chemicals or the associated constituents  
10 of the formulations can affect wildlife health in aquatic and terrestrial environment (Colborn *et al.*,  
11 1996; Relyea, 2005; Dinehart *et al.*, 2009; Egea-Seranno *et al.*, 2012). But apart from selected  
12 formulations (like atrazine and roundup), relatively few studies have focused specifically on the  
13 endocrine disruption potential of herbicides (McKinlay *et al.*, 2008).

14 Even with the little attention that has been paid to the herbicides group as potential endocrine  
15 disruptors, about 21% of the total pesticides that has been implicated for endocrine disrupting  
16 activities or potentials are herbicides (Mnif, 2011). This is a pointer to the inherent endocrine  
17 disrupting capacity of the herbicidal groups. The fact that there is worldwide growing usage of  
18 herbicides makes these chemicals a growing environmental and health threat that deserve more  
19 attention. Moreover, given the widespread negative ecological impacts associated with herbicide  
20 formulation like atrazine in recent past, and the controversy generated by conflicting research  
21 reports (Kloas *et al.*, 2009; Hayes *et al.*, 2010), underline the importance of more research regarding  
22 an extended list of herbicides groups.

23 A closer look at the herbicidal groups, on the basis of their chemical structures revealed  
24 interesting pictures. The herbicides classification on the basis of chemical structures, consist of 40  
25 groups, including an additional one unclassified group (Wood, 2011). Of a total 41 groups, 25 have

1 been suggested to have endocrine disrupting activity or predicted endocrine disrupting potential.  
2 These 25 herbicide chemicals represent 61% of herbicide groups, meaning that more than half of  
3 herbicide groups are already implicated as being endocrine disruptors ((Kojima *et al.*, 2010; Mnief  
4 *et al.*, 2011). The fact that the endocrine disrupting tendencies are widespread and not restricted to  
5 selected few, mean that the herbicidal groups just like insecticides possess a wide variety of  
6 chemical structures and are the most likely candidates for ligands against NRs and ARs (Kojima *et*  
7 *al.*, 2010).

8

### 9 **1.7.2 Herbicides; Modes of Actions**

10 Mode of action is the biochemical pathways that a chemical acts through to interfere with the  
11 physiological processes or how a particular herbicide acts in affecting its target (Kaplard and  
12 Namuth, 2004). There are nine recognized modes of action within the herbicide groups (Kaplard  
13 and Namuth, 2004). According to these authors, the nine modes of action include amino acid  
14 synthesis inhibitors (including sulfonylureas and imidazolinones etc.), seedling growth inhibitors  
15 (including dinitroanilines carbaothiates and acetamides), growth regulators/ cell division inhibition  
16 (including phenoxies, benzoic acids, and carboxylic acids and the picolinic acids), photosynthesis  
17 inhibitor (including triazines, uracils phenylureas, benzothiadiazoles and nitriles etc), lipid  
18 synthesis inhibitors (aryloxyphenoxypropionates and cyclohexanediones), cell membrane  
19 disrupters (diphenylethers, arytriazolinones, phenyphthalamides and bipyridilium etc.), pigment  
20 inhibitors (isoxazolidinones, isoxazoles and pyridazinones etc), photobleacher (diquat and  
21 paraquat), and one unknown mode of action (MSMA and Nortron) (see Table 1). Understanding  
22 these modes of action is very important since such knowledge can be used to predict potential  
23 endocrine disrupting pathways. However, such links are rarely made and more research are needed  
24 to assess the endocrine modulation risk associated with herbicides.

25

### 1 1.7.3 Herbicides; Site of Action

2 The site of action refers to the biochemical site that a particular herbicide acts upon in a plant to  
3 interfere with the plant growth and development (Kaplard and Namuth, 2004). There are currently  
4 twenty-two (22) known sites of action, as well as seven (7) unknown sites of action (Table 1.1).

5

6 Table 1.1 – Recognized sites-of-action of Herbicides (Adapted from Hartzler, 1998).

GP	Site of Action	Family	Active Ingredient
1	ACC-ase (Lipid synthesis) inhibitor	Aryloxyphenoxy propionate	diclofop, fenoxaprop, fluazifop, quizalofop-p
2	Acetolactate synthase Inhibitors	Cyclohexanediones Sulfonylurea	clethodim, sethoxydim Prosulfuron, chlorimuron, metsulfuron, halosulfuron, nicosulfuron, primisulfuron, rimsulfuron, sulfometuron, thifensulfuron, foramsulam
		Imidazolinone	AC 299, 263, imazapyr, imazaquin, imazethapyr, flumetsulam
3	Microtubule inhibitors	Dinitroanilines	chloransulam-methyl, pyriithiobac benefin, ethalfluralin, oryzalin, pendimethalin, trifluralin
4	Synthetic auxins	Pyridazines Phenoxy Benzoic acids	dithiopyr 2,4-D, 2,4-DP, dichlorprop; MCPA, MCPB, MCPP dicamba
		Carboxylic acids	clopyralid, picloram, triclopyr
		Quinoline carboxylic acid	quinclorac
5	Inhibition of photosynthesis at Photosystem II	Triazines Triazinones	ametryne, atrazine, cyanazine, prometon, simazine hexazinone, metribuzin
6	Photosystem II inhibitor – different binding behavior than 5	Uracils Nitriles Benzothiadiazole Phenyl-pyridazine	bromacil, terbacil bromoxynil bentazon pyridate

7	Photosystem II inhibitor – different binding behavior than 5 & 6	Ureas	diuron, linuron, tebuthiuron
8	Inhibition of lipid synthesis	Amide Thiocarbamates	propanil butylate, EPTC, vernolate
9	Inhibition of 5-enolpyruvyl-shikimate-3-phosphate Synthase	None	Glyphosate
10	Inhibition of glutamine synthetase	None	Glufosinate
11	Inhibition of carotenoid synthesis at unknown site (bleaching)	Triazole	Amitrole
12	Inhibition of carotenoid synthesis at phytoene desaturase (PDS)	Pyridazinone	norflurazon
13	Inhibition of diterpenes (bleaching)	Others Isoxazolidinone	fluridone Clomazone
14	Inhibition of protoporphyrinogen oxidase (PPO)	Diphenylethers  N-phenylph thalamides	acifluorfen, fomesafen, lactofen, oxyfluorfen  CGA-248757, flumiclorac
		Triazolinone	sulfentrazone, carfentrazone, flumioxazin
15	Inhibition of DHP	Carbamate	Asulam
16	Inhibition of indoleacetic acid action	Phthalamate	Naptalam
17	Inhibition of cellulose synthase	Nitrile	Diclobenil
18	Inhibition of cell wall synthesis site-B	Benzamide	Isoxaben
19	Inhibition of photosystem I – electron diversion	Bipyridyliums	diquat, paraquat
20	Inhibition of mitosis	Carbamates	chlpropham, propham
21	Uncoupling – membrane disrupters	Dinitrophenol	Dinitrophenol
22	Inhibition of Carotene synthesis (4-HPPD)	Triketone Isoxazole Pyrazole	sulcotrione, isoxaflutole pyrazolynate mesotrione
23	Unknown	Chloroacetamides  Oxyacetamides Benzofuran Organoarsenicals	acetochlor ,alachlor, butachlor, metolachlor, pronamide, proplachlor, dimethenamid bay foe 5043 Thofumesate DSMA, MSMA

Arylamino propionic acid	flamprop-methyl
None	TCA
Various	bromobutide, cinmethylin, dymron flupoxam

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1

2

### 3 **1.7.4 Herbicide Formulations and Developmental Toxicity**

4 Herbicides, just like other member of pesticide group, have been linked to developmental  
5 malformations in amphibian including paraquat, mecoprop, amitraz, MCPA, 2, 4, 5-T, bromoxynil  
6 and picloram (Osano *et al.*, 2002; Kojima *et al.*, 2004; Mnief *et al.*, 2011).

7 Osano *et al.* (2002) using FETAX protocol assessed the teratogenic effects of amitraz, 2, 4-  
8 demethylaniline, and paraquat. They noted that at concentration higher than 0.2 mg/L paraquat, all  
9 the embryos of *Xenopus laevis* were malformed, and growth reduction was apparent at  
10 concentration of ranging between 0.1-5 mg/L. The most common teratogenic effects recorded  
11 included flexures of the notochord. For Amitraz formulation, edema was the most common  
12 teratogenic effects. Osano *et al.* (2002) also noted that at 5 mg/L, 100% of surviving embryos were  
13 edematous. It was concluded that paraquat should be classified as teratogens and further application  
14 should be stopped.

15 Lenkowski *et al.* (2008) examined the perturbation of Atrazine on the organogenesis using  
16 *Xenopus laevis*. It was reported that at concentration of 10-35 mg/L, a dose dependent increase in  
17 percentage malformation incidence occurred, with various malformation tissue including main  
18 body axis, circulatory system, kidney, and digestive system as well as increased incidence of  
19 apoptotic cell in midbrain and kidney.

20

### 21 **1.7.5 Herbicide formulations and impacts on reproductive system**

22 Reproductive toxicity is regarded as one of the most serious ecological and health properties of a  
23 substance (Magnusson and Brunstrom, 2007). Concerns are mounting as several studies are now

1 relating numerous environmental chemicals with observed reproductive toxicity in wildlife  
2 (Colborn *et al.*, 1996; Gimeno *et al.*, 1998; Jobling *et al.*, 2002; Kidd *et al.*, 2007; McDaniel *et al.*,  
3 2008; Bergman *et al.*, 2013). The window of early development proved to be a sensitive stage,  
4 especially in the light of organizational effects that chemicals may have during developmental  
5 stages (Guillette *et al.*, 1995; Petterson *et al.*, 1996). This mean that the reproductive system would  
6 be easily perturbed as the development of vertebrate reproductive system differentiates during early  
7 life stages, when the hormonal milieu essential for sex differentiation and proper development of  
8 organ and brain are high (Berg *et al.*, 2009).

9         Androgenic and anti-androgenic as well as estrogenic and anti-estrogenic effects of many  
10 pesticides have been demonstrated in many organisms (Sharpe and Skakkeback, 1993; Wise *et al.*,  
11 2011; Leblanc *et al.*, 2011; Orton *et al.*, 2012; Lavorato *et al.*, 2013). Exposure related impacts of  
12 many pesticides have been shown on male sexual differentiation, through antagonising effects on  
13 androgen receptors (AR) (Orton *et al.*, 2012). These chemicals that mimic or antagonise the  
14 activities of androgen and estrogen receptors, interfere with physiological functions, leading to  
15 impairment in sexual function and development (Ubatzka *et al.*, 2007). Examples of herbicides  
16 with androgenic and anti-androgenic activities include diuron, methiocarb, nitrofen, linuron and  
17 pyridate (Andersen *et al.*, 2002; Fang *et al.*, 2003; Okubo *et al.*, 2004; Kojima *et al.*, 2004; Thibaut  
18 and Porte, 2004; Salazar *et al.*, 2006; Schmwztzler *et al.*, 2007). Herbicides with known estrogenic  
19 and anti-estrogenic activities include chlordane, methoxychlor, thenylchlor, oryzalin, and  
20 pendimethalin (Colborn *et al.*, 1993; Sharpe *et al.*, 1995; Hurley *et al.*, 1998; Johnson *et al.*, 2002;  
21 Kojima *et al.*, 2004;). But in spite of evidence that selected herbicides are androgenic, anti-  
22 androgenic, estrogenic and anti-estrogenic, many commonly used herbicide formulations have not  
23 been assessed for their potential impacts on the reproductive system.

24         The increasing important of enzymes involvement in the disruption of steroid biosynthesis  
25 pathways are being recognised (Guillette *et al.*, 2001; Sanderson 2006; Bretveld *et al.*, 2006). The

1 interference of pesticides with the steroid biosynthesis necessary for normal cell functions, hormone  
2 synthesis and degradation, may altered the natural balance of circulating sex steroids, leading to  
3 impaired reproduction, alteration in sexual differentiation, growth and development (Guillette *et al.*,  
4 *2001*; Bretveld *et al.*, *2006*; Sanderson *et al.*, *2006*). Steroid hormone synthesis is controlled by  
5 the activity of several highly substrate-selective cytochrome P450 enzymes and a number of steroid  
6 dehydrogenases and reductases (Sanderson, *2006*). For example, glyphosate herbicide have been  
7 noted to disrupt the P450 enzymes and amino acid biosynthesis (Samsel and Seneff, *2013*). This  
8 glyphosate inhibition of cytochrome P450 enzymes is an overlooked component of its toxicity to  
9 animals including mammals (Samsel and Seneff, *2013*). Many other herbicide formulations could  
10 share this activity which is still largely unknown and unexplored.

#### 11 **1.7.6. Herbicide formulations and impacts on thyroid endocrine system.**

12 Many herbicide formulations have been suggested to be thyroid system toxicants, including  
13 tributyltin, methoxychlor, amitrole, ethiozin, thiazophy and prodiamine (Hurley *et al.*, *1998*;  
14 Sanderson *et al.*, *2000*; Vos *et al.*, *2000*; Cocco *et al.*, *2002*; Fort *et al.*, *2004*; Kojima *et al.*, *2004*;  
15 Cauble and Wagner, *2005*; Urbatzka *et al.*, *2007*; Mnief *et al.*, *2011*; Shi *et al.*, *2012*). These  
16 herbicides have been shown to affect the growth and development of many wildlife in a way that  
17 reduces their fitness

18 Shi *et al.* (*2012*) in a study on effects of tributyltin herbicide on metamorphosis and gonadal  
19 differentiation on *X. laevis* at environmental relevant concentrations (for 19 days exposure),  
20 suggested that this formulation greatly retarded the development of the tadpoles, decreased the  
21 number of thyroid follicle, and induced thyroid follicle cell hyperplasia. Moreover, the Tributyltin  
22 formulation induced many malformations including intersex phenotypes, segmented aplasia and  
23 multiple ovarian cavities, as well as male bias sex ratio. Fort *et al.* (*2004*) reported that  
24 methoxychlor herbicide impacts on *Xenopus tropicalis* reproduction and development. Exposure to  
25 methoxychlor (range of 1-100 µg), resulted in a delayed development, and tadpoles exposed to 10

1  $\mu\text{g}$  methoxychlor for 30 days showed enlarged thyroid glands with follicular hyperplasia. A  
2 concentration dependent increase in external malformation after 90 days of exposure was also  
3 recorded (Fort *et al.*, 2004). At a higher concentration (100  $\mu\text{g}$ ), the sex ratio was skewed towards  
4 female with decreased ovary mass and number of oocytes, as well as decreased testis mass and  
5 sperm count.

6 Cauble and Wagner, (2005) studied the sublethal effects of the herbicide glyphosate on  
7 amphibian metamorphosis and development. They reported that tadpoles of *Lithobates cascade*  
8 exposed to 1-2 mg/L of glyphosate for 43 days showed significantly reduced survivability, reduced  
9 rate of metamorphosis and low post metamorphosis mass. It was also noted that no individual  
10 survived from eggs to metamorphosis in the 2 mg/L glyphosate concentration exposure group.

11 Taken together, aquatic herbicides, just like other pesticide, although are designed to  
12 interfere with physiological processes of living plants species may disrupt the normal physiology  
13 and survivability of living, non-target animal species. Although, labels of many of the herbicides  
14 indicates non-toxic or low toxicity to aquatic organisms at recommended dosages, available  
15 evidences from several studies put their low health risk to animals in doubt (Boettger-Tong *et al.*,  
16 1998; Petterson and Ekelund, 2006; Govandarajulu, 2008). Some of the herbicides are already  
17 showing potential toxicity at relatively low concentrations (Wojtaszek *et al.*, 2004; Relyea, 2005b),  
18 including potential endocrine effects (Walsh *et al.*, 2000; Howe *et al.*, 2004), as well as  
19 chromosomal and DNA damage (Clements *et al.*, 1997) and teratogenic effects (Paganneli *et al.*,  
20 2010).

21 Even though herbicide like atrazine has received much attention internationally for its  
22 toxicity and endocrine disrupting effects, other herbicide groups have attracted less attention, both  
23 as an ecological threat on non-target organisms as well as health hazards (endocrine disruption) for  
24 mankind. The Atrazine case has shown the world that even at low environmental concentrations,  
25 some herbicides may potentially disrupt animal endocrine systems. Research should therefore be

1 extended to other herbicides to ensure effective application. Since herbicides are been applied to  
2 the environment, the health implication on both wildlife and human must be fully understood.

### 3 4 **1.8 Research gaps in knowledge of diquat dibromide, imazapyr, glufosinate ammonium and** 5 **glyphosate herbicide formulations** 6

7 In addition to acute toxicity effects (at 96 hour exposures), particularly at different developmental  
8 stages, the various exposure impacts at sub-lethal concentrations including developmental toxicity,  
9 thyroidal toxicity, gonadal and reproductive toxicity of diquat dibromide (Midstream), imazapyr  
10 (Arsenal) glufosinate ammonium (Basta) and glyphosate herbicide formulations (Roundup, Kilo  
11 Max, Enviro Glyphosate) that are widely applied in the South African ‘Working for Water’ program  
12 are still largely unknown and undefined.

13 The broader aim of this study was therefore to contribute towards filling these identified  
14 knowledge gaps using the aquatic amphibian *X. laevis* as sentinel species.

### 15 16 **1.9. Review of selected herbicide formulations used in ‘Working for Water’ (WfW) Program**

17 Chemical control of invasive plants is one of the adopted options of managing alien plants by the  
18 ‘Working for Water’ programme of the South African government (Department of Environmental  
19 Affairs). The aquatic herbicide formulations that were used contained chemical components with  
20 differential physical and chemical properties (Table 1.2) that potentially could directly or indirectly  
21 affects the exposure impacts of these herbicide formulations.

1 **Table 1.2: Physical and chemical properties of glyphosate, diquat dibromide and imazapyr**  
 2 **active ingredients (selected WfW herbicide formulations)**

	Glyphosate	Diquat	Glufosinate	Imazapyr
Physical state	White crystal Powder	NA	White to Light brown	White to tan Powder
Molar Mass		344 g	198.2 g/mol	261.3 g/L
Spec. Gravity	1.704 @20°C	1.61 g/cm <sup>3</sup>	NA	NA
Vap. Press.	< 1x10 <sup>-5</sup> KPa @ 20°C	<10-9Kpa @25°C	<1x10 <sup>-5</sup> KPa @50°C	<1x10 <sup>-7</sup> mmHg @60°C
Solu. in water	10100 mg/L @20°C	718 g/L	7500 g/L @20°C	7490 mg @ 15°C

3  
 4 **1.9.1 Glyphosate formulations (Roundup, Kilo Max and Enviro Glyphosate)**

5 **1.9.1.1. General aspects**

6 Glyphosate (*N*-(phosphonomethyl) glycine) is a broad-spectrum, non-selective, post-emergence  
 7 herbicide, with a molecular formula C<sub>3</sub>H<sub>8</sub>NO<sub>5</sub>P (WHO, 1994; WHO, 1996; Edge *et al.*, 2011). It is  
 8 a systemic herbicide that is active by plant translocation, and is first absorbed by foliage, then  
 9 translocate throughout the plant via the phloem and is further transported to metabolic sinks such  
 10 as meristems and roots (Laitinen *et al.*, 2009). Glyphosate is an organophosphonate with low  
 11 mammalian toxicity, and does not inhibit cholinesterase in its natural form (WHO, 1996). It is  
 12 perhaps the most important herbicide ever produced (Mann *et al.*, 2009) (See Table 1.2 for the  
 13 physical and chemical properties). Glyphosate Common formulations include Roundup, Kilo Max  
 14 and Enviro Glyphosate which were included in the present study since they are widely used in WfW  
 15 programme to control aquatic alien plants.

16  
 17 **1.9.1.2. Roundup**

18 Roundup is the most common glyphosate formulations. It consists of isopropylamine salt along  
 19 with the surfactant polyethoxylated tallow amine (POEA), which has been identified in many

1 studies as basis of toxicity of the formulation (Perkins *et al.*, 2000). There are many conflicting  
2 reports about the toxicity of this formulation, particularly concerning amphibians. Internationally,  
3 some countries have already deregistering Roundup from the list of their approved herbicides  
4 (Relyea, 2005). While most studies suggest that it is the surfactant POEA rather than the active  
5 ingredient (isopropylamine salt of glyphosate) that is being responsible for the toxicity  
6 (Govandarajulu, 2008), others suggest otherwise (Relyea, 2005; Paganelli *et al.*, 2010). Recent  
7 studies however showed that amphibians may be one of the most sensitive vertebrate group to the  
8 toxicological effects of this herbicide (Bosch *et al.*, 2011; Helbing, 2012).

9         Paganelli *et al.* (2010) in a study on glyphosate-based herbicides (GBH), reported that when  
10 *X. laevis* embryos were incubated with a 1/5000 dilution of a commercial GBH, the embryos were  
11 abnormal with marked alterations in cephalic and neural crest development as well as shortening  
12 of the anterior-posterior (A-P) axis. The alterations on neural crest markers were later correlated  
13 with deformities in the cranial cartilages at the tadpole stages. The embryos injected with pure  
14 glyphosate showed very similar phenotypes. This according to them suggests that glyphosate itself  
15 was responsible for the observed phenotypes, rather than just the surfactant or other components of  
16 the commercial formulations. Using a reporter gene assay, it was revealed that GBH treatment  
17 increased endogenous retinoic acid (RA) activity in *X. laevis* embryos and co-treatment with RA  
18 antagonist rescued the teratogenic effects of the GBH. Paganelli *et al.* (2010) therefore concluded  
19 that phenotypes produced by GBH are mainly consequence of the increase endogenous retinoic  
20 activity from the GBH exposure.

21         Benachour and Seralini (2009) reported on the toxicity of four glyphosate-based herbicides  
22 and Roundup formulations, using three different human cell types, at dilution levels that are far  
23 below agricultural recommendations. They compared the Roundup formulations to glyphosate  
24 alone and with its main metabolite amino-methyl-phosphonic acid (AMPA) or with one known  
25 adjuvant of Roundup formulations, POEA. The Roundup formulation induced apoptosis via

1 activation of enzymatic cysteine-aspartic proteases (caspases) 3/7 activity. According to them, the  
2 glyphosate provokes only apoptosis and growth media (HUVEC) are 100 times more sensitive  
3 overall at this level. They also pointed out that the deleterious effects are not proportional to  
4 glyphosate concentrations but rather depend on the nature of the adjuvants. They noted that AMPA  
5 and POEA separately and synergistically damage cell membranes like Roundup but at different  
6 concentrations. Their mixtures are generally even more harmful with glyphosate. In conclusion, the  
7 Roundup adjuvant POEA changed human cell's permeability and amplify toxicity induced already  
8 by glyphosate, through apoptosis and necrosis. These studies clearly confirm that the adjuvants in  
9 Roundup formulation are not inert, and that the glyphosate itself has its own toxicity contribution.

10 Jiraunghoor *et al.*, (2002) studied the acute toxicity of Roundup against Nile tilapia  
11 (*Oreochromis niloticus*). They reported that the values of 24 hour, 48 hour, 72 hour and 96 hour  
12 LC<sub>50</sub> for young tilapia were lower than those determined for adult tilapia. This suggests that the  
13 adult tilapias are more tolerant to Roundup than the juveniles. Relyea, (2005) showed that Roundup  
14 completely eliminated two species (Leopard frogs (*Lithobates pipiens*) and Gray's tree frogs (*Hyla*  
15 *versicolor*) and nearly exterminated a third species (Wood frog (*Lithobates sylvatica*) resulting in  
16 a 70% decrease in the species richness of tadpoles, under ecologically relevant conditions.

17 Perkins *et al.* (2000) using the FETAX assay reported that Rodeo, the isopropylamine (ipa)  
18 salt of glyphosate (formulated without surfactant) had the least toxicity, with an LC<sub>5</sub> and LC<sub>50</sub> of  
19 3.8 and 5.4 mg/L (acid equivalent (a.e) respectively. For Roundup (with POEA surfactant), the LC<sub>5</sub>  
20 and LC<sub>50</sub> was 6.4 and 9.4 mg/L (a.e) respectively, while the surfactant component POEA, have a  
21 LC<sub>5</sub> and LC<sub>50</sub> of 2.2 and 2.7 mg/L respectively. Perkins *et al.* (2000) therefore concluded that the  
22 POEA surfactant is the main source of toxicity of glyphosate.

23 Dinehart *et al.* (2009) working on toxicity of several glyphosate based herbicides on juvenile  
24 amphibian of *Anaxyrus cognatus* and *Spea multiplicata* assessed the acute toxicity (48 hour) of  
25 "Roundup WeatherMax", "Roundup Weed" and "Grass Killer super concentrate", "Roundup

1 Weed” and “Grass killer Ready-to-use-plus”, on two species of amphibians. They reported that  
2 survival of juvenile *Anaxyrus cognatus* and *Spea multiplicata* was reduced by exposure to  
3 “Roundup Weed” and “Grass killer Ready-to-use-plus”. The survival of *A. cognatus* was also  
4 reduced by exposure to “Roundup Weed” and “Grass Killer super concentrate” on paper towels.

5

### 6 **1.9.1.3. Kilo Max**

7 According to “Material safety and data sheet” (MSDS), Kilo Max 700 is a glyphosate formulation  
8 containing sodium salt, with chemical formula of  $C_6H_{17}N_2O_5P$ . The 96 hour  $LC_{50}$  for trout, bluegill  
9 sunfish, and harlequin fish is 86 mg/L, 120 mg/L and 168 mg/L respectively. This formulation is  
10 one of the recent glyphosate formulations, hence no known studies exist in literatures.

11

### 12 **1.9.1.4 Enviro Glyphosate**

13 Enviro Glyphosate is a formulation of glyphosate that is non-volatile, and water soluble, with non-  
14 selective herbicidal activity against a wide range of annual and broadleaf weeds and grasses, in crop  
15 and non-crop situations. According to the herbicide MSDS, this formulation contain isopropyl-  
16 ammonium salt of glyphosate and polyethylene alkylamine as surfactant. Similar to Kilo Max, this  
17 formulation is also new and as such no known study has been published on it.

18

### 19 **1.9.1.5 Diquat (Midstream formulation)**

20 Diquat (9, 10-dihydro-8a, 10a-diazonia phenanthrene ion) is a post-emergent, non-selective contact  
21 herbicide and crop desiccant, which is also used in aquatic weeds control (Emmett, 2002; WHO,  
22 2004). Diquat initially received U.S Federal registration for control of submersed and floating  
23 aquatic weeds in 1962 and completed the Registration Eligibility Decision (RED) process in  
24 February 2000 (Emmett, 2002). Diquat is widely used in the United State of America, Europe,  
25 Australia and Japan. These countries account for 90% of the diquat used for herbicidal purposes

1 (Emmett, 2002; WHO, 2004). Diquat contains nonyl phenol ethoxylate as surfactant which has  
2 been implicated as having estrogenic activities (Trumbo, 2005; Othman, 2009). Diquat is generally  
3 sold as Diquat bromide and commonly formulated as an aqueous solution (270 g of diquat ion per  
4 litre) (Emmett, 2002).

5         Although acute toxicity data of diquat for amphibians are largely unavailable, the available  
6 chronic toxicity data showed that for leopard frog (*Lithobates pipiens*) and the African clawed toad  
7 (*X. laevis*) the Maximum Allowable Toxic Conc (MATC) for development is 1.7 and 0.64 mg/L  
8 c.e (Cation Equivalent) respectively, while the chronic LC<sub>50</sub> for leopard frog and *X. laevis* is < 5.4  
9 mg/L c.e and 0.75 mg/L respectively (Emmett, 2002). It has been reported that when diquat was  
10 applied at field concentration of 1.0 mg/L, a reduction in growth rate was observed in *Lithobates*  
11 *temporia frog* few days after application, but they recovered their body mass back within 18 days  
12 post treatment (Emmett, 2002). However, additional work with amphibians will be important as the  
13 *X. laevis* frog and the endangered *Lithobates pipiens* have been shown to be acutely sensitive to  
14 diquat based on their chronic MATC for these species.

15         Greenlee et al. (2004) in a study using concentration of 0.0022 mg/L (equal to the Reference  
16 Dose (RfD) of the herbicide), showed that incubating mouse embryo with 0.0022mg/L led to  
17 significant increase in programmed cell death (apoptosis). This study concluded that embryos  
18 cleaving to blastocyst yet undergoing cellular death at a higher rate could result in embryos  
19 consisting of fewer cells, and could result in embryonic demise, implantation failures or alterations  
20 in physiological processes underlying maternal recognition of pregnancy (Greenlee et al., 2004).

21

#### 22 **1.9.1.6 Imazapyr (Arsenal formulation)**

23 Imazapyr herbicide (2-(4-isoprpyl-4-methyl-5-oxo-2-imidazolin-2-yl) nicotini acid with molecular  
24 formula C<sub>13</sub>H<sub>15</sub>N<sub>3</sub>O<sub>3</sub> belong to the chemical family of imidazolinone (Liu, 1992). This synthetic  
25 chemical compound was discovered in the 1970s, with the first U.S.A patent awarded in 1980 for

1 imazametha-benz-methyl, while imazapyr received U.S patent in 1989 (Hess *et al.*, 2001). Imazapyr  
2 is mainly in anionic form under normal environmental conditions, and the behaviour of the acid  
3 and salt forms are expected to be similar (WSDA, 2009). Imazapyr is a broad-spectrum herbicide  
4 formulation that is effective for non-crop uses that include total vegetation control on industrial  
5 sites, railroad, highways and forestry application (Hess *et al.*, 2001). Imazapyr degrade through  
6 photolysis in water with reported half-lives ranging between 2.5 and 5.3 days (WSDA, 2009). Some  
7 of its formulations include Format, Arsenal and Chopper. The most widely used of these imazapyr  
8 formulations in WfW is Arsenal.

9         According to Grisolia *et al.* (2004), Arsenal 250 NA formulation of imazapyr consists of 25  
10 g/L imazapyr, 186 g/L of ammonium hydroxide, 18 g/L nonyphenol ethoxylate (with nine  
11 ethoxylated units) and water. For toxicity, 96 hour LC<sub>50</sub> for rainbow trout, bluegill, Sunfish,  
12 Channel Catfish are all > 100 mg/L (WSSA, 1994).

13

#### 14 **1.9.1.7 Glufosinate ammonium (Basta formulation)**

15 Basta consists of glufosinate ammonium (GA) (ammonium-DL- homoalanin-4-yl methyl)  
16 phosphate as active ingredient, and an anionic sodium polyoxyethylene alkyether sulphate (AES)  
17 as surfactant (Ebert *et al.*, 1990; Koyama *et al.*, 1997). The herbicidal action is related to the  
18 inhibition of glutamine synthetase (GS), an enzyme that plays an important role in ammonia  
19 detoxification and amino acid metabolism in plants (Ebert *et al.*, 1990). Hence the herbicidal  
20 toxicity is caused by cytotoxicity of increased ammonia concentration and the lack of amino donors  
21 for the transamination of glyoxylate to glycine (Hack *et al.*, 1994; Fabian *et al.*, 2011). The  
22 commercial formulations have been noted to be more toxic than the technical grade glufosinate, for  
23 example, for the aqueous formulation, the LC<sub>50</sub>s for the fish tested were between 12.3 and 79 mg/l  
24 and for the active ingredient they were between 320 and 1000 mg/l.47 (Wolterink *et al.*, 2012).

25

## 1 **1.10. South African Knowledge Gap**

2 For all these listed herbicide formulations, there have not been any known studies regarding effects  
3 on developmental, thyroidal, gonadal malformation as well as reproductive disruption that have  
4 been carried out in South Africa. Review of published literatures on pesticides and endocrine studies  
5 in South Africa showed only limited aspect of endocrine disruption. The focus areas include studies  
6 linking some pesticides and waste water to specific endocrine mode of actions (van Wyk *et al.*,  
7 2003; 2005; and 2013) and identification of endocrine activity in waste water (Swart and Pool,  
8 2007; Swart and Pool, 2009a). It also include possible exposure impact assessment of pesticides on  
9 human health (Dalvie *et al.*, 2004). Majority of other studies are in the areas of environmental and  
10 field assessment (London *et al.*, 2000; Dalvie *et al.*, 2003; Bollmohr *et al.*, 2008; Bollmohr and  
11 Schuls, 2009; Ansara-Ross *et al.*, 2012) and risk assessment of pesticides residues (Dalvie and  
12 London, 2009) as well as guidelines setting and prioritising of pesticides (Burger and Nel, 2008;  
13 Mensah *et al.*, 2013). All indications are that there is a serious knowledge gap regarding the possible  
14 exposure impacts of locally used herbicides in South Africa, particularly on the aquatic organisms  
15 like amphibians.

## 16 17 **1.11. *Xenopus laevis* as Sentinel species to study endocrine disruption potential of aquatic** 18 **herbicides.**

19 The African clawed frog, *X. laevis*, a local aquatic species, with a widespread distribution in  
20 Southern Africa (Du Preez and Caruthers, 2009), has been used and well validated as an animal  
21 model for environmental quality assessment (Mann and Bidwell, 2000; Paggeti *et al.*, 2006; Kloas  
22 *et al.*, 2009), toxicological testing (Bantle *et al.*, 1989; Yu *et al.*, 2013b) and endocrine disrupting  
23 research (Kloas and Lutz, 2006; Lutz *et al.*, 2008; Opitz and Kloas, 2010) and recently validated for  
24 thyroid disruption using the XEMA protocol (van Wyk, 2013). *Xenopus laevis* are well adapted and  
25 can breed in the laboratory throughout the year, which makes them easily adaptable for all year

1 research. *Xenopus laevis* allow relatively quick assessment while providing very sensitive endpoints  
2 (Paggeti *et al.*, 2006; Kloas *et al.*, 2009; Lavorato *et al.*, 2013). This fully aquatic amphibian species  
3 present a promising model to investigate toxicity of hormonally active chemicals for several  
4 reasons: gonadal differentiation is modulated by hormonally active substances, metamorphosis  
5 processes are under control of the thyroid hormonal system and susceptible to numerous  
6 environmental chemicals, and the organisation and component of hypothalamic-pituitary-thyroid  
7 (HPT), and hypothalamic-pituitary- gonad (HPG) are similar to that of higher vertebrates (Kloas *et al.*  
8 *et al.*, 1999; Hurter *et al.*, 2002a, b; Kloas and Lutz, 2006; OECD, 2006; Optiz *et al.*, 2008; Quassinti  
9 *et al.*, 2009; Berg *et al.*, 2009; Heindel *et al.*, 2013; Boggs *et al.*, 2013). *Xenopus* males can be used  
10 to test for estrogenicity as they have the potential to produce the yolk precursor, vitellogenin  
11 (estrogen controlled synthesis in the liver) when exposed to exogenous estrogenic substances  
12 (Kloas *et al.*, 1999; Kloas and Lutz, 2006; Kloas *et al.*, 2009). Their skin at all developmental stages  
13 are permeable to varieties of ions and cations (Vitt *et al.*, 1990). *Xenopus laevis* also have a well-  
14 defined developmental atlas for tadpoles' stage development (Kloas *et al.*, 1999; Kloas *et al.*, 2009).

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### 16 **1.12. The exposure rationales of selected protocols**

17 The value of *Xenopus laevis* has been realised internationally, and several validated toxicological  
18 assays have been developed as assessment tools to evaluate environmental/man-made chemicals  
19 for potential wildlife and human health effects.

20 The Frog Embryo Teratogenic Assay-*Xenopus* is a 4-day (96 hours) bioassay for assessment  
21 of developmental effects (Bernardini *et al.*, 1994; Mann and Bidwell, 2000, Mann *et al.* 2011). It  
22 essentially assesses the effect of environmental impacts during embryogenesis normally highly  
23 conserved across amphibians and mammals (NICEATM, 2000). Its endpoints include mortality,  
24 incidence of malformation and growth inhibition during the embryonic developmental phase in the  
25 life cycle of an organism.

1           The *Xenopus* Metamorphosis Assay (XEMA), essentially assesses the agonist and  
2 antagonist effects of chemicals on the thyroid axis as well as associated physiology, for example,  
3 iodine metabolism, during metamorphosis using *X. laevis* tadpoles (Optiz *et al.*, 2005). XEMA is a  
4 21 day bioassay that has several advantages over other animal or cell-based *in vivo* assays, as it  
5 displays a temporal coupling of TH exposure to subsequent observable and measurable  
6 morphological outcome (Helbing *et al.*, 2010). The XEMA assay endpoints include developmental  
7 stage, morphological effects, for example, hind limb length, front limb length snout-vent length,  
8 wet body mass as well as thyroid gland histopathology, which are all assessed following a 21-day  
9 exposure period relative to a control group (Degitz *et al.*, 2005; OECD, 2007; Miyata and Ose  
10 2012).

11           In the case of reproduction and sexual differentiation, the XEMA assay can be extended to  
12 completion of metamorphosis (a 28 day bioassay), and have been widely used in assessing several  
13 reproductive related endpoints, for example, gonadal development, sex ratio and gonadal  
14 histopathology (Kloas *et al.*, 1999; Hurter *et al.*, 2002 a, b; Kloas and Lutz, 2006; Urbatzka *et al.*  
15 2007; Kloas *et al.*, 2009).

16           For adult *Xenopus laevis* exposure, especially male frogs, they have been widely used to  
17 assess estrogenic (Chang and Witschi, 1955; Kloas *et al.*, 1999; Hurter *et al.*, 2002 (a & b); Kloas  
18 and Lutz, 2006; Kloas *et al.*, 2009), as well as anti-androgenic (Hurter *et al.*, 2002; van Wyk *et al.*,  
19 2003; Hayes *et al.*, 2002; Wise *et al.*, 2012) endocrine effects. Wild caught males have been used  
20 in 21-day semi-static exposures followed by assessment of functional endpoints, including VTG  
21 determination, as well as circulating hormone levels (testosterone, and thyroxine hormone) and  
22 histological endpoints (testicular, skin breeding glands, vocal cords muscles and thyroid histology)  
23 (Hurter *et al.*, 2002; van Wyk *et al.*, 2003; Hayes *et al.*, 2002; Wise *et al.*, 2012).

24

### 1 **1.13. General Aims of the Study**

2 The general aims of this study was to assess the toxicity and endocrine disrupting potential (aspects  
3 of the reproductive and thyroidal systems) of six herbicide formulations (known to be used in  
4 aquatic systems) including diquat dibromide (Midstream formulation), glufosinate ammonium  
5 (Basta), imazapyr (Arsenal), and glyphosate (Roundup, Kilo Max and Enviro Glyphosate  
6 formulations) through the developmental, hypothalamic-pituitary-thyroid (HPT), and  
7 hypothalamic-pituitary-gonad (HPG) pathways, using the aquatic amphibian the African clawed  
8 frog *X. laevis* as sentinel species

### 9 **1.14 Specific Objectives**

10 1. **Chapter One-** Introductory chapter giving the background to the study and reviewing  
11 aspects of the toxicology and current knowledge of the potential non-lethal effects,  
12 including endocrine disruption of herbicides on aquatic organisms. This chapter also  
13 introduced the sentinel amphibian species, the African Clawed frog *Xenopus laevis* and the  
14 *Xenopus*-based assays used in the present studies

15 **The following Chapters aimed-** To assessed the exposure impacts of the six selected  
16 herbicide formulations including Diquat dibromide (Midstream formulation), Glufosinate  
17 ammonium (Basta), Imazapyr (Arsenal), and Glyphosate (Roundup, Kilo Max and Enviro  
18 Glyphosate formulations at different levels of organization using *Xenopus laevis* as model  
19 system

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## Chapter Two

### Comparative toxicity and identification of the most sensitive developmental stage of *Xenopus laevis* to various herbicide formulations

#### 2.1 Introduction

Agro-pesticides including insecticides, fungicides, molluscides and herbicides have become integral part of agriculture, not only in the developed countries, but also increasingly in the developing countries (WHO, 1990; 2013). Because of their advantages in enhancing agricultural outputs, new formulations are continually developed (Farah *et al.*, 2004). The intensive use of the agricultural pesticides has led to their widespread presence in all compartment of the environment, which has exposed wildlife and human to these arrays of chemicals (Holmes *et al.*, 2010; Lavorati *et al.*, 2013). The ubiquity of these anthropogenic chemicals in nature presents a challenge to understanding how these chemicals impact ecological communities (Relyea, 2009; Lavorati *et al.*, 2013).

The widespread nature of these agrochemicals have been suggested as one of the several hypotheses put forward for the global declined of amphibian populations (Bishop *et al.*, 1999; Muths *et al.*, 2006; Brunelli *et al.*, 2009; Paskova *et al.*, 2011; Egea-Seranno *et al.*, 2012). Several surveys of natural populations have shown positive correlations between amphibian malformities (Brunelli *et al.*, 2009), population declines and proximity to agricultural lands and the presence of agrochemicals in water sources (Bishop *et al.*, 1999). This potential chemical contaminants link has been stimulating increased research focus to assess and understand the ecological impacts of agrochemicals on amphibians. Among the global pesticides, herbicides are the most commonly applied (Takahasi, 2007). Herbicides generally have shorter half-lives, but are found at much higher concentrations in the environment compared to the other pesticide groups (Orton *et al.*, 2009).

In spite of widespread concern about the role of pesticides in the global decline of amphibians populations, current pesticides risk assessment programmes do not specifically consider amphibians as test organism (Bruhl *et al.*, 2011). This is very surprising, given that amphibian will be exposed across both terrestrial and aquatic systems at different stages of their life cycle, which could produce different physiological challenges to their survival (Pickford and Morris, 2003). Since anthropogenic environmental contamination induced changes may be a powerful and ubiquitous evolutionary force (Conthran *et al.*, 2013), but the impacts for amphibians

1 may be largely different to that of other aquatic and terrestrial organisms. It is clear therefore that  
2 current pesticides risk assessment tools needs to be extended to include detailed amphibian studies,  
3 particularly given the current global status of amphibian population.

4 Agrochemicals inflow into aquatic environment often occurs intermittently rather than  
5 continuous flow (Dabrowski *et al.*, 2002; Reinert *et al.*, 2002), and high concentrations may be  
6 present immediately after reaching the nearest water body. The aquatic herbicides that are directly  
7 applied in water bodies (to control alien water plants) on the other hand, are usually present at high  
8 concentration during and after such applications. Aquatic organisms are therefore often get exposed  
9 to very high concentrations of these agrochemicals, before the effects of dilution, interaction and  
10 degradation set in (Reinert *et al.*, 2002). The possible effects of these occasional high concentration  
11 peaks on aquatic amphibians, particularly on different developmental stages are still largely  
12 unknown.

13 Variances in acute susceptibility to chemicals and pesticides have been observed among  
14 developmental stage of amphibians (Pauli *et al.*, 1999; Grelich and Pflugmacher, 2003; Ortiz-  
15 Santaliestra *et al.*, 2006). For example, Edginton *et al.* (2004) in a study on the comparative effects  
16 of an herbicide formulation and pH on two life stages of four amphibian species showed that the  
17 larvae of *Anaxyrus americanus* and *Lithobates clamitans* were 1.5 to 3.8 times more sensitive than  
18 their corresponding embryo. They also pointed out that *Xenopus laevis* and *Lithobates pipiens*  
19 larvae were 6.8 to 8.9 times more sensitive than their embryos. In another study, Siddiqua *et al.*  
20 (2013), using atrazine herbicide on various developmental stages including hatching,  
21 premetamorphosis and prometamorphosis larvae of *Rhinella marina* and *Limnodynastes peroni*  
22 showed that late larva stages were more sensitive than early stages, and that different  
23 premetarmorphic stages showed variation in sensitivity in both test species.

24 Duttan and Mohanty-Hejmadi (1978), in a study on susceptibility of the Indian bull frogs  
25 *Lithobates tigrina* pointed out that when the eggs, cleaving embryos and pre-limb larvae were  
26 treated with 0.001 to 0.009% of Rogor formulation (dimethoate Insecticides), developmental arrest  
27 in eggs and embryos occurred at 0.008 % within 24 hours of treatment, while developmental arrest  
28 took place within 4 ½ hours of exposure at 0.005% treatment in pre-limb larvae. The authors  
29 showed that pre-limb stages were more susceptible to the Rogor formulation than the eggs and  
30 cleaving embryos. Anguiano *et al.* (1994), in a study on comparative toxicity of parathion in  
31 embryos and larvae of *Anaxylus arenarum*, pointed out that young embryos were 4.4 times more  
32 tolerant to the acute lethal toxicity of the chemical than their larvae. This varied response according

1 to them, could be attributed to kinetics of cholinesterase inhibition, as the activity of larvae  
2 cholinesterase declined faster than the embryonic enzymes after parathion exposure.

3 Greulich and Pflugmacher (2003), in a study on differential susceptibility of life stages of  
4 amphibians to pesticide exposure, pointed out that the eggs were significantly harmed at different  
5 concentrations, while the embryos hatched with apparent abnormalities. They also observed that  
6 the individuals exposed at early life stages metamorphosed earlier than corresponding control  
7 tadpoles/larvae, with a significant reduction in length and increase in weight, as a physiological  
8 resistance to the adverse impacts of the chemicals. They suggested that these impacts could  
9 negatively affect the viability of the larvae in the long term.

10 Although the hypothesis that the larval stages of amphibians being more sensitive than other  
11 developmental stages is now getting established (Anguiano *et al.*, 1994; Berrill *et al.*, 1998; Pauli  
12 *et al.*, 1999; Greulich and Pflugmacher 2003; Edginton *et al.*, 2004; Ortiz-Santaliestra *et al.*, 2006),  
13 variation in sensitivity among stages and species are still high. The use of single developmental  
14 stage as opposed to a range of stages needs more study and well establish laboratory model *Xenopus*  
15 *laevis* is well suited to clear up the current doubt on the stage sensitivity within a developmental  
16 series.

17 Even though many studies have showed differential larva stages sensitivity of amphibian  
18 larvae to environmental chemicals, the wide range of stages spinning between four days to 80 days,  
19 and up to 2 years in Bullfrog species; remains a daunting task to determine the most vulnerable  
20 stage for a particular species. Therefore selection of specific developmental stage representing  
21 different phases within the metamorphosis programme (for example corresponding to the activity  
22 of the thyroid gland controlling metamorphosis) will be important. This will help in reducing the  
23 need for assessment of toxicity across the developmental stages of amphibian life cycle.

24 The African clawed frog (*X. laevis*) is an amphibian species with a fully aquatic life cycle  
25 and is recognized as an international model species in environmental quality assessment studies  
26 (from both ecotoxicology and endocrine disruption perspectives) (Vitt *et al.*, 1990; Mann and  
27 Bidwell, 2000; Paggeti *et al.*, 2006; Kloas *et al.*, 2009). These frogs are known to survive in poor  
28 water quality environments, are prolific breeders in captivity and provide severally validated  
29 endpoints (Kloas *et al.*, 2009). Their integument at all developmental stages is permeable to many  
30 electrolytes, and their eggs are covered only by a layer of gelatinous material and are always directly  
31 exposed to the environment (Vitt *et al.*, 1990).

1 In the present study, 96-hour acute toxicity at three *Xenopus laevis* developmental tadpoles  
2 stages (Nieuwkoop and Faber, 1994) (NF-stage 8-11 (embryo, premetamorphic phase) NF-stage 48  
3 (larval, prometamorphic phase) and NF-stage 60 Larval, metamorphic phase). This was assessed  
4 against three commercial formulations of glyphosate herbicides (Roundup, Kilo Max and Enviro  
5 glyphosate) as well as a commercial formulation each of the Arsenal (imazapyr), Basta (glufosinate  
6 ammonium) and Midstream (diquat dibromide) in order to compare the differential toxicity to the  
7 selected developmental stages. And to compare the effects of change in surfactants from POEA in  
8 Roundup formulation to ammonium sulphate and polyrthylene alkylamine in Kilo Max and Environ  
9 glyphosate formulations of Glyphosate. The selected herbicides are those included in the Working  
10 for Water (WfW) programme of Department of Environmental Affairs in South Africa to eradicate  
11 alien plants from river catchments (Bold, 2007). NF-Stage 8-11 is the cleaving stage, which is the  
12 mid-blastula to early gastrula embryo. NF-stage 48 correspond to the developmental stage where  
13 the yolk mass was completely absent from the tadpole's alimentary canal, and the point where first  
14 taste bud appear in the roof of oro-pharyngeal cavity with the commencement of full feeding. NF-  
15 Stage 60 tadpoles represent the transition phase where the greater strips of larval skin get  
16 remodelled to adult skin, and the thyroid activity is at the peak (Shi, 2000).

## 17 **2.2 Materials and Methods**

### 18 **Test herbicides**

19 Herbicide formulations: Roundup (360 g a.e/L, Monsanto, Ltd., South Africa), Enviro Glyphosate  
20 (360 g a.e /L, Enviro Industries Ltd., South Africa), Kilo Max (700 g /kg a.e glyphosate, Volcano  
21 Agrosience Ltd., South Africa), Midstream (373 g/L, diquat dibromide, Syngenta Ltd., South  
22 Africa), Basta (200 g/L, glufosinate ammonium, Bayer Crop Science AG Ltd, Germany) and  
23 Arsenal (250 g/L, imazapyr, BASF Chemical Ltd., South Africa) were either locally sourced or  
24 supplied by Working for Water Organization.

#### 25 **2.2.1 *Xenopus laevis* care and breeding of tadpoles.**

26 Prior to breeding, sexually mature *X. laevis* males and females were maintained separately in 5 L  
27 glass tanks containing carbon filtered water, and were fed three times per week with fish pellets  
28 (Aqua-Nutro, RSA). Breeding induction was performed according to ASTM, (1998) protocol.  
29 Males were primed with 100 IU human chorionic gonadotropin (hCG) injection(Merck Ltd,  
30 Germany), injected into their dorsal lymph sac, four days prior to the commencement of mating  
31 and again just prior to the mating, by another 100IU and 200 IU hCG to males and females

1 respectively (usually in late afternoon). Male and female pairs were placed together in a 15 L  
2 exposure tanks lined with plastic netting (to separate the eggs from the adults), and positioned in a  
3 well-ventilated dark place. Amplexus occurred within four hours and eggs were deposited within  
4 12 hours after injection. All the eggs and tadpoles staging were performed using a normal  
5 developmental atlas by Nieuwkoop and Faber (1994) (referred to as NF-stages).

6 For the exposure studies, NF-stage 8-11, freshly fertilised eggs were harvested immediately after  
7 laying, and de-jellied following the OECD, (2008) guidelines and subjected for exposure. NF-stage  
8 48 (premetamorphic phase) and NF-stage 60 tadpoles were obtained by maintaining developing  
9 larvae tanks at density of 40/10L of reversed osmosis oral water (supplement with NaCl), where  
10 they were fed with sera micron (Heimberg, Germany) until reaching the appropriate stages were  
11 reached and were then transferred to the exposure tanks. All breeding and general maintenance  
12 procedures were approved by the Animal Ethics Committee of the Stellenbosch University  
13 (Approval no- SU-ACUM 12-00013).

#### 14 **2.2.2 Exposure set-up: chemical concentrations**

15 For the selected herbicides, range-finding pre-exposures were carried out to determine the possible  
16 lethal concentration range (data not presented here), since there is no information from the literature  
17 available on most of these formulations, except for Roundup. Five concentrations were then  
18 established based on the results of the range-finding exposure trials.

19 In other to confirm the experimental concentrations, one sample was randomly collected per  
20 concentration from the exposures, because of the large exposure concentrations that resulted from  
21 several replicates. Unfortunately, only one laboratory could handle glyphosate analysis and none  
22 of the laboratories could handle diquat, imazapyr and glufosinate at the time of exposure. The  
23 glyphosate sampled concentrations were analysed at Synexa Analytical laboratory in Cape Town,  
24 South Africa. The laboratory used liquid chromatography tandem mass spectrometry (LC/MSMS)  
25 method which has a high validity, throughput and sensitivity. The analytical results showed very  
26 low variations compared to the predicted nominal concentrations (Table 2.1).

27

28

29

30

1 **Table 2.1-** The nominal herbicide concentrations, selected after the range finding exposures and  
 2 observed concentrations as determined using LC/MSMS (Synexa laboratory in Cape Town).

3

Formulation	Exposed Conc. (mg/L a.e.)	Observed Conc. (mg/L a.e.)	4 5
Roundup	1.0	1.2	
	1.4	1.4	6
	1.8	1.5	7
	2.2	2.1	
Kilo Max	320	355	8
	380	387	9
	440	448	
	500	480	10
Enviro Glyphosate	520	541	11
	580	599	
	640	604	12
	700	629	13

14

### 15 **2.3. Exposure procedure**

16 Following the ASTM (1997) acute toxicity protocol guidelines, twenty tadpoles were introduced  
 17 into the exposure glass. Chemicals in the exposure glass were replicated twice at each  
 18 concentration. The exposures were performed in a controlled climate room following the setting  
 19 recommended by OECD (2008) guidelines. In brief, these include; water temperature of  $22 \pm 1$  °C,  
 20 pH of 6.5 – 7.2, dissolve oxygen of > 3.5 mg/L and 12 hours light and 12 hours dark photoperiod  
 21 (L<sub>12</sub>D<sub>12</sub>). The exposure medium was completely replaced every 24 hour. The exposed larvae were  
 22 not feed throughout the 96 hours duration of the exposure.

#### 23 **2.3.1. Mortality/Survival as Endpoint**

24 Mortality/survival records were obtained every six hours. At 96-hour, the assay was terminated and  
 25 the final dataset used to determine the 96 hour lethal concentrations at three different levels (LC<sub>5</sub>,  
 26 LC<sub>50</sub> and LC<sub>95</sub>) for each of the test substances, using US EPA (1996) Probit Software. The

1 remaining surviving tadpoles were euthanized using buffered MS 222 (Tricaine methane sulfonate)  
 2 (200 mg/L and buffered with sodium bicarbonate at 0.42 - 1.05 g / liter) (OECD, 2007). All the  
 3 tadpoles collected were fixed and preserved in buffered formalin (4% formaldehyde) (Bancroft and  
 4 Stevens, 1977) and preserved for future reference. The experimental protocol was approved by the  
 5 Animal Ethics COmmittee of the Stellenbosch University (Approval no- SU-ACUM 12-00014).

6

## 7 **2.4. Results**

### 8 **2.4.1 Glyphosate herbicides**

#### 9 **2.4.1.1 Roundup formulation**

10 For Roundup, at NF-stage 8 - 11, six exposure concentrations between 0.3 - 1.3 mg/L at 96 hour  
 11 produced 5 – 70 % mortality range (Table 2.2), resulting in a 1.05 mg/L LC<sub>50</sub> (Table 2.3, Fig 2.1).  
 12 At NF-stage 48, six exposure concentrations ranging between 0.8 - 1.2 mg / L for 96 hours  
 13 produced 20 - 100 % mortality (Table 2.2), resulting in a 0.89 mg/L LC<sub>50</sub> (Table 2.3, Fig 2.1). At  
 14 NF-stage 60, five exposure concentrations ranging between 1 - 6 mg/L for 96 hours produced 5 –  
 15 100 % mortality (Table 2.2), resulting in a 2.75 mg/L LC<sub>50</sub> (Table 2.3, Fig 2.1).

16

17 **Table 2.2:** Percentage (%) mortality in three different developmental stages (NF 8-11, NF 48 and  
 18 NF 60) of *X. laevis* embryos and tadpoles following a 96-hour exposure to a range of concentrations  
 19 of six herbicide formulations

Herbicides	NF 8-11 Conc.	96 hour % Mortality	NF 48 Conc.	96 hour %Mortality	NF 60 Conc	96 hour %Mortality
Roundup	0.3	5	0.8	20	1	5
	0.5	5	0.85	40	3	45
	0.7	20	0.9	50	4	70
	0.9	35	0.95	80	5	100
	1.1	55	1	90	6	100
	1.3	70				
Kilo Max	130	0	20	20	400	20
	160	5	40	30	450	40

	190	25	60	40	500	70
	220	70	80	60	550	100
	250	85	100	60	600	100
	280	100	120	100		
Enviro glyphosate	360	20	100	0	4800	25
	400	30	120	30	5000	30
	440	30	140	70	5200	40
	480	40	160	70	5400	60
	520	60	180	100	5600	65
	540	90				
Midstream	0.5	40	0.09	20	6	0
	1	50	0.1	20	8	10
	1.5	60	0.2	30	12	45
	2	80	0.3	70	16	90
	2.5	80	0.4	90	20	100
	3	90				
Basta	1.2	10	0.1	20	15	0
	1.4	20	0.5	30	20	35
	1.6	25	1	60	25	45
	2	40	1.5	65	30	65
	2.5	55	2	80	35	95
	3	75	2.5	100		
Arsenal	20	5	30	20	150	0
	25	15	33	40	160	30
	30	20	36	90	170	35
	35	35	39	100	180	65
	40	60	42	100	190	85
	45	90				

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3

1 **Table 2.3:** *X. laevis* tadpoles NF-Stages, exposure concentrations and calculated 96-hour LC<sub>5</sub>,  
 2 LC<sub>50</sub> and LC<sub>95</sub> for the selected herbicide formulations. (LC<sub>50</sub> values in bold, upper and lower  
 3 range in brackets).

Herbicide	NF Life Stage	Exposure Conc. (mg/L)	96hr	96hr	96hr
			LC <sub>5</sub> (95% CI) (mg/L)	LC <sub>50</sub> (95% CI) (mg/L)	LC <sub>95</sub> (95% CI) (mg/L)
Roundup	8-11	0.3, 0.5, 0.7,	<b>0.4</b>	<b>1.05</b>	<b>2.67</b>
		0.9, 1.1, 1.3	(0.24-0.53)	(0.91-1.32)	(1.88-5.99)
	48	0.8, 0.85, 0.9,	<b>0.74</b>	<b>0.89</b>	<b>1.04</b>
		0.95, 1.0, 1.2	(0.66-0.78)	(0.85-0.91)	(0.99-1.15)
60	1, 3, 4, 5, 6	<b>1.3</b>	<b>2.75</b>	<b>5.77</b>	
			(0.65-1.8)	(2.1-3.2)	(4.8-8.2)
Kilo Max	8-11	130, 160, 190,	<b>162.8</b>	<b>207</b>	<b>264</b>
		220, 250, 280	(143-175)	(197-218)	(247-296)
	48	20, 40, 60	<b>12.9</b>	<b>58.1</b>	<b>261.7</b>
		80, 100, 120	(4.3-21.1)	(44.8-73.0)	(166.6-714)
	60	400, 450, 500	<b>375</b>	<b>455</b>	<b>552</b>
550, 600		(332-400)	(435-473)	(523-607)	
Enviro glyphosate	8-11	320,360, 400,	<b>277</b>	<b>466</b>	<b>784</b>
		440, 480, 520,	(196-322)	(434-512)	(655-1198)
		540			
	48	100, 120, 140,	<b>102</b>	<b>134.6</b>	<b>177</b>
		160, 180	(88-111)	(127-142)	(164-202)
60	4800, 5000,	<b>4379</b>	<b>5257</b>	<b>6311</b>	
	5200	(3557-4672)	(5098-5466)	(5875-7952)	
	5400, 5600				
Midstream	8-11	0.5, 1, 1.5,	<b>0.11</b>	<b>0.83</b>	<b>6.34</b>
		2, 2.5, 3	(0.008-0.264)	(0.431-1.14)	(3.62-30.0)
	48	0.09, 0.1, 0.2,	<b>0.06</b>	<b>0.2</b>	<b>0.72</b>
		0.3 & 0.4	(0.03-0.08)	(0.16-0.26)	(0.48-1.62)
60	6, 8, 12, 16,	<b>7.8</b>	<b>11.8</b>	<b>17.7</b>	
	20	(6.1-9.0)	(10.6-13)	(15.7-21.8)	
Basta	8-11	1.0, 1.2, 1.4,	<b>0.918</b>	<b>2.24</b>	<b>5.46</b>
		1.6, 2, 2.5, 3	(0.6-1.14)	(1.97-2.71)	(4-10.5)

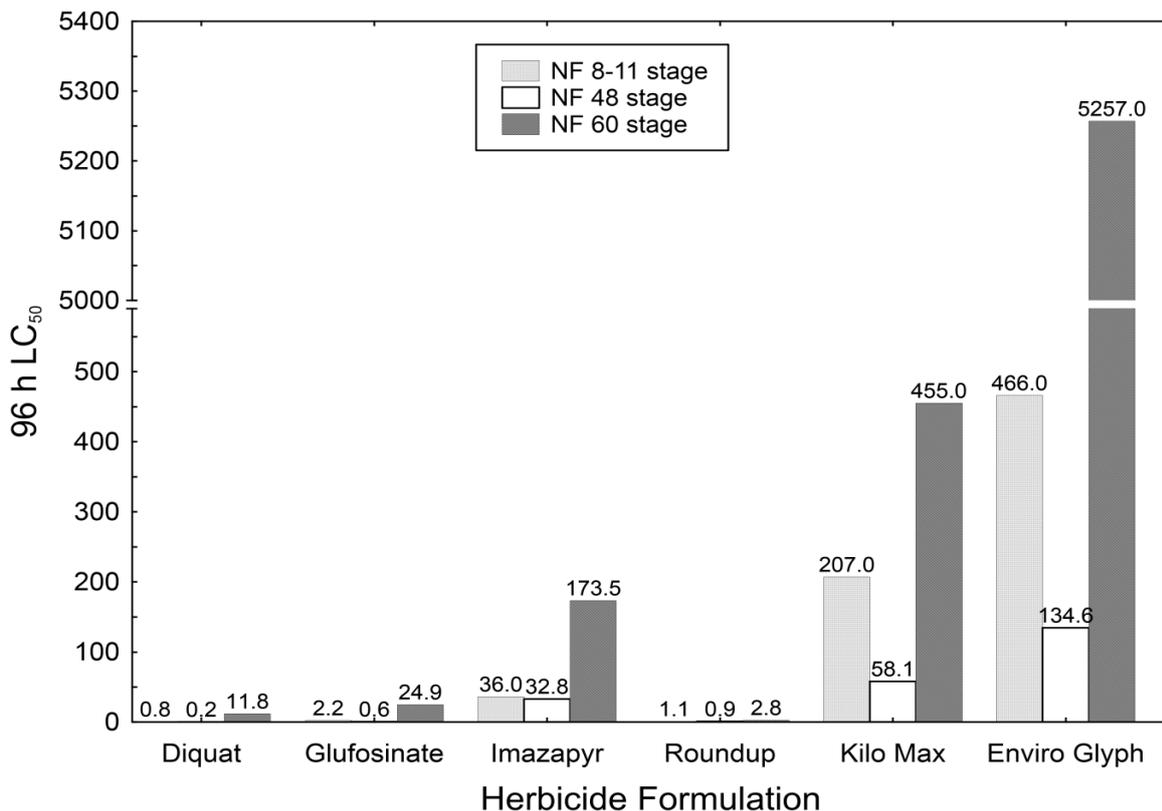
Arsenal	48	0.1, 0.5, 1.0, 1.5, 2 & 2.5	<b>0.053</b> (0.009-0.12)	<b>0.59</b> (0.36-0.85)	<b>6.57</b> (3.5-23.6)
	60	15, 20, 25, 30, 35	<b>15.7</b> (12.05-18.03)	<b>24.9</b> (22.7-27.1)	<b>39.4</b> (34.4-50.7)
	8-11	20, 25, 30, 35, 40, 45	<b>22</b> (17.2-25.2)	<b>36</b> (33.3-39.5)	<b>58.5</b> (50-79)
	48	30, 33, 36, 39, 42	<b>28.7</b> (26.3-30.1)	<b>32.8</b> (31.7-33.8)	<b>37.4</b> (36-40)
	60	150, 160, 170, 180, 190	<b>150</b> (138.5-156.4)	<b>173.5</b> (169-178.5)	<b>200.7</b> (191.6-219.5)

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1

2 **2.4.1.2 Kilo Max formulation**

3 For Kilo Max formulation, at NF-stage 8 - 11, six exposure concentrations ranging between 130 -  
4 280 mg/L for 96 hours produced 0 - 100 % mortality (Table 2.2), resulting in a 207 mg/L LC<sub>50</sub>  
5 (Table 2.3, Fig 2.1). At NF-stage 48, six exposure concentrations ranging between 20 – 120 mg/L  
6 for 96 hours produced 20 – 100 % mortality (Table 2.2) that resulted in a 58.1 mg/L LC<sub>50</sub> (Table  
7 2.3, Fig 2.1). In addition, at NF-stage 60, six exposure concentrations ranging between 400 - 600  
8 mg/L for 96-hours produced 20 - 100 % mortality (Table 2.2), resulting in a 455 mg/L LC<sub>50</sub> (Table  
9 2.3, Fig 2.1).



1  
2 Figure 2.1: The 96-hours LC<sub>50</sub> indexes determined for three different *X. laevis* developmental  
3 stages exposed to six herbicides formulations. The 96-hours LC<sub>50</sub> comparison suggests  
4 marked variation among exposed chemicals as well as among developmental stage in the *X.*  
5 *laevis* developmental life cycle.

#### 6 2.4.1.3 Enviro glyphosate formulation

7 At NF-stage 8 - 11, seven exposure concentrations ranging from 320 – 540 mg/L for 96-hours  
8 produced 20 – 90 % mortality (Table 2.2), resulting in a 466 mg/L LC<sub>50</sub> (Table 2.3, Fig 2.1). At  
9 NF-stage 48, five exposure concentrations ranging from 100 - 180 mg/L for 96-hours produced 0 –  
10 100 % mortality (Table 2.2), resulting in a 134.6 mg / L LC<sub>50</sub> (Table 2.3, Fig 2.1). Also at NF-stage  
11 60, five exposure concentrations ranging from 4800 – 5600 mg/L for 96-hours produced 25 – 65 %  
12 mortality (Table 2.2), resulting in a 5257 mg / L LC<sub>50</sub> (Table 2.3, Fig 2.1).

#### 13 2.4.1.4 Midstream formulation (diquat dibromide)

14 For the Midstream formulation, at NF-stage 8 - 11, six exposure concentrations ranging from 0.5 -  
15 3 mg/L for 96-hours produced 40 -90 % mortality (Table 2.2), resulting in a 0.833 mg/L LC<sub>50</sub> (Table  
16 2.3, Fig 2.1). At NF-stage 48, five exposure concentrations ranging from 0.09 - 0.4 mg/L for 96-  
17 hours produced 20 - 90 % mortality (Table 2.2), resulting in a 0.2 mg / L LC<sub>50</sub> (Table 2.3, Fig 2.1).

1 At NF-stage 60, the five exposure concentrations between 6 - 20 mg / L for 96-hours produced 0 -  
2 100 % mortality (Table 2.2), resulting in 11.8 mg/L LC<sub>50</sub> (Table 2.3, Fig 2.1).

#### 3 **2.4.1.5 Basta formulation (glufosinate ammonium)**

4 For this formulation, at NF-stage 8-11, seven exposure concentrations ranging from 1 - 3 mg/L for  
5 96-hours produced 10 – 75 % mortality (Table 2.2), resulting in a 2.24 mg/L LC<sub>50</sub> (Table 2.3, Fig  
6 2.1). At NF-stage 48, five exposure concentrations between 0.1 - 2.5 mg/L at 96-hours produced  
7 20 – 100 % mortality (Table 2.2), resulting in a 0.589 mg/L LC<sub>50</sub> (Table 2.3, Fig 2.1). Also, at NF-  
8 stage 60, five exposure concentrations range of 15 - 35 mg/L for 96-hours produced 0 – 95 %  
9 mortality (Table 2.2), resulting in a 24.9 mg/L LC<sub>50</sub> (Table 2.3, Fig 2.1).

#### 10 **2.4.1.6 Arsenal formulation (imazapyr)**

11 At NF-stage 8 - 11, a six point concentrations range of 20 - 45 mg/L for 96-hours produced 5 – 90  
12 % mortality range (Table 2.2), resulting in a 36 mg/L LC<sub>50</sub> (Table 2.3, Fig 2.1). At NF-stage 48,  
13 five exposure concentrations range of 30 - 42 mg/L for 96-hours produced 20 – 100 % mortality  
14 range (Table 2.2), resulted to 32.8 mg/L LC<sub>50</sub> (Table 2.3, Fig 2.1). At NF stage 60, five exposure  
15 concentrations range between 150 – 190 mg/L at 96-hours produced 0 – 85% mortality range (Table  
16 2.2), resulted to 173.5 mg/L LC<sub>50</sub> (Table 2.3, Fig 2.1).

### 17 **2.5 Discussion**

18 Pesticides have been suggested to be one of the contributing factors leading to the declines in  
19 amphibian populations around the world (Perkins *et al.*, 2000; Gungordu, 2013). Glyphosate  
20 herbicide are used widely in agriculture but also used in South Africa to eradicate aliens from  
21 valuable river catchments (WfW programme) and may pose direct and indirect hazards to non-  
22 target organisms including aquatic stages in the amphibian life cycle. *Xenopus laevis* occurs  
23 naturally in water bodies throughout South Africa but is also globally recognized as a laboratory  
24 model organism and used for Frog Embryo Teratogenesis Assay- *Xenopus* (FETAX) as well as  
25 general toxicity testing for environmental assessment (Paggeti *et al.*, 2006; Kloas *et al.*, 2009). It  
26 has recently been recognized that different developmental stages in the life cycle of an aquatic  
27 species like *X. laevis* may show differential sensitivity to environmental chemicals (Wagner *et al.*,  
28 2013; Saddiqua *et al.*, 2013). Since little is known about the effects of new glyphosate formulations  
29 (relative to old Roundup) as well as imazapyr, glufosinate ammonium as well as diquat dibromide  
30 herbicides on the survival of premetamorphic amphibian stages, in this study tadpoles from three  
31 different developmental stages were exposed to commonly used formulations of these herbicides.

1 These herbicides were selected since they are globally used in and around the natural habitat of *X.*  
2 *laevis*. In the present study it was confirmed that both developmental stages and the herbicides  
3 formulation were factors affecting toxic sensitivity in *X. laevis*.

4 Data from this study showed that there is a stage-dependent differential toxicity response,  
5 which cut across all the six herbicide formulations including the three glyphosates (Roundup, Kilo  
6 Max and Enviro glyphosate), Midstream, Basta and Arsenal formulations. In each of the  
7 formulations, a U-shape response pattern of 96-hours LC<sub>50</sub> Index among NF-stage 8-11, NF-stage  
8 48 and NF-stage 60 showed that NF-stage 48 were the most vulnerable stage among the stages  
9 evaluated within the life cycle of the *X. laevis*.

10 This result was consistent with the findings of several studies suggesting that young tadpole  
11 stages are more sensitive to environmental chemicals than their corresponding embryos. For  
12 example, Dutta and Mohanty-Hejmadi, (1978), showed that the pre-limb tadpole stages of  
13 *Lithobates tigrina* were more susceptible to Rogor (Dimethoate insecticide) formulation than their  
14 eggs and cleaving embryos. The findings in this study are also consistent with the results of  
15 Herkovit and Perez-Coll (1993), who noted that early larva stages (Gosner 16) of *A. arenarium* were  
16 more sensitive to cadmium than those of the embryos (Gosner 3 and 12). The results are also  
17 consistent with the findings of Anguiano *et al.* (1994), who reported that early embryos were 4.4  
18 times more tolerant to the acute lethal effects of parathion than their corresponding larvae. Similar  
19 results was reported by Berryll *et al.* (1998), who noted that newly hatched tadpoles have a lower  
20 mortality rate (40%) compared to two weeks old tadpoles (50%) for three species of amphibians  
21 including *Lithobates sylvatica*, *L. clamitians* and *A. arenarium* when expose to endosulfan for 96  
22 hours. In another study, Bridges *et al.* (2000) also noted that they are quite resistant to acute  
23 exposure when compared to tadpoles. Edington *et al.* (2004) similarly reported that larvae of several  
24 amphibian species including *A. americanus*, *L. clamitians*, *X. laevis* and *L. pipiens* were more  
25 sensitive than their corresponding embryos exposed to Vision (glyphosate) herbicide formulation  
26 under different pH conditions. Ortiz-Santaliestra *et al.* (2006) also noted that after four days of  
27 exposure to 225.8 mg of ammonium nitrate, Gosner stage 19 of *P. cultripes* were more sensitive  
28 than those of Gosner 13 with 100% and 7.3% mortality respectively. They also noted that late  
29 developmental stage Gosner 21 of *B. calamita* were more sensitive than those early Gosner stage  
30 13 and 19. Similarly, Aronzon *et al.* (2009) in a study also noted that when six different  
31 developmental stages of *B. arenarium* (from embryos to young metamorphs) were exposed to 2, 4-  
32 D active ingredients as well as commercial formulations, the Gosner larva stage-21, which they  
33 called open mouth stage was the most sensitive than their corresponding embryos. However, the

1 results from this study and many other mentioned above are in contrast to the findings of Ezenmoye  
2 and Tongo (2009) who noted that using graded concentration of atrazine on tadpoles of  
3 *Ptyuchadena bibroni* between one to four weeks post hatch developmental stages for 96-hour  
4 experiment, percentage mortality decreased with increasing maturity. Similarly, Sparling *et al.*  
5 (2001), noted that differences in an organism biological adjustment and behavioural responses to  
6 changes in water chemistry and osmotic condition depends on developmental stages.

7         Several reasons can be responsible for the high sensitivity of this early larva stage relative  
8 to other developmental stages; the most important being the complete change in feeding behaviour  
9 with the disappearance of embryonic yolk, and the development of taste bud (Nieuwkoop and  
10 Faber, 1994). Fresh food intake ability could therefore expose this stage to high levels of  
11 environmental toxins, and could be an important additional route of entry for toxic substances  
12 relative to the embryos. In addition, metabolic detoxifying mechanisms already developed in the  
13 older larva stage relative to the younger larva stage may explain the variation in sensitivity that was  
14 observed (Bucciarrelli *et al.*, 1999). Another important reason according to Gungordu (2013) could  
15 be the differential binding rate of some chemical detoxifying enzymes, including glutathione-s-  
16 transterace (GST), oxidative stress enzymes (glutathione reductase) and metabolic enzymes  
17 (acetylcholinesterase (AST). According to Gungordu (2013), the GST enzymes played an important  
18 role in cellular detoxification of various xenobiotic chemicals and that differential expression of  
19 these enzymes alongside AST enzymes at different developmental stages could be responsible for  
20 the susceptibility of the early larva stages.

21         That this observed susceptible early larva stage cut across many environmental chemicals  
22 and heavy metals including pesticides (glyphosate, endosulfan and parathion) (Anguiano *et al.*,  
23 1994; Berryll *et al.*, 1998; Edginton *et al.*, 2004) and heavy metals (Lead and Cadmium) (Perez-  
24 Coll and Herkovit, 1990; 1993) as well as other environmental chemicals like ammonium nitrate  
25 means that the susceptible early larva stage might be a weak link across all chemical groups. It has  
26 also been shown to be across many amphibian species including *B. arenarium*, and *B. calamita*  
27 (Perez-Coll & Henkovit, 1990; Anguaino *et al.*, 1994; Berryll *et al.*, 1998; Oritz-Santaliestra *et al.*,  
28 2008), *L. pipiens*, *L. sylvatica*, *L. tigrina* and *L. marina* (Duttan and Mohenty, 1978; Berryll *et al.*,  
29 1998; Edginton *et al.*, 2004; Siddiqua *et al.*, 2013), *X. laevis* (Edginton *et al.*, 2004) as well as  
30 *Lmonnyndynate peroni* (Siddiqua *et al.*, 2013). This means that the susceptible early larva stage  
31 phenomenon might be common among the amphibian groups.

1           Importantly, for some of the formulations including Roundup, Midstream and Basta, the 96-  
2 hour LC<sub>50</sub>s at NF-stage 48 were below their respective expected environmental concentration  
3 (EEC) at the approved application rate. For example, in the case of Roundup, the 96-hour LC<sub>50</sub> of  
4 0.89 mg/L at NF-stage 48 was less than the EEC of 2.85 mg a.e/L (Peterson *et al.*, 1994) and even  
5 lower than the highest environmental reported concentration of 1.7 mg a.e /L (Homer, 1990).  
6 Roundup is therefore a highly toxic and noteworthy ecotoxicological risk for amphibians, and  
7 requires serious attention. For the Midstream formulation, the 96-hours LC<sub>50</sub> of 0.2 mg/L at NF-  
8 stage 48 is also relatively low compared to the EEC of 0.733 mg/L of the Midstream formulation  
9 (Peterson *et al.*, 1994). The implication being that at the EEC of 0.733 mg/L, more than 85 % of  
10 the NF-stage 48 will be eliminated. This will negatively impact the local population of the exposed  
11 amphibian and could lead to serious population decline in long term. It may even be worst for the  
12 exposed population considering the half-life of this formulation in the environment, given that a  
13 concentration of 1.7 mg/L have been detected in water sediment four years post application of this  
14 formulation, and at the low rate of 0.35 kg/ha (William *et al.*, 1996). Amphibians are known to be  
15 bottom dwellers, particularly when escaping from predators. In the case of Basta formulation, the  
16 96-hours LC<sub>50</sub> of 0.59 mg/L at NF-stage 48 is also relatively low compared to the EEC of 1.0 mg/L  
17 of the glufosinate ammonium formulation (Dinehart *et al.*, 2010). The implication being that at the  
18 EEC of 1.0 mg/L, more than 65 % of the NF-stage 48 will be eliminated, and which will have severe  
19 consequences on the local population dynamics of the organism.

20           Evidence from this study showed that the toxicity of some of the herbicide formulations  
21 might be underestimated for *X. laevis*. For example, the 96-hour LC<sub>50</sub> of 1.05 mg a.e /L at NF-stage  
22 8-11 was lower than the result of Perkins *et al.* (2000), who reported a 96-hour LC<sub>50</sub> of 9.4 mg a.e  
23 /L. The 9.4 mg a.e/L from Perkins *et al.* (2000), seems very strange and isolated, since several other  
24 studies have shown Roundup toxicity against many other amphibian tadpoles to be very high. Mann  
25 and Bidwell (1999) observed a LC<sub>50</sub> 3.6 mg a.e /L for *Crinia insignifera* and *Litoria moorei* as 2.9  
26 mg a.e/L after only 48 hour exposure. The fact that the Mann and Bidwell (1999)'s LC<sub>50</sub> values  
27 are at 48-hour exposure means that the toxicity will be much higher at 96-hour. Moreover,  
28 Gungordu, (2013) recently reported 96-hour LC<sub>50</sub> of 3.8 mg a.e /L for *X. laevis* at NF-stage 46,  
29 which showed that the Roundup formulation on amphibian larvae is moderately toxic. The result  
30 of Relyea *et al.*, (2009), where 13 species including (including *L. sylvatica*, *L. pipiens*, *L. cascadae*,  
31 *L. clamitans*, *L. catesbeiana*, *A. americanas*, *B. boreas*, *Hyla versicolor*, *Pseudacris crucifer*,  
32 *Ambyston gracile*, *A. maculatum*, *A. laterale*, and *Notophthalmus viridescens*) testes against  
33 Roundup formulation showed LC<sub>50</sub> that ranged from 0.8-3.2 mg a.e/L, further proved the high

1 toxicity/ moderate toxicity nature of the Roundup formulation. This Relyea *et al.* (2009) study  
2 showed that the Roundup toxicity cut across very large group of amphibians. But in general, water  
3 source could also be a factor in the current variation of the lethal concentrations observed among  
4 studies. As noted by Mann and Bidwell (1999), when diluted lake water was used compared to the  
5 aged tap water, the 48-hour LC<sub>50</sub> changed from 11.6 mg/L to 2.9 mg/L for the same *Litoria moorei*  
6 species.

7 Compared to other aquatic organisms like fish, Roundup formulation seem to be more toxic  
8 to some larva stages at 96-hour LC<sub>50</sub> range of 0.89-1.05 mg/L compared to young tilapia  
9 (*Oreochromis niloticus*) species with a 96- hour LC<sub>50</sub> of 16.8 mg/L. Although, based on this current  
10 result, and according to Ayoola (2008), both juvenile tilapia, *Oreochromis niloticus* and *X. laevis*  
11 embryos shared the same 96 hour LC<sub>50</sub> of 1.05 mg/L.

12 The observed differential toxicity in the three Glyphosate formulations between Roundup  
13 formulation and the new Kilo Max and Environ glyphosate formulations suggested the involvement  
14 of their varied surfactants. The high /moderate toxicity of Roundup formulation relative to the Kilo  
15 Max and Environ Glyphosate formulations in this current study confirmed various reports linking  
16 the POEA surfactant to the high toxicity of Roundup (Folmar *et al.*, 1979; Mitcheal *et al.*, 1987).  
17 The result of this current study also showed that both ammonium sulphate surfactant in Kilo Max  
18 and Polyethylene alkylamine surfactant in Environ Glyphosate formulations appeared far less toxic  
19 relative to the POEA in Roundup. This result is similar to the findings of Folmar *et al.*, 1979, where  
20 the POEA surfactant was showed having a higher toxicity compared to the glyphosate technical  
21 grade. This results also supports the findings of Wan *et al.*, 1989, where they noted a reduction in  
22 toxicity of Roundup formulation, when the percentage of POEA surfactant was reduced.

23 For the Midstream formulation, some of the larva stages of *X. laevis* also showed more  
24 susceptibility than the early stages of some fish. As noted by Paul *et al.* (1994), the 96-hour LC<sub>50</sub>  
25 for the early life stages of walleye (*Stizostedion vitreum*) range from 0.74-4.9 mg/L which when  
26 compared to 0.2 -0.8 mg/L for the early larva stages of *X. laevis* in the present study showed the  
27 increased sensitivity of the *X laevis* larva stages. But compared to the adult stage, some adult fish  
28 as shown by Paul *et al.* (1994), might be more susceptible than the *X. laevis* adults. For example,  
29 the 96-hour LC<sub>50</sub> for *Micropterus dolomieu* was 3.9 mg/L, and 4.9 mg/L for *Micropterus salmoides*  
30 (Paul *et al.*, 1994). These LC<sub>50</sub> compared to 96-hour LC<sub>50</sub> for NF-stage 60 of *X. laevis* calculated  
31 at 11.8 mg/L showed that the xenopus adult might be more resistance to the acute toxicity effects

1 of Midstream formulation than the fish. This is consistent with the finding of Bridges *et al.* (2002),  
2 who noted that fish are more susceptible than the amphibians to many chemicals.

3 Not much research has been done on the acute toxicity of Arsenal formulation, apart from  
4 the LC<sub>50</sub> of imazapyr to adult rainbow trout as > 100 mg /L according to the imazapyr material  
5 data safety sheet (MSDS) (Imazapyr MSDS, 2013). This compared favourably with the result of  
6 this study, particularly as from NF-stage 60 where the 96-hour LC<sub>50</sub> was 173.5 mg/L. This  
7 suggested that this formulation has a low acute toxicity on adult fish and amphibians.

## 8 **2.6 Conclusion**

9 The result of this study revealed the high sensitivity of premetamorphic NF-stage 48 of *X. laevis* to  
10 all the tested herbicide formulations relative to early embryos (NF-stage 8-11) and tadpoles  
11 undergoing metamorphosis (NF-stage 60). The result furthermore showed that varied response in  
12 the acute toxicity to different glyphosate formulations (real world scenario) affected survival  
13 differentially, and with Enviro glyphosate having the lowest toxicity among the glyphosate. The  
14 96-hour LC<sub>50</sub>s of the premetamorphic larva (NF-stage 48) for the Roundup, Midstream and Basta  
15 formulations were found to be lower than the expected environmental concentration (EEC) of those  
16 formulations. These formulations can therefore potentially affect survival rates and long term  
17 amphibian population dynamics. Although the LC<sub>50</sub> concentrations of the embryos were generally  
18 higher, these pre-hatch stages may not be adequately protected. When considering the susceptibility  
19 of premetamorphic larva, it is clear that the protection of one developmental stage will be ecological  
20 inadequate if another developmental stage is seriously vulnerable to the same chemical. The fact  
21 that similar trends have also been identified in different anuran species including *R. clamitans*, *R.*  
22 *pipiens*, *B. arenarum* and *B. americanus* suggest that premetamorphic tadpoles represent a  
23 vulnerable life stage that could be an important factor in understanding the global amphibian  
24 declines.

25 This study therefore confirmed the importance of using premetamorphic tadpoles (for  
26 example NF-stage 48) in exposure studies to evaluate the potential threat that pesticide formulations  
27 reaching the aquatic environment, directly or indirectly, may hold for non-target amphibian species.  
28 This may prove to be an important consideration for aquatic alien plant eradication programmes  
29 using chemical means, since the mobility of glyphosate for example is known to be slow in the soil  
30 but may pose a greater risk in aquatic systems when applied directly.

31

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## Chapter Three

### Lethal and teratogenic impacts of six herbicides formulations using Frog Embryo Teratogenesis Assay- *Xenopus* (FETAX)

#### 3.1 Introduction

Pesticides play an important role in global agricultural development, contributing to reduction in pest attacks and weeds infestation, thereby improving general agricultural yields. Global pesticide application is extensive and increasingly escalating over the years (Bjorling-Poulsen *et al.*, 2008; Mensah *et al.*, 2013). For example, annual global agrochemicals usage is estimated at 11.2 billion kg, most of which are herbicides (Suntharasingham *et al.*, 2010; Yadav *et al.*, 2013). Despite these positive contributions, pesticides have however also contributed to the deterioration of water and soil quality (Hovart *et al.*, 2005). Pesticides as environmental chemicals therefore raise concerns about their non-target ecological impacts (Dirzo and Raven 2003; Relyea, 2003; Govandarajulu, 2008; Mann *et al.*, 2009; Piola *et al.*, 2013). Herbicides formulations have been assumed not to pose a great risk to non-target organisms, however evidence are mounting showing that these chemicals and or associated chemicals in the formulation can affect wildlife health in aquatic and terrestrial habitats (Relyea, 2005; Dinehart *et al.*, 2009; Egea-Seranno *et al.*, 2012).

The freshwater habitats are experiencing rapid biodiversity modifications largely due to agricultural practices (Downing *et al.*, 2008), as agrochemicals have become major sources of water pollution, with devastating health impacts on humans and wildlife (WFW, 2010). Estimates of freshwater biodiversity loss are suggesting that the current rate of extinction is the most profound in the last 100,000 years (Wilson, 1992; Eldredge, 1998). The concern about the global amphibians decline problem for example (Dinehart *et al.*, 2009; Gongordu, 2013; Lajmanoich *et al.*, 2013), highlight the need to assess the potential impact of agricultural chemicals on these organisms. Amphibian vulnerability to agrochemicals has been linked to their specific ecological/aquatic requirements linking them to permanent or temporary water-bodies to complete their life cycle. However, it is these very same water pools and shallow water-bodies ponds where agrochemicals easily contaminate and accumulate (Mann *et al.*, 2003). Although it is known that many agrochemicals bind to the sediment the assumption that these chemicals pose relatively little risk to non-target, have been questioned (Relyea, 2005) and is it therefore more realistic to assume direct exposure of early life stages in the aquatic environment. Apart from these early stages being sensitive to the chemical component of the environment, early organizational effects may have long term health effects (Guillette, 1995).

1           The global use and presence of the agrichemicals in aquatic systems have been proposed as  
2 a contributing factor to the hypothesized declines in amphibian populations (Bishop *et al.*, 1999;  
3 Brunelli *et al.*, 2009; Paskova *et al.*, 2011; Egea-Seranno *et al.*, 2012; Gungordu, 2013; Lajmanoich  
4 *et al.*, 2013). Environmental surveys have also showed an association between amphibian  
5 population declines and proximity to agricultural lands (Bishop *et al.* 1999; Edge *et al.*, 2011). But  
6 despite the proposed causal links to pesticide exposures, research emanating from developing  
7 countries is mostly absent or largely inadequate (Ansara-Ross *et al.*, 2012).

8           For most pesticides, ecotoxicology studies report LC<sub>50</sub> concentrations for aquatic  
9 organisms, mostly with reference to fish species, while information regarding toxicity to  
10 amphibians are generally scanty (Relyea *et al.*, 2005; Johansson *et al.*, 2006; Relyea, 2009; Mann  
11 *et al.*, 2009; Bruhl *et al.*, 2011; Wagner *et al.*, 2013). Since it has been shown that amphibians may  
12 be just as sensitive to environmental contaminants than fish, due to their permeable skin, therefore  
13 suggestions that exposure to agricultural chemicals could be linked to global amphibian declines  
14 (Relyea, *et al.*, 2005; Edge 2011; Bruhl *et al.*, 2013), and calls for more amphibian related studies  
15 have increased (Relyea and Jones, 2009; Mann *et al.*, 2009; Bruhl *et al.*, 2011; Plotnet and Matschke  
16 2012; Wagner *et al.*, 2013). Amphibians are generally regarded as good models to understand the  
17 mechanism of action for pesticides (including insecticides, fungicides and herbicides) when  
18 affecting physiological functioning (Lajmanovich *et al.*, 2013). But in general, relatively few  
19 studies used amphibians as model organisms (Mann *et al.*, 2009; Bruhl *et al.*, 2011).

20           Herbicides are the leading group of pesticides in terms of annual production, total acreage  
21 size usage, and total revenue sales (Mensal *et al.*, 2013). They generally have relatively short half-  
22 lives in soil and water, but are found at relatively high concentration in the environment (Orton *et al.*  
23 *et al.*, 2009). Diverse ranges of herbicide active ingredients have been shown to have differential  
24 effects in living organisms, even when present at relatively low environmental concentrations. In  
25 this regard, specifically organizational effects during embryonic development and juvenile life  
26 cycle phases are a growing concern (Guillette *et al.*, 1995; Petterson *et al.*, 1996). And with the  
27 continuous widespread of amphibian malformations currently observed globally, developmental  
28 and teratogenic impacts from herbicide formulations are of major concerns.

29           Herbicide formulations (i.e. active ingredient and inert compounds) have increased  
30 exponentially in the last decade and new formulations are added regularly to compensate for  
31 resistance (Mensah *et al.*, 2013). Herbicides, according to Kappler and Namuth, (2004) are  
32 classified into eight modes of actions, 22 specific sites action in biochemical pathways. But

1 generalisations regarding mode of action or biological endpoints associated with the resulting  
2 herbicides families, specifically in amphibians, have not been well-studied (Relyea, 2006). This  
3 makes it difficult to assess the exposure impacts of these herbicides in the environment. Several of  
4 these herbicide formulations are applied in the aquatic environment for management of aquatic  
5 weeds and aliens plants. These herbicide formulations include Roundup, Kilo Max, Enviro  
6 glyphosate, Midstream, Basta and Arsenal.

7 One of the widely used herbicide families includes the glyphosates (Kappler and Namuth,  
8 2004; Lanctot *et al.*, 2012; Sihtmae *et al.*, 2013), used as broad-spectrum herbicides to inhibit post  
9 emergent growth through pathway generally thought not to be associated with animal (Sihtmae *et*  
10 *al.*, 2013) Typically, all glyphosate-based herbicide formulations contain two major ingredients,  
11 isopropylamine (IPA) salt of glyphosate as active ingredient, and surfactant that vary from one  
12 formulation to another (Dinehart *et al.*, 2009; Lanctot *et al.*, 2012).

13 Roundup formulation, one of the best-studied herbicides for example contains glyphosate,  
14 and a polyethoxylated tallow amine (POEA) surfactant that facilitate plant cuticle penetration by  
15 polar compound. It has been suggested that the POEA surfactant is more toxic than active  
16 glyphosate (Giesy *et al.*, 2000; Sihtmae *et al.*, 2013). Notwithstanding, exposure to glyphosate  
17 formulations or POEA in amphibians have been reported to affect amphibians physiology at several  
18 levels but need more study (Mann *et al.*, 2009; Williams and Semilitsch, 2010; Jones *et al.*, 2010;  
19 2011). Researchers have shown that Roundup could be very toxic to amphibians (Relyea and Jones,  
20 2009), and also cause growth abnormality in growing tadpoles when exposed to relatively low, non-  
21 lethal concentrations (Lajmanovich *et al.*, 2002). But apart from a few key studies reporting some  
22 evidence for non-lethal physiological endocrine modulation, for example, by glyphosate in  
23 amphibians (Howe *et al.*, 2004; Kloas *et al.*, 2005), mammalian cell cultures (Richards *et al.*, 2005),  
24 and teratogenicity in birds (Pagannelli *et al.*, 2010), evidence of disrupting pattern of glyphosate in  
25 amphibians particularly regarding the development of malformations, following early life cycle  
26 exposure is not well characterised (Govindarajulu, 2008). Kilo Max is another glyphosate  
27 formulation with sodium salt and ammonium sulphate as surfactant. Enviro glyphosate is also a  
28 formulation of glyphosate; contain isopropyl ammonium salt of glyphosate and polyethylene  
29 alkylamine as surfactant. The kilo Max and Enviro glyphosate are relatively new glyphosate  
30 formulations which have not been particularly tested for developmental toxicity and endocrine  
31 disruption in general as there is no data on them currently in any literature.

1           Several non-glyphosate herbicides including diquat dibromide, imazapyr, and glufosinate  
2 ammonium are also used in aquatic systems and present some concern for non-target aquatic  
3 organisms. Diquat dibromide (9, 10-dihydro-8a, 10a-diazonia phenanthrene ion) is a post-emergent,  
4 non-selective contact herbicide and crop desiccant that is also used in aquatic weeds control  
5 (Emmett, 2002; WHO, 2004). Diquat dibromide is widely used in the United State, North America,  
6 Europe, Australia and Japan (Emmett, 2002; WHO, 2004). The herbicide contains nonyl phenol  
7 ethoxylate as surfactant, which has been implicated as having estrogenic activities (Trumbo, 2005;  
8 Othman, 2009). There have been some controversies about the impacts of this herbicide on  
9 amphibians and wildlife in general. Anderson and Prahlad, (1976) using concentration of 0.0075 to  
10 0.002 mg /L discovered that Diquat formulation inhibited general body growth and pigmentation  
11 as well as result in distortion in body shape at concentrations ranging from 0.0015 to 0.002 mg /L.  
12 Bimber and Mitchell (1978), using *Lithobates pipiens* at high concentration of 0.1 mg/L, reported  
13 increased rates of exogastrulation and mortality. Selypes *et al.* (1980), using nullipara mice that  
14 were exposed to 11 mg/kg of Reglone formulation of diquat on the 9<sup>th</sup> day of gravidity, pointed out  
15 that the death of foetus increased with concentration, and that the average embryonic weight  
16 decreased as the number of embryos retarded in weight increased. According to these authors,  
17 Diquat formulation even caused retardation in the embryos of females repeatedly treated with  
18 smaller doses, with changes in the skull, vertebrae, sternum and the limbs observed. But, Dial and  
19 Dial, (1987), using Diquat formulation on *Lithobates pipiens* at concentration between 2.0 to 10  
20 mg/L observed that their eggs were resistant to Diquat and exposure at both early and late gastrula  
21 produced no abnormalities.

22           Imazapyr herbicide belongs to the chemical family of imidazolinone (Liu, 1992), and it  
23 usually degraded through photolysis in water with half-life ranging between 2.5 and 5.3 days  
24 (WSDA, 2009). According to Grisolia *et al.* (2004), Arsenal, one of its formulations consists of  
25 25 g/L Imazapyr, 186 g/L of ammonium hydroxide, 18 g/L nonyl-phenol ethoxylate (with nine  
26 ethoxylated units) and water. There is scarcity of literature on the teratogenicity and developmental  
27 toxicity of this herbicide. But various reviews, including USEPA 2006 (Registration Eligibility  
28 Decision (RED), and Washington State Department of Agriculture (WSDA, 2003), rated this  
29 herbicide active ingredient as having no developmental toxicity and teratogenicity. And PAN,  
30 (2012) also put the developmental and teratogenicity as well as endocrine disruption as unknown.

31           Glufosinate ammonium (GA) (ammonium-D,L- homoalanin-4-yl methyl) phosphate, one  
32 of its formulations known as Basta, contains an anionic sodium polyoxyethylene alkyether sulphate  
33 (AES), which constitute 30% as surfactant, a wetting agent (alkylether-sulphate), solvent

1 (propylene glycol ether, defoamer and a blue dyestuff (Ebert *et al.*, 1990; Koyama *et al.*, 1997).  
2 Even though the herbicide and its analogues are now registered for used in more than forty countries  
3 worldwide (Qian *et al.*, 2008), not much is known about its teratogenicity and endocrine disrupting  
4 potential. Watanabe and Iwase (1996) in a study examined its developmental and dysmorphogenic  
5 effects on mouse embryos in culture and observed that eight (8) days old embryos cultured for 48  
6 hour showed a significant overall embryonic growth retardation and increase embryotoxicity  
7 (37.5% at 10 mg/L). Accordingly, all embryos in the treated group exhibited specific morphological  
8 defects including blisters in the lateral head (100%), hypoplasia of the prosencephalon (forebrain)  
9 (100%) and visceral arches (100%). Using the micromass cell culture method, GA also inhibited  
10 the differentiation of midbrain cells in Day 12 embryos, with 50% inhibition occurring at 0.00055  
11 mg/L. The ratios of 50 % inhibition of concentration for cell proliferation to differentiation in limb  
12 bud cell were 0.76 and 1.52 in day 11 and 12 embryos. It was concluded that glufosinate ammonium  
13 was embryotoxic in vitro (Watanabe and Iwase, 1996).

14 South Africa is the largest pesticides user in sub-Saharan African, with about 60% of the  
15 pesticides market in Africa (Ansara-Ross *et al.*, 2012). Currently, approximately 180 different  
16 pesticides active ingredients are commercially available in South Africa, with approximately 400  
17 registered trade names (Menhardi, 2008). The use of several herbicides and other pesticides in  
18 South Africa has increased in recent years, not only by farmers using herbicides extensively in all  
19 sectors of commercial farming, but also for domestic use and in government programs including  
20 the Working for Water Program (WfW) of National Department of Water Affairs, towards the  
21 control of alien plant species in natural catchment areas of major rivers as well as large reservoirs  
22 (Bold, 2007; Ansara-Ross *et al.*, 2012; Mensah *et al.*, 2013).

23 But despite this increase herbicide formulations application in South Africa, scientific  
24 information about their fate as well as impacts in the local aquatic ecosystems is very scanty  
25 (Ansara-Ross *et al.* 2012). This, in spite of relative high concentrations of glyphosate previously  
26 reported in intensive farming areas like Hex River Valley, in Western Cape Province, Oliphant's  
27 River project and Groblersdal project (Dalvie *et al.* 2011; Mensah *et al.*, 2013). There is also no  
28 known national guideline and permissible limit in the aquatic ecosystem, to assess the impacts of  
29 these formulations on the indigenous species, including amphibian, in order to protect the local  
30 biota (Mensah *et al.*, 2013).

31 The Frog Embryo Teratogenesis Assay-Xenopus (FETAX) is a standardised 96-hour whole-  
32 embryo assay used for the assessment of potential developmental and teratogenic effects in humans

1 (Bernardini *et al.*, 1994; Mann and Bidwell, 2000; Mann *et al.*, 2011; Leconte and Mounche, 2013;  
2 Yu *et al.*, 2013). FETAX has been widely used in aquatic toxicity testing and is well-suited for  
3 testing environmental samples or complex mixtures (industrial and wastewater effluents) (Bantle  
4 *et al.*, 1999). This assay essentially assesses environmental impacts during embryogenesis, which  
5 is may be highly conserved across vertebrates (NICEATM, 2000). FETAX is a four (4)-day (96-  
6 hour) protocol for predicting potential developmental toxicants and teratogens (ASTM, 1998).  
7 Endpoints include mortality, malformation and growth inhibition. Recently, the FETAX protocol  
8 using *X. laevis* have been criticised as been less sensitive than when using other amphibians.  
9 However, Yu *et al.* (2013) confirmed the sensitivity of *Xenopus* embryo and its value as robust  
10 laboratory species.

11 The FETAX assay has been widely used to assess embryotoxicity of various environmental  
12 chemicals including; Nonylphenol ethoxylate (Mann and Bidwell, 2000), heptanol (Bernardini *et al.*  
13 *et al.*, 1994), nicotine and cotinine (Dawson *et al.*, 1988), acidic mine water (Dawson *et al.*, 1985),  
14 aqueous soil extracts (Fort *et al.*, 1995), atrazine herbicides (Morgan *et al.*, 1996), organophosphate,  
15 plant growth regulators (Boga *et al.*, 2009) and organochlorine insecticides (Schuytema *et al.*,  
16 1994). Moreover, Bantle *et al.* (1999) and Leconte and Mouche (2013) reported a predictivity of  
17 75% and 81% respectively for mammals or human teratogenic potential. Leconte and Mouche  
18 (2013) correlated FETAX data that span 12 years of screening pharmaceutical compounds and  
19 linking results to mammalian embryotoxicity studies.

20 The aim of this study was to select herbicide formulations including Midstream, Basta, Arsenal  
21 and three (3) glyphosate-based herbicide formulations (including Enviro glyphosate and Kilo Max)  
22 used to control alien plants in local aquatic systems and screen for developmental toxicity and  
23 teratogenic potential, using the African clawed frog, *X. laevis* as model organism.

## 24 **3.2. Materials and methods**

### 25 **3.2.1. Test chemicals**

26 The herbicides-formulations include Roundup (360g/L a.e, Monsanto, South Africa),  
27 Enviro Glyphosate (360g/L a.e, Enviro Industries Ltd, South Africa), Kilo Max (700g/kg a.e  
28 Glyphosate, Volcano Agroscience Ltd, South Africa), Midstream (373g/L, diquat dibromide,  
29 Syngenta S.A Ltd), Basta (200g/L, glufosinate ammonium, Bayer Crop Science AG Ltd,  
30 Germany) and Arsenal (250g/L, imazapyr, Base Chemical Ltd). The FETAX solution used

1 containing 625mg NaCl, 96mg NaHCO<sub>3</sub>, 30mg KCl, 15mg CaCl<sub>2</sub>, 60 mg CaSO<sub>4</sub>.2H<sub>2</sub>O and 70mg  
2 MgSO<sub>4</sub> per litre of distilled water (ASTM, 1998).

### 3 **3.2.2. Exposure concentrations**

4 Based on the list of aquatic herbicides in South Africa, six most widely-used formulations  
5 were selected for the study. Following the initial pilot studies and some acute toxicity results from  
6 chapter one, six concentrations were selected for each of the six herbicide formulations (Table 3.1).

7 Table 3.1: Exposure concentrations for the six herbicide formulations

Treatment	Exposure Concentrations (mg a.e/L)
Roundup	0, 0.5, 0.7, 0.9, 1.1, 1.3
Kilo Max	0, 130, 160, 190, 220, 250, 280
Enviro Glyphosate	0, 320, 360, 400, 440, 480, 520, 560.
Midstream	0, 0.5, 1.0, 2.0, 2.5, 3.0
Arsenal	0, 20, 25, 30, 35, 40 and 45
Basta	0, 1.6, 2.0, 2.5 and 3.0

8

### 9 **3.2.3. Analytical assessment of experimental concentrations**

10 In **order** to confirm the experimental concentrations, four random concentrations per  
11 formulation were sampled from the exposure tanks. The sampled concentrations were  
12 independently (blind) analysed at Synexa Analytical laboratory in Cape Town, in South Africa. The  
13 laboratory used liquid chromatography tandem mass spectrometry (LC/MSMS) method which has  
14 a high validity and sensitivity. The variation between the measured concentrations and nominal  
15 concentrations were low (between 0-5% across the measured samples) (Table 3.2), except for one  
16 sample that showed a high 10 % variation. These variations are all within the acceptable limit of  
17 USEPA, (1996) toxicity guidelines.

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2 Table 3.2- The exposed nominal concentrations and observed concentration

Formulation	Exposed Concentration (mg a.e./L)	Observed Concentration (mg a.e./L)	3
Roundup	1	1.19	
	1.4	1.41	
	1.8	1.50	
	2.2	2.10	
Kilo Max	320	354.80	
	380	386.50	
	440	448	
	500	480	
Enviro glyphosate	520	540.80	
	580	599.20	
	640	603.50	
	700	629.30	

4

5 **3.2.4. *Xenopus laevis* care and breeding of tadpoles.**

6 The Africa clawed frog (*X. laevis*) is a local aquatic species that has globally been used as a  
7 model species to study environmental quality, but also widely used to specifically study the effects  
8 of environmental contamination on amphibians (Vitt *et al.*, 1990; Mann and Bidwell, 2000; Paggeti  
9 *et al.*, 2006; Kloas *et al.*, 2009). Prior to breeding, four sexually mature males and females *X. laevis*  
10 were maintained separately in 15 L glass tanks containing reverse osmosis water, and were fed three  
11 times per week with fish pellets (Aqua-Nutro, RSA). Breeding induction was performed according  
12 to ASTM, (1998) protocol. Males were primed with 200 IU human chorionic gonadotropin (hCG)  
13 (Merck Ltd, Germany) injection, injected into their dorsal lymph sac, four days prior to the  
14 commencement of mating and again just prior to the mating, by another 100IU and 200 IU hCG to  
15 males and females respectively (usually in late afternoon). Male and female pairs were placed  
16 together in a 15 L exposure tanks lined with plastic netting (to separate the eggs from the adults),  
17 and place in a well-ventilated dark place. Amplexus occurred within four hours and eggs were  
18 deposited within 12 hours after injection. All the eggs staging were done using a normal  
19 developmental atlas by Nieuwkoop and Faber (1994) (referred to as NF-stages).

20 **3.2.5. FETAX bioassay**

21 Frog embryo teratogenesis assay-Xenopus (FETAX) was performed following the basic  
22 guidelines as described by American Society for Testing and Materials (ASTM, 1998). Fertilised

1 eggs were collected immediately after spawning and de-jellied by gentle swirling in 2% L- cystein  
2 (Sigma, GE) (prepared in FETAX solution and adjusted to pH 8.1 with NaOH)( ASTM, 1998) for  
3 three minutes. Normal cleaving embryos were separated from the pool of de-jellied eggs with the  
4 aid of stereomicroscope. All the tadpole staging were done using a developmental atlas according  
5 to Nieuwkoop and Faber, (1994) (NF-stages). NF stages 8-11 (mid-blastula to early gastrula  
6 embryos), were used for all exposures. The breeding, housing and experimental procedures were  
7 approved by the Animal Ethical Committee of the Stellenbosch University (Approval: SU-  
8 ACUM12-00014).

### 9 **3.2.6. Exposure set-up**

10 Each exposure cup (500 ml) contained twenty (20) selected embryos, replicated twice for each  
11 concentration. Exposure tanks were set-up in a controlled climate room for the duration of the  
12 experiment - under the following physical conditions (OECD, 2008); water temperature  $23 \pm 1$  °C,  
13 pH of 6.5 – 7.4, dissolved oxygen of >3.5 mg/L and 12 hours light and dark photoperiod (L<sub>12</sub>D<sub>12</sub>).  
14 In order to standardize the exposure of herbicide concentrations, all the herbicide stocks were  
15 freshly prepared daily, to avoid any breakdown by light, heat or other environmental factors. For  
16 this study, a semi-static exposure approach was adopted, where the exposure medium was changed  
17 every 24 hours. The experiments were repeated twice.

### 18 **3.2.7. Mortality**

19 Mortality incidences were recorded every six (6) hours for the duration of the exposure. After 96  
20 hours, the final data were used to define the 96-hour LC<sub>5</sub>, LC<sub>10</sub> and LC<sub>50</sub> for each of the test  
21 substances. The remaining tadpoles were euthanized using MS 222 (Tricaine methane sulfonate)  
22 (200 mg/l buffered with sodium bicarbonate at 0.42-1.05 g/l) (OECD, 2007). All the tadpoles  
23 collected were fixed and preserved in buffered formalin (4% formaldehyde) for observation and  
24 measurement.

### 25 **3.2.8. Body length and growth Inhibition**

26 The minimum concentration to inhibit growth (MCIG) was determined for all the substances by  
27 statistically comparing the mean 96-hour head-to-tail length of the treated embryos at each  
28 treatment concentration to that of the control embryos using Kruskal-Wallis ANOVA test.

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### 2 **3.2.9. Malformations**

3 Developmental malformations, (defined according to Atlas of Abnormalities by Bantle *et al.*  
4 (1998)) including facial as well as axial malformations (tail, and notochord abnormalities). The  
5 incidences of abnormalities (%) were used to determine the 96-hour malformation (EC<sub>50</sub>) index.

### 6 **3.2.10. Teratogenic Index (TI)**

7 TI is the ratio of the 50% embryoletality (LC<sub>50</sub>) to the concentration causing 50% malformation  
8 (EC<sub>50</sub>) (Leconte and Mouche, 2013). Based on the mortality and malformation incidence, data  
9 obtained over the range of concentrations were used to calculate 96-hour 50% lethal concentration  
10 (LC<sub>50</sub>) and 50% effective concentration (EC<sub>50</sub>) for malformation. The LC<sub>50</sub> and EC<sub>50</sub> were used  
11 to calculate the teratogenic index (TI) where  $TI = LC/EC$  (NICEATM, 2000; ASTM, 2004). A  
12 substance is considered teratogenic when  $TI \geq 1.5$  (ASTM, 1998).

### 13 **3.2.11. Data analysis**

14 Mortality and malformation data were used to generate LC<sub>50</sub> and EC<sub>50</sub>, using the USA-EPA Probit  
15 analysis software program (USEPA, 1998). The teratogenic indexes (TI) for the selected herbicides  
16 formulations were derived from the 96-hour LC<sub>50</sub> and EC<sub>50</sub> values. The variation in body length  
17 between different concentrations and the control data were used to derive the minimum  
18 concentration to inhibit growth (MCIG). Normality of the data was assessed using Shapiro-Wilk  
19 test, and treated samples were analysed using Kruskal-Wallis ANOVA test at  $\alpha = 0.05$  for  
20 significance difference.

21

## 22 **3.3. Results**

### 23 **3.3.1. Embryotoxicity/ Mortality**

24 The 96-hour LC<sub>50</sub> for Midstream, Arsenal, Basta, Roundup, Kilo Max and Environ Glyphosate were  
25 0.833, 36, 2.24, 1.05, 207.25 and 465.95 mg/L respectively (Table 3.3).

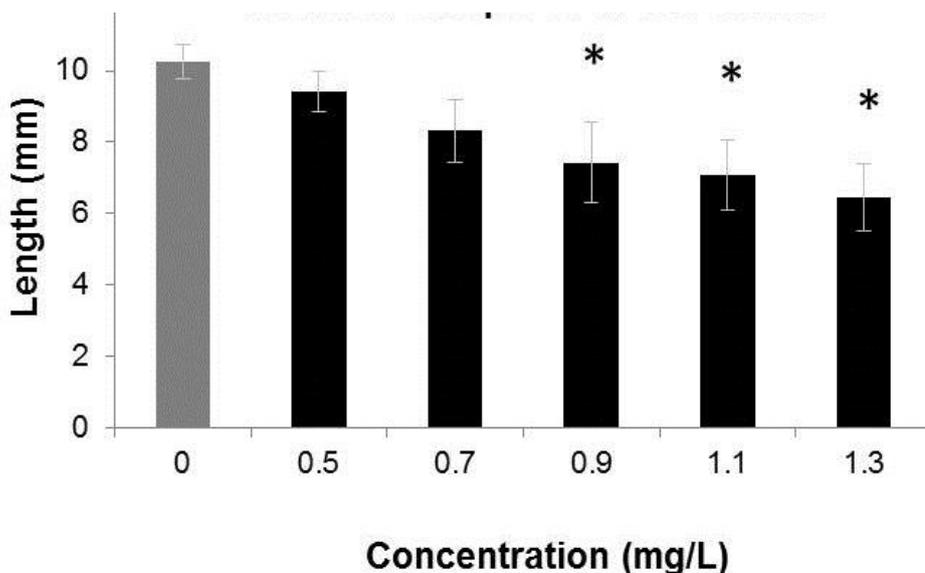
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2 **Table 3.3:** The exposure concentrations (mg/L), LC<sub>50</sub>, EC<sub>50</sub>, Teratogenic Index (TI), and minimum  
3 concentration that inhibit growth (MCIG) for the herbicide formulations (95% CI in bracket).

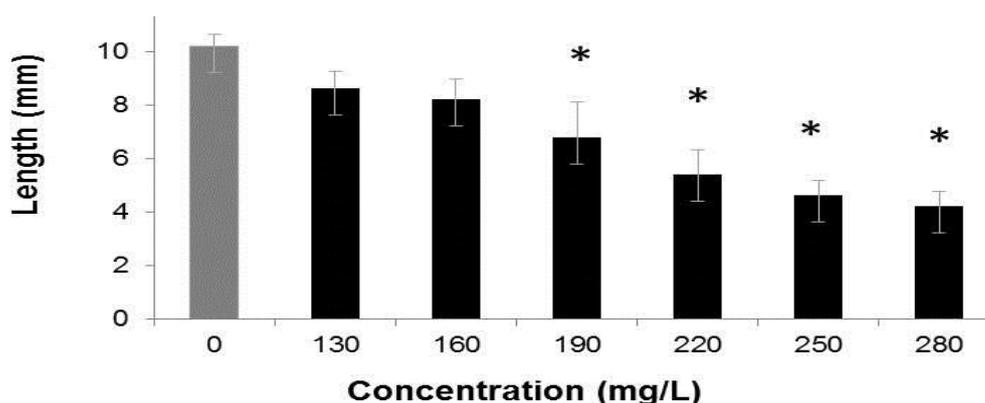
Treatment	Exposure Concentrations	LC <sub>50</sub> (95%CI)	EC <sub>50</sub> (95%CI)	TI	MCIG
Roundup	0, 0.5, 0.7, 0.9, 1.1, 1.3.	1.052 (0.91-1.32)	0.76	1.4	0.9
Kilo Max	0, 130, 160, 190, 220, 250, 280	207.25 (196.6-217.6)	150.8 (139-160)	1.4	190
Enviro Glyphosate	0, 320, 360, 400, 440, 480, 520	465.95 (434-51)	287 (142.7-320)	1.6	440
Midstream	0, 0.5, 1.0, 2.0, 2.5 and 3.0	0.833 (0.43-1.14)	0.24	3.5	1.0
Arsenal	0, 20, 25, 30, 35, 40 and 45	36 (33.3-39.5)	28.13 (26.2-29.96)	1.3	30
Basta	0, 1.6, 2.0, 2.5 and 3.0	2.240 (1.968-2.71)	2.01 (1.78-2.31)	1.1	2.0

4  
5 **3.3.2. Growth Effects**  
6 For Roundup formulation, using Kruskal-Wallis ANOVA test, there was significant variation in  
7 length within exposure concentrations ( $P < 0.05$ ). The reduction in length was significantly different  
8 from concentrations of 0.9 mg/L to 1.3 mg/L (Kruskal-Wallis,  $P < 0.05$ ) relative to the control  
9 (Figure 3.1).



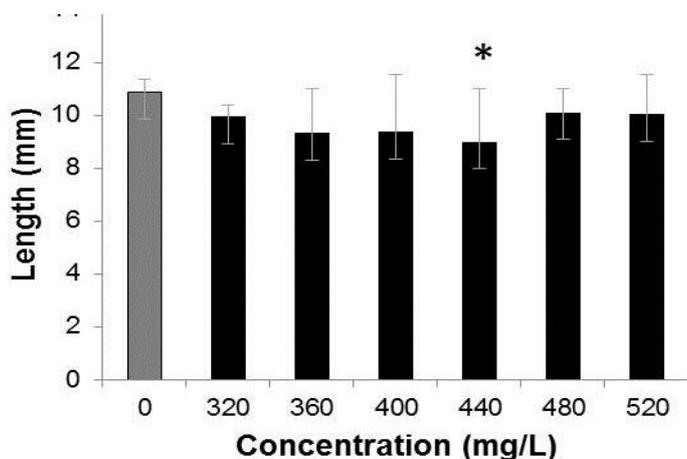
1  
2 **Figure 3.1:** Length (mean  $\pm$  SD) of tadpoles exposed to Roundup formulation significantly  
3 varied among the exposure concentrations (Kruskal-Wallis,  $P < 0.05$ ). The reduction in length  
4 was significantly different from concentrations of 0.9-1.3 mg/L compared to the control  
5 (Kruskal-Wallis,  $P < 0.05$ ), confirming the inhibiting effects of Roundup formulation on *X.*  
6 *laevis* embryo.

7 For Kilo Max formulation, using Kruskal-Wallis ANOVA test, there was a significant and  
8 concentration dependent reduction in length ( $P < 0.05$ ) among the exposed tadpoles. The reduction  
9 in length was significantly different from concentration of 190 to 280 mg/L compared to the control  
10 (Kruskal-Wallis,  $P < 0.05$ ) (Figure 3.2).



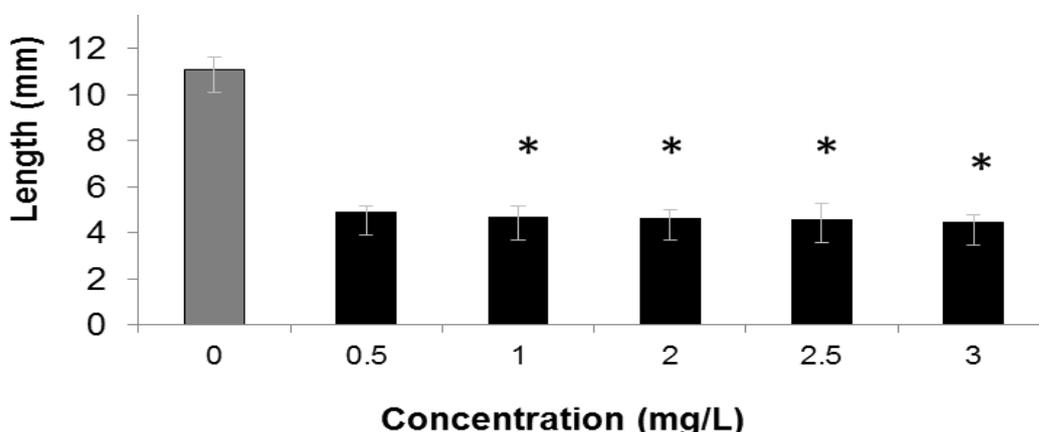
11  
12 **Figure 3.2:** The length (mean  $\pm$  SD) of tadpoles exposed to Kilo Max formulation varied  
13 significantly among the concentrations (Kruskal-Wallis,  $P < 0.05$ ), and the mean length reduced  
14 in concentrations dependent manner relative to the control.

1 For Enviro glyphosate formulation, using the same Kruskal-Wallis ANOVA test, there were  
 2 variations in length of exposed tadpoles among the concentrations, which was only significantly  
 3 different ( $P < 0.05$ ) at concentration of 400 mg/L compared to the control (Figure 3.3).



4  
 5 **Figure 3.3:** Total length (mean  $\pm$  SD) of tadpoles exposed to Enviro glyphosate  
 6 formulation varied widely within the exposure concentrations.

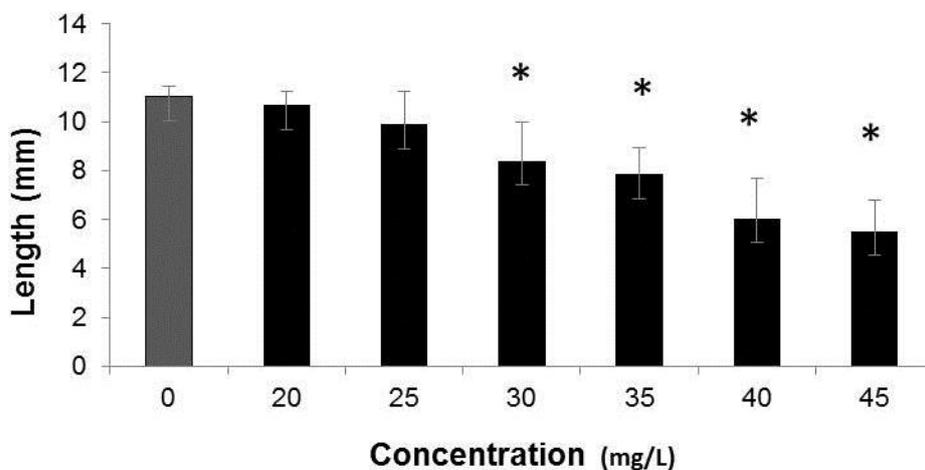
7 For the Midstream formulation, a generalised and significant decrease in length (Kruskal-Wallis,  
 8  $P < 0.05$ ) from concentration of 1 mg/L to 3 mg/L was observed relative to the control. There was  
 9 no significance difference in length reduction from the lowest exposure concentration of 0.5 mg/L  
 10 to the highest of 3 mg/L (Kruskal-Wallis,  $P > 0.05$ ) (Figure 3.4).



11  
 12 **Figure 3.4:** Total length (mean  $\pm$  SD) of tadpoles exposed to Midstream formulation. There was  
 13 significant different in length between the exposed tadpoles and the control (Kruskal-Wallis,  $P$   
 14  $< 0.05$ ).

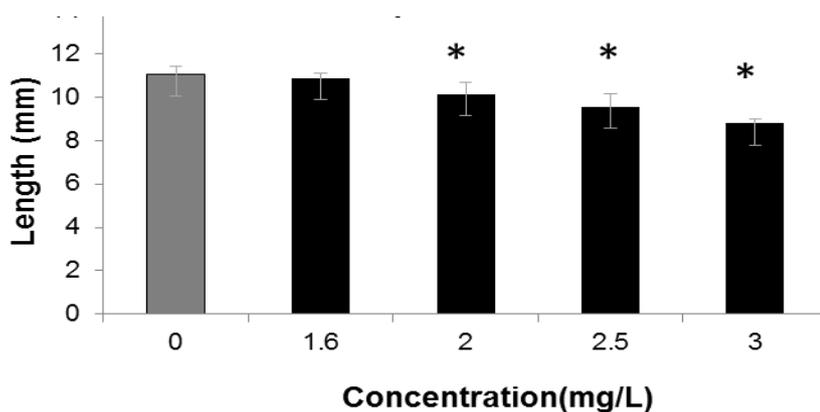
15 For Arsenal formulation, significant variation occurred within the exposure concentrations  
 16 (Kruskal-Wallis,  $P > 0.05$ ). The total length of all exposed tadpoles were reduced in concentration

1 dependent manner, but only significantly different at concentration of 30- 45 mg/L relative to the  
 2 control ( $P < 0.05$ ) (Figure- 3.5).



3  
 4 **Figure 3.5-** Total length (mean  $\pm$  SD) of tadpoles exposed to Arsenal formulation varied  
 5 significantly within the exposure concentrations (Kruskal-Wallis,  $P < 0.05$ ) and in concentration  
 6 dependent manner relative to the control.

7 The Basta formulation showed a significant variation and concentration dependent decreased in  
 8 length within the exposed tadpoles (Kruskal-Wallis,  $P < 0.05$ ) (Figure 3.6). The reduction in length  
 9 was only significant different (Kruskal-Wallis,  $P < 0.05$ ) from concentration of 2 to 3 mg/L  
 10 compared to the control.



11  
 12 **Figure 3.6:** Total length (mean  $\pm$  SD) of tadpoles exposed to Basta formulation varied  
 13 significantly (Kruskal-Wallis,  $P < 0.05$ ) within the exposure concentrations compared to the  
 14 control.

15

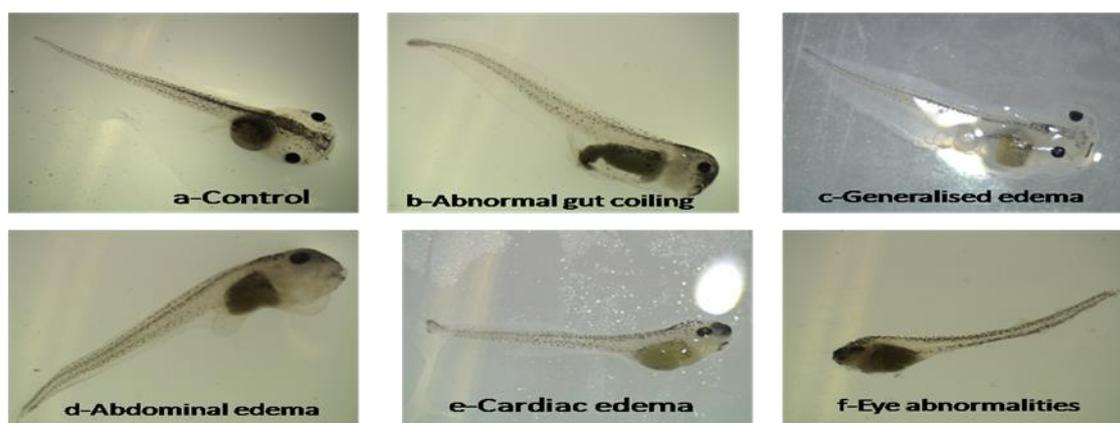
1

2 **3.3.3. Malformations (MI) and teratogenic Index (TI)**

3 The 96-hour EC<sub>50</sub> malformation index obtained for these herbicides were 0.241, 28.13, 2.01, 0.76,  
 4 150.76 and 287 mg a.e./L for Midstream, Arsenal, Basta, Roundup, Kilo Max, and Enviro  
 5 Glyphosate respectively (Table 3.3). The TIs obtained were 3.5, 1.3, 1.1 1.4, 1.4, and 1.6 for  
 6 Midstream, Arsenal, Basta, Roundup formulation, Kilo Max and Enviro glyphosate respectively  
 7 (Table 3.3).

8 **3.3.3.1. Observed malformation**

9 **Roundup:** - the observed malformations associated with this formulation included gut  
 10 abnormalities (Figure 3.7b), edema (abdominal, cardiac and severe generalised (Figure 3.7c)),  
 11 blistering and eye malformations (Figure 3.7f). Some of the malformations occurred together in a  
 12 single organism. The percentage incidence of various malformation categories for this formulation  
 13 showed the following order; gut abnormalities (42.5%), generalised edema (22.6%), blistering  
 14 (22.2%), abdominal edema (5.4%), cardiac edema (3.6%), head (2.3%) and eye (1.4%).



15

16 **Figure 3.7:** Examples of the malformations produced by the Roundup formulation included gut  
 17 abnormalities (abnormal gut coiling (Figure 3.7b), edema (including generalised edema (Figure  
 18 3.7c), abdominal edema (Figure 3.7d) and cardiac edema (Figure 3.7e).

19 **Kilo Max:** the observed malformations included axial malformations (Figure 3.8d), edema  
 20 (abdominal, cardiac and severe generalised (Figure 3.8f), gut abnormalities (Figure 3.8c), head and  
 21 eye malformations (Figure 3.8). While some of the malformations occurred together in a single  
 22 organism, the percentage incidence of various malformation categories showed the following order;

1 gut abnormalities (32.5%), generalised edema (29%), axial abnormalities (22.5%), abdominal  
2 edema (8 %), blistering (4%), eye (2.2 %), head (1.3 %) and cardiac edema (0.9%).

3

4

5 **Figure 3.8:** Malformations associated with Kilo Max formulation ranged from gut abnormalities  
6 (Figure 3.8c), edema including generalised edema (Figure 3.8f), abdominal edema (Figure 3.8b),  
7 and axial malformation including curved tail (Figure 3.8d).

8 **Enviro glyphosate:** the observed malformations in this formulation included gut abnormalities  
9 (Figure 3.9b), axial malformations (including wavy tails (Figure 3.9e & f) and curve tails (Figure  
10 3.9d)), edema (abdominal, cardiac and severe generalised), head and eye malformations. While  
11 some of the malformations occurred together in a single organism, the percentage incidence of  
12 various malformation showed the following order; gut abnormalities (figure 3.9b) (34 %),  
13 abdominal edema (31.6 %), cardiac edema (20.9 %), axial abnormalities (wavy and curved tail)  
14 (Figure 3.9d-f) (6.4%), head (2.1%) and eye (1.6 %).

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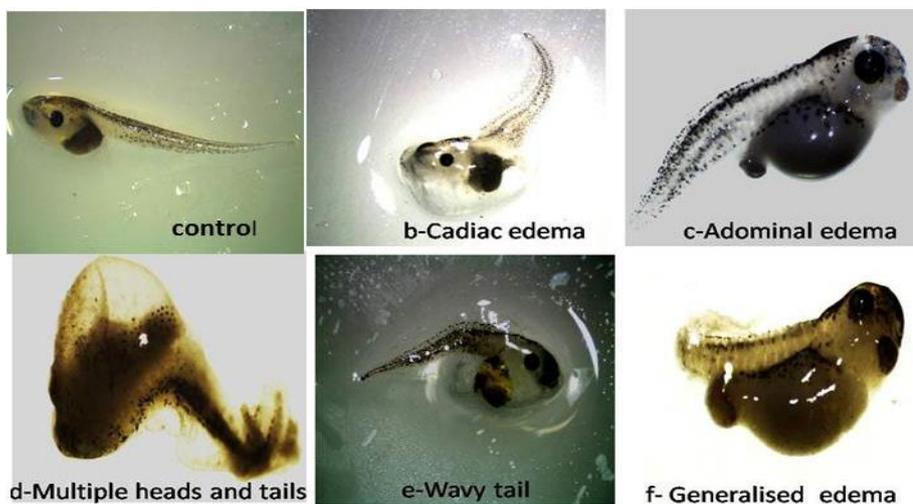
20

21 **Figure 3.9:** Malformations associated with Enviro glyphosate formulation include generalised  
22 edema (Figure 3.9c), wavy tail (Figure 3.9e), curved tail (Figure 3.9d) and cardiac edema (Figure  
23 3.9h), all compared to the control tadpole (Figure 3.9a)

24

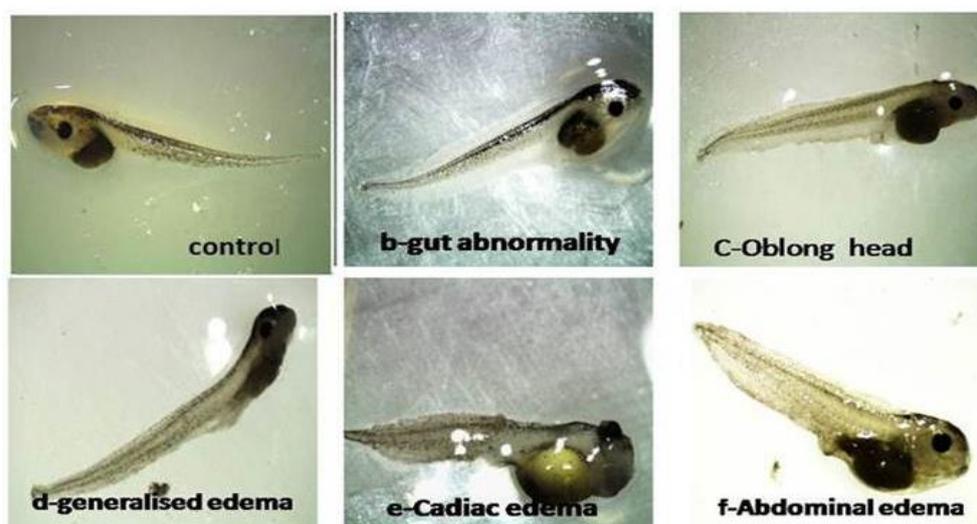
25 **Midstream formulation:** malformations such as edema (Figure 3.10f), blistering, extreme head  
26 abnormalities, gut abnormalities, wavy tails (Figure 3.10e), tail flexures and eye malformations  
27 (Figure 3.10) were observed. The most common malformation for this herbicide formulation is the  
28 generalised edema. But the most unique and unusual malformation is the two headed and multiple  
29 tails tadpole (Figure 3.10d). The percentage incidence of various malformations showed the

1 following order; edema (generalised, cardiac and abdominal) (43%), gut abnormalities (11.4%),  
 2 blistering (14%), axial malformation (wavy and curved tail) (10.04%), eye abnormalities (2.9%),  
 3 and head (1.4%).



4  
 5 **Figure 3.10:** Malformations associated with Midstream formulation include cardiac edema  
 6 (Figure 3.10b), abdominal edema (Figure 3.10c), generalised edema (Figure 3.10f), wavy tail  
 7 (figure 3.10e) and multiple heads and tail embryo (figure 3.10d), all compared to the control  
 8 (figure 3.10a).

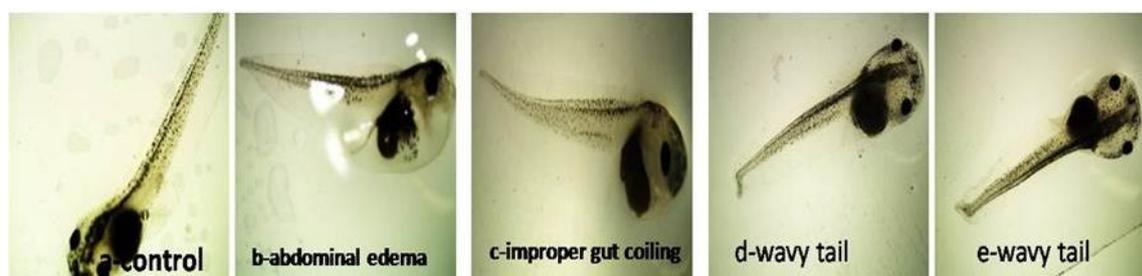
9 **Arsenal formulation:** the observed malformations included edema (Figure 3.11d), blistering,  
 10 gut (Figure 3.11b) and eye malformations, as well as improper head (figure 3.11c) and body  
 11 formation. The percentage incidence of various malformations showed the following order; edema  
 12 (generalised, cardiac and abdominal) (58.1 %), gut abnormalities (47.6 %), blistering (8.1 %), head  
 13 (2.3 %), and eye (1.2).



14

1 **Figure 3.11-** Malformations associated with Arsenal formulation including generalised  
 2 edema (Figure 3.11d), cardiac edema (Figure 3.11e), abdominal edema (Figure 3.11f) and  
 3 gut abnormality Figure 3.11b), all compared to the control (Figure 3.11a).

4 **Basta formulation:** the observed malformations included axial malformation (wavy tails)  
 5 (Figure 3.12d), edema and gut abnormalities (Figure 3.12c) and edema (abdominal edema) (figure  
 6 3.12b). The percentage incidence of various malformations showed the following order; edema  
 7 (generalised, abdominal and cardiac) (50.4%), axial malformation (wavy and curved tail) (38.8%),  
 8 gut abnormalities (15.4%), as well as eye and head abnormalities with (3.8%) each.



10 **Figure 3.12.-**Malformations associated with Basta formulation included abdominal edema  
 11 (Figure 3.12b), improper gut coiling (Figure 3.12c) and wavy tails, all compared to the control  
 12 (Figure 3.12a).

13

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15

### 16 3.4. Discussion

17 The global rises in volume of agro-environmental herbicides continue to raise health and  
 18 environmental concern on non-target organisms. Aside from human health, this concern is also  
 19 premise on the basis of alleged contribution of pesticides to the suspected global amphibian  
 20 population declines via developmental toxicity, thyroid and reproductive disruption (Brunelli *et al.*,  
 21 2009; Egea-Seranno *et al.*, 2012; Lajmanoich *et al.*, 2013). The aquatic herbicides link to such a  
 22 decline in particular has generated increased attention on exposure impacts of various herbicides  
 23 formulations on different stages of the amphibian life cycle. The present study examined the lethal  
 24 effects along with non-lethal, developmental effects including body size effects, teratogenic  
 25 (dysmorphogenic) potential and malformation-type, following the exposure to a concentration  
 26 series of six selected herbicide formulations including Midstream, Basta, and Arsenal as well as

1 Roundup glyphosate formulation, together with two new glyphosate formulations including Kilo  
2 Max and Enviro glyphosate. These herbicide formulations are used extensively in the commercial  
3 farming as well as controlling alien plants in South African aquatic system through an alien  
4 eradication programme, managed by the WfW Program of the Department of Water Affairs (Bold,  
5 2007; Mensah *et al.*, 2013).

6 The present study confirmed that Roundup (glyphosate formulation), Midstream and Basta  
7 formulation had differential toxic effects but also differentially affected early growth of *Xenopus*  
8 *laevis* embryos. The incidence of malformation revealed a range of different malformation-types in  
9 all exposure groups. Teratogenic potential for the selected herbicides were mostly positive (>1),  
10 two (Midstream and Enviro glyphosate formulations) of the selected six herbicides exceeding TI  
11 values of 1.5 (Bantle *et al.*, 1999; ASTM, 1998) but only one (Basta formulation) had a TI below  
12 1.2 (Laconte and Mouche, 2013 guideline).

13 Out of the three glyphosate formulations, only Roundup showed a moderate toxicity at 96  
14 hour LC<sub>50</sub> of 1.052 mg a.e. /L. This 96-hour LC<sub>50</sub> is below the expected environmental concentration  
15 (EEC) of 1.43 a.e mg/L of this formulation at the recommended aquatic application rate  
16 (Govandarajulu, 2008). This result is consistent with the findings of Mann & Bidwell (1999), who  
17 pointed out that Roundup is moderately toxic to amphibian at LC<sub>50</sub> of 2.9 mg a.e. /L for *Litoria*  
18 *moorei* tadpoles, and 3.6 mg a.e. /L for *Crinia insignifera* tadpoles at 48 hour. It also support the  
19 conclusion of Govadarajulu (2008), who pointed out that amphibians are one of the most sensitive  
20 vertebrate groups to this herbicide formulation. Based on this result, it seems that at an expected  
21 environmental concentration of 1.43 mg a.e /L, compared to the current 96-hour LC<sub>50</sub> of 1.052  
22 mg/L, more than 80% mortality will be recorded in the field. This supports the claims of Lanctot *et*  
23 *al.* (2013) and Gungordu, (2013) that Roundup formulation is not suitable for use in aquatic  
24 habitats, especially where amphibians and other sensitive biota are expected to occur.

25 For Kilo Max and Enviro glyphosate, with 96-hour LC<sub>50</sub> of 150 mg/ a.e. L and 465.95 mg  
26 a.e. /L respectively, the embryos will be well-protected from acute toxicity at the current application  
27 rate, although studies regarding impacts of chronic toxicity and sub-lethal concentration are still  
28 lacking. Present result were consistent with the suggestion of Dinehart *et al.* (2009) and Shihtmae  
29 *et al.* (2013) that toxicity of some glyphosate-based herbicides to non-target aquatic organisms may  
30 be varied, not posing a threat to some amphibian species. Comparing the toxicity of the three  
31 glyphosate-based formulations based on the current toxicity results; it seems that the glyphosate  
32 active ingredient played little or no role in the toxicity of these formulations on the embryos. The

1 fact that enviro glyphosate and Kilo Max formulations at 96 hour produced an LC<sub>50</sub> 465 and 207  
2 mg a.e/L respectively with high amount of active glyphosate compared to 1.052 mg/L in Roundup,  
3 showed that the toxicity may largely be caused by the surfactant in the Roundup formulation. This  
4 result therefore is consistent with the findings of Howe *et al.* (2004) and Lanctot *et al.* (2013) who  
5 noted that the surfactant POEA was the basis of Roundup toxicity. Clearly, more studies are needed  
6 to confirm the toxicity of the unknown surfactant used in the respective formulations.

7 Midstream formulation showed a high toxicity with 96-hour LC<sub>50</sub> of 0.83 mg/l. This support  
8 the report of Anderson and Prahlad (1976), who reported that diquat formulation at concentration  
9 of 0.001- 0.002 mg/L, was highly embryotoxic to *Xenopus laevis*. This 96-hour LC<sub>50</sub> is very close  
10 to the expected environmental concentration (EEC) of 0.733 mg/l of this herbicide at the  
11 recommended application rate of 0.1-2.0 mg/L (Dial and Dial, 1987; Petterson *et al.*, 1994). This  
12 means that based on this result, at the EEC concentration of 0.733 mg/L, more than 30% mortality  
13 is expected. Similarly related quaternary ammonium paraquat herbicide has also been reported with  
14 high toxicity on *Xenopus laevis* embryos at 96-hour LC<sub>50</sub> of 0.670 mg/L (Osaro *et al.*, 2002). It  
15 therefore seems that this herbicide is not appropriate for aquatic environment, where amphibians  
16 and other equally sensitive aquatic organisms are meant to reside.

17 The Arsenal formulation, was found to be slightly toxic, with 96-hour LC<sub>50</sub> of 36 mg/L.  
18 But when compared to the expected environmental concentration of 0.083 mg/a.e L (US EPA,  
19 2010), toxicity is unlikely to reach that level under normal application rates. The amphibian eggs  
20 will therefore be protected from acute toxicity of this herbicide at this application dosage.

21 In the case of Basta formulation (Glufosinate ammonium), with 96-hour LC<sub>50</sub> of 2.24 mg/L,  
22 showed the moderate embryotoxicity of this herbicide to *X. laevis*. The result was consistent with the  
23 findings of Ebert *et al.* (1990), who reported that glufosinate ammonium was only slightly toxic  
24 following oral exposure in rats and dogs. And with the EEC of 1.0 mg/L, the Basta formulation, if  
25 applied correctly in aquatic habitat does not guarantee no effect at 96-hour LC<sub>50</sub> of 2.24 mg/L, as  
26 concentrations spikes just after application may exceed the LC<sub>50</sub> concentration. This therefore calls  
27 for concern on the application of this formulation on the safety of the sensitive embryos of aquatic  
28 organisms like amphibians.

29 Although the MCIG (minimum concentration inhibiting growth) endpoint has been known  
30 to vary (Bantle *et al.*, 1999), the present study confirmed decreasing growth as concentration  
31 increased, for several of the herbicides. Of the three glyphosate-based formulations, only Kilo Max  
32 and Roundup showed a significant concentration dependent growth inhibition. This result support

1 the findings of Edginton *et al.* (2004a), who noted a concentration dependent decrease in growth  
2 rate of *X. laevis* exposed to Vision formulation of Glyphosate at concentration between 1.4 to 3.3  
3 mg a.e /L. The Roundup and Kilo Max formulations can therefore be classified as growth disruptor.  
4 Enviro glyphosate, did not show much evidence for growth inhibition, even at very high  
5 concentrations. This result is consistent with the submission of Lanctot *et al.* (2013), that some  
6 glyphosate-based herbicide formulations present little evidence for major effects on growth and  
7 development of tadpoles.

8         The Midstream formulation, on the other hand showed rather strong inhibition of growth at  
9 all exposure concentrations. The control tadpoles was more than twice the average length (11.07 vs  
10 4.98) of the exposed tadpoles, even at the lowest exposure concentration. This inhibition result  
11 support the finding of Anderson and Prahland, (1976), who noted that Diquat formulation inhibited  
12 general body growth in amphibian embryos at concentration of 0.0015 mg/L (Present MCIG = 1.0  
13 mg/L). The fact that midstream formulation inhibited more than 100% body growth rate, at  
14 concentration below its expected environmental concentration of 1.0 mg/L (Peterson *et al.*, 1994),  
15 suggest that this formulation is a strong growth disruptor (Anderson and Prahlad 1976; Selypes *et*  
16 *al.*, 1980). Clearly, more research is needed to understand the mechanism of action behind the  
17 inhibition as well as investigate the potential disruption of thyroid endocrine system, known to  
18 control development in tadpoles (Kloas *et al.*, 2003; Opitz *et al.*, 2005; Coady *et al.*, 2009).

19         The Arsenal formulation, in the exposure range of 30-45 mg/L revealed a concentrated  
20 dependent significant growth reduction, which showed the inhibiting potential of this formulation.  
21 The Arsenal formulation can therefore be classified as growth disruptor. In the case of Basta  
22 formulation (Glufosinate ammonium), there was a significant reduction in the embryo exposed to  
23 this herbicide, particularly through the range from 2.0 mg/l to 3.0 mg/l. The fact that this herbicide  
24 has an expected environmental concentration of 1.0 mg/L (Dinehart *et al.*, 2010) and resulted in  
25 significant growth inhibition from 2 mg/L concentration, makes it a strong growth disruptor.  
26 Watanabe and Iwase, (1996) reported that Basta cause growth retardation at 0.1 mg/L in mice  
27 embryo cultures. Further study is needed and needs to be extended to the thyroid endocrine system,  
28 given the impacts on growth at relative low concentrations of the formulation. For this formulation,  
29 the observed malformations included axial abnormalities that encompass curve tail as well as wavy  
30 tail. There was also the incident of severe edema, and gut abnormalities.

31         The teratogenic potential has been widely used as one of the FETAX endpoints (Bantle *et*  
32 *al.*, 1999; Leconte and Mouche, 2013). Bantle *et al.* (1999) also showed that it is a less variable

1 endpoint for non-lethal toxic effects. The fact that Leconte and Mouche (2013) showed a good  
2 correlation (85 %) with human malformations, add value to this endpoint. Bantle *et al.* (1999)  
3 suggested that a teratogenic index value larger than 1.5 would indicate positive teratogenic  
4 potential. On the other hand, Laconte and Mouche (2013) regarded TI values  $> 1.2$  as positive  
5 dysmophogenic. In the present study, of the three glyphosate-based formulations, only exposure to  
6 enviro glyphosate (TI of 1.6) suggested positive teratogenic potential. In terms of the Laconte and  
7 Mouche, (2013) threshold TI value of 1.2, Roundup and Kilo Max could also be regarded as  
8 teratogenic at TI of 1.4 each. The marginal or low teratogenic potential of Roundup found in this  
9 study is in support of the findings of Lewinski *et al.* (2010), who reported that a concentrations  
10 range of 0.25-5 mg/L of Roundup did not induced any serious malformations during organ  
11 morphogenesis. The associated malformations observed in the three glyphosate formulation were  
12 very similar, with gut abnormalities and edema as the most dominant malformations across the  
13 three formulations. This result confirmed the findings of Lajmanovich *et al.* (2002), who reported  
14 that larval exposed to similar GLY-F formulation of glyphosate exhibited same pattern of  
15 abnormalities that include abdominal, craniofacial, eye abnormalities and bent/curved tail.

16 The teratogenic index (TI) for Midstream formulation (Diquat) was 3.5, which would be  
17 considered relatively high (TI  $> 1.5$ ) (Bantle *et al.* 2013). This TI result is consistent with the  
18 findings of Osano *et al.*, (2002) who reported a TI of 3.72 for Paraquat, a similarly related  
19 quaternary ammonium member. The high teratogenicity of these two herbicides may then be a trait  
20 for the members of quaternary ammonium. Midstream formulation also exhibited widespread  
21 occurrence of malformations with severe generalised edema, as the most common abnormalities.  
22 These edema abnormalities according to Osano *et al.* (2002) may be due to a disruption of  
23 osmoregulation from cell membrane lipid layer disruption. There were also occurrences of  
24 blistering, gut abnormalities, wavy tails, tail flexure and eye malformation. But the most surprising  
25 of abnormalities was the occurrence of double headed and multiple tails embryo, which according  
26 Bantle *et al.* (1990) is probably the most unusual embryo abnormalities produced in FETAX testing  
27 till date. This could be a signal of high teratogenic potency of this formulation.

28 Lastly, the Arsenal formulation, revealed a TI of 1.3, which is below the 1.5, Bantle *et al.*  
29 (1999) threshold but above the Leconte and Mouche (2013) TI value of 1.2, indicating a positive  
30 dymorphogenic potential. The main abnormalities observed in this herbicide included gut  
31 malformation that showed slightly improper gut coiling as well as complex improper gut formation.

1 Severe generalised edema was also frequently observed and may be linked to disruption in  
2 osmoregulation cause by cell membrane lipid layer disruption (Osaro *et al.*, 2002).

3

### 4 **3.5. Conclusion**

5 In conclusion, this present study showed that Roundup, Midstream and Basta formulations  
6 are highly/moderately embryotoxic to the *Xenopus laevis*, while Arsenal, Enviro glyphosate and  
7 Kilo Max showed relative low toxicity to the *X. laevis* embryo. Kilo max, Roundup, Basta, and  
8 Arsenal formulation revealed significant growth disruption. In terms of teratogenicity, Midstream  
9 formulation showed a strong teratogenic potential, while Enviro glyphosate showed positive  
10 teratogenicity at relative high exposure concentrations.

11 This result showed that the alleged contribution of pesticides/chemicals contamination to  
12 the global declines of amphibian cannot be ruled out, as many of these formulations revealed  
13 toxicity, growth inhibition and teratogenicity to the challenges facing amphibians, particularly at  
14 the sensitive embryonic stages. The importance of embryotoxicity assessment at the first tier stage  
15 testing must not be underestimated, especially screening the formulation as they are applied in  
16 practice, and not just the active ingredient. This information will be valuable when planning  
17 investigation including other endpoint, for example testing the endocrine disruption hypothesis. As  
18 was the case with Roundup, if there is high toxicity or teratogenicity potential additional  
19 experimentation to investigate the effects of formulation ingredients (if known) must follow.

20 In South Africa, the advantages of extensive assessment of relatively large pesticides  
21 including herbicides currently been used, in the intensive agriculture practices as well as terrestrial  
22 and aquatic alien plant control, for example the Working for Water and Work for Wetlands  
23 programmes coordinated by government agencies cannot be over emphasised. Herbicide  
24 formulation selection could be done on a scientific basis and ensure limited impacts on the wildlife,  
25 especially aquatic organisms. More importantly, amphibians are not standard test animals and  
26 usually official testing only include fish and aquatic invertebrates as representative for aquatic  
27 organisms. Transferring these data to amphibians remains questionable (Wagner *et al.*, 2013).

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## 23 Chapter Four

24

### 25 Potential thyroid system disruption by six selected herbicide formulations using the *Xenopus* 26 Metamorphosis Assay (XEMA).

#### 27 4.1 Introduction

28 Accumulating evidence is showing the increasing contribution of agrichemicals to endocrine  
29 disrupting activities in receiving aquatic environments (Mnief, 2011; Bergman *et al.*, 2013), which  
30 cut across the agrichemicals including insecticides, herbicides and fungicides. Many of these  
31 pesticides along with other man-made chemicals are today encountered in various compartments in  
32 the environment, including ground and surface water, widely used for wildlife and human  
33 consumption (Plakas and Karabelas, 2012).

34 In reaction to the concerns raised about the possibility of environmental chemicals acting as  
35 disruptors of endocrine pathways or interactions of endogenous hormones with their respective  
36 receptors, organizations like USEPA (USE-PA, 2009) OECD (OECD, 2006) suggested a two tiered

1 screening and testing programme. The Tier 1 screening battery consist of eleven separate bioassays,  
2 mostly single cell (in vitro) assays, but also including two assays using tadpoles or fish (in vivo)  
3 tests. Through weight of evidence, following testing at this level, a chemical may continue to be  
4 tested at the tier 2 level, mostly including in vivo testing. The *Xenopus* Metamorphosis Assay  
5 (XEMA or AMA) is performed in an aquatic situation as a 21-day exposure assay to evaluate  
6 environmental chemicals specifically for potential interaction with the hypothalamus-pituitary-  
7 thyroid (HPT) pathway.

8         Although the initial focus of the endocrine disruptor screening activity was on potential  
9 interaction with the reproductive system, including both females and male steroidogenic pathways  
10 and target-tissue receptors, recently, increasing concern that many of these pesticides and chemicals  
11 may interfere with the normal function of the hypothalamic-pituitary-thyroid (HPT) axis (OECD,  
12 2006; Bergman *et al.*, 2013; Heindel *et al.*, 2013). Currently, several researches link exposure  
13 impacts of the many pesticides and several industrial chemicals to thyroid hormone (TH) disruption  
14 (Cauble and Wagner, 2004; Opitz *et al.*, 2009; Brande-Lavridsen *et al.*, 2010; Helbing *et al.*, 2011;  
15 Boggs *et al.*, 2013; WHO, 2013).

16         Thyroid hormone (THs) are essential for normal growth and development in all vertebrates  
17 (OECD, 2006; Jobling *et al.*, 2013). More than any other hormone, THs influence the activity of  
18 wider varieties of tissues and biological functions including osmoregulation, metabolism, and post-  
19 hatch metamorphosis in fish and amphibian (Blanton and Specker, 2007). The synthesis and  
20 regulation of THs release, delivery to tissues and cells as well as transformation to different forms  
21 and interaction with target tissue receptors, during development and in the adult, represent a  
22 complex system including an extended network of feedback systems (Norris and Carr, 2006; Fort  
23 *et al.*, 2007; Jobling *et al.*, 2013). In amphibians, THs are required for necessary remodelling in  
24 transforming a basic aquatic organism into a terrestrial one (metamorphosis). In fact, it is now well  
25 known that the role and importance of thyroid hormones in amphibian development is unparalleled  
26 in any other vertebrate group (Norris and Carr, 2006). It is therefore not surprising, that  
27 metamorphosis received so much attention as biomarker system for thyroid system disruption in a  
28 fully aquatic species like the Africa clawed frog, *Xenopus laevis*.

29         The fact that environmental chemicals could affect thyroid axis at multiple sites including;  
30 the central nervous system (CNS), pituitary, the thyroid glands, during TH transport, during TH  
31 metabolism and elimination as well as in peripheral tissues (OECD, 2007), makes thyroid disruption  
32 crucial to the development and survival of the organisms (Kortenkarp *et al.*, 2011). The realization

1 of the pivotal regulatory role of THs during amphibian metamorphosis, led to the selection of the  
2 amphibian thyroid system as an *in vivo* model to screen for thyroid disrupting activity (OECD,  
3 2006; Fort *et al.*, 2007). The aim being to capture the integration of numerous potential points of  
4 modulations within the thyroid endocrine system that may be disrupted during exposure to thyroid  
5 toxicants. The USA-EPA's DRP reviewed several anuran species candidate and recommended that  
6 the African Clawed Frog *X. laevis* be used in metamorphosis assay (Xenopus Metamorphosis  
7 Assay) (Degitz *et al.*, 2005; Fort *et al.*, 2007).

8 Unlike the insecticide and fungicide pesticides, less attention has been relatively paid to the  
9 herbicides, as endocrine disruptors. Yet, more than 21% of the pesticides that have been implicated  
10 as endocrine modulators are herbicides (Mnif, 2011). The atrazine experience, particularly in  
11 relation to thyroid, reproductive and endocrine disruption generally, also increased the research  
12 attention on the herbicidal group. The atrazine has generated widespread controversy based on  
13 conflicting scientific report from ecological and physiological impact (Hayes *et al.*, 2002; Hayes *et*  
14 *al.*, 2006; Kloas *et al.*, 2009). In this conflicting scientific reports, several researchers have shown  
15 numerous endocrine disrupting properties (Hayes *et al.*, 2003; Coady *et al.*, 2005; Hotchkiss *et al.*,  
16 2008; Lenkwoski *et al.*, 2008), while some others reports have shown that atrazine have not shown  
17 consistent endocrine disrupting properties (Preez *et al.*, 2008; Kloas *et al.*, 2009). Another herbicide  
18 with conflicting scientific results is Roundup. While several researchers on Roundup have shown  
19 high toxicity as well as endocrine disrupting potential (Benachour and Seratoni, 2009; Paganelli *et*  
20 *al.*, 2010), some other reports have shown inconsistent results. The general lesson from these two  
21 herbicide formulations has taught scientists to always be on the side of caution as regards the  
22 ecological and endocrine disrupting properties of pesticide substances.

23 Several studies have linked herbicides formulations to thyroid disruption: including  
24 tributyltin (Fort *et al.*, 2004; Shi *et al.*, 2012), Glyphosate (Cauble and Wagner, 2005), atrazine  
25 (Hayes *et al.*, 2003; Hayes *et al.*, 2006; Rohr and McCoy, 2010). Case studies coming specifically  
26 from mammals as well as non-mammalian vertebrates are also growing exponentially (Kidd *et al.*,  
27 2013).

28 Although atrazine has been focus in some studies in South Africa, but the health and  
29 ecological impact of several other commonly applied herbicide formulations, particularly used  
30 locally in South Africa are still unknown, particularly on the thyroid system. Similar to the other  
31 part of the world, several herbicide formulations are used in agricultural, forestry and garden across  
32 the country, as well as eradication of alien plants in rivers catchment zones (Bold, 2007).

1           Linked to continuous deterioration of aquatic conditions, amphibian global population are  
2 thought to be declining at a rapid rate (Stuart *et al.*, 2004; Marllatt *et al.*, 2012). This hypothesized  
3 global amphibian decline have been widely linked to increasing volumes of pesticides in the  
4 environment (Oka *et al.*, 2008; Shi *et al.*, 2012), potentially, through disruption of several  
5 physiological systems including the thyroid and reproductive systems. Because amphibians  
6 undergo complex and well-orchestrated morphological changes during their metamorphosis, that  
7 are controlled by hormones, thyroidal and adrenal, respectively (Marllatt *et al.*, 2012), insult on  
8 these thyroid gland results in disruption of metamorphosis.

9           South Africa is recognised as one of the main food-producing countries in Africa, and as  
10 such, also recognized as the pesticides market hub in the sub-Saharan African region (Bollmohr *et*  
11 *al.*, 2008; Ansara-Ross *et al.*, 2013; Dabroski *et al.*, 2014). Moreover, a governmental employing  
12 programme aimed at the eradication of alien plants from River catchment areas, the Working for  
13 Water (WfW) program, utilize several herbicide formulations as part of the chemical control  
14 program, for example, glyphosate formulations (Roundup, Kilo Max, Enviro glyphosate), diquat  
15 dibromide (Midstream), glufosinate ammonium (Basta) and imazapyr (Arsenal) among others  
16 (Bold, 2007). Although toxicological thresholds for these chemicals are known, potential endocrine  
17 modulation effects on aquatic vertebrates, happening at low, mostly non-lethal concentrations  
18 remains largely unknown.

19           Imazapyr is one of the most widely used herbicide active ingredients in the world. Imazapyr  
20 is essentially stable to hydrolysis, aerobic and anaerobic soil degradation, as well as aerobic and  
21 anaerobic aquatic metabolism (EPA, 1995). Hence, it is very mobile and persistent, with half-life  
22 of around 50 months on the soil surface (Vizantinopoulon and Lolos, 1994). Tatum *et al.* (2010)  
23 reported the expected environmental concentration to be 0.92mg/L, but according to Washington  
24 State Department of Agriculture (WSDA) (WSDA, 2003), concentration of up to 5.7 mg/L have  
25 been measured in surface water and treated sediment. WSDA, (2003) concluded that Imazapyr has  
26 not been thoroughly tested for chronic or sub-lethal effects on wide variety of aquatic organisms.  
27 Well-known formulations of Imazapyr include Arsenal, Chopper and Format. The Arsenal  
28 formulation, selected for the present study, consists of 25 g/L Imazapyr, 186 g/L of ammonium  
29 hydroxide, 18 g/L of nonyl phenol ethoxylate (9 ethoxylated ring) and water (Grisolia *et al.*, 2004).

30           Diquat dibromide (9, 10-dihydro-8a, 10a-diazonia phenanthrene ion) is used as a post  
31 emergent herbicide. It is a non-selective contact herbicide and crop desiccant that is also used in  
32 aquatic weeds control programmes (Emmett, 2002; WHO, 2004). It is widely used in the United

1 State, North America, Europe, Australia, Japan and Africa (Emmett, 2002; WHO, 2004). The  
2 expected environmental concentration of diquat dibromide is 0.073 mg/L (Peterson *et al.*, 1994).  
3 One of its commonly known formulations is Midstream containing nonyl phenol ethoxylate as  
4 surfactant, also implicated to have estrogenic activity (Trumbo, 2005; Othman, 2009).

5 Glufosinate ammonium (N-phosphonomethyl) glycine) is a broad spectrum and systemic  
6 herbicide (Ebert *et al.*, 1990; Hack *et al.*, 1994). Owing to the structural analogy of glufosinate  
7 ammonium to glutamate, it acts as an irreversible inhibitor of glutamine synthetase activity in  
8 different tissues that often lead to slight increases of glutamate and ammonia (Hack *et al.*, 1994).  
9 The expected environmental concentration of glufosinate is 1.0 mg/L (Dinehart *et al.*, 2010).  
10 Glufosinate ammonium formulations include Basta, Rely, Finale and Challenges. Basta formulation  
11 used in the present study, contains 18.5% glufosinate ammonium and 30% of sodium  
12 polyoxyethylene alkylether sulphate (AES) surfactant (Koyama *et al.*, 1997).

13 Glyphosate (N-(phosphonomethyl) glycine) is a broad-spectrum, non-selective, post-  
14 emergence herbicide (WHO, 1994; 1996). It is perhaps the most important and best-selling  
15 herbicide ever produced (Mann *et al.*, 2009; Gungordu *et al.*, 2013; Wagner *et al.*, 2013; Yadav  
16 *et al.*, 2013). The increasing global use of glyphosate herbicide formulations has generated  
17 widespread concern on their potential adverse effects in aquatic ecosystem (Perez *et al.*, 2011;  
18 Mensah *et al.*, 2013; Yadav *et al.*, 2013; Lanctot *et al.*, 2013). The expected environmental  
19 concentration of glyphosate is 2.85 mg/L, but the highest reported environmental concentration is  
20 1.7 mg/L (Homer, 1990; Govindarajulu, 2008). Most glyphosate-based herbicides are manufactured  
21 under varying trade names such as Roundup Original, Roundup WeatherMax, Vision, VisionMax,  
22 Rodeo, Touchdown and Glyfos etc. (Lanctot *et al.*, 2013). These Glyphosate based-herbicides are  
23 either applied with or without surfactant. Roundup (included in the present study) is the most  
24 common glyphosate formulation consist of isopropylamine salt along with the surfactant  
25 polyethoxylated tallow amine (POEA). For Kilo Max (used in the present study), according to the  
26 MSDS, is a glyphosate formulation with sodium salt and an undisclosed surfactant. Another  
27 Glyphosate formulation in the present study is Enviro glyphosate, which contains isopropyl  
28 ammonium salt and polyethylene alkylamine as surfactant. Research evidence are mounting  
29 suggesting that the increased use of glyphosate may be linked to high mortality, gonadal  
30 malformation, disruption in growth and developmental rate in amphibians (tadpoles) (Williams and  
31 Semlitsch, 2010; Jones *et al.*, 2010; 2011, Relyea 2012), resulting in alteration of aquatic

1 biodiversity as well as ecosystem functions and services (Valencia- Aguilara *et al.*, 2012; Yadav *et*  
2 *al.*, 2013).

3 The present study therefore assessed the potential impact of the six selected herbicide  
4 formulations including Midstream (diquat dibromide), Basta (glufosinate ammonium) and Arsenal  
5 (imazapyr) as well as new glyphosate formulations including Kilo Max and Enviro Glyphosate  
6 alongside the established Roundup formulation on the thyroid endocrine system, using the  
7 established amphibian metamorphosis assay that utilise African clawed frog, *Xenopus laevis* as  
8 model species (XEMA protocol).

## 9 **4.2 Materials and Methods**

### 10 **4.2.1 Test chemicals**

11 The herbicide formulations include the following: Arsenal (imazapyr) (BASF Chemical Ltd., South  
12 Africa), Basta (glufosinate ammonium) (Bayer Crop Science AG Ltd., Germany), Midstream  
13 (diquat dibromide) (Syngenta Ltd., South Africa), Roundup (glyphosate) (Monsanto Ltd., South  
14 Africa), Enviro Glyphosate (glyphosate) (Enviro Industries Ltd., South Africa) and Kilo Max  
15 (glyphosate) (Volcano Agro-science Ltd., South Africa).

16

17

### 18 **4.2.2 *Xenopus laevis* breeding and tadpole care**

19 From a *X. laevis* breeding stock, mature adult males and females were maintained separately in 15  
20 L glass tanks containing buffered (2.5 g sea salt/10 L) reverse osmosis water, and were fed three  
21 times per week with fish pellets (Aqua-Nutro, South Africa). Tanks were cleaned and refilled with  
22 clean water after feeding. Breeding induction was performed according to ASTM, (1998) protocol.  
23 Males and females were primed with 100 IU human Chorionic Gonadotropin (hCG) (Merck Ltd  
24 Germany), injected into their dorsal lymph sac, four days prior to mating, followed by a second  
25 treatment just prior to the mating, of 100 IU and 300 IU hCG to males and females respectively.  
26 Single male and female pairs were placed together in 15 L exposure tanks lined with plastic netting  
27 (to ensure separation of the eggs from the adults during oviposition), and place in a well-ventilated  
28 dark place. Theoretically, amplexus occurred within four hours and eggs were deposited within 12  
29 hours after injection. All the eggs and tadpoles staging were done using a normal developmental

1 atlas by Nieuwkoop and Faber (1994) (referred to as NF-stages). The eggs were collected and then  
2 spread out into several well aerated 15 L tanks at the density of 50 tadpoles per 10 L water. Feeding  
3 commenced once the tadpoles reached free swimming NF-stage 47-48, and they were fed two times  
4 per day until they reached NF-stage 51. Breeding and tadpoles maintenance operating procedures  
5 were approved by the Animal Research Ethical Committee (AREC) of the Stellenbosch University  
6 (Approval no- SU-ACUM 12-00015).

### 7 **4.2.3 Test procedure**

#### 8 **4.2.3.1. Exposure set-up**

9 Newly hatched tadpoles were distributed into several 15 L tanks at density of 40 tadpoles per tank,  
10 to reduce growth effects of overcrowding. Tadpoles were fed a powdered algae mixture, Sera Micro  
11 (Sera, Heinsberg, Germany), until they attained NF stage 51. At NF-stage 51, twenty  
12 premetamorphic tadpoles were randomly selected from holding glass tanks and transferred to 15 L  
13 exposure tanks. Individual exposure tanks were replicated twice at each of the selected  
14 concentrations. The exposures were done under controlled climate conditions according to the  
15 XEMA experimental protocol (OECD, 2008), the following physical conditions were applied  
16 including water temperature at  $23 \pm 1$  °C, pH ranging between 7.5 - 8.5, ensured dissolve oxygen  
17 of  $>3.5$  mg/L exposed to a 12 hours of light and dark photoperiod (L<sub>12</sub>D<sub>12</sub>) regime. The tadpoles  
18 were fed Sera Micron (Sera Heinsberg, Germany) from 30 mg/animal/day initially and later  
19 increased to 50 mg/animal/day in other to account for the increased in growth (OECD, 2008).

20

#### 21 **4.2.3.2. Exposure Concentrations**

22 The selected herbicide exposure concentrations were centred on relevant and low environmental  
23 relevance concentration (ERC) or 96-hour LC<sub>50</sub> for NF-stage 48 or NF-stage 60 of the *X. laevis*  
24 tadpoles (see Table 2.2 in Chapter two). Mortality in tanks was monitored every day while the  
25 exposure medium was completely replaced every third day (Monday, Wednesday and Friday). Only  
26 mortality incidence less than 10% in the control group was accepted for the experiment to continue  
27 (OECD, 2008).

28 **Table 4.1: The selected exposure concentrations (centred on the 96-hour LC<sub>50</sub> for NF-stage**  
29 **48 / 60 of *X. laevis* tadpoles) of the six selected herbicide formulations.**

Formulation	Exposure (mg/a.e./L)	conc. Based on LC50 /ERC
Arsenal	0, 0.5, 2.0, 3.5	Low ERC of 5.77 mg/L
Basta	0, 0.05, 0.15 and 0.25	Low ERC and LC <sub>50</sub> of 0.59 mg/L at NF-stage 48
Midstream	0, 0.05, 0.11 and 0.14	Low ERC and LC <sub>50</sub> of 0.2 mg/L at NF-stage 48
Roundup	0, 0.2, 0.4 and 0.6	Low ERC and LC <sub>50</sub> of 0.89 mg/L at NF-stage 48
Environ Glyphosate	0, 9, 19 and 28	LC <sub>50</sub> of 102 mg/L at NF-stage 48
Kilo Max	0, 90, 190 and 280	LC <sub>50</sub> of 455 mg/L at NF- stage 60

1

#### 2 4.2.4. Autopsy procedure and morphometric measurements.

3 At the end of the 21-days period, the exposures were terminated. The tadpoles were carefully  
4 collected and euthanized in 0.1 % benzocaine. Tadpoles were blotted dry and individually weighed  
5 (to nearest 0.01 g), and snout–vent length (SVL) (to nearest 0.1 mm) recorded and fixed in  
6 Davidson’s solution for 72 hours prior to being transferred to, and preserved in 4 % neutral buffered  
7 formalin (OECD, 2007; Shi *et al.*, 2012). The fore-limb length (FLL) and hind-limb length (HLL)  
8 were measured using Leica EZ4D stereo microscope (Leica Microscope Ltd, Germany) (to nearest  
9 0.1 mm). Digital photographs of the tadpoles were used to determine hind-limb length by using the  
10 metric trace ruler which has the capacity to measure both straight line and curved line using traced  
11 lines. The heads of tadpoles containing the lower jaws, and the thyroid glands, were carefully  
12 severed transversely using a sharp blade, just posterior to the eye, and subjected to routine (paraffin  
13 wax imbedding) histological procedures (Bancroft and Steven, 1977). Sectioning, mounting and  
14 staining then followed (see 4.2.6).

15

#### 16 4.2.5. Developmental Stage (NF-stage) determination

17 Five tadpoles per tank (at similar stage) were selected at each concentration, and were compared to  
18 the median developmental stage of the control for histopathological examination of the thyroid  
19 gland.

1

#### 2 **4.2.6. Histological Procedures.**

3 The lower jaw samples containing the thyroid glands were removed from the formalin, washed in  
4 running tap water and processed for routine paraffin wax-based histology (Bancroft and Steven,  
5 1977). The lower jaws were dehydrated in a series of graded alcohol and embedded (in frontal plane  
6 to facilitate the caudal surface of the tissue first) in histowax (Histolab Product, Sweden). The  
7 embedded tissue were sectioned at 7-8  $\mu\text{m}$  using Reichert-Jung microtome (Cambridge Instrument,  
8 Germany), the sections mounted on clean, albumin coated glass slides and oven-dried (40  $^{\circ}\text{C}$ )  
9 overnight. The section were subsequently dewaxed, stained with haematoxylin and eosin (H & E)  
10 (Bancroft and Stevens, 1977), cleared in xylene before mounting glass cover slips using a resin-  
11 based mounting medium (DPX, Sigma Ltd).

#### 12 **4.2.7 Histological measurement of the thyroid.**

13 Using the right-side thyroid, the thyroid image (Leica DMLB microscope equipped with digital  
14 camera (Leica Microscope Ltd, Germany) was used to measure the epithelia cell heights by taking  
15 measurement from the base to the apical edge of the cell. The epithelia cell height of four cells were  
16 randomly measured, producing 60 cell-height measurement per individual. A mean value was then  
17 calculated per individual and used with other individual group members to calculate a group mean  
18 for follicle cell height. Follicular cross sectional area (follicle lumen area), as well as thyroid cross  
19 sectional area were also measured and calculated (using image analysis software (Sigmascan, Systat  
20 Software Inc.) using the right thyroid gland side of each tadpoles by measuring cross sectional area  
21 of all the serial sections and then summed. Ten follicles in each section were measured in each  
22 section, making ten thyroid follicle in each tadpoles. The data was then combined for all of the  
23 tadpoles in each exposure group. The averages of sum total of the cross-sectional areas give the  
24 gland area for each exposure group.

#### 25 **4.2.8 Data analysis**

26 The non-parametric Kruskal-Wallis test was used to assess variation in median NF-stage among  
27 exposure groups (since developmental stage constitutes ordinal data), followed by Dunn's multiple  
28 comparison test (DMCT) to identify significant pairwise differences in stages (Shi *et al.*, 2012).  
29 Normality and homogeneity of variance in wet body mass (WBM), whole body length (WBL) and  
30 snout to vent length (SVL) data were analysed using Shapiro-Wilk's and Levene's tests  
31 respectively. One way ANOVA or Kruskal-Wallis ANOVA test (K-W ANOVA) for non-  
32 parametric data was subsequently used to analyse variations among exposure groups. Front limb

1 length (FLL) and hind limb length (HLL) were normalized to snout vent length in order to correct  
2 for the effect of growth (or size related NF stages) (Coady *et al.*, 2010). Normality of the normalized  
3 FLL and HLL was evaluated using residuals' normal probability plots and the Shapiro-Wilks test,  
4 whereas Levene's test was applied to test for homogeneity of variance. The effect of treatment (i.e.  
5 specific pesticide concentration), developmental stage and the treatment stage interaction on FLL  
6 and HLL was tested using mixed model ANOVA, with individual tadpole as random factor.  
7 Pairwise differences in WBM, WBL, SVL and normalized FLL and HLL between pesticide  
8 treatments and the control groups were assessed using the Tukey HSD test with Spjotfoll/Stoline  
9 correction for parametric data or the Dunn's test for non-parametric data. Significant differences  
10 between treatments were taken at  $P < 0.05$ . All statistical analyses were performed using Statistica  
11 V12 (Statsoft Inc., USA).

12

### 13 **4.3. Results**

#### 14 **4.3.1 Arsenal formulation (imazapyr)**

##### 15 **4.3.1.1. Mortality**

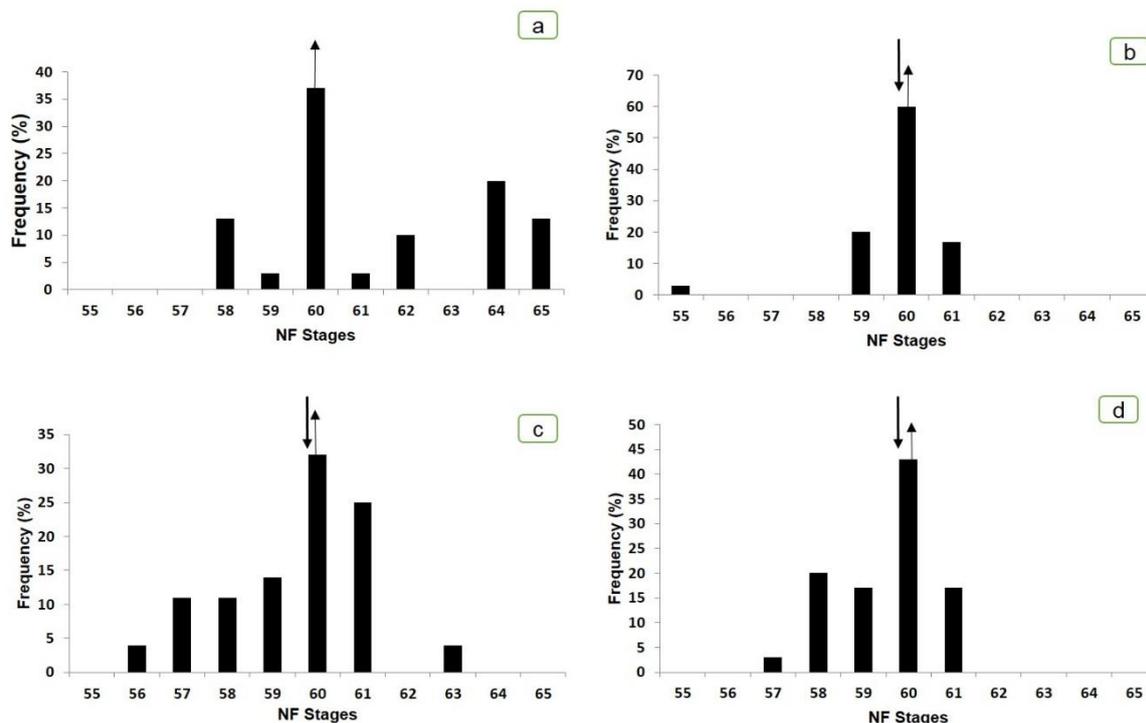
16 There was no incidence of mortality recorded in all the exposure tanks as well as the control tanks,  
17 throughout the 21-days exposure period.

18

19

##### 20 **4.3.1.2. Variation in Developmental Stages**

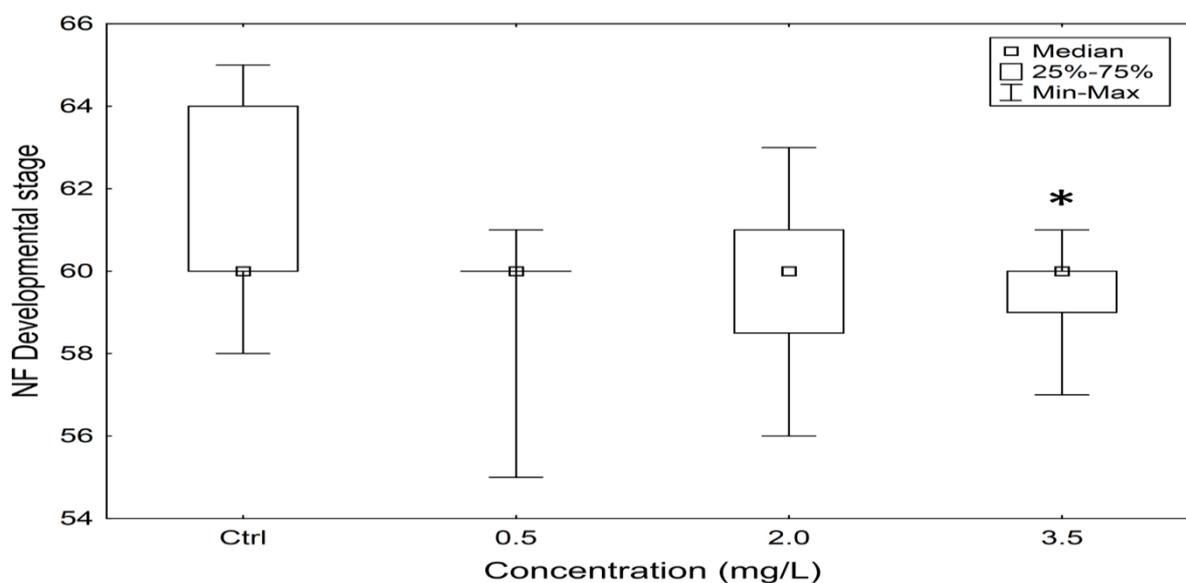
21 After 21-day exposure, the NF developmental stages of the tadpoles exposed to this formulation  
22 ranged between NF-stage 55 through 65 (Table 4.2). The frequency distribution of the NF stages  
23 recorded after exposure (Figure 4.1) showed varied response in development compared to the  
24 control (Figure 4.1 a-d). The control exposure for Arsenal formulation showed relative wide  
25 frequency distribution comprised of NF-stage 58 through NF-stage 65, with a median stage of NF-  
26 stage 60. Relative to the control, even though the median stage remain at the same NF-stage 60 at  
27 all exposure concentrations, the development was totally arrested at under NF-stage 61 at all  
28 concentrations except in concentration 2 mg/L.



1  
 2 Figure 4.1: The frequency distributions (n= 30) of developmental stages (Nieuwkoop and Faber,  
 3 1958) attained by *X. laevis* tadpoles exposed after a 21-day exposure period to clean water (control)  
 4 (a) and graduated concentrations of Arsenal formulation from Concentration 0.5 mg/L (b), 2 mg/L  
 5 (c), and 3.5 mg/L (d). The upward arrow show the median at the control relative to downward arrow  
 6 show the median attained in the various concentrations.

7 Kruskal-Wallis ANOVA test confirmed variation in NF-stage development among the exposed  
 8 tadpoles. Multi-comparison analysis showed that, relative to the control treatment, the delay in  
 9 development was significant at the highest exposure concentration of 3.5 mg/L (DMCT;  $P < 0.05$ )  
 10 relative to the control treatment (Fig. 4.2).

1



2

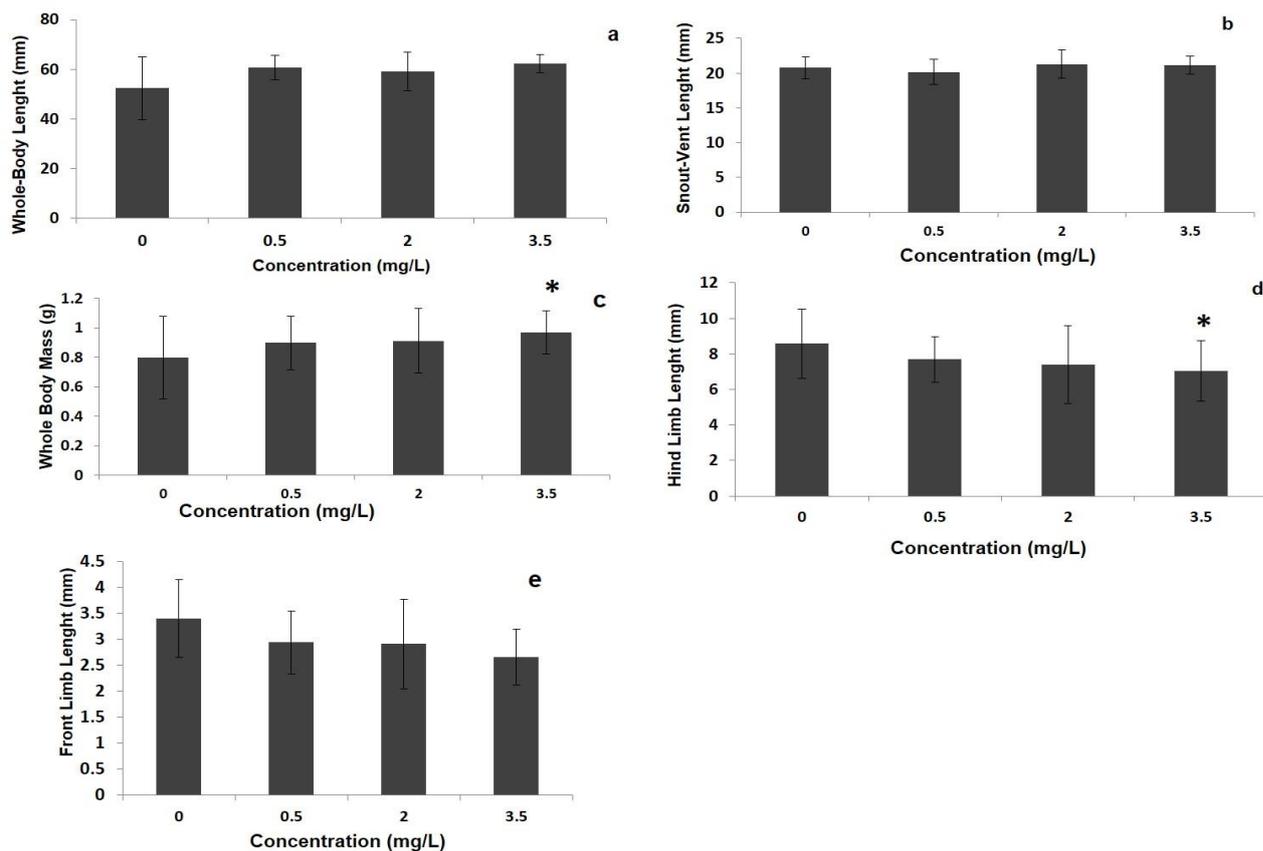
3 Figure 4.2: The NF-stage differentiation of *X. laevis* after 21-days exposure to a range of  
 4 concentrations of the Arsenal formulation compared to a control (Ctrl) group. Asterisks indicate  
 5 significant difference (DMCt,  $P < 0.05$ ) from the control.

6

#### 7 4.3.1.3. Whole body length (WBL) and Snout-vent length (SVL)

8 The exposure impact of the Arsenal formulation showed an increasing mean WBL trend (Fig 4.3a)  
 9 and SVL (Fig 4.3b) of the treated tadpoles relative to their control group. However, Kruskal-Wallis  
 10 ANOVA test showed no significant variation (K-W;  $P > 0.05$ ) in WBL and SVL among all exposure  
 11 concentrations as compared to the tadpoles in the control group.

12



1  
2 Figure 4.3: Exposure impacts of Arsenal formulation on treated *X. laevis* tadpoles: (a) Whole Body  
3 Length, (b) Snout-Vent Length (c) Whole body Mass, (d) Hind Limb Length, (e) Front Limb  
4 Length. Means  $\pm$  SD are indicated. Asterisks indicate significant difference (DMCt,  $P < 0.05$ ) from  
5 the control.

#### 6 4.3.1.4. Whole body mass (WBM)

7 The exposure impact of Arsenal showed concentration dependence increased in WBM compared  
8 to the control. Kruskal-Wallis ANOVA test followed by Tukey HSD multiple comparison test  
9 confirmed a significant increase in WBM of tadpoles exposed at highest exposure concentration of  
10 3.5 mg/L compared to the control group (Tukey HSD;  $P > 0.05$ ) (Fig 4.3 c).

#### 11 4.3.1.5. Hind limb length (HLL)

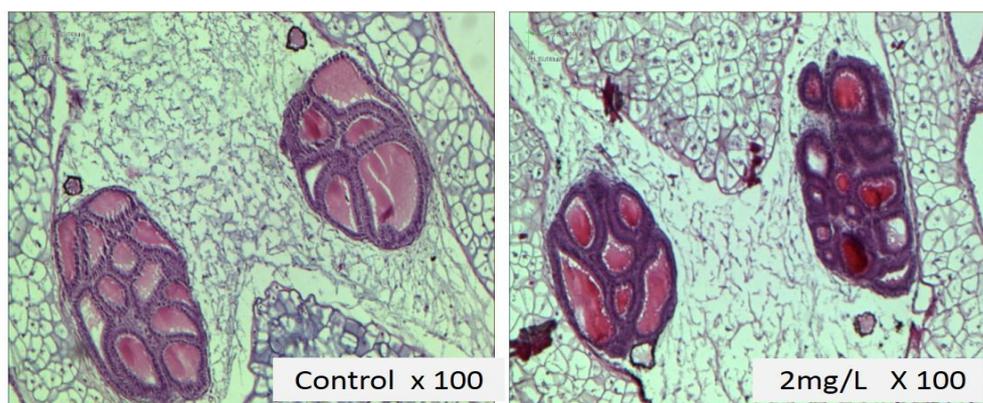
12 The exposure impact of this Arsenal formulation resulted in a reduced mean HLL (normalised) of  
13 the treated tadpoles in a concentration dependent manner, relative to the control group (Figure 4.3d).  
14 Kruskal-Wallis ANOVA test, followed by Tukey HSD multiple comparison test, showed that the  
15 reduced HLL (normalized) of the treated tadpoles was significantly different at exposure  
16 concentrations of only 3.5 mg/L (Tukey HSD test;  $P < 0.05$ ) relative to the control.

#### 1 4.3.1.6. Front limb length (FLL)

2 The exposure impact of this Arsenal formulation on the treated tadpoles showed reduction in mean  
3 FLL (normalized) at all exposure concentrations, relative to the control (Figure 4.3 e). The reduction  
4 in normalized FLL of the treated tadpoles was found not to be significantly different (KW ANOVA  
5 test;  $P > 0.05$ ) compared to the control group.

#### 6 4.3.1.7. Histopathological Endpoints

7 The impact the Arsenal formulation on the developing tadpoles showed that the colloidal (luminal)  
8 area was significantly ( $P < 0.05$ ) reduced at concentrations of 0.5 and 2 mg/l compared to the  
9 colloidal area in the control tadpoles (Table 4.2). The follicle epithelium of the exposed tadpoles  
10 also significantly increased in height ( $P < 0.05$ ) for the treated tadpoles at all exposure  
11 concentrations compared to the control (Table 4.2). For the gland area, the exposure impact of  
12 formulation led to a general reduction in overall gland area, but which was only found to be  
13 significantly different ( $P < 0.05$ ) in the 2 mg/L concentration group when compared to the control  
14 tadpoles (Table 4.2; Fig 4.4).



16 **Figure 4.4:** Cross-sections of the *X. laevis* tadpoles paired thyroid glands exposed to Arsenal  
17 formulation (A) the control, (B) Arsenal at 2 mg/L. The gland area reduction was only statistically  
18 significant at concentrations of 2 mg/L relative to the control (Table 4.2). Micrographs were taken  
19 at 100X magnification

20

21

22

1 **Table 4.2:** Histo-morphometric data following a 21-day XEMA exposure to graded concentrations  
 2 of Arsenal formulation (ARS). Values represent the mean  $\pm$  SD. The zero concentration (ARS)  
 3 represents the control values for each herbicide treatment. The asterisk signified significant  
 4 difference ( $P < 0.05$ ) relative to the control

TREATMEN T Mg/L	Mean Follicle Epithelium (SD)	Mean colloidal Area (SD)	Mean Gland Area (SD)
ARS 0	7.85 (1.48)	4798.35 (3012.1)	119157.5 (33814.9)
ARS 0.05	<b>10.93 (1.52)*</b>	<b>3040.02(2122.6)*</b>	92922.8 (10403.5)
ARS 2.0	<b>11.34 (1.50)*</b>	<b>3189.13 (1783.1)*</b>	<b>83186.2 (25250.6)*</b>
ARS 3.5	<b>11.15 (1.46)*</b>	3588.1 (1964.8)	93683.0 (21768.9)

5

### 6 **4.3.2. Midstream formulation (diquat dibromide)**

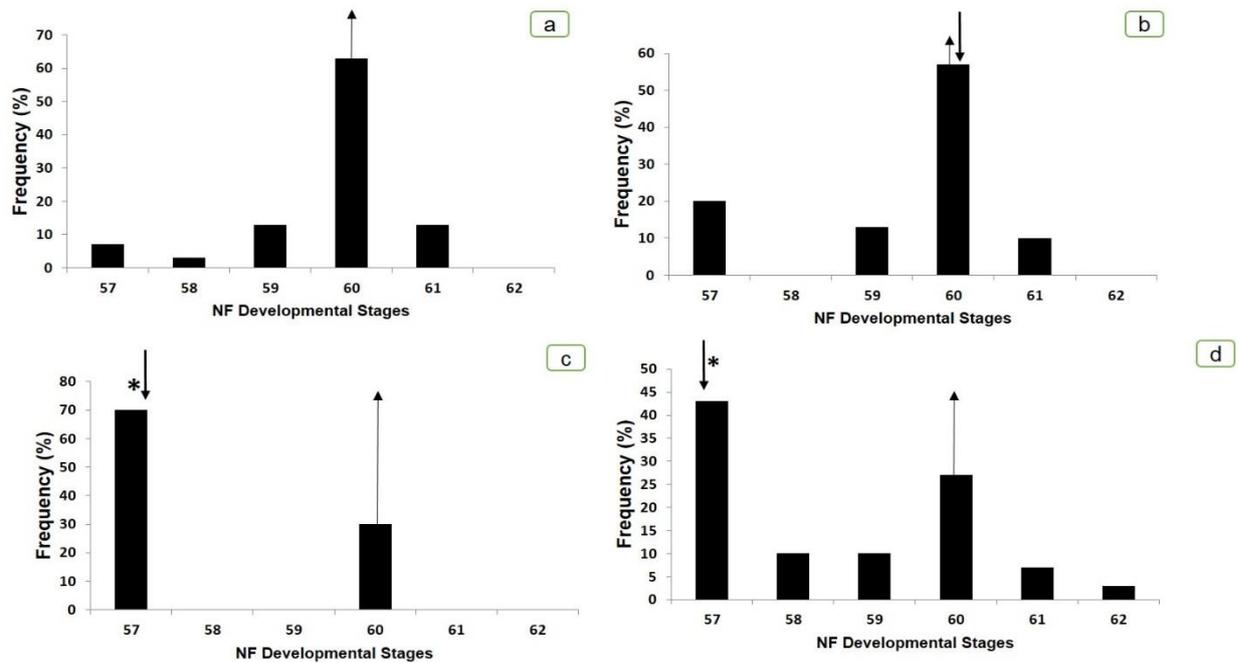
#### 7 **4.3.2.1. Mortality**

8 There was no incidence of mortality in any of the exposure tanks as well or the control tanks,  
 9 throughout the 21 days exposure period.

10

#### 11 **4.3.2.2. Variation in Developmental Stages**

12 The exposure impacts of Midstream formulation on the developing tadpoles showed that the  
 13 frequency distribution ranged from NF-stage 57 through NF-stage 61. Compared to the median of  
 14 NF-stage 60 at the control, the two highest exposure concentrations of 0.11 and 0.14 mg/L produced  
 15 tadpoles with their median developmental stage at NF-stage 57 (Fig 4.5 a-d).



1

2 **Figure 4.5:** The frequency distributions (n=30) of developmental stages (Nieuwkoop and Faber,

3 1958) attained by *X. laevis* tadpoles after the 21-days exposure to graduated concentrations of

4 Midstream formulation relative to the control (Fig 4.5a). The upward arrow show the median NF-

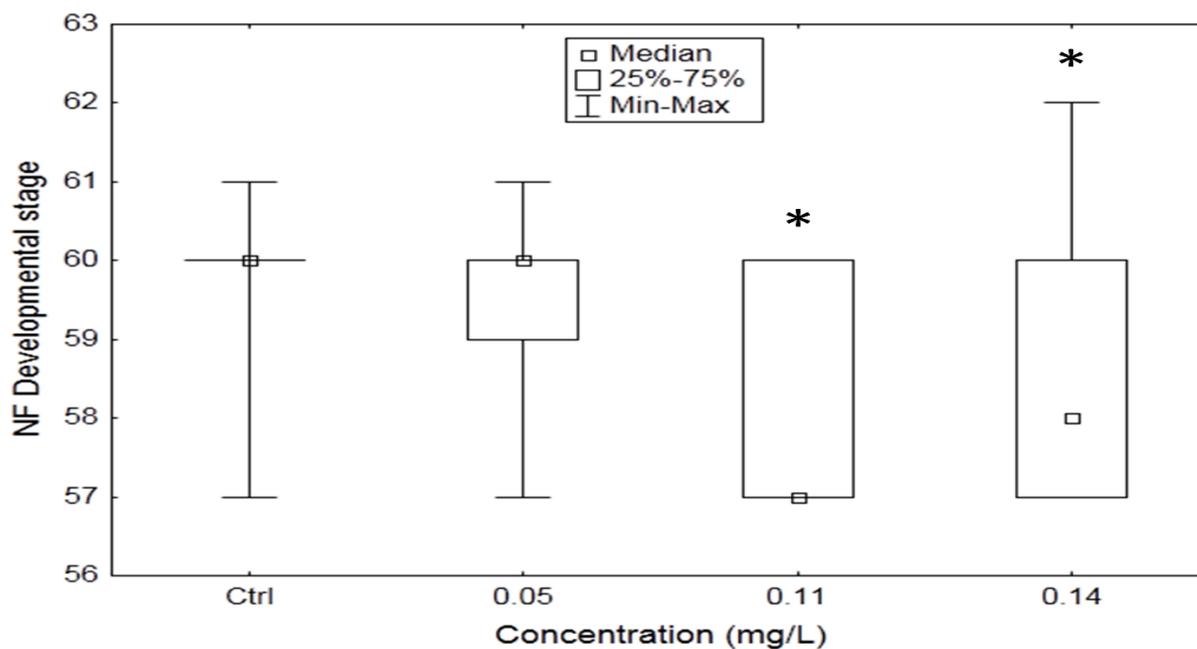
5 stage at the control relative to downward arrow that show the median at the various concentrations.

6 The asterisk showed significant difference (P<0.05) relative to the control

7 Kruskal-Wallis ANOVA, followed by Dunn's Multi-comparison analysis confirmed significant

8 variation (a delay) in NF-stage development between the control and Midstream concentrations of

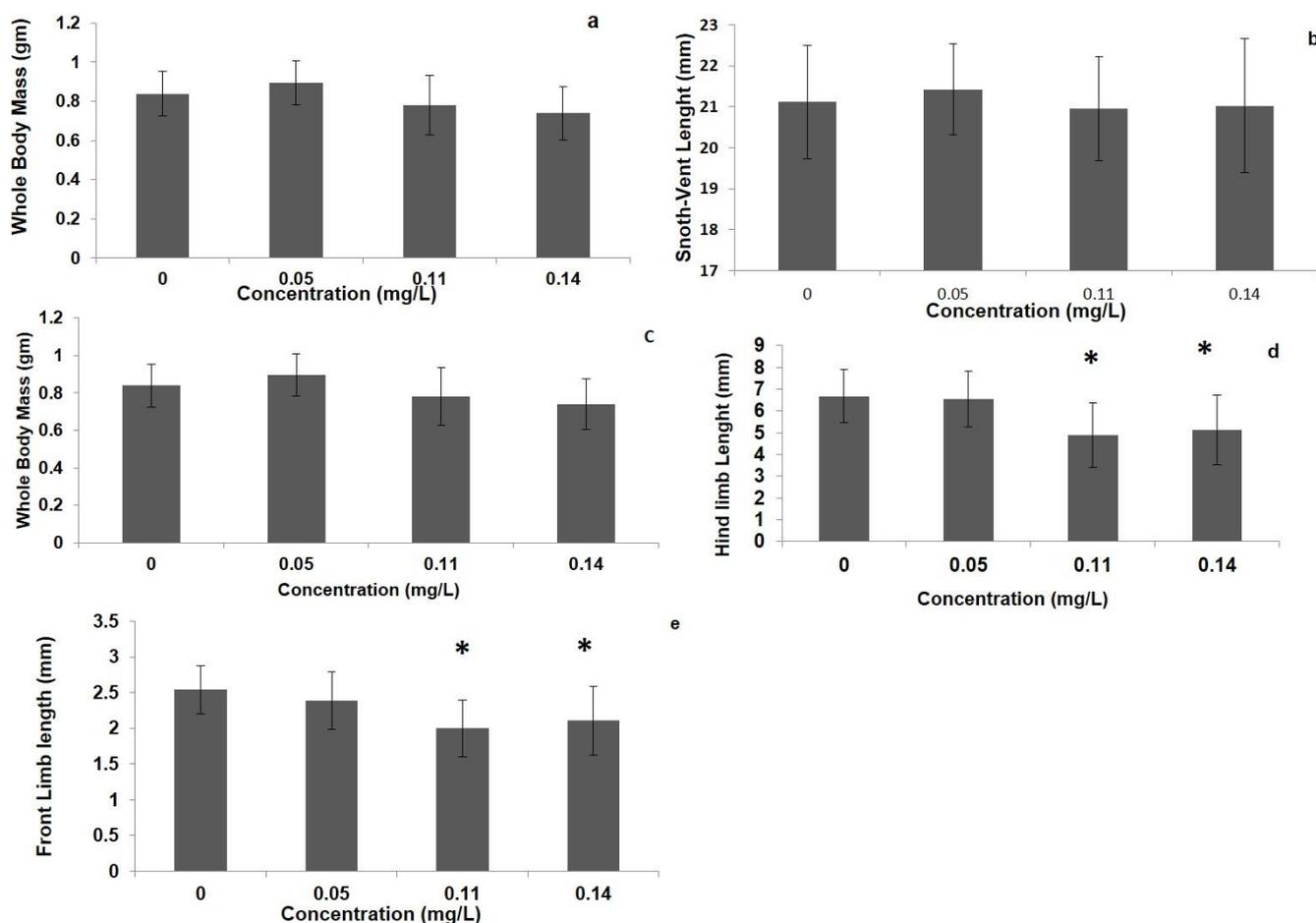
9 0.11 mg/L and 0.14 mg/L (Fig 4.6) (DMCt; P < 0.05).



1  
 2 **Figure 4.6:** NF-stage differentiation following 21-days exposure to graded concentrations of the  
 3 Midstream formulation compared to the control (Ctrl). Asterisks indicate significant difference  
 4 (DMCt;  $P < 0.05$ ) from the control.

#### 5 **4.3.2.3. Whole body length (WBL) and Snout-vent length (SVL)**

6 The exposure to Midstream formulation resulted in slight reduction in mean WBL (Fig 4.7a)  
 7 alongside slight increase in mean SVL (Fig 4.7 b). However, Kruskal-Wallis ANOVA test  
 8 confirmed that these variations were not significant (K-W ANOVA test,  $P > 0.05$ ).



1  
2 **Figure 4.7:** Exposure impacts of Midstream formulation on treated *Xenopus laevis* tadpoles; (a)  
3 Whole Body Length, (b) Snout-Vent Length (c) Whole body Mass, (d) Hind Limb Length, (e) Front  
4 Limb Length. Asterisks indicate significant difference (DMC,  $P < 0.05$ ) from the control

#### 5 4.3.2.4. Whole body mass (WBM)

6 Midstream formulation produced varied responses in mean WBM of the treated tadpoles (Fig 4.7  
7 c). However, Kruskal Wallis ANOVA test showed that this variation in mean WBM was not  
8 significant (K-W ANOVA Test;  $P > 0.05$ ) at all exposure concentrations relative to the control.

#### 9 4.3.2.5. Hind limb length (HLL)

10 The mean HLL (normalized) of the tadpoles exposed to Midstream formulation was found to be  
11 significantly reduced following Kruskal-Wallis ANOVA and Tukey HSD test (T HSD;  $P < 0.05$ ) at  
12 concentrations of 0.11 mg/L and 0.14 mg/L (Fig 4.7d) relative to the control.

13

14

#### 1 **4.3.2.6. Front limb length (FLL)**

2 The exposure of tadpoles to the Midstream formulation resulted in reduction in mean FLL  
3 (normalized) of the treated tadpoles relative to the control (Fig 4.7e). Using Tukey HSD test,  
4 significant difference in reduction was confirmed (T HSD;  $P < 0.05$ ) for the two highest  
5 concentrations of 0.11 mg/L and 0.14 mg/L relative to the control group, respectively.

#### 6 **4.3.2.7. Histopathological Endpoints**

7 The thyroid colloidal (luminal) area in the tadpoles exposed to Midstream formulation was found  
8 to be significantly reduced at the highest exposure concentration of 0.14 mg/L ( $P < 0.05$ ) compared  
9 to the control (Table 4.3). The mean height of the thyroid follicle epithelium showed a significant  
10 increased at only the lowest exposure concentration of 0.05 mg/L compared to the control (KW  
11 ANOVA Test;  $P < 0.05$ ). Mean thyroid gland area, on the other hand, showed no significantly  
12 difference ( $P > 0.05$ ) at all the exposure concentrations compared to the control.

13 **Table 4.3:** Histo-morphometric data following a 21-days XEMA exposure to graded concentrations  
14 of Midstream formulation (MID). Values represent the mean  $\pm$  SD. The zero concentration (MID  
15 0) represents the control values for each herbicide treatment. Asterisk represent significant  
16 difference relative to the control.

TREATMENT Mg/L	Mean Follicle Epithelium (SD)	Mean colloidal Area (SD)	Mean Gland Area (SD)
MID 0	7.82 (1.65)	3410.64 (2299.2)	53903.80 (27630.7)
MID 0.05	<b>8.92 (1.68)*</b>	3146.04 (1793.6)	69585.69 (12328.7)
MID 0.11	8.27 (1.22)	2413.78 (1195.1)	59964.97 (15335.3)
MID 0.14	8.28 (1.33)	<b>1832.86 (999.8)*</b>	39340.26 (10961.2)

17

#### 18 **4.3.3. Basta formulation (glufosinate ammonium)**

##### 19 **4.3.3.1. Mortality**

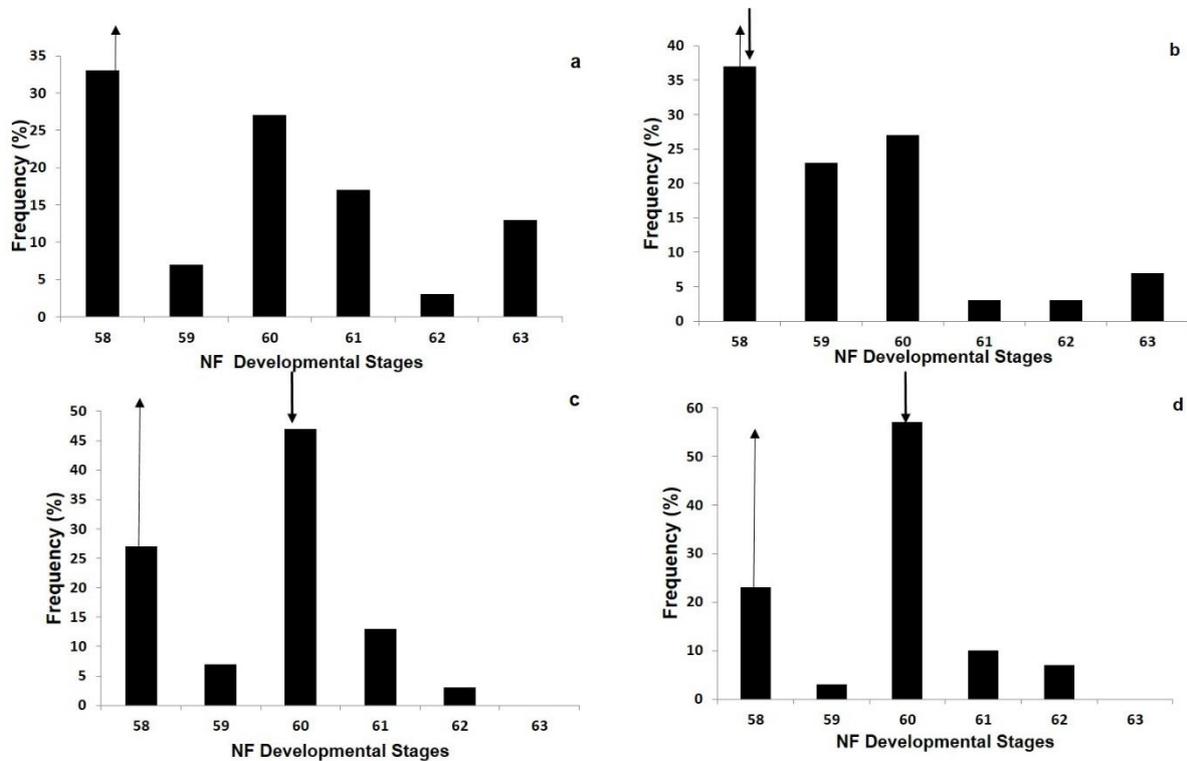
20 There was no incidence of mortality in any of the exposure tanks as well as the control tanks,  
21 throughout the 21 days exposure period.

22

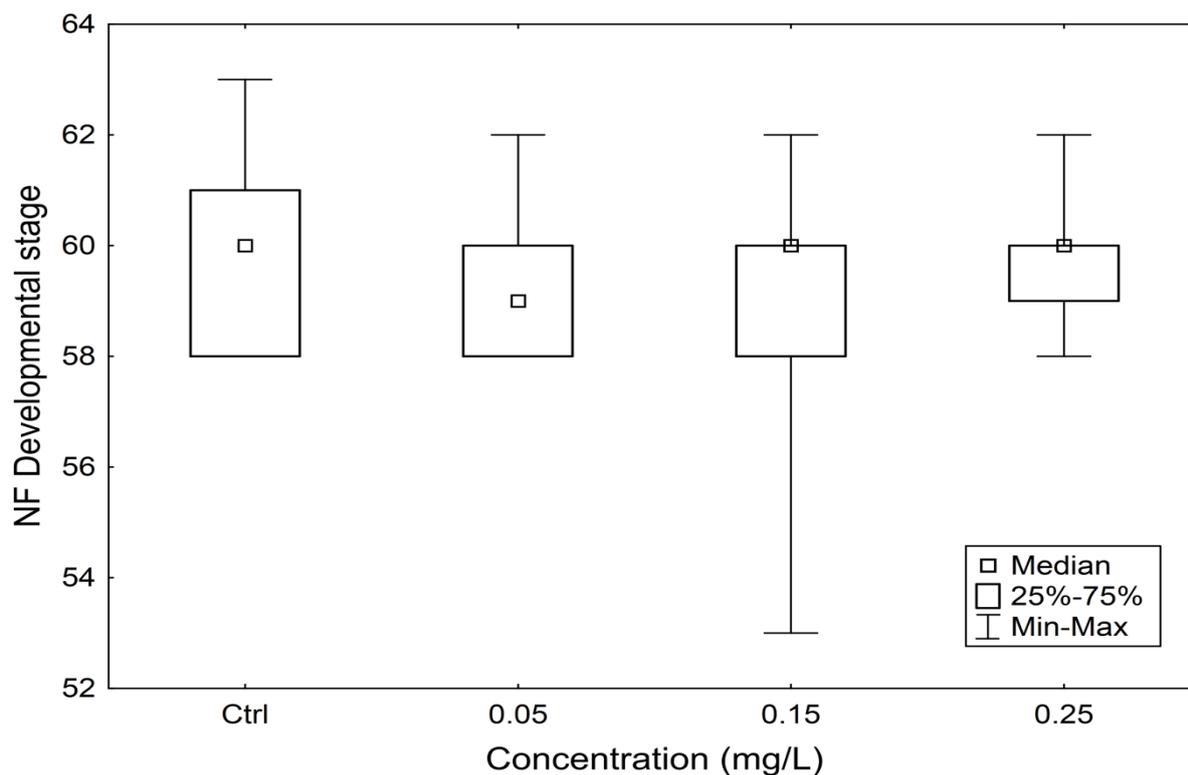
##### 23 **4.3.3.2. Variation in Developmental Stages**

24 In the exposure to the Basta formulation, the developmental stages reached after the 21-days  
25 exposure ranged from NF-stage 58 through NF-stage 63. The median of the frequency distribution

1 in the control and lower Basta concentration (0.05 mg/L) group centred around NF-stage 58  
 2 whereas in the higher Basta concentration (0.15 mg/L and 0.25 mg/L) the median was higher (NF-  
 3 stage 60). However, the advance median NF-stages were not found to be significant (Fig 4.8) (K-  
 4 W ANOVA test;  $P > 0.05$ ).



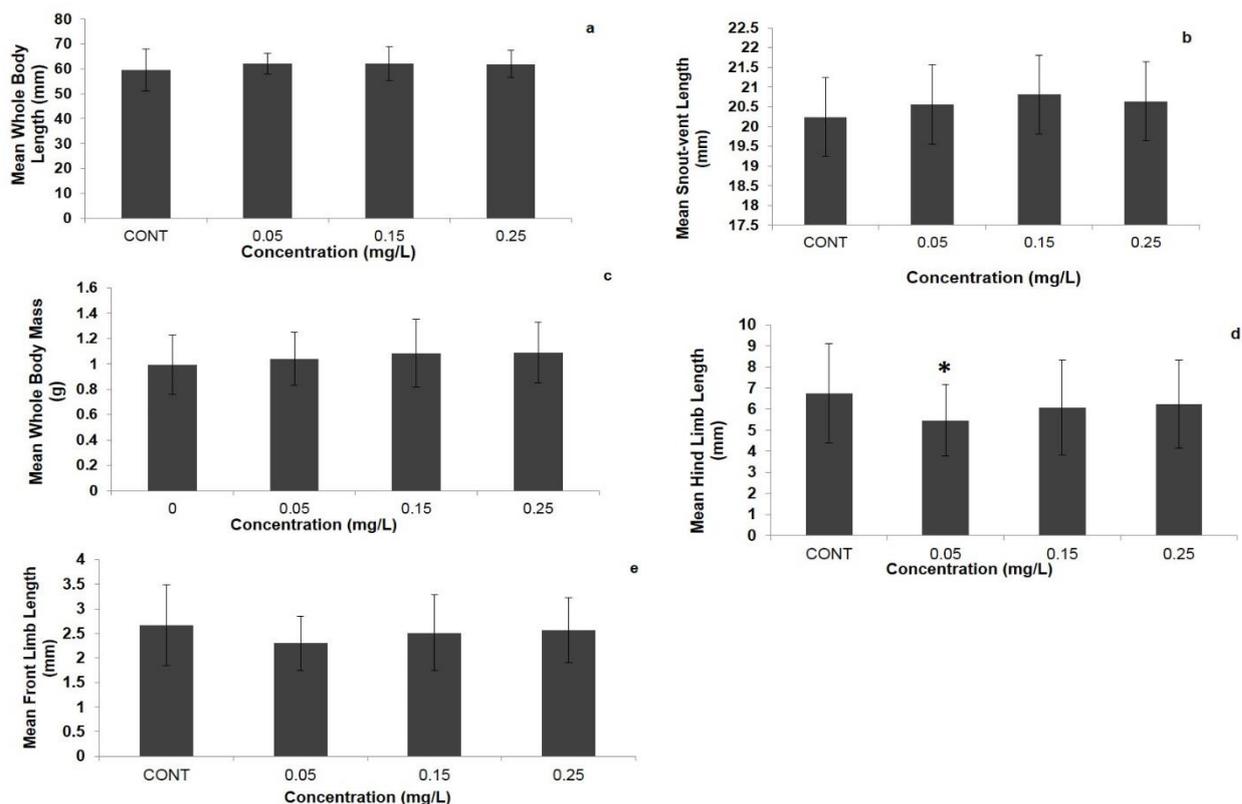
5  
 6 **Figure 4.8:** The frequency distributions (n=30) of developmental stages (Nieuwkoop and Faber,  
 7 1958) attained by *X. laevis* tadpoles after 21-days exposure clean water (a) and graduated  
 8 concentrations of Basta formulation (glufosinate ammonium) (b) 0.05 mg/L, (c) 0.15 mg/L, and (d)  
 9 0.25 mg/L. The upward arrow showed the median NF-stage at the control relative to downward  
 10 arrow that showed the median at the various concentrations.



1  
2 **Figure 4.9:** NF-Stage differentiation following 21-days exposure to graduated concentrations of  
3 the Basta formulation (glufosinate ammonium). The medians of the developmental stages of  
4 exposed tadpoles (Basta concentrations) did not vary significantly different from the tadpoles in the  
5 control (K-W ANOVA Test;  $P > 0.05$ ).

#### 6 7 **4.3.3.3. Whole body length (WBL) and Snout-vent length (SVL)**

8 For this Basta formulation, the exposure of tadpoles led to an increasing trend in mean WBL and  
9 mean SVL relative to the control. However, a Kruskal-Wallis ANOVA, could not confirm that  
10 significant variation existed in size variable, mean WBL (Fig 4.10a) and mean SVL (Fig 4.10b) (K-  
11 W ANOVA Test,  $P > 0.05$ ) at all exposure concentrations, when compared to the control group.



1  
2 **Figure 4.10:** Exposure impacts of Basta formulation on treated *X. laevis tadpoles*; (a) Whole Body  
3 Length, (b) Snout-Vent Length (c) Whole body Mass, (d) Hind Limb Length, (e) Front Limb  
4 Length. Asterisks indicate significant difference ( $P < 0.05$ ) relative to the control.

#### 5 4.3.3.4. Whole body mass (WBM)

6 Similarly, WBM was unaffected by exposure to Basta formulation (Fig 4.10 c), (K-W ANOVA  
7 test;  $P > 0.05$ ) when compared to the control group.

#### 8 4.3.3.5. Hind limb length (HLL)

9 Tadpoles exposed to the Basta formulation (0.05 mg/L) showed a significant decrease mean HLL  
10 (normalized) compared to the control (Fig 4.10d) (Tukey HSD test;  $P < 0.05$ ).

#### 11 4.3.3.6. Front limb length (FLL)

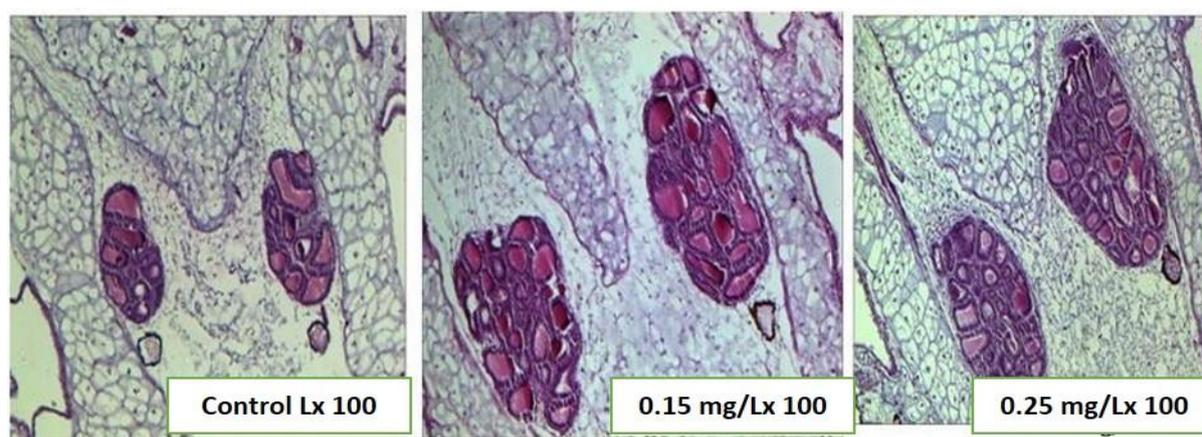
12 The mean FLL (normalized) in Basta treated tadpoles relative to the control (Fig 4.10 e) did not  
13 vary significantly among experimental groups (K-W ANOVA Test;  $P > 0.05$ ).

14

15

### 1 4.3.3.7. Histopathological Endpoints

2 In tadpoles exposed to Basta formulation, the mean thyroid colloidal (luminal) area did not vary  
 3 significantly among tadpoles and the control (K-W ANOVA Test;  $P > 0.05$ ). The mean follicle  
 4 epithelium height and mean thyroid gland area, however, increased significantly in the 0.15 and  
 5 0.25 mg/L concentrations ( $P < 0.05$ ) (Fig 4.11; Table 4.4).



6  
 7 **Figure 4.11:** Cross-sections of the paired thyroid glands (stained with H & E) of tadpoles exposed  
 8 to Basta formulation at (a) Control (b) 0.15 and (c) 0.25 mg/L

9  
 10 **Table 4.4:** Histo-morphometric data following a 21-days XEMA exposure to graded  
 11 concentrations of Basta formulation. Values represent the mean  $\pm$  SD. The zero concentration  
 12 represents the control values for each herbicide treatment.

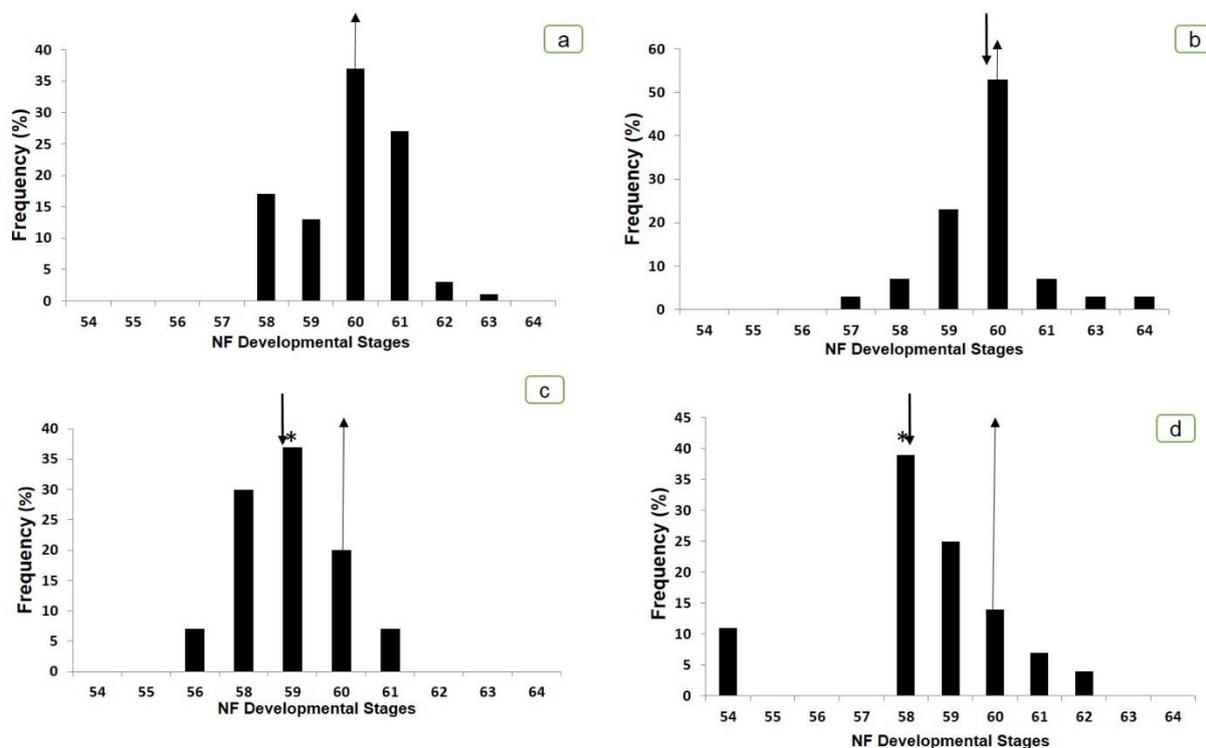
TREATMEN T Mg/L	Mean Follicle Epithelium (SD)	Mean colloidal Area (SD)	Mean Gland Area (SD)
Basta 0	7.30 (1.08)	1910.06 (1055.5)	47014.7 (6771.12)
Basta 0.05	<b>8.05 (1.11)*</b>	1711.86 (1013.1)	43919.03 (8161.9)
Basta 0.15	<b>8.02 (1.18)*</b>	1916.95 (1078.6)	<b>86800.98 (17907.1)*</b>
Basta 0.25	<b>10.02 (1.86)*</b>	1843.37 (793.4)	<b>78414.85 (16871.5)*</b>

13  
 14 **4.3.4. Kilo max (Glyphosate)**  
 15 **4.3.4.1. Mortality**  
 16 There was no incidence of mortality in any of the exposure tanks as well as the control tanks,  
 17 throughout the 21-days exposure period.

1

2 **4.3.4.2. Variation in Developmental Stages**

3 Following the 21-day exposure to the Kilo Max formulation, the frequency distribution of  
 4 developmental stages ranged from NF-stage 54 through NF-stage 64 (Fig 4.12). At both the control  
 5 group and concentration of 90 mg/L, the median was NF-stage 60. The exposure at 190 mg/L and  
 6 280 mg/L showed a significant delay in development with a median NF-stage 58 (Fig 4.12). The  
 7 exposure impact of the Kilo Max delayed the NF developmental stages of the exposed in dose  
 8 dependent manner at all exposure concentrations.

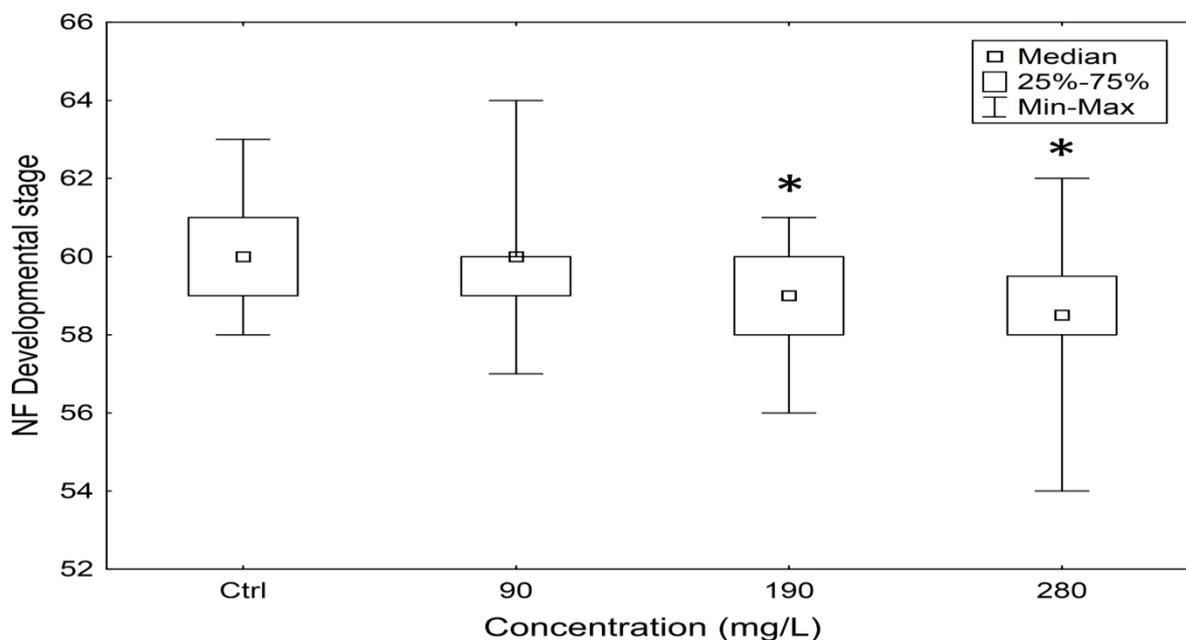


9

10 **Figure 4.12:** The frequency distributions ( $n=30$ ) of developmental stages (Nieuwkoop and Faber,  
 11 1958) attained by *X. laevis* tadpoles exposed to clean water (a) control and graduated  
 12 concentrations of Kilo Max formulation (b) 90 mg/L, (c) 190 mg/L and (d) 280 mg/L. The asterisk  
 13 indicated the significant difference (Post-HOC;  $P < 0.05$ ) relative to the median stage in the control.  
 14 The upward arrow showed the median at the control relative to downward arrow that showed the  
 15 median distribution of developmental stage at the various concentrations.

16 Comparing the NF developmental stages medians between the Kilo Max treated and the tadpoles  
 17 in the control group, the Kruskal-Wallis ANOVA test showed significant variation in median of  
 18 developmental stages and multi-comparison analysis confirmed a significant delayed in

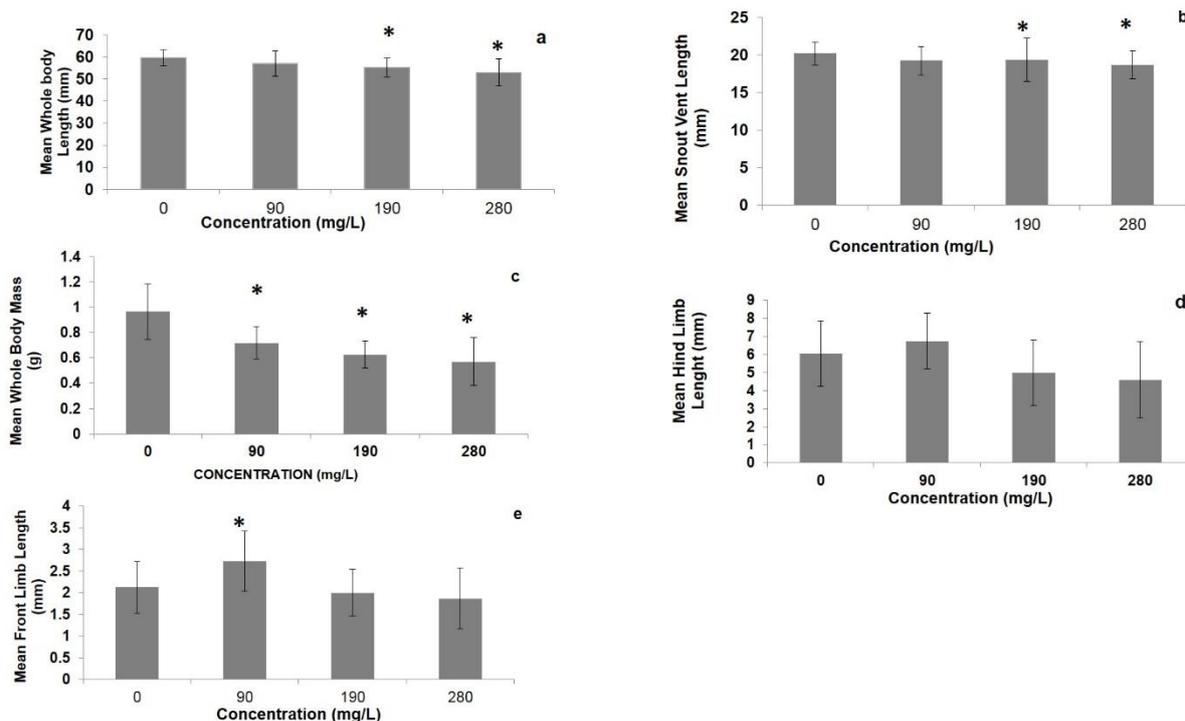
1 developmental median (Fig 4.13) at concentrations of 190 mg/L and 280 mg/L relative to the  
 2 tadpoles in the control treatment (Fig. 4.13).



3  
 4 **Figure 4.13:** NF-stage differentiation following 21-days exposure to graded concentrations of the  
 5 Kilo Max formulation compared to the control. Asterisks indicate significant difference (DMCt;  $P <$   
 6 0.05) from the control.

7  
 8 **4.3.4.3. Whole body length (WBL) and Snout-vent length (SVL)**

9 The exposure of tadpoles to Kilo Max led to lower mean WBL and SVL relative to the control  
 10 group. The Kruskal-Wallis ANOVA test showed reduction in the mean WBL (Fig. 4.14a) and SVL  
 11 (Fig. 4.14b) of the treated tadpoles. The Tukey HSD test confirmed the significant reduction in the  
 12 mean WBL and SVL of the treated tadpoles at the two highest exposure concentrations of 190 mg/L  
 13 and 280 mg/L compared to the control (Tukey HSD Test;  $P < 0.05$ ).



1  
2 **Figure 4.14:** Exposure impacts of Kilo Max formulation on treated *Xenopus laevis* tadpoles; (a)  
3 Whole Body Length, (b) Snout-Vent Length (c) Whole body Mass, (d) Hind Limb Length, (e)  
4 Front Limb Length. Asterisks indicate significant difference ( $P < 0.05$ ) from the control.

#### 5 4.3.4.4. Whole body mass (WBM)

6 The exposure to Kilo Max formulation showed a concentration dependent reduction in WBM  
7 relative to the control (Fig. 4.14c). This reduction in mean WBM was significantly different (Tukey  
8 HSD;  $P < 0.05$ ) at the three exposure concentrations of 90 mg/L, 190mg/L and 280 mg/L compared  
9 to the control group.

#### 10 4.3.4.5. Hind limb length (HLL)

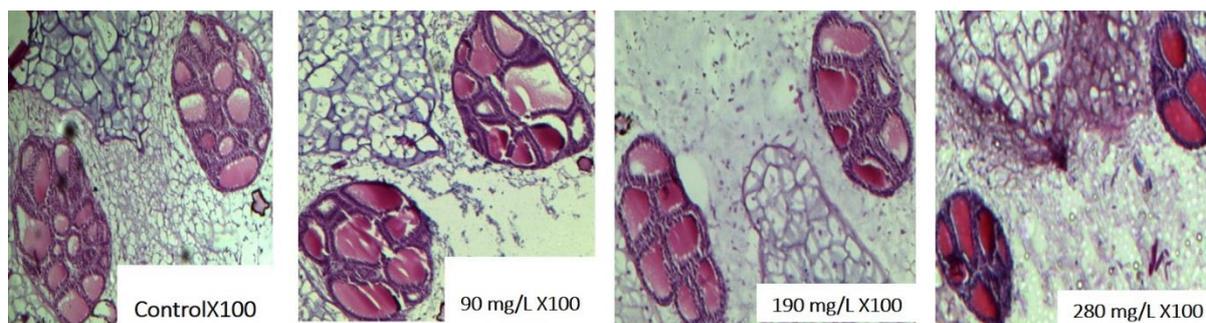
11 Exposure of the tadpoles to Kilo Max formulation produced mean HLL (normalized) that was not  
12 significant ((K-W ANOVA Test;  $P < 0.05$ ) at all exposure concentrations relative to the control  
13 group (Fig. 4.14d).

#### 14 4.3.4.6. Front limb length (FLL)

15 For the Kilo Max treated tadpoles, the mean FLL (normalized) showed increased pattern relative  
16 to the control (Fig. 4.14 e). The difference was confirmed by the Kruskal-Wallis test. Tukey HSD  
17 test also confirmed significant increase in tadpoles exposed to the lowest exposure concentration of  
18 90 mg/L compared to the control (Tukey HSD Test;  $P < 0.05$ ).

#### 1 4.3.4.7. Histopathological Endpoints

2 The exposure of developing tadpoles to Kilo Max formulation showed the reduction in the gland  
3 area and the colloidal (luminal) area of the treated tadpoles, that was not significantly different (K-  
4 W ANOVA Test;  $P > 0.05$ ) compared to the control (Fig 4.15; Table 4.5 ). But the follicle epithelium  
5 of the treated tadpoles was significantly increased (K-W ANOVA Test;  $P < 0.05$ ) at all exposure  
6 concentrations compared to the control.



7  
8 **Figure 4.15:** Histological cross-sections of the *X. laevis* thyroid gland of the Kilo Max treated  
9 tadpoles (A) the control, (B) 90 mg/L., (c) 190 mg/L, and (D) 280 mg/L (Micrographs were taken  
10 at 100X magnification).

11 **Table 4.5:** Histo-morphometric data following a 21-days XEMA exposure to graded concentrations  
12 of Kilo Max formulation. Values represent the average measurement  $\pm$  one standard deviation. The  
13 zero concentration represents the control values for each herbicide treatment.

TREATMEN T Mg/L	Mean Follicle Epithelium (SD)	Mean colloidal Area (SD)	Mean Gland Area (SD)
KILO 0	7.48 (1.02)	2968.36 (2455.5)	68737.3 (15494.6)
KILO 90	<b>10.15 (1.77)*</b>	3499.79 (2387.8)	76658.08 (26164.1)
KILO 190	<b>9.46 (2.42)*</b>	2179.34 (1795.7)	56645.62 (21408.0)
KILO 280	<b>9.21(2.25)*</b>	2830.88 (2183.0)	47363.29 (24470.8)

14

#### 15 4.3.5. Roundup (Glyphosate)

##### 16 4.3.5.1. Mortality

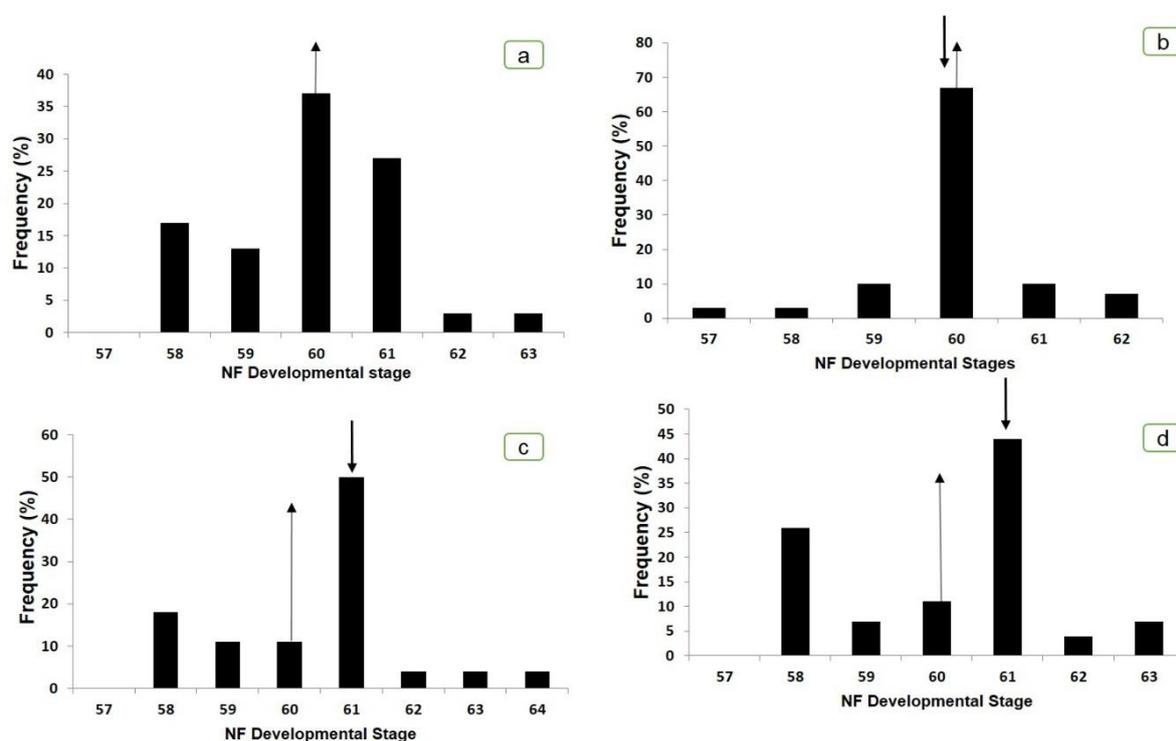
17 There was no incidence of mortality in any of the exposure tanks or the control tanks throughout  
18 the 21 days exposure period.

19

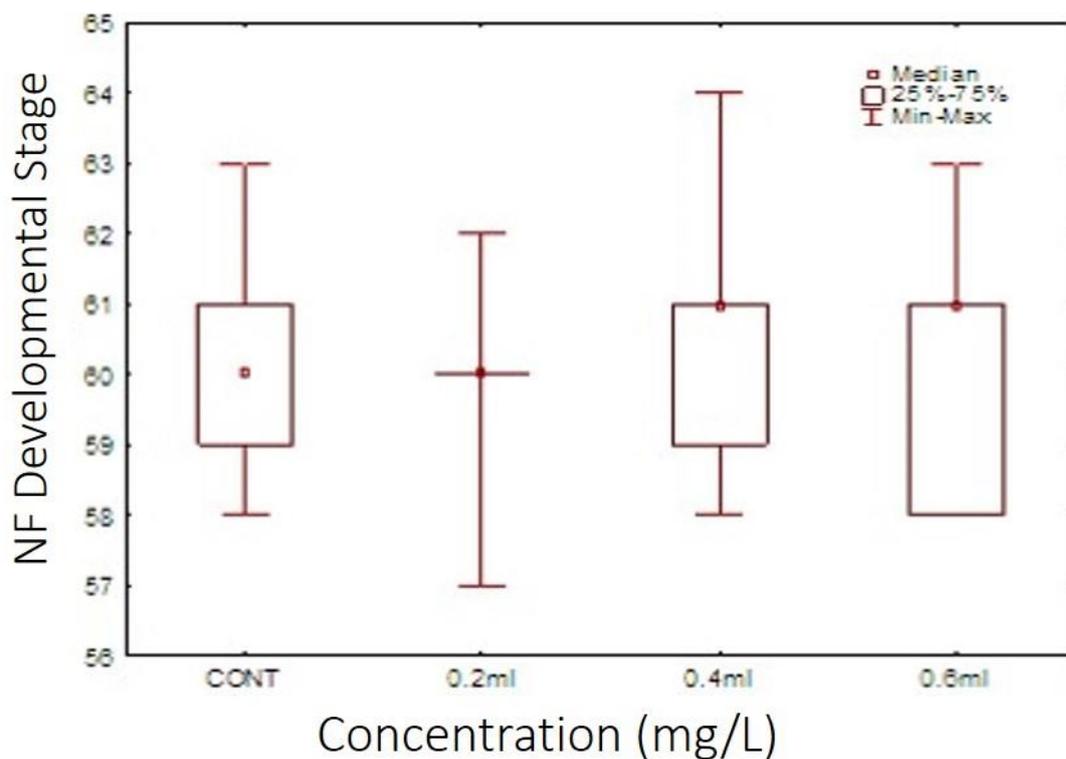
20

#### 1 4.3.5.2. Variation in Developmental Stages

2 The exposure impact of the Roundup formulation on the treated tadpoles produced a varied rate of  
 3 development that ranged from NF-stage 57 through NF- stage 64 (Fig. 4.16). The median of  
 4 developmental stages shifted from NF-stage 60 at both control and concentration of 0.2 mg/L to  
 5 NF-stage 61 at both concentrations of 0.4 mg/L and 0.6 mg/L (Fig 4.16). However, Kruskal-Wallis  
 6 ANOVA test confirmed that this observed shift were not significant compared to the control ( $P >$   
 7 0.05) (Fig 4.17).

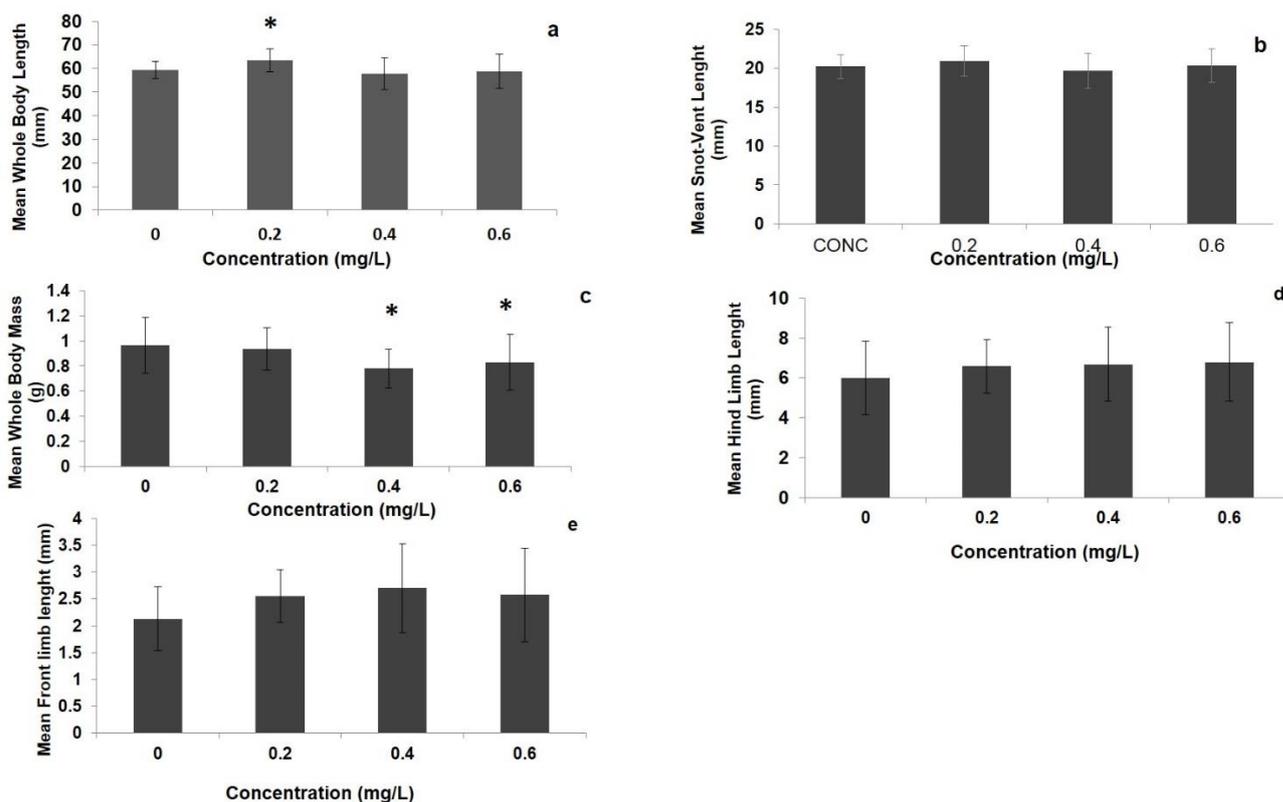


8  
 9 **Figure 4.16:** The frequency distributions (n=30) of developmental stages (Nieuwkoop and Faber,  
 10 1958) attained by *X. laevis* tadpoles exposed for 21-day to clean water (a) control, and graduated  
 11 concentrations of Roundup formulation (glyphosate) (b) 0.2 mg/L, (c) 0.4 mg/L (d) 0.6 mg/L. The  
 12 upward arrow showed the median at the control relative to downward arrow that showed the median  
 13 of developmental stage at the various concentrations.



1  
2 **Figure 4.17:** Stage differentiation following 21-days exposure to different concentrations of the  
3 Roundup formulation compared to the control (Ctrl).

4  
5 **4.3.5.3. Whole body length (WBL) and Snout-vent length (SVL)**  
6 The exposure of the tadpoles to Roundup formulation resulted in higher mean WBL (Fig. 4.17 a)  
7 and SVL (Fig. 4.18 b) relative to the control. Using the Kruskal-Wallis ANOVA test, followed by  
8 Tukey HSD multiple comparison test, only the mean WBL was significantly different (Tukey HSD  
9 ANOVA test;  $P < 0.05$ ) at the lowest exposure concentration of 0.2 mg/L compared to the control.



1  
2 Figure 4.18: Exposure impacts of Roundup formulation on treated *Xenopus laevis* tadpoles on (a)  
3 Whole Body Length, (b) Snout-Vent Length (c) Whole body Mass, (d) Hind Limb Length, (e) Front  
4 Limb Length. Asterisks indicate significant difference ( $P < 0.05$ ) from the control

#### 5 4.3.5.4. Whole body mass (WBM)

6 The Roundup treated tadpoles showed reduction in mean WBM with increasing concentrations,  
7 relative to the control group (Fig. 4.18 c). However, the Kruskal-Wallis ANOVA test followed by  
8 Tukey HSD multiple comparison test showed that the mean WBM were significantly reduced at  
9 concentrations of 0.4 mg/L and 0.6 mg/L (Tukey-HSD ANOVA Test;  $P < 0.05$ ) compared to the  
10 control.

#### 11 4.3.5.5. Hind limb length (HLL)

12 The exposure impact of Roundup formulation showed a higher mean HLL (normalized) relative to  
13 the control group (Fig. 4.18 d). However, the Kruskal-Wallis ANOVA test showed this variation to  
14 be non-significant (K-W ANOVA Test;  $P > 0.05$ ) relative to tadpoles in the control group.

15

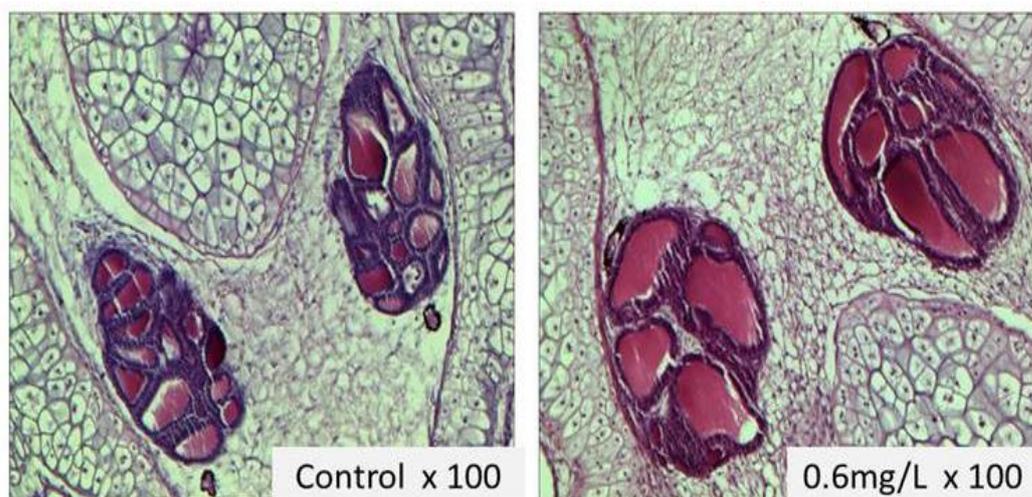
16

#### 1 4.3.5.6. Front limb length (FLL)

2 The treated tadpoles showed an increasing mean FLL (normalized) pattern compared to the control  
3 (Fig 4.18 e). However, the Kruskal-Wallis ANOVA test followed by Tukey HSD multiple  
4 comparison test, showed no significant difference (Tukey HSD Test;  $P > 0.05$ ) in mean FLL of the  
5 treated tadpoles relative to that of the control group.

#### 6 4.3.5.7. Histopathological Endpoints

7 Following the exposure to the Roundup formulation, the cross-sectional gland area (Fig 4.19) and  
8 colloidal (luminal) area of the thyroid gland of the treated tadpoles significantly increased ( $P < 0.05$ )  
9 at the highest exposure concentration of 0.6 mg/L compared to the control (Table 4.6). The height  
10 of the follicle epithelium also significantly increased ( $P < 0.05$ ) at all exposure concentrations  
11 compared to the control (Table 4.6).



12  
13 **Figure 4.19:** The cross-sections of the *X. laevis* thyroid gland of the tadpoles exposed to the  
14 Roundup formulation (A) the control, (B) gland of the tadpoles at concentration of 0.6 mg/L.  
15 (Micrographs were taken at 100X magnification).

16 **Table 4.6:** Histo-morphometric data following a 21-days XEMA exposure to graded concentrations  
17 of Roundup formulation (RDP). Values represent the average measurement  $\pm$  one standard  
18 deviation. The zero concentration (RDP) represents the control values for each herbicide treatment.

19  
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21

1

TREATMENT Mg/L	Mean Follicle Epithelium (SD)	Mean colloidal Area (SD)	Mean Gland Area (SD)
RDP 0	7.48(1.02)	2968.36(2455.5)	68737.3 (15494.6)
RDP 0.2	<b>9.81 (1.65)*</b>	2508.87 (1755.7)	79514.1 (26146.0)
RDP 0.4	<b>8.23 (1.33)*</b>	3016.78 (1704.8)	78167.2 (21408.0)
RDP 0.6	<b>11.31(1.77)*</b>	<b>8501.64 (4354.3)*</b>	<b>100907.3 (24470.8)*</b>

2

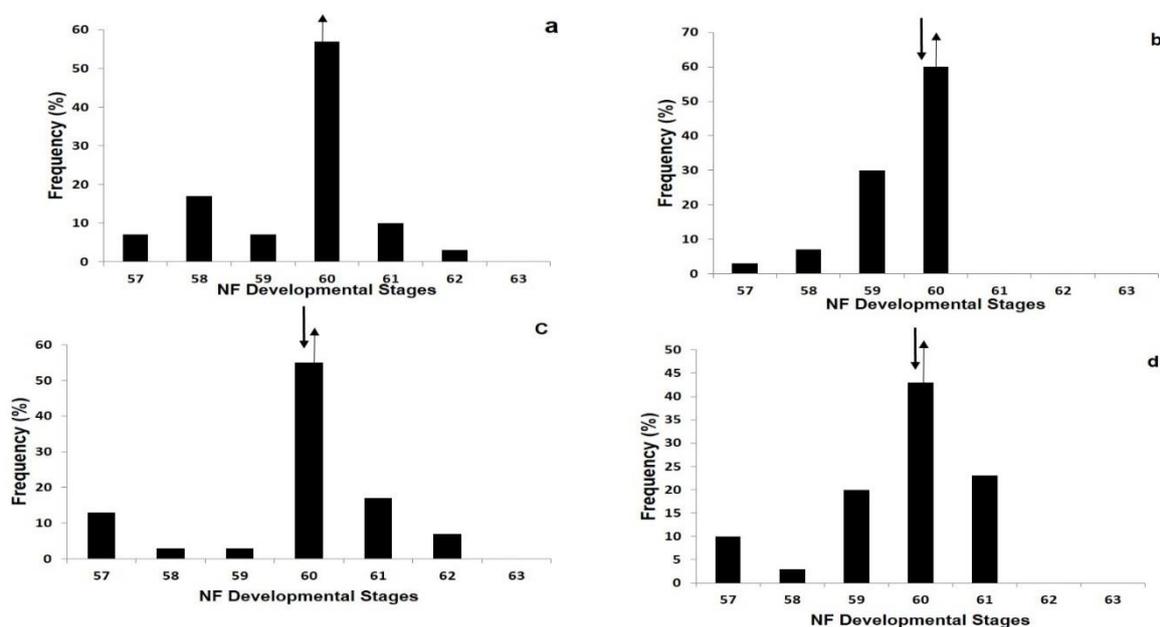
### 3 4.3.6. Enviro Glyphosate (Glyphosate)

#### 4 4.3.6.1. Mortality

5 There was no incidence of mortality in any of the exposure tanks or the control tanks, throughout  
6 the 21 days exposure period.

#### 7 4.3.6.2. Variation in Developmental Stages

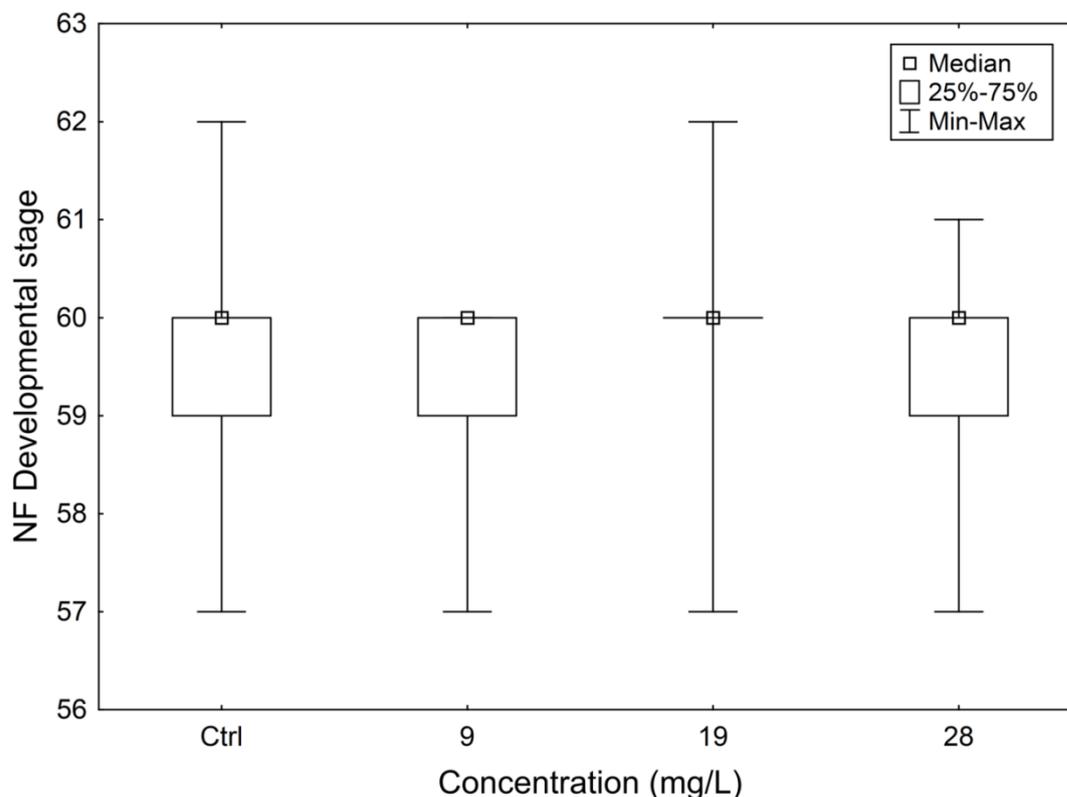
8 For Enviro glyphosate treated tadpoles, the rate of development varied between NF-stage 57  
9 through NF-stage 62 (Fig. 4.20). The median of developmental stages remain constant at all the  
10 exposure concentrations as well as the control exposure.



11

12 Figure 4.20: The frequencies distributions (n=30) of developmental stage by *X. laevis* tadpoles  
13 exposed for 21 days to clean water (a) Control and graduated concentrations of Enviro Glyphosate  
14 formulation (b) 9 mg/L, (c) 19 mg/L, (d) 28 mg/L. The upward arrow showed the median at the  
15 control relative to downward arrow at the various concentrations.

1 Using the Kruskal-Wallis ANOVA test and Dunn's multi-comparison test confirmed the lack of  
2 significant variation in the developmental stage distribution of the treated tadpoles (Fig 4.21)  
3 compared to the control group (DMCt;  $P > 0.05$ ). (Fig 4.21)



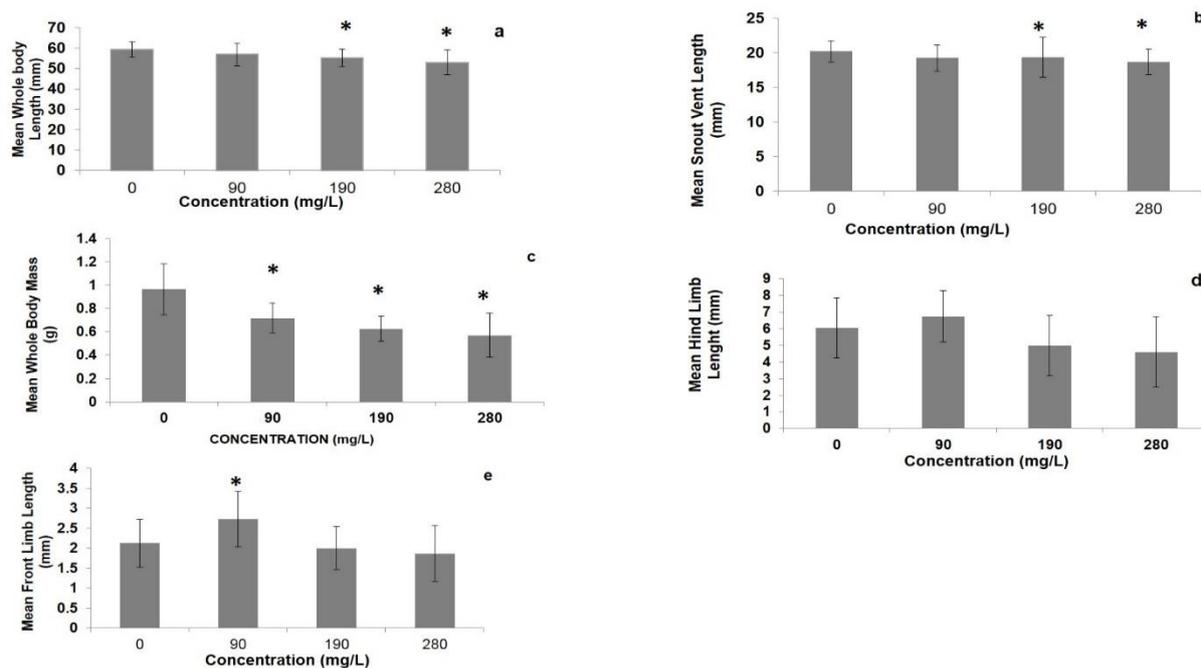
4  
5 **Figure 4.21:** Stage differentiation following 21-days exposure to different concentrations of the  
6 Enviro Glyphosate formulation compared to the control (Ctrl).

7

#### 8 **4.3.6.3. Whole body length (WBL) and Snout-vent length (SVL)**

9 The mean WBL (Fig 4.22 a) and SVL (Fig 4.22 b) of the Enviro Glyphosate treated tadpoles was  
10 lower compared to the respective control. Using the Kruskal-Wallis ANOVA test, followed by  
11 Tukey HSD multiple comparison test, significant reduction in mean WBL and SVL was confirmed  
12 at only the highest exposure concentration of 28 mg/L compared to the control (Tukey HSD test;  
13  $P < 0.05$ )

1



2

3 Figure 4.22: Exposure impacts of Enviro glyphosate formulation on treated *Xenopus laevis* tadpoles  
 4 (a) Whole Body Length, (b) Snout-Vent Length (c) Whole body Mass, (d) Hind Limb Length, (e)  
 5 Front Limb Length. Asterisks indicate significant difference ( $P < 0.05$ ) from the control.

#### 6 4.3.6.4. Whole body mass (WBM)

7 The exposure impact of Enviro Glyphosate formulation on the treated tadpoles showed a  
 8 concentration dependent reduction in mean WBM relative to the control (Fig 4.22 c). Using  
 9 Kruskal-Wallis ANOVA test followed by Tukey HSD multiple comparison test, the reduction in  
 10 mean WBM was confirmed at the highest exposure concentration of 28 mg/L (Tukey HSD Test,  $P$   
 11  $< 0.05$ ) compared to the control.

#### 12 4.3.6.5. Hind limb length (HLL)

13 The exposure to Enviro glyphosate formulation caused an increased mean HLL (normalized) on  
 14 the treated tadpoles relative to the control group (Fig 4.22d). The Kruskal-Wallis ANOVA test  
 15 showed no significant difference in normalized HLL at all exposure concentrations and those of the  
 16 control (K-W ANOVA Test;  $P > 0.05$ ).

17

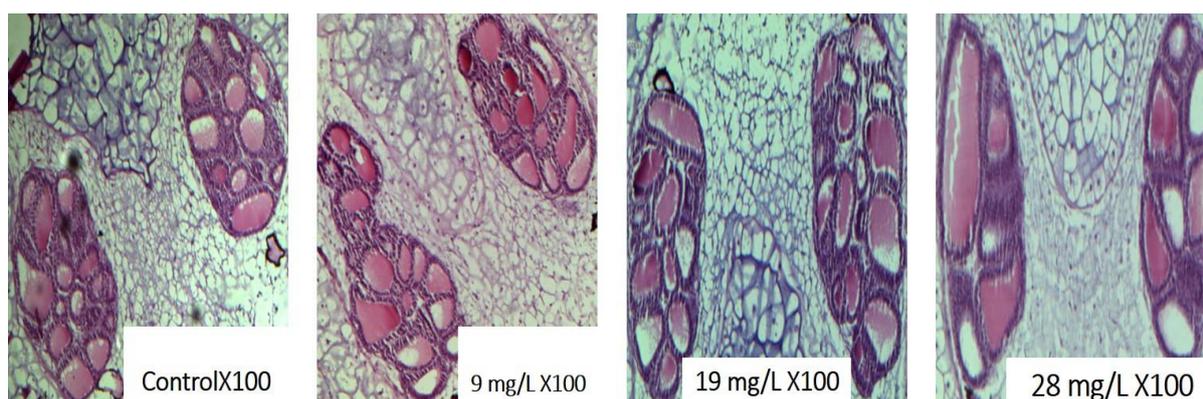
18

#### 1 4.3.6.6. Front limb length (FLL)

2 The exposure impact of Enviro glyphosate showed increased mean FLL (normalized) on the treated  
3 tadpoles relative to the control (Fig 4.22 e). However, using the Kruskal-Wallis ANOVA test, there  
4 was no significant difference (K-W ANOVA Test;  $P > 0.05$ ) between the mean FLL of the treated  
5 tadpoles and that of the control group.

#### 6 4.3.6.7. Histopathological Endpoints

7 The exposure of tadpoles to Enviro Glyphosate formulation led to significant reduction in the  
8 colloidal (luminal) area of the exposed tadpoles (K-W ANOVA Test;  $P < 0.05$ ) at concentrations of  
9 9 and 19 mg/L compared to the control (Table 4.7). The follicle epithelium significantly increased  
10 (K-W ANOVA Test;  $P < 0.05$ ) at the two highest exposure concentrations of 19 and 28 mg/L  
11 compared to the control. For the gland area, there was no significant difference in the treated  
12 tadpoles (K-W ANOVA Test;  $P > 0.05$  relative to the control (Fig 4.23).



13  
14 **Figure 4.23.:** The cross-sections of the *X. laevis* thyroid gland of the tadpoles exposed to the Enviro  
15 Glyphosate formulation (A) the control, (B) gland of the tadpoles at 9 mg/L., (c) gland of the  
16 tadpoles at 19 mg/L, and, (D) gland of the tadpoles at 28 mg/L (Micrographs were taken at 100X  
17 magnification).

18

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22

1 **Table 4.7:** Histo-morphometric data following a 21-days XEMA exposure to graded concentrations  
 2 of Enviro glyphosate formulation (ENVIRO). Values represent the mean  $\pm$  one standard deviation.  
 3 The zero concentration (ENVIRO 0) represents the control values for each herbicide treatment.

TREATMENT Mg/L	Mean Follicle Epithelium (SD)	Mean colloidal Area (SD)	Mean Gland Area (SD)
ENVIRO 0	8.44 (1.43)	4925.20 (2626.2)	92515.93 (31110.2)
ENVIRO 9	8.69 (1.26)	<b>3026.5 (1622.6)*</b>	89325.43 (35154.5)
ENVIRO 19	<b>9.25 (1.21)*</b>	<b>3264.5 (1742.7)*</b>	104426.8(19727.6)
ENVIRO 28	<b>11.8 (0.78)*</b>	4666.8 (3915.5)	81688.8 (16599.9)

4

#### 5 **4.4 Discussion**

6 The reality of global amphibian population decline is no longer in doubt, but what is still  
 7 challenging is the search for the underlying environmental factors that are responsible for the  
 8 declines (Stuart *et al.*, 2004). Widespread concern regarding the increasing use of herbicides and  
 9 their adverse health and ecological effects in the aquatic ecosystems is voiced internationally (Edge  
 10 *et al.*, 2013). Disruption of the thyroid and reproductive pathways through chemical/agrochemicals  
 11 contamination, have been listed amongst the potential contributing factors (Miyata and Ose, 2012).  
 12 The fact that thyroid hormone coordinate growth, development as well as reproductive makes the  
 13 HPT axis a very important pathway to consider when dealing with environmental chemicals  
 14 potentially disrupting the endocrine system in wildlife and humans. It is also well-recognized that  
 15 early development represent a sensitive window to endocrine modulation effects, since  
 16 organogenesis and development of functional physiological systems is regulated during this time  
 17 (Lenkowski *et al.* 2008; Diamanti-Kandarakis *et al.*, 2009; WHO, 2012; Bergman *et al.*, 2013)

18 The present study, showed that four out of the six herbicide formulations, including Arsenal  
 19 (imazapyr), Midstream (diquat dibromide), and the glyphosate formulations (Roundup and Kilo  
 20 Max), affected the development of *X laevis* during the developmental window (NF-stages 47-60)  
 21 associated with thyroid activity. They inhibited the development of *X. laevis* tadpoles during the  
 22 stages associated with thyroid activity.

23 The tadpoles in the control groups in the present study were healthy, and passed through the  
 24 processes of metamorphosis at the expected rate. This was consistent with the OECD pre-validation  
 25 test guidelines, which recommended that control tadpoles should reach a minimum median  
 26 developmental stage of NF-stage 57 at the test termination (OECD, 2007). The tadpoles in the  
 27 exposure groups showed varied developmental rates and were distributed between NF-stage 58- 65

1 for Arsenal, NF-stage 57-62 for Midstream, NF-57-62 for Enviro Glyphosate and NF-58-63 for  
2 Basta, Roundup and Kilo Max formulation. The exposure concentration of the selected herbicide  
3 formulations used in this present study (most of which were within predicted environmental  
4 concentrations) did not show any toxicity on the survival of the *X. laevis* tadpoles, as none of the  
5 exposed tadpoles died during the course of the exposure. The overall mean body mass and snout-  
6 vent length ( $\pm$ SD) of the control tadpoles, after 21-day exposure compared favourably with OECD's  
7 phase 1 pre-validation studies with stage 57 tadpoles, suggesting a mean body mass target of 0.944  
8 g and a mean snout-vent length of 19.5 mm respectively.

### 9 **Arsenal Formulation**

10 The facts that the Arsenal formulation significantly delayed the developmental stages of the  
11 exposed tadpoles at environmental relevant concentrations of 2.0 mg/L (Patter, 2003) suggests the  
12 potential inhibitory properties of this formulation on the thyroid system of *X. laevis*. Although, no  
13 thyroid related study has been done on Arsenal formulation using XEMA, the result was consistent  
14 with the OECD, (2008) report, linking thyroid modulation to delayed development. The present  
15 result is also generally consistent with the findings in several studies, reporting that the inhibition  
16 of developmental stages may be linked to thyroid disruption (Snawder and Chamber, 1990; Richard  
17 and Kendall, 2003; Balch *et al.*, 2006; Brunelli *et al.*, 2009; Shi *et al.*, 2012; Tranchantong *et al.*,  
18 2013). The thyroid gland histology in the Arsenal exposed tadpoles showed a significant decrease  
19 in colloidal area at concentrations of 0.5 and 2 mg/L as well as significant increase in follicular  
20 epithelium height at all exposure concentrations; alongside the reduction pattern in gland area which  
21 was significantly different at 2 mg/L compared to the control. These results are consistent with  
22 several studies, suggesting that a decreased in thyroid gland size is an important indication of the  
23 thyroid system disruption (Khan *et al.*, 1999; Degitz *et al.*, 2005; Carlson and Norrgreen, 2007;  
24 Brunelli *et al.*, 2009). The potential modulation of thyroid action at the target tissue level was  
25 confirmed with the significant reduction in mean HLL (normalized to SVL) at concentration of 3.5  
26 mg/L, as well as significant increase in body mass and SVL at concentration of 3.5 mg/L. This  
27 reduction in HLL has been described to be sensitive to changes in circulating TH (Goleman *et al.*,  
28 2002; Mitsui *et al.*, 2006; Shi *et al.*, 2012). That this Arsenal formulation mainly inhibited the  
29 sensitive and thyroid dependent HLL, without generally affecting the overall somatic growth (as  
30 evidence in concentration dependent increase in WBL and wet body mass), confirms the anti-  
31 thyroidal effects of this formulation on the *Xenopus laevis* tadpoles. The increased in body mass  
32 might also be a response to the inhibiting activities of the formulation. Coady *et al.* (2010) suggested  
33 that an inhibition of metamorphosis during a 21-day XEMA, at which time the tadpoles would

1 normally be approaching metamorphic climax, would likely result in increased body mass. As was  
2 also noted by Brande-Larviden *et al.* (2010), that whenever chronic TH deficiency retarded or  
3 prevent metamorphosis, oversized larvae resulted. The increased body size therefore may be linked  
4 to a chronic impact of TH deficiency due to Arsenal formulation exposure.

5 Ecotoxicological studies suggested that toxicity of formulations may be attributed to the  
6 inclusion of surfactants (Edge *et al.*, 2013). The Arsenal formulation is known to contain nonyl  
7 phenol as surfactant (Grisolia *et al.*, 2004), and several studies have reported estrogenic potential  
8 (Trumbo, 2005; Othman, 2009), thyroid inhibitory activity (Chritensen *et al.*, 2005; Yang *et al.*,  
9 2005; Mann *et al.*, 2009) as well as narcosis (Mann and Bidwell, 2001) associated with nonyl  
10 phenol. The surfactant's contribution to the thyroid inhibition in this formulation, therefore, needs  
11 confirmation through further studies.

## 12 **Midstream formulation**

13 Similar to the Arsenal formulation, exposure to the Midstream formulation resulted in a significant  
14 reduction in mean developmental stage at the higher concentration of 0.11 and 0.14 mg/L. However,  
15 histological observation showed that the gland area was not significantly different when compared  
16 to the control tadpoles, although a significant reduction in colloidal area (at concentration of 0.14  
17 mg/L) alongside a slight increase in follicular epithelium (significant at 0.05 mg/L) occurred. The  
18 dissociation of developmental effects and thyroid histopathology, could imply a target tissue effect  
19 (extra-thyroidal pathways) (Opitz *et al.*, 2005; OECD, 2008; Shi *et al.*, 2012) or the inhibition of  
20 T4 to T3 transformation (Miyata and Ose, 2012), rather than HPT regulatory effects. Saka *et al.*  
21 (2013) reported a similar result for Simetryn herbicide, and concluded that the delay in development  
22 may be due to non-thyroidal effects.

23 As noted in several studies, the active ingredient of Midstream formulation, diquat  
24 dibromide, have been linked to high oxidative mode of action (Hook *et al.*, 2006). This oxidative  
25 mode of action usually results to selective accumulation of herbicide in fish liver, and then undergo  
26 redox cycling that produced superoxide, leading to stress condition (Yadav *et al.*, 2013). The  
27 inhibition of developmental and other thyroid controlled endpoints as well as growth endpoints by  
28 the Midstream formulation at concentration below 0.733 mg/L which is the expected environmental  
29 concentration of this formulation (Peterson *et al.*, 1994) is a serious ecological highpoint that  
30 deserved further attention.

31

## 1 **Basta formulation**

2 In contrary to the Arsenal and Midstream formulations, exposure to Basta formulation did not show  
3 any significant differences in developmental rate compared to the control. However, histological  
4 evidence showed that at concentration of 0.15 and 0.25 mg/L significant increases in follicle  
5 epithelium and gland area were evident. Although no known thyroid activities studies using XEMA  
6 have been done with the Basta formulation, histopathological effects on thyroid glands histology  
7 have been demonstrated in the absence of developmental effects (OECD, 2008) and the thyroid  
8 gland histology has been noted as the most sensitive parameter for the detection of the thyroidal  
9 effects (Brande-Larviden *et al.*, 2010). The lack of developmental effects could be due to  
10 physiological thyroid compensatory mechanism. The observed enlarged thyroid gland following  
11 Basta formulation exposure, therefore suggested potential modulation in the thyroid hormone  
12 related pathways in exposed tadpoles. The enlarged gland area and increased follicle epithelium is  
13 also consistent with the results of several other related studies (Opitz *et al.*, 2005; Mitsui *et al.*,  
14 2006; Carlson and Norrgreen, 2007; Mirata and Ose, 2012). These studies noted similar effects in  
15 Propylthioural (PTU) (a known thyroid inhibitor) on the exposed tadpoles, pointing towards  
16 enlarged thyroid gland (goitre effect) This therefore means that Basta formulation may be  
17 upregulating T4 production, or directly lower or inhibit circulating thyroid hormone, resulting in  
18 the overstimulation of the HPT axis (Degitz *et al.*, 2005). The Basta formulation may also modulate  
19 the transformation of T4 to T3, hence the normal stage development but increased T4 production.  
20 The thyroid disrupting potential of the Basta formulation at concentrations far below its expected  
21 environmental concentration of 1.0 mg/L (Dinehart *et al.*, 2010) should be regarded as an ecological  
22 concern in the aquatic environment.

23 Although the Basta formulation did not significantly affected tadpole development, the  
24 mean hind limb length (HLL; normalised to SVL) (thyroid hormone sensitive) did not show a  
25 reduction in the lower concentration used in the exposed tadpoles. The fact that no significant  
26 variation occurred in size related endpoints corroborate similar findings by Degitz *et al.* (2005) and  
27 Coady *et al.* (2010), that chemical alteration of thyroid axis do not necessarily affect the growth of  
28 tadpoles as the growth is not directly under the thyroid hormones. Tata, (1999) also noted that  
29 thyroidectomised tadpoles continue to grow (under the influence of growth hormone and other  
30 growth- promoting factors) but without final metamorphosis into the adult phenotypes.

31

32

## 1 **Kilo Max formulation**

2 Similar to the Midstream exposures, the Kilo Max formulation significantly inhibited  
3 developmental stages at 190 and 280 mg/L without impacting on the histopathology of the thyroid  
4 glands. Although, Saka *et al.* (2013) suggested non-thyroidal pathways affected the development  
5 programme of the tadpoles exposed to the herbicide Simetryn. Kilo Max formulation could also  
6 disrupt interaction at the target tissue level, be disrupting T4 transportation to target tissues, or the  
7 transformation of T4 to T3 (diodinase activity) in the target tissues (Balch *et al.*, 2006). The reduced  
8 tadpoles size, for example, endpoints like body mass and whole body length, suggest that aside  
9 from possible endocrine disrupting activities, there is possible extra-thyroidal toxic activities  
10 involved. As pointed out by Optiz *et al.* (2005), total blockage of TH synthesis and thus complete  
11 inhibition of metamorphosis does not necessarily inhibits growth of the tadpoles,

## 12 **Roundup formulation**

13 Development of Roundup-exposed tadpoles was not significantly affected when compared to that  
14 of the tadpoles in the control group. However, evidence of thyroid inhibiting activities was noted  
15 in histopathology of the thyroid glands. The significant increase in follicle epithelium height at all  
16 exposure concentrations, as well as increased in colloidal area (goitre phenotype), at a concentration  
17 of 0.6 mg/L was recorded. This goitre phenotype, may be an indication of thyroid hormone  
18 synthesis, either by modulating iodine uptake or biosynthesis of TH and through hyperthyroidism  
19 (Mitsui *et al.*, 2006; Opitz *et al.*, 2006; Carlson and Norrgreen, 2007; Brande-Lavriden *et al.*, 2010;  
20 Mirata and Ose, 2012). As noted by several researchers, low level of thyroid hormone (TH) usually  
21 activates the HPT for increase secretion of TSH from the pituitary gland, resulting in excessive  
22 stimulation, and an increase in thyroid gland size (Capen, 1997; OECD, 2008). However, it is  
23 expected that low circulating free TH, could result in the arrest of the developmental programme in  
24 tadpoles. This is consistent with the result of Howe *et al.* (2004), who noted the inhibitory impacts  
25 of Roundup formulation on the *R. pipiens* tadpoles.

26 The tadpoles exposed to Roundup also showed a significant reduction in mean body mass  
27 at concentrations of 0.4 and 0.6 mg/L. This findings is consistent with the result of Howe *et al.*  
28 (2004), who noted that Roundup exposed *R. pipiens* tadpoles were significantly smaller compared  
29 to the control tadpoles. This body mass reduction might be a physiological response to high toxicity  
30 effects of the Roundup formulation, since the same effects was observed in tadpoles exposed to  
31 POEA surfactant (Howe *et al.*, 2004). This reduction in body mass impact at concentration below  
32 the Roundup formulation's expected environmental concentration of 1.43 mg/L (Govandarajulu,

1 2008) could have serious implication on the development of the tadpoles. Several researchers have  
2 noted the numerous implications of the size reduction, including increasing chance of predation,  
3 and possible influence for lower survival rate and later reproductive fitness (Semlitch 1989; Sullwan  
4 and Spencer 2003; Howe *et al.*, 2004; Gupta, 2012). The size reduction may also have strong  
5 downstream effects on adult phenotype and fitness (Dmitriew and Rowe, 2011). This means that  
6 reduction in *X. laevis* body mass resulted by exposure to the Roundup formulation will have  
7 negative impact on the reproductive fitness and subsequently have wider effects on the population.

## 8 **Enviro glyphosate**

9 Both the developmental stages and histological evidence showed that there was no difference  
10 between the treated tadpoles and the control development stages of the exposed tadpoles compared  
11 to the control. However, the reduction in body mass, SVL and WBL at concentration of 28 mg/L,  
12 suggested the possible involvement of toxic properties of the formulation rather than the thyroidal  
13 activities (Opitz *et al.*, 2005; Opitz *et al.*, 2006; OECD, 2008).

14 The amphibian (*Xenopus*) metamorphosis assay (AMA OR XEMA) has been shown to be  
15 a valuable tool to assess thyroid-dependent developmental modulations caused by man-made  
16 chemicals (Tietge *et al.*, 2013). In terms of comparison with the results of the present study, not  
17 many published studies have dealt with thyroid response following chronic exposure of developing  
18 tadpoles to herbicides. Saka *et al.* (2013) studied three rice paddy herbicide formulations using the  
19 closely related *Silurana tropicalis* as indicator species. Similar to the present studies, varied  
20 endpoint responses were obtained, for example, Simetryn (triazine) resulted in delayed  
21 development and smaller body sizes, but had no histopathological effects on the thyroid gland,  
22 therefore suggesting a non-thyroidal modulation of development and growth. The other herbicides,  
23 Mefenacet (acid-amine) and Thiobencarb (carbamate) had no developmental, growth or thyroid  
24 histopathological effects.

## 25 **4.5. Conclusion**

26 The present study assessed the chronic toxicity of six herbicide formulations (Arsenal, Midstream,  
27 Basta, Roundup, Kilo Max and Enviro glyphosate) used to control invasive alien plants along and  
28 in waterways using the *Xenopus* metamorphosis assay (XEMA) under semi-static renewal  
29 conditions. The herbicides were tested for 21 days, using different dilutions centred on the  
30 environmental relevant concentrations (Midstream, Basta, Roundup and Arsenal Formulations) or  
31 the 15, 30 and 45% of the 96-hr LC50 values at NF-stage 48 (Kilo Max and Environ Glyphosate

1 formulations). Using morphometric, as well as histopathological endpoints, the outcome of the  
 2 exposure showed that the selected herbicide formulations differentially inhibited the developmental  
 3 stages, body sizes and histopathology of the thyroid in *X. laevis* (Table 4.8)

4 Table 4.8: Result summary of exposure chronic toxicity exposure impacts of the six herbicide  
 5 formulations on *X. laevis*.

Herbicide ERC ot 15, 30, 45% of 96 Hr LC <sub>50</sub>	Developmental Stage affected	Body size affected	Front limb affected	Hind limb Affected	Thyroid histology Affected
Arsenal (ERC)	Yes	No	No	Yes	Yes
Midstream (ERC)	Yes	No	Yes	Yes	No
Basta (ERC)	No	No	No	Yes	Yes
Kilo Max (96 Hr LC <sub>50</sub> @ NF 48)	Yes	Yes	Yes	No	No
Roundup (ERC)	No	Yes	No	No	Yes
Enviro Glyphosate(96 Hr LC <sub>50</sub> @ NF 48)	No	Yes	No	No	No

6

7 In South Africa, because of large volumes (and diversity) of pesticides been used, attention  
 8 must therefore be directed in assessing the exposure impacts of these pesticide formulations on  
 9 human as well as wildlife. Clearly, chronic exposures including environmental relevant dilutions,  
 10 needs more attention. In particular, potential thyroid disruption needs more attention, especially in  
 11 light of the varied responses reported in the present study. Moreover, additional confirmation  
 12 research is needed to understand/model the potential population effects that herbicides may have  
 13 on local amphibian species.

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## Chapter Five

**Impacts of six herbicide formulations on amphibian gonadal (reproductive) development using *Xenopus laevis* as sentinel****5.1. Introduction**

There are increasing concerns about the endocrine disrupting potential of anthropogenic chemicals in the environment, particularly having modulatory effects on the reproductive system (Quellet *et al.*, 1997). This is because many studies are now linking environmental chemicals to developmental and reproductive disorders in fish (Jobling *et al.*, 1998, 2002; Gimén *et al.*, 1998; Belt *et al.*, 2001; Aerle *et al.*, 2001; Jobling *et al.*, 2002; Kidd *et al.*, 2007; Urbatzka *et al.*, 2007), amphibians (Fort *et al.*, 2001; Hayes *et al.*, 2002a, Hayes 2005; McDaniel *et al.*, 2008), reptiles (Guillette *et al.*, 1994; Crain *et al.*, 1997; Crain 1997; Crain *et al.*, 1998), birds (Giesy *et al.*, 1994), mammals (D'Souza, 2004), and humans (WHO, 2013). These substances adversely affect the reproductive biology through inhibition of spermatogenesis, induction of sex reversal and disruption of gonadal development (Guillette *et al.*, 1994; Urbatzka *et al.*, 2007) as well as numerous reproductive abnormalities (Soyano *et al.* 2010). Exposure to EDCs, which alter normal hormonal signalling during embryonic development could permanently change adult reproductive system morphology and function as well as reproductive behaviour (Guillette *et al.*, 1995). The fact also that reproductive disorder is one of the most serious properties of any chemical makes environmental impacts on reproductive system highly important (Magnusson and Brunstrom, 2007).

Today, more than ever before, there are increasing challenges on the ability of organisms to reproduce and develop properly (Kortenkamp *et al.*, 2011). For example, pesticides and other chemical contaminants have been suggested as contributing factor in the global amphibian declines (Hayes *et al.*, 2002a; Hayes 2005; McDaniel *et al.*, 2008; Brunnel *et al.*, 2009). In fact, surveys of natural amphibian populations have shown correlations between population declines and proximity to agricultural land and activities (Bishop *et al.*, 1999). As noted by Guillette *et al.* (2000), these potential endocrine disrupting pesticides can cause organizational effects on developing embryos, which in turn can permanently modify the organizational function in a manner that will impair the future function of the reproductive system, thus leading to possible serious effects on natural populations.

Even in the absence of toxicants, ontogeny period is a very vulnerable time in the life cycle of amphibians (Carey and Bryant, 1995), just like all other animals. According to Carey and Bryant,

1 (1995), the amphibian reproductive success could be impaired by environmental interference that  
2 could lead to inhibition of breeding behaviour, disruption in gamete production, or fertilization.  
3 Growth, metabolism and reproductive fitness are interwoven. Boggs *et al.* (2011) suggested that  
4 exogenous effects on thyroid hormones can alter reproduction by altering growth and metabolism.  
5 They noted that during juvenile life stages, a large percentage of the energy budget is channelled  
6 towards growth, hence reduced growth rate due to hormonal imbalances could negatively impacts  
7 sexual maturation, clutch and egg sizes, in a manner that could lead to reduce hatching size and  
8 survival. Body size at metamorphosis influences body size at first reproduction (Collins, 1979),  
9 while reduced size at metamorphosis is likely to be followed by reduced survival and impaired  
10 reproductive fitness (Gupta, 2012).

11 Globally, herbicides are extensively used in agriculture and environmental management.  
12 South Africa has the largest pesticides market in sub-Saharan African, accounting for about 60%  
13 of the pesticides used in Africa (Ansara-Ross *et al.*, 2012). According to Ansara-Ross *et al.* (2012),  
14 there has been an increase in application of glyphosate-based herbicides in South Africa in recent  
15 years. For example, large numbers of farmers are applying herbicides in their intensive wheat, corn  
16 and fruits farming practises, aside from being widely used in gardening. In South Africa, the  
17 Working for Water Program of the National Department of Water Affairs also uses large volumes  
18 of various herbicides in managing alien vegetation in valuable water catchment areas including  
19 glyphosate-based formulations, glufosinate ammonium formulations, Imazapyr and diquat  
20 dibromide formulations among many others.

21 Imazapyr is one of the most widely used herbicides in the world. It is very mobile and  
22 persistent, with a half-life of around 50 months on soil surface (Vizantinopoulon and Lolos, 1994).  
23 Imazapyr formulations include Arsenal, Chopper and Format. The Arsenal formulation of Imazapyr  
24 consists of 25 g/L Imazapyr, 186 g/L of ammonium hydroxide, 18 g/L of nonyl phenol ethoxylate  
25 (9 ethoxylated ring) and water (Grisolia *et al.*, 2004). Even though the expected environmental  
26 concentration is 0.92 mg/L (Tatum *et al.*, 2010), according to the Washington State Department of  
27 Agriculture (2003), concentrations of up to 5.7 mg/L have been measured in surface water and  
28 treated sediment with no overlying canopy.

29 Diquat dibromide (9, 10-dihydro-8a, 10a-diazonia phenanthrene ion) is a post emergent  
30 herbicide. Diquat dibromide formulations include Midstream, Aquacide and Dextrone. It is a non-  
31 selective contact herbicide and crop desiccant that is also used in aquatic weeds control (Emmett,  
32 2002; WHO, 2004). The Midstream formulation of diquat is widely used in the United State, North

1 America, Europe, Australia and Japan (Emmett, 2002; WHO, 2004). Midstream formulation  
2 contains nonyl phenol ethoxylate as surfactant, which has been implicated with estrogenic activities  
3 in several studies (Trumbo, 2005; Othman, 2009).

4 Glufosinate ammonium (N-phosphonomethyl) glycine) is a phosphinic acid, which is a  
5 broad spectrum and systemic herbicide (Ebert *et al.*, 1990; Hack *et al.*, 1994). Owing to the  
6 structural analogy of glufosinate ammonium to glutamate, glufosinate ammonium usually acts as  
7 an irreversible inhibitor of glutamine synthetase activity in different tissues that often lead to slight  
8 increases of glutamate and ammonia (Hack *et al.*, 1994). Glufosinate ammonium formulations  
9 include Basta, Rely, Finale and Challenges. Basta formulation contains 18.5% glufosinate  
10 ammonium and 30% of sodium polyoxyethylene alkylether sulphate (AES) surfactant (Koyama *et*  
11 *al.*, 1997).

12 Glyphosate (*N*-(phosphonomethyl) glycine) is a broad-spectrum, non-selective, post-  
13 emergence herbicide, with a molecular formula  $C_3H_8NO_5P$  (WHO, 1994; 1996). It is perhaps the  
14 most important and best-selling herbicide ever produced (Mann *et al.*, 2009; Gungordu *et al.*, 2013;  
15 Wagner *et al.*, 2013; Yadav *et al.*, 2013). The increasing global use of this herbicide has generated  
16 widespread concern on their adverse effects on aquatic ecosystem (Mensah *et al.*, 2013; Yadav *et*  
17 *al.*, 2013; Lanctot *et al.*, 2013).

18 But in spite of the wealth of knowledge regarding the endocrine disrupting effects of many  
19 pesticides, very little is known about exposure impacts of many of these herbicides, particularly on  
20 the reproductive system. There is also much controversy about whether reproductive abnormalities  
21 observed in wild populations are endocrine disrupting effects of the agro-pesticides and not other  
22 natural processes (Kloas *et al.*, 2009; Hayes *et al.*, 2010). According to Wagner *et al.* (2013) it is  
23 still unclear whether some glyphosate-based herbicides for example could affect the sexual  
24 development of frogs, thereby affecting the reproductive capacity of their population. The high  
25 adverse effects of reproductive toxicity on the maintenance of biodiversity, makes the assessment  
26 of reproductive fitness essential in environmental monitoring (Magnusson and Brunstrom, 2007).

27 In order to add to the emerging body of knowledge on the impact of pesticides on wildlife  
28 reproductive systems, this study assessed the impacts of six selected herbicide formulations  
29 including Midstream (diquat dibromide), Basta (glufosinate ammonium), Arsenal (imazapyr), and  
30 glyphosate formulations including Roundup, Kilo Max and Enviro Glyphosate on sexual  
31 development and reproductive health, using *X. laevis* as sentinel species.

32

## 1 **5.2. Materials and methods**

### 2 **5.2.1. Test chemicals**

3 The herbicide formulations used for the study include: Midstream (diquat dibromide) (Syngenta,  
4 Ltd., South Africa), Basta (glufosinate ammonium) (Bayer Crop Science AG Ltd, Germany) and  
5 Arsenal (imazapyr)(BASF Chemical Ltd., South Africa), Roundup (Monsanto, Ltd., South Africa),  
6 Enviro Glyphosate (Enviro Industries Ltd., South Africa), Kilo Max (Volcano Agro-science Ltd.,  
7 South Africa).

### 8 **5.2.2. Test organisms**

9 Wild capture adult African clawed frog (*X. laevis*) frogs were sourced from a local licensed  
10 collector, Loesch Paddas Klapmuts, Western Cape Province. The *X. laevis* is a Southern African  
11 frog species that has been globally used as experimental model for environmental monitoring and  
12 assessment (Vitt *et al.*, 1990; Mann and Bidwell, 2000; Paggeti *et al.*, 2006; Kloas *et al.*, 2009).

### 13 **5.2.3 Breeding and rearing of tadpoles**

14 Sexually mature males and females frogs (two pairs) were maintained separately in 15 L glass tanks  
15 containing buffered reverse osmosis (RO) water, and fed with fish pellets three times per week  
16 (Aqua-Nutro, RSA). Breeding induction was performed following the ASTM, (1998) protocol. In  
17 brief, the males were primed with 100 IU of human chorionic gonadotropin (hCG) (Merck Ltd,  
18 Germany) into the dorsal lymph sac, four days prior to the commencement of mating. The male and  
19 female received further 100 and 200 IU respectively just prior to mating. The male and female pairs  
20 were placed together in separate 15 L tanks lined with plastic netting (see further description in  
21 section 3.2.4 of this thesis). All the procedures were approved by the Animal Ethics Committee of  
22 the Stellenbosch University, Stellenbosch, South Africa (Approval no- SU-ACUM 12-00015).

### 23 **5.2.4. Test procedure**

#### 24 **5.2.4.1. Exposure set-up**

25 The tadpoles were distributed into several 15 L tanks containing 10 L buffered RO water at a density  
26 of 40 tadpoles per tank. They were fed with Sera Micron (Sera, Heinsberg, DE), until they attained  
27 NF-stage 51. The tadpoles were fed 30 mg/animal/day initially which was later increased to 50  
28 mg/animal/day in order to account for the increased in sizes (OECD, 2008). At NF stage 51,  
29 randomly selected tadpoles (n=20) were allocated from holding tanks and transferred into 15 L

1 exposure tanks containing 10 L of RO water, replicated twice at each of the selected concentrations.  
 2 The exposure experiment was done in a controlled climate room according to the XEMA  
 3 experimental protocol including the following physical conditions (OECD, 2008); water  
 4 temperature of  $24 \pm 1$  ° C, pH ranging between 7.5 - 8.5, dissolve oxygen concentration of >3.5  
 5 mg/L and a 12 hours of light and dark photoperiod (L<sub>12</sub>D<sub>12</sub>). The exposure concentrations were  
 6 centred on 15, 30 and 45 % of 96 hour LC<sub>50</sub> of the herbicides at NF 48 of *X. laevis* (Table 5.1; see  
 7 Chapter 2). The exposure medium was completely changed every Monday, Wednesday and Friday.  
 8 At the end of the exposure, the young metamorphs were collected, weight, dissected and assessed  
 9 for various reproductive malformations, before the carcasses were preserved for future references.

10 **Table 5.1.** The selected exposure concentrations (based on the 15, 30 and 45% of 96 hour LC<sub>50</sub> at  
 11 NF stage 48) (see Chapter 2) for the six herbicide formulations.

Formulation	Concentration (mg a.e./L)
Midstream (ERC)	0, 0.05, 0.11, and 0.14
Arsenal (ERC)	0, 0.5, 2.0, and 3.5
Basta (ERC)	0, 0.05, 0.15 and 0.25
Roundup (ERC)	0, 0.2, 0.4, and 0.6
Enviro Glyphosate ( 15, 30 and 45 OF 96 Hr LC <sub>50</sub> @ NF stage 48)	0, 9 , 19, and 28
Kilo Max (15, 30 and 45 of 96 Hr LC <sub>50</sub> @ NF stage 48)	0, 90, 190 and 280

12

### 13 **5.2.5. Survival and Developmental Disruption**

14 Embryonic survival rate was determined at 96 hours post hatching. Growth (size) disruption was  
 15 assessed by determined body mass (to the nearest gram) measuring weight at prometamorphic NF-  
 16 stage 55 and at metamorphosis NF-stage 66. Gonadal sex ratio was determined by microscopic  
 17 inspection. Histological analysis was performed with a Leica DMLB light microscope and image  
 18 analysing software. Sex ratios of juvenile frogs exposed to the different herbicide formulations  
 19 (concentration series) were statistically compared to the sex ratios of the control groups. Gonadal  
 20 morphological malformations (after Lutz *et al.*, 2008) were studied by dissecting the young frogs  
 21 at the pleuroperitoneal cavity, to expose the visceral organs. Using a dissecting stereo microscope,  
 22 gonads were assessed for gross morphology abnormalities and categorised as testes, ovaries or

1 recorded as improper formation of part(s) of the organs using the following characteristics adapted  
2 from Lutz *et al.* (2008):

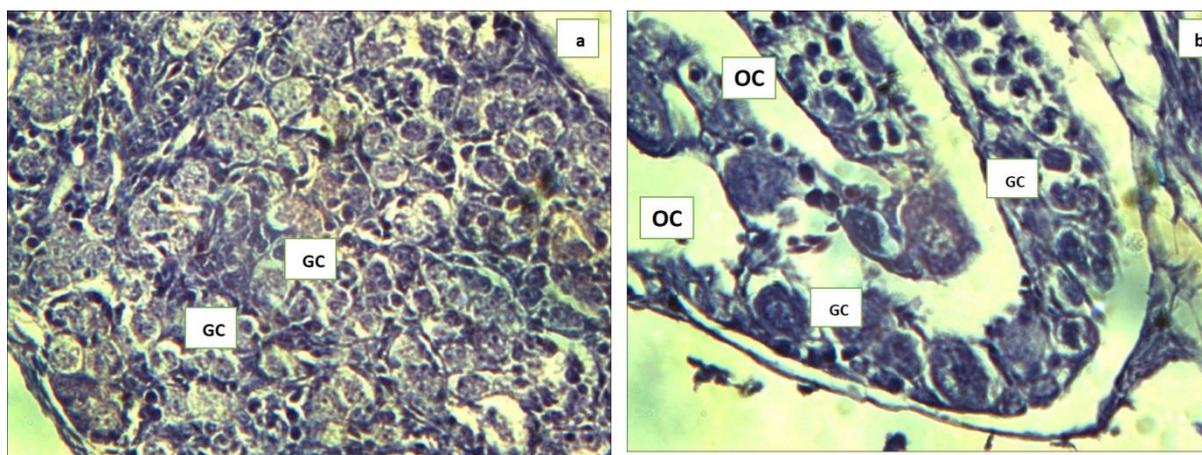
- 3 a. Adhesion- Gonads that join to other abdominal tissues.
- 4 b. Aplasia (agenesis)- Total lack of gonads development.
- 5 c. Segmented aplasia- Longitudinal discontinuous gonads (e.g. tissue separation, extraneous  
6 gonadal tissue).
- 7 d. Bifurcation- where the division of gonads oriented longitudinally (e.g. protuberance and  
8 symmetric).
- 9 e. Angular deformity- Gonads that bends to an excessive degree (e.g. gonad folded).
- 10 f. Displaced gonads- Gonads or section of it not typically located (e.g. lateral, or medially  
11 displacement).
- 12 g. Fused- The fusion of left and right gonads to a varying degree.
- 13 h. Hypertrophy- enlargement of gonads (e.g. wider, thick or enlarged).
- 14 i. Segmented hypertrophy- excessive enlargement of one or more areas of the gonads (e.g.  
15 mass enlargement, pearling and partly thick).
- 16 j. Hypoplasia- decrease in gonad's size (e.g. narrow, slightly narrow, and truncated, slightly  
17 truncated, thin and margin entire).
- 18 k. Segmented hypoplasia- gonad where one or more areas are excessively reduced, attenuated,  
19 or poorly developed but not separated (e.g. partly narrow, partly thin, margin slightly,  
20 margin partially entire and pearling).
- 21 l. Intersex- gonads where ovary and testicular tissues are present in separate structures.
- 22 m. Mixed sex- gonads where ovary and testicular tissues are present in the same structure.
- 23 n. Translucent- gonads that appear not so dense (e.g. slightly translucent).
- 24 o. Segmental translucent- where section(s) of the gonads appears not so dense.

25 The percentage abnormality was expressed as a malformation index (Lutz *et al.*, 2008).

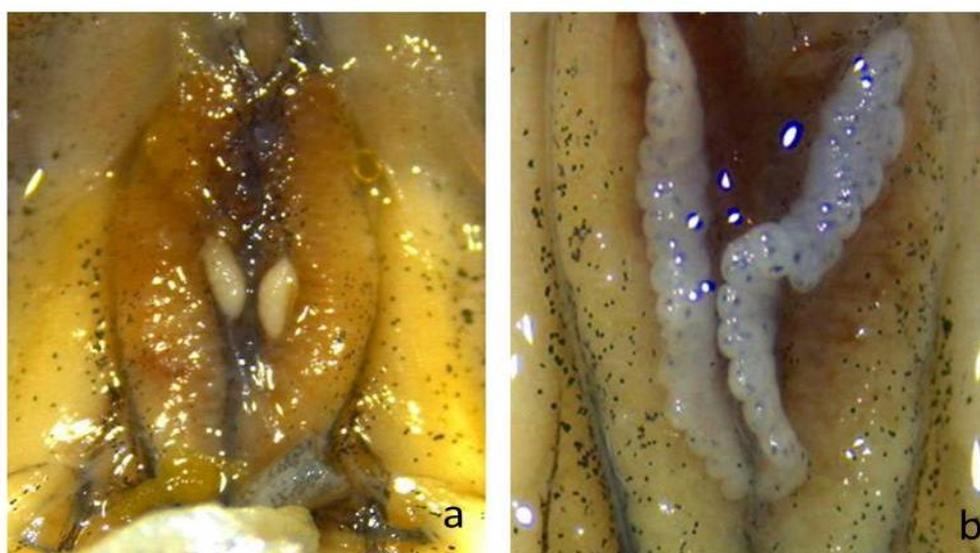
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### 27 **5.2.6. Histological Sex Validation**

28 Microscopic views of testes and ovaries were validated by histological examination of 10 selected  
29 males and females. The females were identified based on the presence of an ovarian cavity and the  
30 growth of germ cell in the cortical region, while the males were identified by the absence of ovarian  
31 cavity as well as growth of germ cells (in seminiferous tubules) in the medullar region (Fig. 5.1).



1  
2 **Figure 5.1:** Histology (400X) of validated testis (a) and ovary (b) for sex ratio determination of *X.*  
3 *laevis*. The ovaries were identified with the presence of an ovarian cavity (OC) and the growth of  
4 germ cell (GC) in the cortical. The testes were identified by the absence of ovarian cavity (OC) as  
5 well as growth of germ cells (GC) in the medullar region.



6  
7 **Figure 5.2:** sample of male (a) and female (b) morphological gonads (100X) of *X. laevis* showing  
8 the testis (a) and the ovary (b).

### 9 **5.2.7. Data Analysis**

10 Normality and homogeneity of variance was evaluated using the respective Shapiro-wilk's and  
11 Levene's tests. Mean body mass at prometamorphosis and at the completion of metamorphosis  
12 were compared to the respective untreated controls using one way ANOVA, which was followed  
13 by Tukey HSD pos hoc test for parametric data and Kruskal-Wallis ANOVA followed by DUNN'S  
14 multiple comparison of mean rank test for non-parametric data. Differences in gonadal sex ratio at

1 each of the concentrations and control were analysed with Chi ( $X^2$ ) square test using Graphpad  
2 online software (Graphpad Software Inc., USA).

### 3 **5.3. Results**

#### 4 **5.3.1. Survival**

5 The survival rate of tadpoles in each of the breeding pairs ranged between 94 and 96 %.

#### 6 **5.3.2. Body Mass Effects**

7 **Midstream formulation:** the mean body mass (MBM) at the *X. laevis* prometamorphic NF-stage  
8 55 tadpoles varied, although not significant ( $P > 0.05$ ) when compared to the control tadpoles (Table  
9 5.2). The MBM initially increased at concentration of 0.05 mg/L rising up to 0.89 g, but was reduced  
10 between concentrations of 0.11 to 0.14 mg/L, moving from 0.78 g at 0.11 mg/L to 0.74 g at 0.14  
11 mg/L compared to control. The reduction in mass was statistically significant ( $P < 0.05$ ) at  
12 concentrations of 0.11 mg/L and 0.14 mg/L compared to the lower exposure concentration of 0.05  
13 mg/L but not significantly different to the Control (Table 5.2). At metamorphosis (NF stage 66),  
14 the MBM was reduced in a dose dependent manner from lowest to the highest exposure  
15 concentrations compared to the control (Table 5.2). The decreased in body mass was statistically  
16 significant ( $P < 0.05$ ) at concentrations of 0.11 mg/L and 0.14 mg/L compared to the Control.

17 **Table 5.2:** The impacts of the selected herbicide formulations on mean body mass (MBM) at both  
18 NF-stage 55 (prometamorphic) and NF-stage 66 (metamorphic stages) of *X. laevis*. Asterisks  
19 indicate significant difference from the control treatment

Herbicide Formulation	Concentration (mg/L)	MBM $\pm$ SD @ Prometamorphic (NF 55) (g)	MBM $\pm$ SD @ Metamorphosis (NF 66) (g)
Midstream	0	0.84 $\pm$ 0.11	0.72 $\pm$ 0.10
	0.05	0.89 $\pm$ 0.11	0.69 $\pm$ 0.07
	0.11	0.78 $\pm$ 0.15	<b>0.62 <math>\pm</math> 0.02*</b>
	0.14	0.74 $\pm$ 0.13	<b>0.55 <math>\pm</math> 0.04*</b>
Basta	0	0.99 $\pm$ 0.23	1.27 $\pm$ 0.22
	0.05	1.04 $\pm$ 0.21	1.32 $\pm$ 0.14
	0.15	1.09 $\pm$ 0.27	1.4 $\pm$ 0.12
	0.25	1.09 $\pm$ 0.24	<b>1.4 <math>\pm</math> 0.15*</b>
Arsenal	0	0.8 $\pm$ 0.3	1.03 $\pm$ 0.15
	0.5	0.9 $\pm$ 0.18	1.05 $\pm$ 0.17
	2.0	0.97 $\pm$ 0.14	1.07 $\pm$ 0.22
	3.5	<b>0.91 <math>\pm</math> 0.22*</b>	1.13 $\pm$ 0.18

Kilo Max	0	0.96 ± 0.22	0.84 ± 0.11
	90	<b>0.72 ± 0.13*</b>	0.72 ± 0.10
	190	<b>0.62 ± 0.12*</b>	<b>0.66 ± 0.11*</b>
	280	<b>0.57 ± 0.19*</b>	<b>0.64 ± 0.12*</b>
Roundup	0	0.96 ± 0.22	0.84 ± 0.11
	0.2	0.94 ± 0.17	0.82 ± 0.12
	0.4	<b>0.78 ± 0.15*</b>	0.81 ± 0.11
	0.6	<b>0.83 ± 0.22*</b>	0.79 ± 0.11
Enviro Glyphosate	0	0.90 ± 0.12	0.82 ± 0.10
	9	0.93 ± 0.12	0.76 ± 0.09
	19	0.80 ± 0.17	<b>0.75 ± 0.10*</b>
	28	<b>0.68 ± 0.16*</b>	<b>0.68 ± 0.16*</b>

1

2       **Basta formulation:** the MBM of *X. laevis* exposed to this formulation at NF-stage 55  
3 (prometamorphic) gradually increased from concentration of 0.05 to 0.25 mg/L. It moved from 1.04  
4 g to 1.09 g compared to 0.99 g at Control, but the increased MBM was not statistically significant  
5 compared to the Control group. At NF-stage 66 (metamorphosis), the MBM increased from 1.32 g  
6 at 0.05 mg/L to 1.4 g at 0.25 mg/L compared to 1.27 g at the Control (Table 5.2). The increase in  
7 body mass was statistically significant ( $P < 0.05$ ) at concentration of 0.25 mg/L compared to the  
8 Control.

9       **Arsenal formulation:** the MBM of the *X. laevis* exposed to this formulation at NF-stage 55  
10 (prometamorphosis) fluctuated with increased concentrations. The MBW increased from 0.9 g at a  
11 concentration of 0.5 mg/L to 0.97 g at a concentration of 2.0 mg/L, then moved down to the 0.91 g  
12 at 3.5 mg/L compared to the 0.8 g of the Control (Table 5.2). The reduction in body mass was  
13 statistically significant ( $P < 0.05$ ) at a concentration of 3.5 mg/L compared to the Control. At NF-  
14 stage 66 (metamorphosis), the MBM increased in a dose dependent manner from the lowest to the  
15 highest exposure concentrations, but the increases in mass were not statistically significant at all  
16 the exposed concentrations compared to the Control (Table 5.2).

17       **Kilo Max:** at NF-stage 55 (prometamorphic) the MBM of the exposed *X. laevis* reduced  
18 between exposure concentrations of 90 to 280 mg/L in a dose dependent manner. The body mass  
19 moved down from 0.72 g at concentration of 90 mg/L to the 0.57 g at a concentration of 280 mg/L  
20 compared to the Control at 0.96 g. The reduction in body mass was statistically significant ( $P <$   
21  $0.05$ ) at all exposure concentrations of 90 mg/L to 280 mg/L compared to the Control. At NF-stage  
22 66 (metamorphosis), the MBM of the *X. laevis* was also reduced in a dose dependent manner. It  
23 moved down from 0.72 g at 90 mg/L to 0.64 g at 280 mg/L compared to 0.84 g in the Control (Table

1 5.2). The reduction in weight was statistically significant ( $P < 0.05$ ) at concentrations of 190 and  
2 280 mg/L compared to the Control.

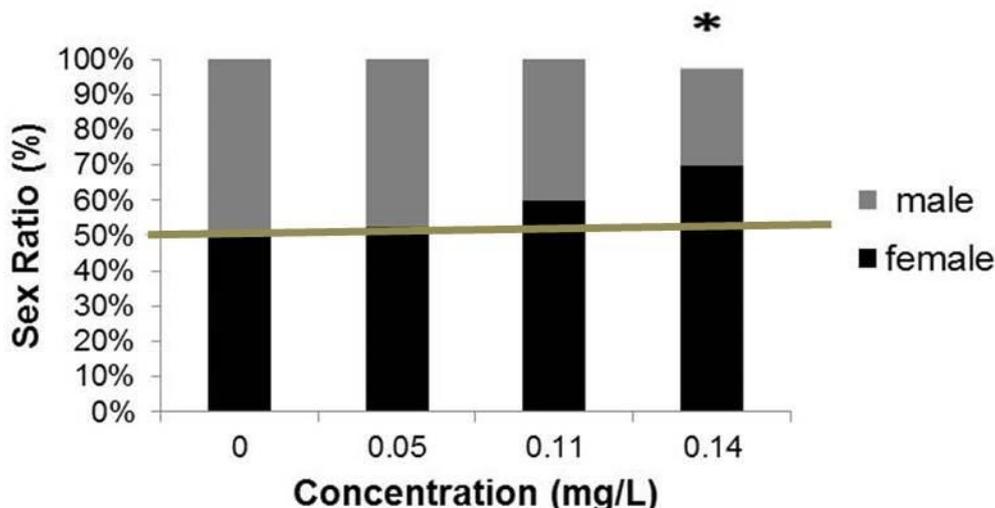
3 **Roundup formulation:** the MBM of the exposed *X. laevis* at NF-stage 55  
4 (prometamorphic) fluctuated with increased concentrations. The MBM initially reduced from 0.94  
5 g at 0.2 mg/L to 0.78 g at 0.4 mg/L, and then moved up to 0.83 g at 0.6 mg/L compared to Control  
6 at 0.96 g. The reduction in body mass was statistically significant ( $P < 0.05$ ) at concentrations of 0.4  
7 and 0.6 mg/L relative to the Control (Table 5.2). At NF-stage 66 (metamorphosis), the MBM  
8 slightly decreased from 0.82 g at 0.2 mg/L to 0.79 g at 0.6 mg/L compared to the Control at 0.84 g  
9 (Table 5.2). The decreased in body mass were not statistically significant at all exposure  
10 concentrations.

11 **Enviro Glyphosate formulation:** the MBM of *X. laevis* at NF-stage 55 (prometamorphic  
12 stage) initially increased at 9 mg/L. It moved up to 0.93 g compared to 0.9 g at Control. The MBM  
13 then decreased from 0.80 g at concentration of 19 mg/L to 0.68 g at 28 mg/L compared to the  
14 Control at 0.9 g. The reduction in body mass was statistically significant ( $P < 0.05$ ) at a concentration  
15 of 28 mg/L) compared to the Control. At NF-stage 66 (metamorphosis), the MBM reduced in a  
16 concentration dependent manner. It moved down from 0.76 g at 9 mg/L to 0.73 g at 28 mg/L  
17 compared to 0.82 g at the Control (Table 5.2). The reduction in mean body mass was statistically  
18 significant ( $P < 0.05$ ) at concentrations of 19 and 28 mg/L compared to the Control.

19

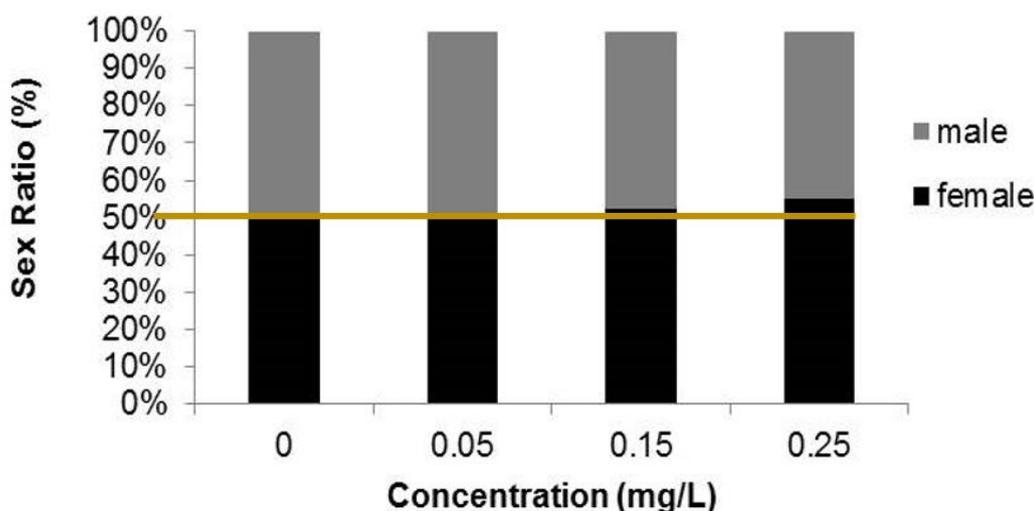
### 20 5.3.3. Sex Ratio Effects

21 **Midstream formulation:** the percentage sex ratio of *X. laevis* exposed to Midstream  
22 formulation increased in favour of female in a dose dependent manner. When compared with the  
23 ratio 50:50 (F: M) in the Control, there was no difference in ratio 50:50 (F: M) at a concentration  
24 of 0.05 mg/L, and the ratio of 55:45 (F: M) at a concentration of 0.11 mg/L. The sex ratio was  
25 statistically significant ( $X^2$  test;  $P < 0.05$ ) in the 0.14 mg/L treatment, with 60:40 (F: M) relative to  
26 the Control. This pattern of sex ratio showed a direct correlation with increasing dose (Fig. 5.3).



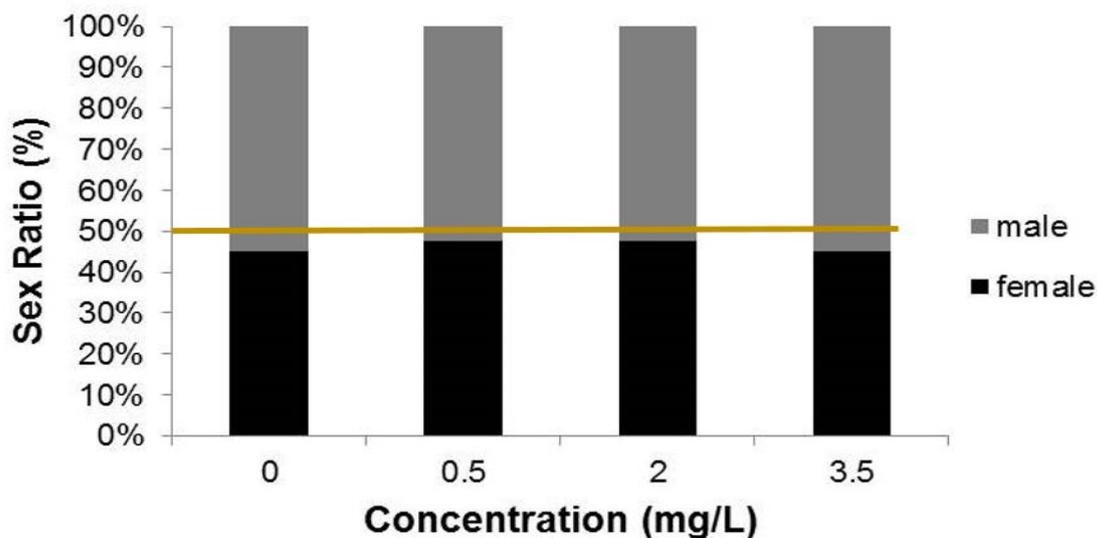
1  
 2 **Figure 5.3:** The percentage sex ratio of *X. laevis* exposed to Midstream formulation compared to  
 3 a negative control. The horizontal line is the 50% average mark point. Asterisks indicate significant  
 4 difference from the control treatment ( $X^2$  Test,  $P < 0.05$ ).

5 **Basta formulation:** the percentage sex ratio of *X. laevis* exposed to Basta formulation  
 6 increased from 50:50 (F: M) at a concentration of 0.05 mg/L to 52.5:47.5 (F: M) at a concentration  
 7 of 0.15 mg/L and 55:45 (F: M) at a concentration of 0.25 mg/L when compared to 50:50 at the  
 8 Control. The increasing sex ratio was however not statistically significant at all the concentrations  
 9 (Fig. 5.4).



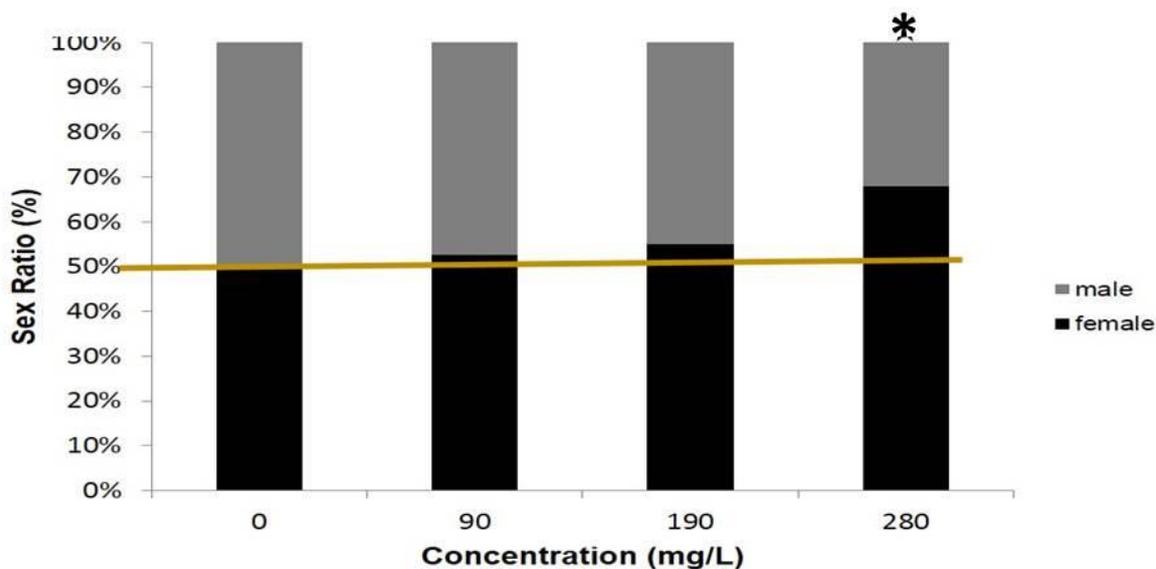
10  
 11 **Figure 5.4:** The sex ratio of *X. laevis* metamorphs following exposure to Basta formulation  
 12 compared to the control. The horizontal line is the 50% average mark point

1 **Arsenal formulation:** the percentage sex ratio of *X. laevis* following exposure to Arsenal  
2 formulation only showed a marginal increase. The ratio moved from 47.5:52.5 (F: M) at a  
3 concentrations of 0.5 and 2.0 mg/L down to ratio of 45:55 (F: M) at a concentration of 3.5 mg/L  
4 compared to ratio of 47.5:52.5 (F: M) in the Control. All the ratios were not significantly different  
5 compared to the Control (Fig. 5.5).



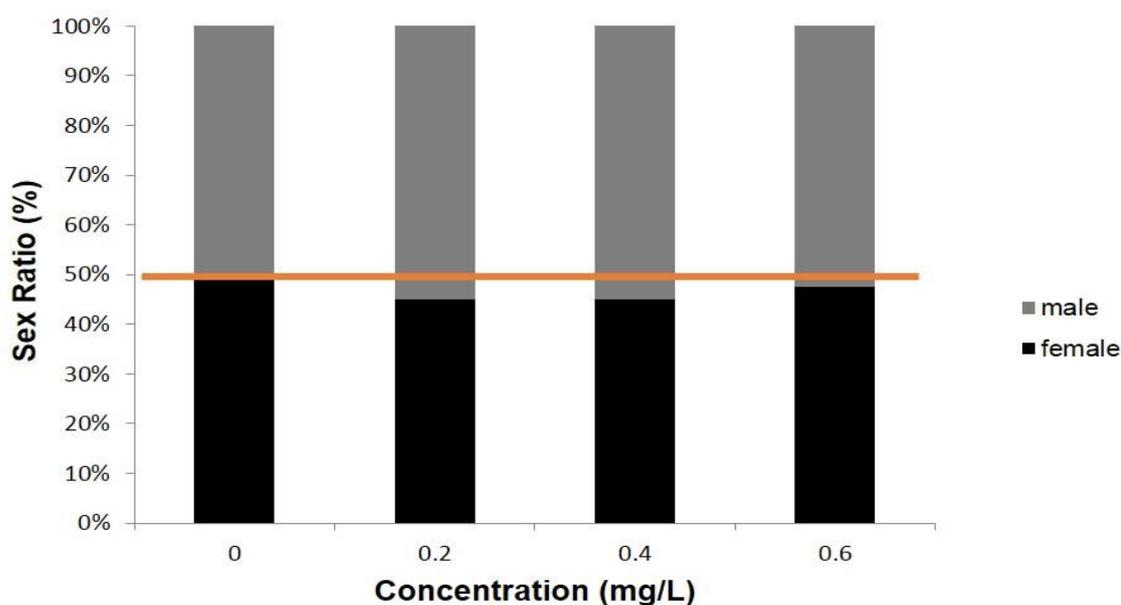
6  
7 **Figure 5.5:** Percentages of sex ratio of Arsenal formulation exposed *X. laevis* compared to  
8 the Control. The horizontal line is the 50% average mark point.

9 **Kilo Max formulation:** the percentage sex ratio of *X. laevis* following exposure to Kilo  
10 Max formulation increased in favour of female in a dose dependent manner. The ratio moved from  
11 47.5:52.5 (F: M) at 90 mg/L to ratio of 52.5:47.5 (F: M) at 190 mg/L and ratio 68:32 (F: M) at  
12 concentration of 280 mg/L compared to 50:50 (F: M) at the Control. The sex ratio was only  
13 statistically significant ( $X^2$  Test,  $P < 0.05$ ) at a concentration of 280 mg/L compared to the Control  
14 (Fig. 5.6).



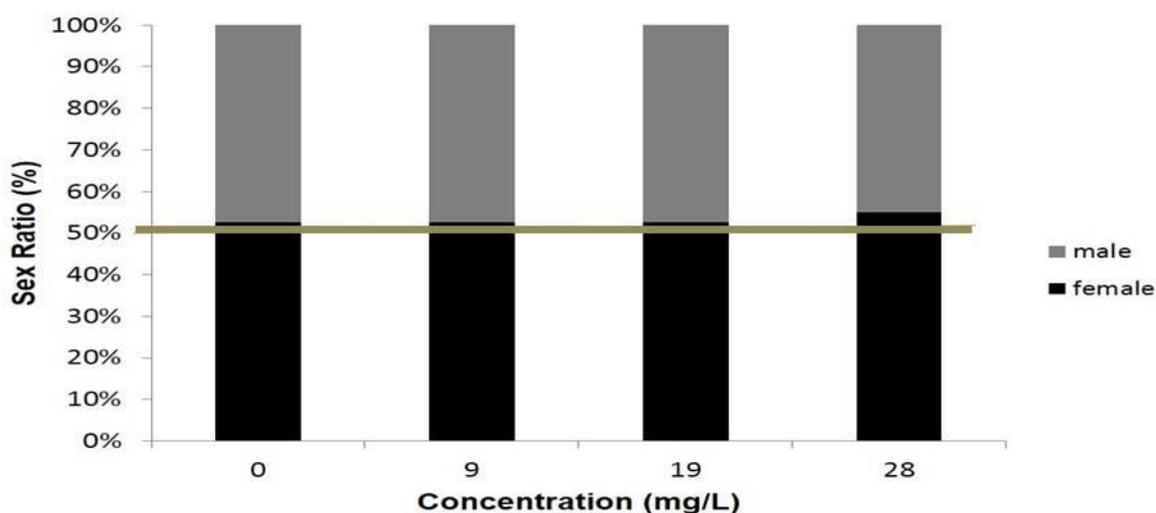
1  
 2 **Figure 5.6:** Percentages of sex ratio of *X. laevis* exposed to Kilo Max formulation compared to  
 3 the Control. Asterisks indicate significant difference from the Control treatment ( $X^2$  square Test,  
 4  $P < 0.05$ ). The horizontal line is the 50% average mark point.

5 **Roundup formulation:** the percentage sex ratio of *X. laevis* following exposure to Roundup  
 6 formulation showed a u-shaped pattern in favour of female. The ratio increased from 45:55 (F:M)  
 7 at concentrations of 0.2 and 0.4 mg/L to 47.5:52.5 (F:M) at concentration of 0.6 mg/L relative to  
 8 50:50 (F:M) at Control. The sex ratios were not significantly different at all the exposed  
 9 concentrations in this study compared to the Control (Fig. 5.7).



1 **Figure 5.7:** Percentages of sex ratio of *X. laevis* metamorphs following exposure to  
 2 Roundup formulation compared to the Control. The horizontal line is the 50% average  
 3 mark point.

4 **Enviro Glyphosate formulation:** the percentage sex ratio of the exposed *X. laevis*  
 5 *metamorphs* showed a little bias towards the female. The sex ratio moved from a ratio of 52:47.5  
 6 (F:M) at concentrations of both 9 and 19 mg/L, to a ratio of 55:45 (F:M) at a concentration of 28  
 7 mg/L compared to a ratio of 52:47.5 (F:M) at the Control. None of the sex ratios at all the exposed  
 8 concentrations were significantly different compared to the Control (Fig. 5.8).



9  
 10 **Figure 5.8:** Percentages of sex ratios of *X laevis* exposed to Enviro glyphosate compared to  
 11 the Control. The horizontal line is the 50% average mark point.

## 12 5.4 Intersex

13 No occurrence of intersex was observed across all the exposure in the six herbicides  
 14 formulations.

## 15 5.5. Reproductive Malformation Effects

16 **Midstream formulation:** widespread morphological malformations were recorded in the  
 17 Midstream formulation exposed juveniles. At a concentration of 0.05 mg/L, the Midstream  
 18 formulation resulted in an abnormality index of 32.5 % (Table 5.3). The malformations recorded  
 19 included segmented hypoplasia (the most widespread), segmented aplasia and slight translucent  
 20 abnormalities (Fig. 5.9). At a concentration of 0.11 mg/L, the abnormality index was 37.5 % (Table  
 21 5.3) and the observed abnormalities included slightly translucent, which was the most widespread,

1 enlarged hypertrophy, segmented hypertrophy, translucence, gonad folded and mixed sex  
 2 respectively. At a concentration of 0.14 mg/L, abnormality index was 60 % (Table 5.3), and the  
 3 observed abnormalities included gonad folded, the most widespread, followed by slightly  
 4 translucent, enlarged hypertrophy, segmented aplasia, segmented hypertrophy, segmented  
 5 hypoplasia and partly narrow segmented hypoplasia (Fig. 5.9). The rate of abnormalities upon  
 6 exposure to Midstream formulation increased in dose dependent manner (Table 5.3).

7 **Table 5.3- Types of morphological malformations, Abnormalities Index and % Sex Ratio at**  
 8 **various graded concentrations of the six herbicide formulations**

Herbicide	Conc (mg/L)	No of Morphological malformation	Abnormality /Malformation Index (%)	% Sex ratio F:M
Midstream	0	Narrow hypoplasia (2), slightly translucent (1)	7.5	50:50
	0.05	Segmented hypoplasia (8), Slightly translucent (2), segmental aplasia (3)	32.5	52.5:47.5
	0.11	Segmented hypertrophy (2), slightly translucent (3), mixed sex (1), translucent (2), enlarged hypertrophy (5), gonad folded (2)	37.5	55:45
	0.14	Segmented hypertrophy (2), gonad folded (9), segmental aplasia (3), slightly translucent (4), enlarge hypertrophy (4), segmented hypoplasia (1), partly narrow segmented hypoplasia (1)	60	60:40
Basta	0	Hypoplasia (4), folded gonad (1)	12.5	50:50
	0.05	Gonad folded (2), adhesion (2), angular deformity (2)	15	50:50
	0.15	Gonad folded (4), enlarged hypertrophy (2), segmental aplasia (1)	17.5	52.5:47.5
	0.25	Gonad folded (6), slightly translucent (2), angular deformity (1), hypoplasia (2)	27.5	55:45
Arsenal	0	Segmented aplasia (1), narrow hypoplasia(1), slightly translucent (1)	7.5	47.5:52.5
	0.5	Aplasia (1), angular deformity (1), segmental aplasia (2), tissue separation (2), partly narrow segmented(1)	17.5	47.5:52.5
	2.0	Aplasia (2), angular deformity (1), segmental aplasia (1), tissue separation (3), hypoplasia (2), slightly translucent (1)	25	47.5:52.5
	3.5	Gonadal folded (6), segmented hypoplasia (2), aplasia (4), segmental aplasia (1), narrow hypoplasia (1).	35	45:55
Kilo Max	0	Narrow hypoplasia (3), hypoplasia (1)	10	50:50

	90	Aplasia (2), segmented aplasia (2), segmented hypoplasia (1), slightly translucent (4)	22.5	47.5:52.5
	190	Tissue separation (2), folded gonadal (2), protuberances (2), translucent (2), segmented aplasia (1), segmented hypoplasia (1)	25	52.5:47.5
	280	Aplasia(4), segmented bifurcation(2), segmented hypertrophy (2), translucent (8), segmental aplasia (1)	43	68:32
Roundup	0	Aplasia (2), hypoplasia (1)	7.5	50:50
	0.2	Aplasia (1), segmented aplasia (1), narrow hypoplasia (1), partly narrow hypoplasia(1), segmented hypoplasia (1), folded gonadal (1), angular deformity (1), displaced (1)	20	45:55
	0.4	Aplasia (1), segmented aplasia (1), narrow hypoplasia (2), segmented hypoplasia (1), folded gonadal (2), angular deformity (2).	22.5	45:55
	0.6	Translucence (4), segmented hypoplasia (2), narrow hypoplasia (2), aplasia (1), adhesion (1), segmented aplasia (1), hypoplasia (1).	30	47.5:52.5
Enviro Glyphosate	0	Hypoplasia (3)	7.5	52.5:47.5
	9	Slightly translucent (2), segmental aplasia (2), segmented hypoplasia (1), aplasia (2)	17.5	52.5:47.5
	19	Slightly translucent (2), hypoplasia (1), segmental aplasia (2), aplasia (4)	22.5	52.5:47.5
	28	Folded gonadal (6), segmented hypoplasia (1), aplasia (4), segmental aplasia (2), narrow hypoplasia (2)	37.5	55:45

1

2 **Basta formulation:** numerous abnormalities were observed in the juveniles exposed to this  
3 formulation. At a concentration of 0.05 mg/L, the abnormality index recorded was 15 %. The  
4 observed abnormalities included gonad folded, adhesion and angular deformity. At 0.15 mg/L, the  
5 abnormality index recorded was 17.5 % (Table 5.3), with abnormalities including gonad folded, the  
6 most widespread, hypoplasia, slightly translucent and angular deformity (Fig. 5.9). At 0.25 mg/L,  
7 the observed abnormalities included gonad folded, the most widespread, hypoplasia, angular  
8 deformity and slightly translucent respectively. The abnormality index recorded at 0.25 mg/L was  
9 27.5 % (Table 5.3). The rate of abnormalities upon exposure to Basta formulation increased in a  
10 dose dependent manner (Table 5.3).

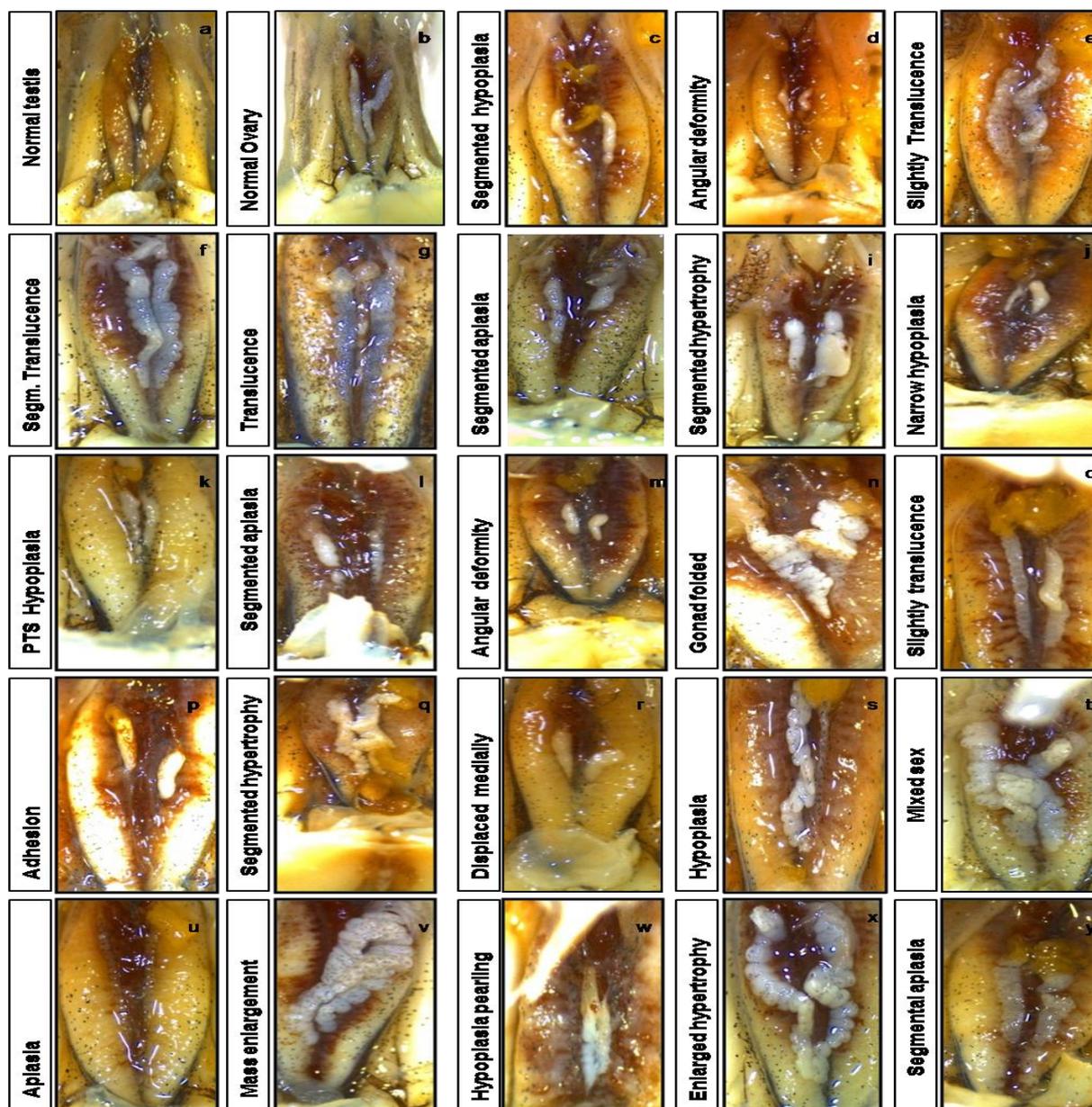
1 **Arsenal formulation:** the Arsenal formulation also resulted in numerous morphological  
2 malformations in the exposed juveniles. At 0.5 mg/L, the recorded abnormality index was 17.5 %.  
3 The observed abnormalities included tissue separation, segmented aplasia, aplasia and angular  
4 deformity, with tissue separation being the most widespread (Fig. 5.9). At a concentration of 2.0  
5 mg/L, the abnormality index recorded was 25 % with various abnormalities that included tissue  
6 separation, segmented aplasia, aplasia, hypoplasia and angular deformity. The most widespread  
7 abnormalities was tissue separation (Table 5.3). At 3.5 mg/L, the abnormality index observed was  
8 35 % for the formulation. The observed abnormalities included folded gonads, being the most  
9 widespread, aplasia, segmented hypoplasia, segmented aplasia and narrow hypoplasia respectively  
10 (Fig. 5.9).

11 **Kilo Max formulation:** numerous abnormalities which were concentration dependent were  
12 observed in the juvenile exposed to this formulation. At a concentration of 90 mg/L, the  
13 abnormalities index recorded was 22.5 %, with various abnormalities that included slightly  
14 translucent, which was the most widespread, aplasia, segmented aplasia, and segmented hypoplasia  
15 respectively (Fig. 5.9). At a concentration of 190 mg/L, 25 % was calculated as the abnormality  
16 index. The observed abnormalities at this concentration included gonad folded, tissue separation,  
17 protuberance, translucence, segmented hypoplasia, and segmented aplasia. At 280 mg/L, the  
18 abnormality index recorded was 43 % (Table 5.3). The abnormalities observed included  
19 translucence, which was the most widespread, aplasia, segmented hypertrophy, segmented aplasia  
20 and segmented bifurcation respectively (Fig. 5.9).

21 **Roundup formulation:** Roundup formulation showed various abnormalities on the exposed  
22 juveniles. At a concentration of 0.2 mg/L, the abnormality index recorded was 20 %, with numerous  
23 abnormalities that included segmented aplasia, narrow hypoplasia, partly narrow hypoplasia,  
24 segmented hypoplasia, gonad folded, angular deformity and displaced (Fig. 5.9). At a concentration  
25 of 0.4 mg/L, the abnormality index recorded was 22.5 % (Table 5.3), and the observed  
26 abnormalities included segmented aplasia, which was the most widespread, angular deformity,  
27 aplasia, narrow hypoplasia, segmented hypoplasia and gonad folded respectively. At a  
28 concentration of 0.6 mg/L, the abnormality index recorded was 30 % (Table 5.3), with observed  
29 abnormalities that included translucence, segmented hypoplasia, segmented aplasia, narrow  
30 hypoplasia, aplasia and adhesion. The most widespread being the translucence (Fig. 5.9).

31 **Enviro Glyphosate formulation:** Enviro Glyphosate formulation showed numerous  
32 abnormalities on the exposed juveniles. At a concentration of 9 mg/L, abnormality index recorded

1 was 17.5 % (Table 5.3), with observed abnormalities that included slightly translucent, segmented  
 2 aplasia, and segmented hypoplasia. At a concentration of 19 mg/L, 22.5 % was the recorded  
 3 abnormality index, with various abnormalities that included aplasia, being the most widespread,  
 4 segmented aplasia, hypoplasia and slightly translucent (Fig. 5.9). At a concentration of 28 mg/L,  
 5 the abnormality index recorded was 37.5% (Table 5.3). The observed abnormalities included folded  
 6 gonads, aplasia, segmented hypoplasia, segmented aplasia and narrow hypoplasia respectively (Fig.  
 7 5.9).



8 \*PST: Partly thinned segmented hypoplasia

9 **Figure 5.9:** Various morphological abnormalities in reproductive gonads of *X. laevis* juveniles  
 10 exposed to six herbicide formulations including Midstream, Basta, Arsenal, Roundup, Kilo Max

1 and Enviro Glyphosate formulations. Description of abnormalities were taken after Lutz *et al.*  
2 (2008)

### 3 **5.6. Discussion**

4 Increasing use of pesticides including herbicides, insecticides, fungicides etc. continue to generate  
5 serious health and ecological concerns, not only for human beings, but also on non-target organisms  
6 (Diamanti-Kandarakis *et al.*, 2009). The reprotoxicity impacts of these pesticides are particularly  
7 disturbing giving the direct impacts of reproduction on biodiversity. The exposure impacts of  
8 various aquatic herbicides on reproductive systems and organs of the non-target organisms like  
9 amphibians remains highly controversial and unclear (Hayes *et al.*, 2002; Carr *et al.*, 2003; Taylor  
10 *et al.*, 2005; Oka *et al.*, 2008). This present study examined the reproductive impacts of selected  
11 aquatic herbicides including Roundup, Kilo Max, Enviro Glyphosate (glyphosate-based  
12 formulation), Basta (glufosinate ammonium formulations), Arsenal (imazapyr) and Midstream  
13 (diquat dibromide formulations) on reproductive system of amphibians using *X. laevis* as sentinel.  
14 The results showed that many of these selected aquatic formulations have negative impacts on the  
15 reproductive organs causing widespread abnormalities on the *X. laevis* reproductive organs.

#### 16 **Impacts on body mass.**

##### 17 **Midstream formulation**

18 In a very typical endocrine disrupting chemicals pattern, which usually produce an inverted u-  
19 shaped response (Calabrese, 2001; Labereke *et al.*, 2008), **where the mass increased at lower**  
20 **concentration before decreasing at higher concentrations.** The observed body mass reduction  
21 from the prometamorphic and the metamorphosis stage may be the consequence of the high toxicity  
22 of this formulation to the test organism. Various scholars have highlighted the numerous  
23 implications of size reduction in amphibians, including increases predation risk, as well as lower  
24 survival rate and future reproductive fitness (Sathe, 1969, Collins 1975, Howard, 1978; Semlitch,  
25 1989; and Sullwan and Spencer 2003; Howe *et al.*, 2004; Gupta, 2012). Furthermore, size may also  
26 have strong downstream effects on adult phenotype and fitness (Dmitriew and Rowe, 2011).  
27 According to Cabera-Guzman *et al.* (2013), larger body size enhance metamorphs survival and  
28 growth rate, with better locomotory performance, as well as being more adapted to catching and  
29 consuming prey. This means that the weight reduction observed in the frogs exposed to the  
30 Midstream formulation will negatively impact the developmental and reproductive fitness for the  
31 exposed amphibians in a way that could have wider effects on the population.

## 1 **Basta formulation**

2 In Basta formulation, the observed increased body mass in the treated metamorphs may be a  
3 physiological response to the toxic and inhibitory property of this formulation. The increased in  
4 body mass observed in this study is similar to the observation of Greulich and Pflugmacher (2003),  
5 where they noted that metamorphs treated with Cypermethrin become distinguished by their more  
6 compact physique with increased body mass compared to the Control. Greulich and Pflugmacher  
7 (2003) concluded that the increased body mass must be a physiological response to resist the  
8 adverse toxic effects of the chemical. The impacts of such increased body mass on the physiology  
9 and reproductive fitness are still largely unknown, and might be detrimental to the frogs.

## 10 **Arsenal formulation**

11 Similar to occurrence in Midstream exposure, Arsenal formulation also produced an inverted-u-  
12 shaped response pattern in prometamorphic tadpoles, with body mass increasing at lower  
13 concentrations, but reduced at highest exposure concentration, all relative to the Control. At  
14 metamorphosis stage, the body mass was normalised with tadpoles not differing in body size when  
15 compared to those of the Control group. The fact that the frogs can adequately recover from the  
16 exposure at adult stage suggests that at these concentrations, the formulation might not have any  
17 serious irreversible exposure impacts on the growth rate of the frogs. However, since concentrations  
18 of 5.78 mg/L have been measured in surface water and treated sediment with no overlying canopy  
19 (WSDA, 2003), suggesting that the frog could be facing bigger toxicity challenges from the Arsenal  
20 formulation in the environment, compared to the current exposure concentration range of 0.5 mg/L  
21 to 3.5 mg/L.

## 22 **Glyphosate formulations** (Kilo Max, Roundup and Enviro Glyphosate)

23 For the Glyphosate formulations, the three formulations reduced the body mass of the treated *X.*  
24 *laevis*. While the three formulations reduced the body mass at prometamorphic stage, the reduction  
25 was sustained till metamorphic stage in both Kilo Max and Enviro Glyphosate formulations except  
26 in Roundup formulation, all relative to the Control. The reduction in body mass for the three  
27 glyphosate formulations is consistent with the several scientific findings (Edington *et al.*, 2004;  
28 Howe *et al.*, 2004). As noted by Edington *et al.*, 2004, significant reduction in body size was  
29 observed in *X laevis*, leopard frogs, and Green frogs after 96hour exposure to formulations of  
30 glyphosate herbicide. Growth rate and smaller size at metamorphosis would affect survival  
31 (Shenoy *et al.*, 2009), immune-competence (Carey *et al.*, 1999) and territorial defence (Bridges,  
32 1999). The organisms are also at risk of decrease egg clutch size and potential fewer breeding

1 attempts over the life of the animals (Howard, 1978; Semilitch *et al.*, 1988; Smith, 1987; Berven,  
2 1990), which could have a larger impacts on growth and sexual development.

3

#### 4 **5.12. Sex Ratio**

5 The significant shift towards female-biased sex ratios in the Midstream and Kilo Max formulations  
6 60:40 and 68:32 (F: M) respectively, relative to a ratio of 50:50 (F: M) in the Control group showed  
7 the feminization potential of these formulations on *X. laevis*. For the Midstream formulation, even  
8 though no known studies have examined its exposure impacts on sex ratios in amphibians, the 60:40  
9 ratio at concentration of 0.14 mg/L, which is quite lower than the 0.733 mg/L, the expected  
10 environmental concentration (EEC) (Peterson *et al.*, 1994) of this formulation showed that this  
11 formulation could have a serious feminization effect at the EEC. This result showed that complete  
12 feminization is possible at a slightly higher concentration, even below the EEC. For Kilo Max  
13 formulation, the slightly high concentration used in this study (relative to the EEC) means the  
14 amphibians and other aquatic organisms would not normally be exposed to this concentration in the  
15 natural environment and hence the feminization level. But the potential feminization impacts of  
16 Midstream and Kilo Max at lower concentrations could negatively impact the aquatic organisms  
17 and have serious ecological effects on the exposed populations. As noted by Langlois *et al.* (2010),  
18 the female-biased ratio is an important physiological consequence of chemical exposure which  
19 could potentially alter amphibian population fitness.

20 For Roundup and Environ Glyphosate formulations, the observed slight tendencies for  
21 masculinization and feminization impact of these two glyphosate formulation respectively showed  
22 the increasing contribution of their different surfactants. For Roundup formulation, the non-  
23 significant shift in male-biased sex ratio (47.5:52.5) confirmed the findings of Howe *et al.* (2004),  
24 who reported that the exposure of *Lithobates pipiens* and *Lithobates clamitan* to Roundup  
25 formulation produced a male biased sex ratio that were not significantly different to the Control  
26 group. In the case of Environ Glyphosate formulation, the low sex ratio deviation relative to the  
27 Control showed the low feminization potential of this formulation. This is consistent with the  
28 findings of Howe *et al.* (2004), who noted that some formulations of glyphosate did not cause any  
29 disruption in sex ratio. The authors also showed that the technical glyphosate did not cause any sex  
30 ratio disruption. This further showed the contribution of the different surfactants as the real cause  
31 of slight feminization and masculinization in these glyphosate formulations.

1 For Arsenal formulation, although the sex ratio showed no serious threat at a concentration  
2 of 3.5 mg/L, further study at EEC of 5.7 mg/L (WSDA, 2003), will be very important to clear any  
3 doubt at of the potential feminization at relevant environmental concentrations. In the case of Basta  
4 formulation, the non-significant result even at concentration of 0.25 mg/L showed that this  
5 formulation low feminization potential, but further study at the EEC 1.0 mg/L of this formulation  
6 (Dinehart *et al.*, 2010), will further increase our ecological knowledge of this formulation.

### 7 5.13. Gonadal Malformations

8 One of the hypotheses for the global amphibian declines is the issue of chemical/pesticides  
9 contamination in the environment. Today, the contribution of pesticides to reproductive toxicity is  
10 no doubt a serious raging debate. As noted by several authors, malformations of all kinds occur  
11 naturally in wild amphibian populations (Hanken, 1983; Read and Tyler, 1994). The severities of  
12 malformations only become worrisome when the gonadal malformations become dose dependent  
13 as found in this study. The increased abnormality index from the highest at Midstream (33-60 %),  
14 Kilo Max (22.5-43 %), Enviro Glyphosate (17.5-37.5 %), Arsenal (18-35 %), Roundup (20-30 %)  
15 and then Basta (13-28 %) in that order, showed high reproductive toxicity potentials for these  
16 formulations.

17 To my knowledge, no evidence of gonadal impairment has been reported for Midstream  
18 formulation (diquat dibromide), Basta formulation (glufosinate ammonium) as well as Arsenal  
19 formulation (imazapyr), this study represent the first account thereof. For Midstream formulation,  
20 the high teratogenic index of 3.5 (recorded for FETAX in Chapter 3) and thyroid disruption  
21 potential (for XEMA in Chapter 4) is certainly a pointer to the possible hidden potential  
22 reproductive toxicity of this formulation, since thyroid disrupting substances have been shown to  
23 affects the sex steroids (Hayes, 1998, Bogi *et al.*, 2011). The fact that Midstream formulation  
24 produced a malformation index of up to 60 % at a concentration below the expected environmental  
25 concentration shows the serious reproductive impacts of this formulation. More attention should be  
26 focused on the impacts of this formulation, as the formulation could potentially impact any exposed  
27 organism population and not just of amphibians. For Arsenal formulation, the occurrence of up to  
28 35 % at a concentration of 3.5 mg/L, which is below the current environmental relevant  
29 concentration of 5.78 mg/L, makes this formulation a potential threat in any aquatic environment.

30 For the glyphosate formulations, although all the three formulations showed a dose  
31 dependent increase in gonadal abnormalities, Roundup emerged as the most reprotoxic of the three,

1 as it produced more morphological malformations at the lowest concentration. For Roundup  
2 formulation, these results confirmed the observations of Howe *et al.* (2004) who found the  
3 occurrence of gonadal malformities in metamorphs exposed to Roundup formulation. But since  
4 Howe *et al.* (2004) also noted that the technical glyphosate produced no significant gonadal  
5 malformation, it means that the observed malformations are therefore the impacts of the various  
6 surfactants in each of these formulations.

7 In general, as noted by several authors, gonadal abnormalities as observed in this study  
8 could lead directly to reproductive dysfunction (Qin *et al.*, 2005) and are likely to reduce the  
9 reproductive success of exposed individuals (Quellet *et al.*, 1997; Howe *et al.*, 2004; Qin *et al.*,  
10 2005; McCoy *et al.*, 2008; McDaniel *et al.*, 2008). The widespread nature of these pesticide induced  
11 amphibian gonadal malformations could also be a contributing factor to the global decline that is  
12 currently being witnessed (Qin *et al.*, 2005).

#### 13 **5.14. Conclusions**

14 The results of this study showed the potential capacities of Midstream (diquat dibromide), Basta  
15 (glufosinate ammonium), Arsenal (imazapyr) and glyphosate formulations (Roundup, Kilo Max  
16 and Environ Glyphosate) to negatively impact the reproductive fitness of *X. laevis* in particular but  
17 also amphibians in general. This effects include the reduced growth rate as demonstrated following  
18 exposures to Midstream, Kilo Max, Roundup, and Enviro Glyphosate formulations. The herbicide  
19 formulations also resulted in sexual developmental effects as demonstrated by the feminisation  
20 following exposure to Midstream, and Kilo Max formulations. Apart from the sex ratios  
21 modulation, the herbicide formulations also differentially impaired gonadal development as  
22 observed following exposures of *X. laevis* tadpoles to graded concentrations of the six selected  
23 formulations.

24 Even though the physiological and reproductive impact of gonadal malformations are still  
25 largely unknown, particularly as it may affects reproductive success within the larger population,  
26 more attention is required to assess the real reproductive impact of many pesticides known to induce  
27 malformations in amphibian species like *X. laevis*. Since endocrine modulation studies using  
28 herbicides are mostly focused on a handful of widely used chemicals, more attention should be  
29 given to the other herbicide formulations, since it is clear that these compounds may have more  
30 reprotoxic potential than previously thought, when compared to other groups of pesticides including  
31 fungicides and insecticides. Environmental assessment at the population level in aquatic systems  
32 potentially being contaminated by herbicide formulations directly or indirectly should be monitored

1 for developmental and reproductive effects on a regular basis. In terms of the regional South African  
2 aquatic environment, this study has shown that herbicide may well be a threat to endemic aquatic  
3 biodiversity and field studies including aquatic species, (like fish and amphibians) needs urgent  
4 attention. Moreover, when studying pesticide effects in aquatic ecosystems, clearly herbicides,  
5 individually or in mixtures should be included. The assessment should be used not only to re-  
6 evaluate the impacts of old pesticides, but to also show the potential effects of newly developed  
7 ones before they are applied into the environment.

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## Chapter Six

### Estrogenicity and androgenicity potential of Midstream (diquat dibromide) and Arsenal (imazapyr) formulations on Adult male Amphibian using *Xenopus laevis* as sentinel species

#### 6.1. Introduction

Despite the increased growth in the non-agricultural sectors of the global economy, agricultural activities still dominate the use of freshwater (OECD, 2012). This has led to widespread contamination and pollution of aquatic environment with various chemicals and agro-pesticides, including herbicides, fungicides and insecticides (Lavorato *et al.*, 2013). The presence of these pesticides residues in food, soils and sediments as well as in runoff water are ubiquitous environmental toxicants that pose a risk to human and animal health (Sharma *et al.*, 2011). Pesticides have been identified as one of the major factors affecting biological diversity (Bouetard *et al.*, 2013). In fact, internationally, pesticides have been recognised as a potential contributing factor to the global amphibian decline (Gibbons *et al.*, 2000; Stuart *et al.*, 2004; Hayes 2005; McDaniel *et al.*, 2008; Lance *et al.*, 2012; Levarato *et al.*, 2013). Many of these pesticides and other environmental contaminants are alleged to mimic or antagonising the action of hormones, in a way that might disrupt the development and reproductive systems of the organism (Urbatzka *et al.*, 2007; Cevalasco *et al.*, 2008; Flint *et al.*, 2012).

These commonly called endocrine disrupting compounds (EDCs) have been reported to be present in aquatic systems that are receiving effluent from wide ranging sources. Accumulation of EDCs in surface waters and sediments makes permanent and occasional aquatic inhabitants like fish and amphibians face an increase ecological risk (Cevalasco *et al.*, 2008). For example, several EDCs have been reported to interfere with the androgenic system and shown to modulate the normal functions of tissues and organs associated with the male reproductive system (Jugan, 2009; Orton *et al.*, 2012). The exposure-impacts of many these chemicals may be directed at the endocrine system which may result in several health alterations including loss of fertility and fecundity, result in developmental abnormalities, immune-suppression and mortality (Zaya *et al.*, 2011).

Not surprising, exposure of humans and wildlife to chemical contaminants, sharing the aquatic sources of the world is practically inevitable. Hence the exposure impacts of these pesticides to human and wildlife health usually depends on levels and duration of exposure. It has been

1 hypothesised that many of the alterations in reproductive phenotype and functions are due to the  
2 endocrine disruptive effects of various pesticide and chemical contaminants (Guillette *et al.*, 2000;  
3 Hecker *et al.*, 2005). And because many organs are targeted by sex steroids including the  
4 hypothalamic-pituitary-gonadal system, breast, uterus, cervix, vagina, brain, and non-reproductive  
5 tissues such as bone, muscle and skin (Diamanti-Kandarakis *et al.*, 2009), these organs may be  
6 vulnerable to endocrine disruption.

7         Recent increased in report of incidence of intersex in fish and other aquatic organisms  
8 inhabiting global waterway has further raised the concern about threat of endocrine disrupting  
9 compounds in the aquatic environment (Wise *et al.*, 2011). Many studies are now linking  
10 environmental pesticides to reprotoxic incidences in the wild, including fish (Jobling *et al.*, 1998,  
11 2002; Gimeno *et al.*, 1998; Aerle *et al.*, 2001; Urbatzka *et al.*, 2007; Kidd *et al.*, 2007), amphibians  
12 (Fort *et al.*, 2001; Hayes *et al.*, 2002a, Hayes 2005; McDaniel *et al.*, 2008), reptiles (Guillette *et al.*,  
13 1994; Crain *et al.*, 1997; Crain 1997; Crain *et al.*, 1998), birds (Giesy *et al.*, 1994), and mammals  
14 (D'Souza, 2004). Most of the documented health impacts of the EDCs both in human and wildlife  
15 have been linked to estrogenic effects (Krisnan *et al.*, 1993; Nimrod and Benson, 1996). According  
16 to Diamanti-Kandarakis *et al.* (2009), low level and chronic exposure to endocrine disruptors,  
17 especially estrogenic substances may be contributing to adverse human health effects. It is also  
18 known that amphibian larvae exposed to estrogenic compounds develops gonadal differentiation  
19 towards female biased sex ratios (Kloas *et al.*, 1999; Mackenzie *et al.*, 2003).

20         Androgenic, anti-androgenic as well as estrogenic and anti-estrogenic effects of substances  
21 has been demonstrated in many organisms (Wise *et al.*, 2011; Orton *et al.*, 2012; Lavarato *et al.*,  
22 2013). For example, many pesticides are known to disrupt male sexual differentiation in vivo by  
23 antagonising the androgen hormone binding to its intracellular receptor (AR) (Orton *et al.*, 2012).  
24 Androgens are male reproductive hormones, synthesised by the Leydig cells in the testes (Bauer *et al.*  
25 *et al.*, 1998), and controlling spermatogenesis and secondary sexual traits (Kumar *et al.*, 2008; Streck,  
26 2009). The testes contain paracrine and autocrine regulations in various compartments that are  
27 under the endocrine influence from the pituitary and hypothalamus. These numerous compartments  
28 as well as other receptors make the testes highly susceptible to endocrine disruption (Sikka and  
29 Wang, 2008). The AR upon ligand binding translocate to the nucleus where it binds to the  
30 regulatory regions of androgen-responsive gene, and subsequently stimulates their transcription  
31 (Kumar *et al.*, 2008). Chemicals that mimic androgens or antagonizing binding of androgen to the  
32 androgen receptor, could therefore interfere with androgen functions, leading to impairment in  
33 sexual functions and development (Bauer *et al.*, 1998; Urbatzka *et al.*, 2007).

1           On the other hand, feminizations of males following exposure to estrogen mimics  
2 substances have been widely reported in wildlife species (Du Preez *et al.*, 2005; Hayes *et al.*, 2006;  
3 Tranchantong *et al.*, 2013). A global example is the Atrazine herbicide, which has been shown to  
4 feminize male frogs (Tavera-Mendoza *et al.*, 2002; Du Preez *et al.*, 2005). The expression of  
5 estrogen-induced proteins like the yolk precursor, vitellogenin (Hurter *et al.*, 2002) and anti-  
6 androgenic effects of estrogens on male breeding glands in amphibian skin (van Wyk *et al.*, 2003)  
7 have been reported as biomarkers of exposures.

8           According to Sikka and Wang (2008), the normal functioning of the male reproductive  
9 system may therefore be at risk when adult males are exposed to reproductive toxic environmental  
10 pollutants including numerous pesticides, particularly herbicides, fungicides and insecticides.  
11 Thyroid hormones modulation have also been noted to have a role in the reproductive system  
12 disturbance. According to Crain *et al.* (1998), thyroid hormones play important roles in growth and  
13 reproductive capacity. They noted that removal of thyroid in lizards and geckos can result in the  
14 inhibition of spermatogenesis, which can be restored with the administration of thyroxine. Crain *et*  
15 *al.* (1998) further pointed out that by exposing some organism with an intact thyroid to  
16 triiodothyronine also resulted in the suppression of spermatogenesis, suggesting that the normal  
17 functioning of reproductive organs is dependent on a specific range of thyroid hormones level. This  
18 mean that pesticides that affect thyroid system could also have a serious impacts on the reproductive  
19 system of organisms.

20           Globally, herbicides are extensively used in agriculture and environmental management  
21 programmes to control unwanted and alien plants. Currently, about 180 different pesticides active  
22 ingredients are available with about 400 registered trade names (Menhardi, 2008). South Africa is  
23 the largest pesticides market in sub-Saharan African, with about 60% of the pesticides market in  
24 Africa (Ansara-Ross *et al.*, 2012). According to Ansara-Ross *et al.* (2012), there is increasing  
25 application of glyphosate-based herbicides in South Africa in recent years for example, as large  
26 numbers of farmers are applying herbicides to herbicide-resistant genetically modified wheat, corn,  
27 and canola crops. However, herbicides are also widely used in other agricultural sectors, for  
28 example pasture, vegetable, fruit and grapes farming, but also for domestic use (Bold, 2007;  
29 Ansara-Ross *et al.*, 2012; Mensah *et al.*, 2013). The Working for Water Program launched and  
30 managed by the National Department of Water Affairs also uses large volumes of various herbicides  
31 including glyphosate-based formulations, glufosinate ammonium formulations, imazapyr and  
32 diquat dibromide formulations, among many others, in eradicating alien vegetation in valuable  
33 water catchment areas (Bold, 2007; Ansara-Ross *et al.*, 2012).

1           Several of these commonly used herbicide formulations have not been assessed for  
2 androgenic, anti-androgenic, estrogenic as well as anti-estrogenic properties. The present study  
3 aimed to assess the exposure impacts of Midstream formulation (diquat dibromide) and Arsenal  
4 formulation (imazapyr) to specifically answer questions about the estrogenicity (feminization) and  
5 (anti-) androgenicity potential on adult male *Xenopus laevis*. In previous chapters 3-5, Midstream  
6 and Arsenal formulations exposure resulted in sex ratios disruption (60:40 and 45:55 F: M ratios  
7 for Midstream and Arsenal respectively), high gonadal abnormality ratios (60 % and 35 % for  
8 Midstream and Arsenal respectively) and varying high degree of developmental and thyroid gland  
9 disruption. The Midstream formulation equally showed high teratogenic index value (at TI of 3.5).  
10 These two herbicide formulations were therefore selected for adult stage exposures.

11           Midstream formulation (diquat dibromide (9, 10-dihydro-8a, 10a-diazoni a phenanthrene  
12 ion) is a post-emergent, non-selective contact herbicide and crop desiccant that is equally used in  
13 aquatic weeds control (WHO, 2004; Emmett, 2002). It is widely used in the United State, North  
14 America, Europe, Australia and Japan (WHO, 2004; Emmett, 2002). One of the most common  
15 formulations of diquat dibromide is Midstream formulation that contains nonyl phenol ethoxylate  
16 as surfactant that has been implicated as having estrogenic activities (Trumbo, 2005; Othman,  
17 2009). The expected environmental concentration is 0.733 mg/L (Williams *et al.*, 1996)

18           Arsenal formulation (imazapyr) on the other hand, belongs to the chemical family of  
19 imidazolinone (Liu, 1992). It is a systemic, non-selective, pre- and post-emergent herbicide used  
20 for the control of a broad range of terrestrial and aquatic weeds, and controls plant growth (EPA,  
21 2006 According to Grisolia *et al.* (2004), the Arsenal formulation is made up of 25 g/L imazapyr,  
22 186 g/L of ammonium hydroxide, 18 g/L nonyphenol ethoxylate (with nine ethoxylated units) and  
23 water. The expected environment concentration is 5.77 mg/L (WSDA, 2003)

24           The Africa clawed frog (*Xenopus laevis*) is an aquatic species that has been used globally  
25 as an animal model for environmental quality assessment (Mann and Bidwell, 2000; Paggeti *et al.*,  
26 2006; Kloas *et al.*, 2009; Vitt *et al.*, 1990), adapted for in-vitro research (Kloas *et al.*, 1999), in vivo  
27 studies (Ubatzka *et al.*, 2007). As sentinel species allow for relatively quick surveys (Paggeti *et al.*,  
28 2006) while providing very sensitive endpoints (Kloas *et al.*, 2009; Lavorato *et al.*, 2013). Adult  
29 male *X. laevis* are good models for estrogenicity assessment since they have the potential to  
30 produced vitellogenin when exposed to exogenous estrogenic substances (Kloas *et al.*, 1999; Hurter  
31 *et al.*, 2002 (a & b); Kloas and Lutz, 2006; Kloas *et al.*, 2009). The males are also good models for

1 antiandrogenic screening as their breeding glands and /or vocal cords are sensitive to androgenic  
2 substances (Hayes *et al* 2002; Hurter *et al.*, 2002; van Wyk *et al.*, 2003).

## 3 **6.2. Materials and Methods**

### 4 **6.2.1 Chemicals**

5 Midstream (diquat dibromide), Syngenta S.A Ltd) was purchased from a local agricultural dealer  
6 in Stellenbosch, South Africa. Arsenal (Imazapyr herbicide, 2-(4-isoprpyl-4-methyl-5-oxo-2-  
7 imidazolin-2-yl) nicotini acid) (Base Chemical S.A Ltd) was obtained from Working for Water,  
8 South Africa.

### 9 **6.2.2. Test organism**

10 Wild caught adults male Africa Clawed frogs (*X. laevis*) were obtained from an accredited supplier,  
11 Loesch Paddas, Klapmuts Western Cape Province, South Africa.

### 12 **6.2.3. Exposure concentrations**

13 Exposure concentrations for this study were non-lethal and largely environmental relevant, based  
14 on 15, 30 and 45 % of 96 hour NF stage 48 of *X. laevis* (see Chapter 2).

### 15 **6.2.4. Exposure methodology**

16 Adult males were acclimatized in the laboratory using charcoal filtered water for 10 days under  
17 regulated climatic conditions (temp of  $25 \pm 1$  °C and 1 hour of light and dark (12:12 L:D). The frogs  
18 were fed commercial fish pellet (Aqua-Nutro RSA.) twice a week prior to tank cleaning and water  
19 replacement.

20 After the 10 days acclimatization period, five (5) frogs were transferred to each non-aerated  
21 15 L glass tanks containing reverse osmosis water, buffered with 2.5 g marine salt (Levy *et al.*,  
22 2004). The frogs were subsequently exposed to three (3) graded concentrations of Midstream and  
23 Arsenal formulations for 28 days (Table 6.1).

24

25

26

27

1 **Table 6.1.** Commercially available Midstream (diquat dibromide) and Arsenal (imazapyr)  
 2 formulations and the selected graded exposure concentrations. The zero concentration represents  
 3 the control group.

Formulation	Concentration (mg/L)
a Midstream (diquat dibromide)	0, 0.25, 0.45, 0.65
b Arsenal (imazapyr)	0, 2, 4, 8

4  
 5 The herbicide formulations were directly spiked into the exposure water which was  
 6 replicated twice, with the exposure medium renewed every 48 hours (Monday, Wednesday and  
 7 Friday). The exposed frogs were fed fish pellet on Monday and Friday before replacing the water.  
 8 The exposure study was performed under the following physical conditions; pH of 6.5-8, dissolved  
 9 oxygen of 3.5 mg/L and 12 hours of light and dark (12:12 L:D). All the procedures were performed  
 10 according to the ethical protocol and principles as approved by the central Ethical Committee of  
 11 the Stellenbosch University (Protocol no SU-ACUM13-00017).

12

### 13 **6.2.5. Sampling Procedure**

14 At the end of 28 exposure days, all the exposed frogs were sacrificed by decapitation followed by  
 15 spinal pithing after ten (10) minutes anaesthesia in MS222 (200 mg/L). The frogs were dissected  
 16 and approximately 1 mL whole blood was sampled from each frog, via cardiac puncture using  
 17 heparinised syringes and needles. The blood samples obtained were subsequently centrifuged at  
 18 800 rpm for two minutes for plasma separation, and stored at -80 °C until hormonal assays were  
 19 conducted.

20 The frogs were dissected and the testes and liver were collected and weighed, prior to the  
 21 testes being individually labelled and fixed in Bouin's fluid for 24 hours. The testes were removed  
 22 from the fixative, rinsed in water and stored in 70 % ethanol until routine histological processes  
 23 followed. The following endpoints were assessed; (a) body size, mass organ for calculating  
 24 gonadosomatic index (GSI) (gonad weight/wet mass of the frog X 100 (Gong *et al.*, 2014), (b)  
 25 hepatosomatic index (HSI), (c) testes histopathology, (d) blood plasma vitellogenin (VTG)  
 26 concentration (e) blood plasma testosterone (T) concentration (to assess (anti)-androgenic activity)  
 27 (f) blood plasma thyroid hormone (T4) concentration.

### 1 **6.2.5.1. Plasma testosterone determination**

2 A commercially available testosterone ELISA kit was used to determine plasma total T  
3 concentrations in adult male frogs as described by the manufacture (DRG, Germany). In summary,  
4 unextracted plasma samples were diluted 40 fold with PBS containing 0.1% human serum albumin  
5 (HSA) and put through the steps as directed by the ELISA kit in duplicate. Validation of the assay  
6 revealed sensitivity for testosterone of 0.069 ng /mL, with intra-assay coefficient of variance (CV)  
7 of 1.8 % and 10 % respectively. Spiked sample indicated recoveries that ranged between 90-110  
8 %.

### 9 **6.2.5.2. Total Plasma Thyroxine (T4) concentration**

10 Total plasma thyroxine was determined as described by the manufacturer (DRG, Germany). In  
11 summary, 25 µl of each standard, control and undiluted samples was dispensed into appropriate  
12 wells followed by the addition of 100 µl working conjugate reagent. The enzymatic reaction was  
13 stopped with the addition of 100 µl of stop solution and the absorbance (O.D) was measured at 450  
14 nm on a Labsystems MultiscanMS plate reader. According to the manufacturer, the accuracy of this  
15 standard is  $100 \pm 5\%$ , and the minimum detectable for the assay was 0.5µg/dL, while the specificity  
16 of this assay for T4 is very high.

### 17 **6.2.5.3. Plasma Vitellogenin determination**

18 Plasma vitellogenin (VTG) was assessed using a validated in-house ELISA following the Hurter  
19 *et al.*, (2002) protocol. In brief, the procedure involved samples been assayed in duplicate using a  
20 96-well ELISA plate (Maxisorp, Nunc, Denmark). Each plate included a positive and negative  
21 control. The positive control was a sample with a known VTG concentration, while the negative  
22 control consisted of a sample containing no VTG. Wells were coated with 50 µl of sample diluted  
23 at 1/50 in phosphate buffered saline (BPS) and incubated for two hours, before been washed with  
24 0.9% v/m NaCl. Subsequently, 50 µl mouse anti-Xenopus VTG diluted 1/1000 with PBS was added  
25 to each well and incubated for two hours at room temperature. The plate was washed followed by  
26 the addition of 50 µl/ well of peroxidise linked goat anti-mouse immunoglobulin conjugate  
27 complex (Amersham, South Africa) and incubated for an hour before been washed again with 0.9%  
28 v/m NaCl. Substrate (POD substrate, Roche, Germany) (100 µl) was added to each well and the  
29 reaction was stopped through the addition of 50 µl 0.5 M H<sub>2</sub>SO<sub>4</sub> per well. The optical densities were  
30 read on a Labsystems MultiscanMS plate reader at 450 nm. VTG concentration was calculated from  
31 a standard curve prepared using samples of known VTG concentration on each plate.

### 1 **6.2.6. Histological Preparation and Assessment**

2 Testes samples preserved in 70 % ethanol were taken and subjected to routine paraffin wax (57  
3 °C) histological (Bancroft and Steve, 1977) procedure. Sections were taken from wax blocks at  
4 7µm thickness using Reichert Jung microtome (Cambridge Instrument GmgH, Germany). The  
5 mounted sections were dewaxed (xylene) and hydrated in graded series of ethanol and stained with  
6 haematoxylin and counter-stained with eosin (H&E) ((Bancroft and Stevens, 1977), followed by  
7 dehydrated in a dilution ethanol series, and cleared in xylene before coverlid were mounted with  
8 Entellen (Sigma, Ltd) mounting medium.

### 9 **6.2.7 Spermatogenesis evaluation**

10 Spermatogenic activity and testicular histopathology was evaluated relative to the control frogs  
11 according to Kaplan and Murathanoglu, (2008). Anurans have a cystic-type of testis (Kaplan and  
12 Murathanoglu, 2008). Their cysts are randomly organised in the seminiferous tubules of the testis,  
13 where each cyst include Seroli cells and germ cells of the same developmental stage (spermatogonia  
14 (SPG), spermatocysts (SPC) or spermatids (SPT)). The cysts were classified as SPG when it contain  
15 large cells having solid oval nuclei and surrounded by a membrane. The SPC was identified as  
16 having cells with dispersed chromatin and no clear nuclear envelope. For the SPT, they were  
17 characterised as having condensed nuclei, smaller in size than SPCs and darkly stained. Some SPT  
18 cell stages were also identified as having elongated cell size leading to spermatozoa formation,  
19 which was identified as having crescent-shaped tail flagella and situated towards the lumen of the  
20 seminiferous tubules. The incidence of germ cell stages were compared between treatment  
21 concentrations by calculating percentage ratio of cysts bearing the germ cell types. The average  
22 percentage of SPZ per tubule area was determined by calculating the area of SPZ relative to the  
23 area of the tubule. Ten seminiferous tubules per testis sample were examined under 100x  
24 magnification to observe the stages of germ cells present in each cyst. Spermatogenic activity  
25 (status) of the frogs in the different exposure groups were determined by comparing the ratio of  
26 germ cell-types (% SPG, % SPC, % SPT) of each cyst within the seminiferous tubules and  
27 comparing it to the spermatogenic activity of the frog testes in the control group. Imaging was done  
28 using Leica DMLB microscope equipped with digital camera (Leica Microscope Ltd, Wetzlar  
29 GmbH).

### 30 **6.2.8. Data Analysis**

31 Normality and homogeneity of variance was evaluated using the respective Shapiro-Wilk's and  
32 Levene's tests. Total body mass, testes mass, HSI, GSI, as well as variation in histological

1 spermatogenic cells were compared to the respective untreated controls using one way ANOVA  
 2 followed by Tukey HSD post hoc test for parametric data and Kruskal-Wallis ANOVA followed  
 3 by Dunn's multiple comparison of mean rank test for non-parametric data. P-values < 0.05 were  
 4 considered significant.

5

## 6 **6.3. Results**

### 7 **6.3.1. Mortality and Gross Abnormality**

8 Throughout the 28 days exposure period, no mortalities were recorded in all of the exposure tanks.  
 9 There was also no incidence of gross abnormality during the exposure period.

10 **Table 6.2:** Morphometrics of adult *X. laevis* exposed to concentration series of Midstream (MID) and  
 11 Arsenal (ARS) formulations. Hepatosomatic index (HSI) and gonadosomatic index (GSI) is expressed  
 12 as percentage of body mass relative to liver and testes respectively. Values given as mean  $\pm$  SD (N= 5  
 13 per group)

Conc. Mg/L	Body mass (SD)	TESTIS mass (SD)	TESTIS length (SD)	TESTIS width (SD)	LIVER mass (SD)	HSI (SD)	GSI (SD)
0 (control)	42.8 (7.4)	0.1 (0.03)	6.6 (0.87)	3.09 (0.28)	2.24 (0.91)	5.51 (1.95)	0.21 (0.08)
MID 0.5	38.2 (6.8)	0.1 (0.02)	7.1 (0.77)	2.8 (0.51)	1.97 (0.84)	5.05 (1.68)	0.27 (0.07)
MID 0.11	41.6 (8.2)	0.1 (0.05)	6.89 (0.85)	2.96 (0.46)	2.46 (0.91)	5.29 (1.85)	0.26 (0.11)
MID 0.14	41.6 (8.9)	0.1 (0.04)	6.85 (0.9)	2.77 (0.34)	2.43 (0.61)	5.91 (1.49)	0.23 (0.08)
ARS 0.5	53.3 (7.92)	0.11 (0.03)	7.9 (0.9)	3.27 (0.5)	2.99 (0.8)	5.6 (1.1)	0.2 (0.1)
ARS 2.0	49.9 (8.25)	0.11 (0.03)	7 (1.0)	3.15 (0.4)	2.77 (0.6)	5.6 (1.0)	0.22 (0.03)
ARS 3.5	44.1 (9.46)	0.1 (0.02)	7.4 (1.1)	2.79 (0.4)	2.48 (1.0)	5.51 (1.5)	0.23 (0.1)

14

### 15 **6.3.2. Total body mass**

16 The mean body mass of the adult frogs following exposure to Midstream formulation showed little  
 17 variation compared to 42.8 g at the Control (Table 6.2). The reduction in body mass using Kruskal-  
 18 Wallis ANOVA test, however, proved to be not significantly different compared to the Control  
 19 group.

1 For the frogs exposed to Arsenal formulation, the mean body mass which was 53.3 g at 2  
2 mg/L dropped to 49.9 g at 4 mg/L and then to 44.1 g at 8 mg/L compared to the 42.8 g at the Control  
3 group (Table 6.2). The increased body mass using Kruskal-Wallis ANOVA test was however not  
4 significantly different compared to the Control at all exposure concentrations.

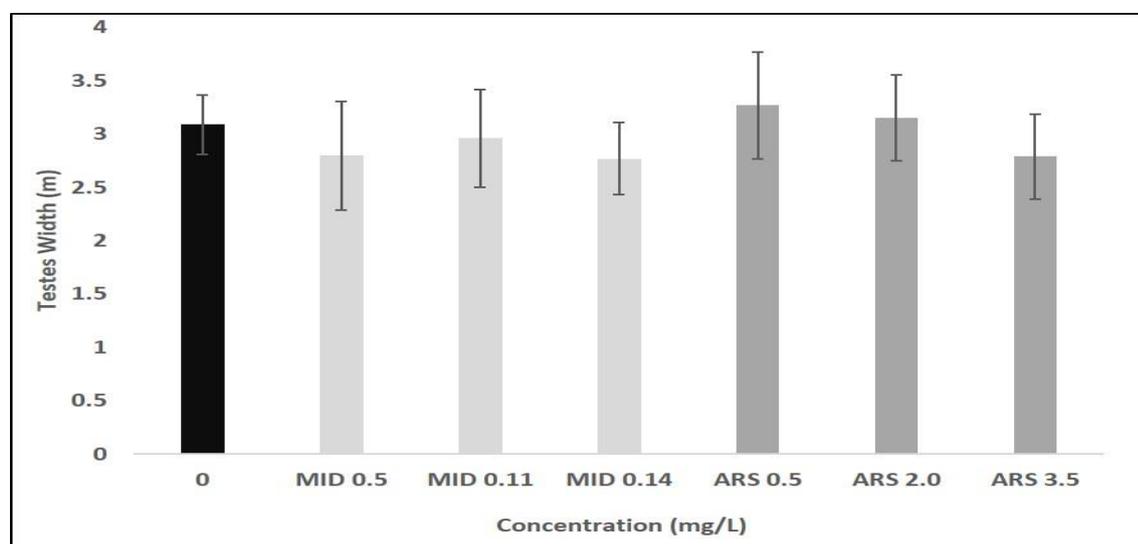
### 6.3.3. Testicular morphometric

#### 6.3.3.1. Testes length

8 The mean testes length did not vary significantly ( $P>0.05$ ) among exposure groups compared to the  
9 Control group (Table 6.2). Using Unequal N HSD test, the increased length was however not  
10 statistically significant at all exposure concentration of the two formulations compared to the  
11 Control group.

#### 6.3.3.2. Testes width

13 The mean testes width following exposure to both Midstream and arsenal formulation did not vary  
14 significantly ( $P>0.05$ ) among the exposed groups relative to the Control group (Table 6.2; Figure  
15 6.2).



16 **Figure 6.2:** Mean testes width of the adult (male) *X. laevis* following exposure to Midstream and  
17 Arsenal formulation compared to the control

19 Using Unequal N HSD test, the reduction was not significantly different at all exposure  
20 concentrations compared to the control.

1 For Arsenal formulation, the mean testes width showed no slightly increased at lower  
 2 exposure concentrations of 0.5 and 2.0 mg/L compared to the control. (Table 6.2; Figure 6.2). Using  
 3 Unequal N HSD test, the increased was however not significantly different at all exposure  
 4 concentrations compared to the Control group.

#### 5 **6.3.4. Gonadosomatic index**

6 The mean gonadotropic Index (GSI) of the frogs exposed to Midstream using Kruskal-Wallis  
 7 ANOVA test was however found not to be statistically significant ( $P>0.05$ ) at all exposure  
 8 concentrations relative to the Control group.

9 For frogs exposed to Arsenal formulation, the mean gonadosomatic Index (GSI) using  
 10 Kruskal-Wallis ANOVA test was not statistically significant ( $P>0.05$ ) at all exposure  
 11 concentrations compared to the Control group.

#### 12 **6.3.5. Hepato-somatic Index (HSI)**

13 For the HSI of the adult male frog following exposure to both the Midstream and Arsenal  
 14 formulations, using Kruskal-Wallis test did not significantly vary ( $P>0.05$ ) relative to the Control  
 15 group (Table 6.2). Using Kruskal-Wallis test, HSI in the two formulations were found not to be  
 16 significantly different ( $P>0.05$ ) at all exposure concentrations compared to the Control group.

#### 17 **6.3.6. Spermatogenic activity of testes**

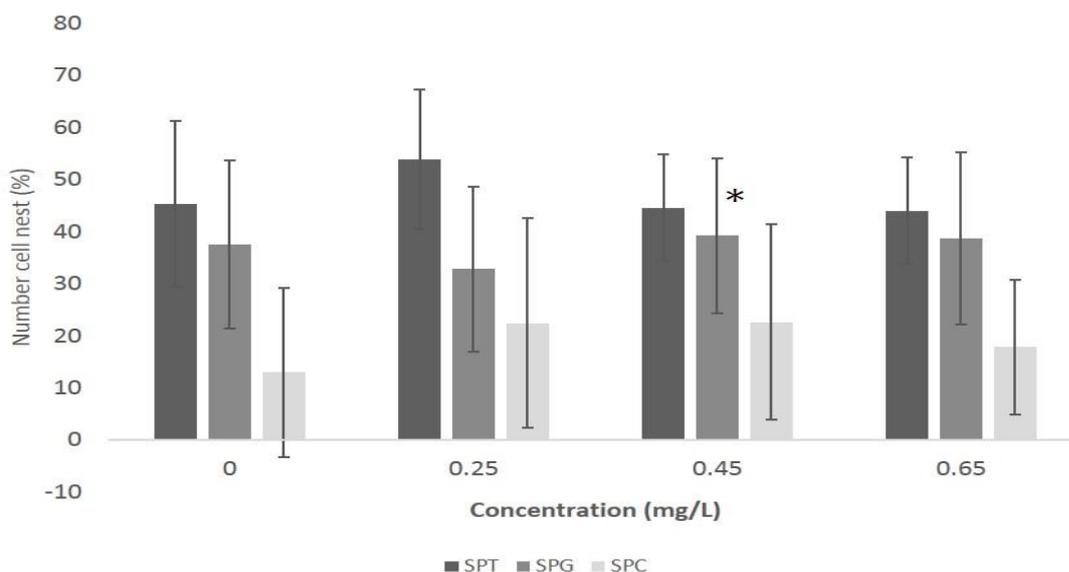
18 **Table 6.3.** Spermatogenic activities of adults *Xenopus laevis* following exposure to concentration  
 19 series of Midstream (MID) and Arsenal (ARS) formulations. Different spermatogenic cells,  
 20 Spermatogonia (SPG), spermatid (SPT) and Spermatocytes (SPC) were expressed as mean of ten  
 21 cross sections of seminiferous tubules diameter per testes.

Conc. (mg/L)	SPG (SD)	SPT (SD)	SPC (SD)
<b>0 (control)</b>	<b>37.5 (16.2)</b>	<b>45.3 (16)</b>	<b>13 (16.2)</b>
<b>MID 0.25</b>	<b>32.0 (15.8)</b>	<b>52.0 (13.4)</b>	<b>22.4 (20.1)</b>
<b>MID 0.45</b>	<b>37.2 (14.9)</b>	<b>42.5 (10.2)</b>	<b>20.6 (18.7)</b>
<b>MID 0.65</b>	<b>38.6 (16.5)</b>	<b>44.0 (10.2)</b>	<b>17.8 (13)</b>
<b>ARS 2</b>	<b>33.9 (17.3)</b>	<b>46.4 (14.3)</b>	<b>13.2 (11.5)</b>
<b>ARS 4</b>	<b>37.5 (18.3)</b>	<b>45.1 (16.2)</b>	<b>13.2 (13.6)</b>
<b>ARS 8</b>	<b>34.3 (15.3)</b>	<b>43.8 (17.5)</b>	<b>7.4 (8.8)</b>

### 1 6.3.6.1. Midstream formulation

#### 2 Spermatogonia (SPG) in lumen

3 The mean percentage of spermatogonia cells in the frogs exposed to Midstream formulation slightly  
 4 increased relative to the control (Table 6.3; Figure 6.3). Using Kruskal-Wallis ANOVA test,  
 5 however showed that the variation was not significantly when compared with the Control group at  
 6 all concentrations.



7  
 8 **Figure 6.3:** Variation in Spermatogenic activity, mean percentage volume of Spermatid (SPT),  
 9 Spermatogonia (SPG) and Spermatocyte (SPC) of adult male *Xenopus laevis* following exposure  
 10 to Midstream formulation compared to the Control group. (Asterisk indicated significant difference  
 11 ( $P < 0.05$ ) relative to the control).

12

13

#### 14 Spermatids (SPT) in lumen

15 The mean percentage of spermatid cells released into the lumen in the frogs following exposure to  
 16 Midstream formulation showed no difference relative to the Control group (Table 6.3: Figure 6.3).  
 17 Using Kruskal-Wallis test, showed that the decrease was not significant at all exposure  
 18 concentration compared to the Control group.

19

20

## 1 Spermatocytes (SPC) in lumen

2 The mean percentage SPC of the frogs exposed to Midstream formulation increased across the  
3 exposure concentrations compared to the Control group. The mean percentage spermatocytes  
4 increased from 22.4 % at 0.25 mg/L to 22.6% at 0.45 mg/L and decreased to 17.8% at 0.65 mg/L  
5 compared to the 13% at the Control group (Table 6.3; Figure 6.3). However, Kruskal-Wallis  
6 ANOVA test confirmed that the increased percentage spermatocytes was only significant ( $P < 0.05$ )  
7 at concentration of 0.45 mg/L compared to the Control group.

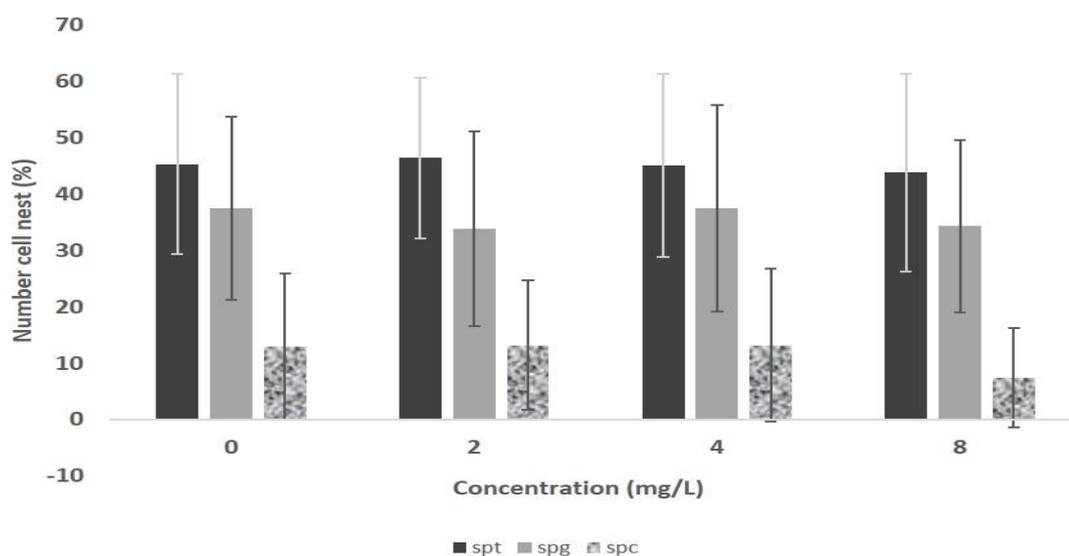
8

### 9 6.3.6.2 Arsenal formulation

## 10 Spermatogonia (SPG) in lumen

11 The mean percentage SPG of the frogs exposed to Arsenal formulation decreased in an n-shaped  
12 pattern. (Table 6.3; Figure 6.4). Using Kruskal-Wallis ANOVA test, confirmed that there was no  
13 significant different ( $P > 0.05$ ) between the Control group and the exposed frogs at all exposure  
14 concentrations (Figure 6.4).

15



16

17 **Figure 6.4:** Percentage volume of Spermatogonia (SPG), Spermatid (SPT), and Spermatocyte  
18 (SPC) of adult *Xenopus laevis* male following exposure to Arsenal formulation compared to  
19 the Control group

20

### 1 **Spermatids (SPT) in lumen**

2 The mean percentage SPT released into the lumen in the frogs exposed to Arsenal formulation  
3 reduced in concentration dependent manner. (Table 6.3; Fig 6.4). Using Kruskal-Wallis ANOVA  
4 test, there was no significant difference ( $P>0.05$ ) at all exposure concentrations compared to the  
5 Control group (Fig. 6.4).

### 6 **Spermatocytes (SPC) in lumen**

7 The mean percentage SPC of the frogs exposed to Arsenal formulation showed slightly decreased  
8 across the exposure concentrations compared to the Control group (Table 6.3; Figure 6.4). Using  
9 Kruskal-Wallis ANOVA test, showed that there was no significant difference in percentage of SPC  
10 relative to the control.

11

## 12 **6.3.7. Variation in plasma Testosterone, Thyroxine (T4), and Vitellogenin (VTG)** 13 **concentrations**

### 14 **6.3.7.1. Plasma Testosterone concentration**

15 The mean plasma testosterone (T) concentration for both Midstream and Arsenal formulations did  
16 not significantly different ( $P>0.05$ ) between the exposed frogs and the Control group following the  
17 28-day exposure period. And using Kruskal-Wallis ANOVA test showed that there was no  
18 significant difference ( $P>0.05$ ) at all exposure concentrations in the two formulations relative to the  
19 Control group.

20 **Table 6.4:** Variation in mean plasma Testosterone (T), Vitellogenin (VTG), and Thyroxine (T4)  
21 concentrations in adult male *Xenopus laevis* frogs following exposure to the herbicide formulations  
22 (Midstream (MID) and Arsenal (ARS)).

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Conc. (mg/L)	Testosterone (SD) (ng/mL)	Vitellogenin (SD) (ng/mL)	Thyroxine (SD) (ng/mL)
Control (0)	42.4 (8.6)	1.0 (0.6)	20.3 (5.8)
MID 0.25	43.5 (7.8)	1.88 (1.3)	26.8 (7.2)
MID 0.45	42.3 (6.3)	1.60 (0.4)	24.2 (5.0)
MID 0.65	44.2 (13.1)	2.53 (1.6)	21.2 (2.9)
ASR 2	43.6 (7.7)	1.39 (1.0)	22.1 (7.6)
ASR 4	41.9 (6.3)	3.00 (2.6)	18.4 (5.4)
ASR 8	40.8 (4.8)	1.23 (0.5)	22.0 (6.2)

1

### 2 **6.3.7.2. Plasma Vitellogenin (VTG) concentration**

3 The mean plasma VTG concentration for both the Midstream and Arsenal formulation showed no  
4 significantly different between the exposure and the control ( $P>0.05$ ). Using Kruskal-Wallis  
5 ANOVA test confirmed that there was no significant difference at all exposure concentration  
6 compared to the Control group.

7 For frogs exposed to Arsenal formulation, the mean VTG concentrations showed no  
8 variation in relation to the control. And using Kruskal-Wallis ANOVA test showed that there was  
9 no significant difference ( $P>0.05$ ) in the increased VTG concentrations at all exposure  
10 concentrations compared to the Control.

11

### 12 **6.3.7.3. Plasma Thyroxine (T4) concentration**

13 The mean plasma T4 concentration following exposure to the Midstream formulation showed no  
14 variation relative to the control frogs. ( $P>0.05$ ). Using Kruskal Wallis ANOVA test showed that  
15 there was no significant difference ( $P>0.05$ ) at all exposure concenytrations crelative to the Control  
16 groups of the Midstream and Arsenal formulations (Table 6.4).

## 17 **6.4. Discussion**

18 Several man-made pesticides that are currently found in the environment have been shown to induce  
19 wide range of reproductive abnormalities through their endocrine disrupting activities, particularly  
20 when exposed during the developmental phase (Bouetard *et al.*, 2013). However, modulation of the

1 endocrine system of adult through chronic exposures have not been well-studied in amphibians.  
2 Many pesticides have been suggested to have (anti)estrogenic, (anti)androgen effects on wildlife  
3 and humans, disrupting reproductive capacity and success (Ubatzka *et al.*, 2007; Cevasco *et al.*,  
4 2008; Levorato *et al.*, 2013). For example, testosterone and dihydrotestosterone are crucial, not  
5 only for the differentiation of foetal male reproductive system, but also for the maintenance of  
6 reproductive functions in mature adults. Similarly, thyroid hormones play an important role in  
7 reproduction in amphibians.

8         The global amphibian population decline for example, has been widely linked with pesticide  
9 pollution (Hecker *et al.*, 2005). Recent research focus on herbicide formulations have resulted in  
10 conflicting data regarding the potential to disrupt the endocrine system of aquatic organisms like  
11 amphibians (Ghosh *et al.*, 1991; Mosselman *et al.*, 1996; Qin *et al.*, 2003; Ge *et al.*, 2008; McDaniel  
12 *et al.*, 2008; Panupong *et al.*, 2012). This has shifted research focus to pesticides, and the  
13 understanding of potential links to population declines. It is in line with this objective that the study  
14 examined the impacts of Midstream and Arsenal formulations on the reproductive system of adult  
15 male *Xenopus laevis*.

16         The exposure of adult male *X laevis* frogs to the herbicide formulations, Midstream and  
17 Arsenal, however, showed no convincing endocrine modulation effects after 28-day in situ  
18 exposure to environmental relevant concentration ranges. Almost all testes morphological  
19 parameters assessed, including GSI, testes length, testes width, and spermatogenic activity were not  
20 significantly different to the clean water control frogs. The same was true for variation in  
21 physiological endpoints, for example, testosterone, thyroid hormone (thyroxine) and vitellogenin,  
22 the estrogen controlled yolk precursor.

23         The variation in body mass following the exposure of the adult males to Midstream and  
24 Arsenal formulation respectively, proved to be non-significant. Although it has been suggested that  
25 environmental chemicals may result in decreased fat body mass and that such loss in energy source  
26 (stored in fat bodies) could be detrimental to the maintenance of reproductive activities (testicular  
27 or ovarian) (Goetz *et al.*, 1979; Gomez *et al.* 2012). The observed no-effect of the formulations on  
28 body size in current study is similar to the observation of Allran and Karasov, (2001), where they  
29 noted no significant variation in body mass of frogs after exposure to atrazine. Clearly, in the light  
30 of the variation in body mass noted in the present study, more research is needed to understand the  
31 effects of herbicides (at environmental relevant concentrations) on metabolic pathways, fat storage  
32 and body mass.

1 Similar to the body size effects, the testes of the treated frogs showed no significant  
2 difference in mean mass, width and length, across all the exposure concentrations of both the  
3 Midstream and Arsenal formulation, relative to the control frogs. This means that the environmental  
4 relevant concentrations used in the current study is either too low to elicit a response, or some of  
5 the parameters including testes mass and length might not be responsive to the formulations. For  
6 example, as noted by Lee *et al.* (2005), the lack of sensitivity of relative testis-kidney mass makes  
7 it unattractive in evaluation of testicular impacts of chemical exposure. This current result is  
8 consistence with the findings of Panupong *et al.* (2012), where it was reported that there was no  
9 significant difference in testes mass between the exposed frogs from agricultural farmland and  
10 control. On the other hand, the result from the present study are in contrary to the observation by  
11 Ge *et al.* (2008), that the exposure of the mice to high levels of estrogenic chemicals like  
12 Methoxychlor resulted in lower gonad size. The current result on the testes also correlate with the  
13 non-significant impact on GSI of frogs treated with both Midstream and Arsenal formulations. The  
14 GSI has been noted to correlate with increased with testosterone production and reproductive  
15 activities (Artchariya *et al.*, 2003; Juberg *et al.*, 2013). Although the slight increase in testes sizes  
16 shown by the two formulations could suggest androgenic activities, on the treated frogs, but more  
17 studies will be needed to further understand the exposure impacts.

18 For both the Midstream and Arsenal formulations, the spermatogenesis of the exposed frogs  
19 showed no variations relative to the control. In the frogs treated with Midstream formulation, out  
20 of the three testicular cell-types, only spermatogonia and spermatocytes increased relative to the  
21 control. That the two higher stages of spermatogenesis (except spermatids) were reduced may be  
22 indicative that the Midstream formulation could be disrupting the testicular activities. For example,  
23 the male frogs exposed to the Midstream formulation showed pattern of increase in plasma  
24 Testosterone (T) concentration, all of which may be consistent with a response to antiandrogenic  
25 activity. The rationale being that plasma T will increase if binding of T to its target cell receptor is  
26 inhibited or if the transformation of T to DHT is inhibited (by decreasing the activity of 5 alpha  
27 reductase (van Wyk *et al.*, 2003; Behrends *et al.*, 2010).

28 In the case of Arsenal formulation exposure, the initial increase at lower concentration  
29 (non-significant) in numbers of spermatocyte, spermatida and spermatozoa showed the increased  
30 spermatogenic activities in the treated frogs, suggesting possible androgenic influence of the  
31 Arsenal formulation. The reduction in plasma Testosterone may corroborate this suggestion since  
32 T is mobilized into target cells for increasing binding capacity to its receptos. However, in the  
33 present study, the Plasma T did not vary significantly. This current result is similar to the

1 observation of Lee *et al.*, (2005), where they noted that there was no significant change in the  
2 distribution of several spermatogenic cell-types in adult male frogs exposed to Di-n-butyl phthalate  
3 (DPB). McDaniel *et al.* (2008) and Sanchez *et al.* (2014), also noted that there was no significant  
4 variation in the spermatogenesis stages observed in exposed frogs from agricultural farmlands  
5 relative to those from reference control site. But the result is contrary to the observations of many  
6 other reports (Ghosh *et al.*, 1991; Kerr *et al.*, 1993; Mosselman *et al.*, 1996; Qin *et al.*, 2003;  
7 Cevalasco *et al.*, 2007; Ge *et al.*, 2008), where they all noted significant difference in spermatogenesis  
8 activities between the treated and the control frogs..

9         The result of the present study is also contrary to the findings of Archer, (2014), where it  
10 was noted that even when there was no significant variation in plasma T concentration between the  
11 treated frogs and the control, there was lower incidence of androgen receptors immunolabelled in  
12 the nuptial pad integument and altered ultrastructure of breeding gland secretory cells, suggesting  
13 that Plasma T is not a good indicator of receptor interaction or target tissue response. It therefore  
14 means that the exposure impacts of the Midstream and Arsenal formulations on spermatogenesis  
15 could still be critical on the exposed frogs, even though there was no significant difference in plasma  
16 testosterone. The use of plasma testosterone as endpoint in assessing male reproductive system  
17 disruption should be re-examined in the light of current evidence.

18         Both the HSI and Plasma Vitellogenin of the adult male frogs exposed to Midstream and  
19 Arsenal formulations were not significantly different to the control. Changes in the HSI, as noted  
20 by Shalaby *et al.* (2007), is an indication of changes in body nutrient contents and disruption in  
21 normal body metabolism and absorption. In the present study, the reduction in body mass in  
22 Midstream formulation treated frogs could also mean a change in the enzymatic activities within  
23 the liver which could have been compromised by the Midstream formulation. The increase in liver  
24 mass as well as increase (non-significant) in HSI for Midstream formulation, could be as a result of  
25 the toxic impacts due to the oxidative redox reaction synonymous with its active substance. As  
26 noted by several researchers, diquat formulation usually accumulates in the liver, and undergoes  
27 redox cycling reaction to produce superoxides, which lead to stress (Hook *et al.*, 2006; Yadav *et al.*,  
28 2013). But generally, the non-significant difference of the HSI at the two formulations could  
29 mean that the adult *Xenopus* is able to metabolise the formulations without seriously compromising  
30 their liver system. But the impacts of increased liver mass needs further assessment in the future.

31         In the case of Vitellogenin, produced in the liver, both Midstream and Arsenal formulations  
32 also showed non-significant effects in VTG levels in the plasma, neither did the hepatic mass index

1 (HSI) vary significantly. This current result is contrary to findings in several studies (Palmer and  
2 Palmer, 1995; Jones *et al.*, 2000; Pickford and Morris, 2002; Arukwe and Goksory, 2003; Matozzo  
3 *et al.*, 2008; Oka *et al.*, 2008; Peters *et al.*, 2009), where they all showed estrogenic activities of  
4 various pesticides through the induction of VTG in male *Xenopus laevis*. The result is similar to the  
5 findings of Spanno *et al.* (2004), where they observed that there was no different in Vitellogenin  
6 induction and hence estrogenic effects in males goldfish treated with atrazine. McDaniel *et al.*  
7 (2008) and Hecker *et al.* (2004) also similarly observed that there was no significant different in  
8 Plasma Testosterone and Estradiol concentration of frogs exposed to corn grown agricultural site  
9 compared to the non-agricultural site. Also similar to the current result is the findings of Lee *et al.*  
10 (2005), where it was noted that there was no significant variation in mean testosterone observed in  
11 Di-n-butyl phthalate treated group compared to the control. Therefore, based on the results obtained  
12 in the present study, the two selected formulations could not be shown to have estrogenic properties.  
13 More study is needed using other indicator organisms, including fresh water fish species to validate  
14 this findings.

15 For the thyroxine hormone, It is in this pituitary gland that thyroid stimulating hormone  
16 (TSH), luteinizing hormone-releasing hormone (LHRH) as well as gonadotropic hormones are  
17 produced (Denver *et al.*, 1988; Jacob *et al.*, 1988). But the non-significant difference in  
18 concentration of thyroxine in the current study showed that there was no serious reproductive  
19 disruption by the two formulations. Just like the other endpoints, it is either the current  
20 environmental relevant concentrations is too low to elicit serious negative reaction or the two  
21 formulations have no serious impacts on the reproductive system of the frogs. Further studies to  
22 understand the long term impacts of these formulations on the pituitary gland, thyroid gland and  
23 the reproductive system generally will therefore be very important.

24 Although, contrary to the negative findings in the present study, the results obtained with  
25 similar concentration ranges applied against both embryos and developing larvae (Chapter 3, 4 &  
26 5), produced numerous significant negative response (including teratogenicity, growth disruption,  
27 thyroid disruption and reproductive malformation). This result corroborate the idea of differential  
28 response associate with different life stages, as have been suggested in several studies (Harfenist *et*  
29 *al.*, 1989; Bridges, 2000; Bridges and Semilitch, 2000; Greanlich and Pflumacher, 2003; Ortiz-  
30 Sandaliestra *et al.*, 2006).

31 The importance of testing all life stages (embryo, larvae and adults), because of the wide  
32 range of sensitivity associated with different life stages to the same toxicant (Kobayashi, 1980;

1 Harfenist *et al.*, 1989; Vitt *et al.*, 1990; Gutleb *et al.*, 1999; Alltran and Karasiv, 2001). Adult  
2 resistance, according to Smith *et al.* (2007), could be due to increased detoxifying ability, as well  
3 as various physical adaptive and developmental features. In general, compared to the adults, the  
4 incomplete development of the nervous system, tissue and organ differentiation may also protect  
5 embryos and larva from those chemical affecting nervous system (Ortiz-Santaliestra *et al.*, 2006).

6 The exposure period of 28 days in this study might not be adequate enough to produce  
7 appropriate physiological response, particularly where the endocrine activity of the formulation is  
8 not very strong (Qin *et al.*, 2007). The importance of this is that future study should also consider  
9 exposure time as possible factor, since increase exposure time will also lead to increase  
10 bioaccumulation of the chemicals.

11 In general, the wider implications of the observed low sensitivity at adult stage of *Xenopus*  
12 *laevis* relative to the eggs, embryos and larvae stages is that at the various low concentrations (that  
13 is still less toxic to adults), only few eggs, embryos and larvae will be able to survive. This will  
14 mean a serious negative effect on the exposed population. As shown by the teratogenicity study on  
15 Midstream and Arsenal formulations in Chapter 3 of this study, where similar concentrations were  
16 used, majority of those eggs and embryos that will survive from the exposure will develop  
17 numerous developmental malformations that could be difficult to survive from. As also shown in  
18 the thyroid and gonadal studies in Chapter 4 and 5 of this study, similar concentrations caused  
19 disruption in thyroid system and numerous gonadal malformations. This could be one of the reasons  
20 why there is increasing widespread of malformed adults amphibian population globally.

21

## 22 **6.5. Conclusion**

23 Both formulations did not disrupt the reproductive or thyroid endpoints in adult *X. laevis* in the  
24 present study. Since the concentrations applied in this study are all environmental relevant, and  
25 similar range had produced developmental, thyroidal and gonadal effects at embryo and larvae  
26 stages, but no significant effects at adult stage, this findings was suprising. The result suggests that  
27 in adults detoxification and possible selective uptake through the skin, may prevent harmful effects.

28 The significance of this observation is that adult amphibian may be poor indicators,  
29 compared to the egg, embryos and larval developmental stages, when studying potential endocrine  
30 disruptive effects of pesticides. Further studies will therefore be necessary to further understand the

1 factors responsible for the high resistance of the adult stage relative to the lower developmental  
2 stages

3           The importance of this differential response cannot be overemphasised as this could lead to  
4 serious negative effects on the population dynamics. The organism will not be protected if a  
5 developmental stage is seriously susceptible to pesticides. It will be important to know if the  
6 differential response is true for all pesticides or only occurs in some selected groups, for example  
7 herbicides.

8           In South Africa, the fact that *Xenopus laevis* are locally endemic amphibian, may be at risk  
9 (especially during metamorphosis) and therefore makes it important for continued  
10 assessment/research of pesticides impacts including herbicides, using at least three developmental  
11 stages.

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## Chapter Seven

### General Summary and Conclusion

#### 7.1 Introduction

Anthropogenic chemicals including agrichemicals, for example pesticides and fertilizers are integral reality of modern life, and human as well as wildlife cannot avoid contacts, and in cases even long-term chronic exposure (WHO, 1990; Mathias *et al.*, 2012). Pesticides, include insecticides, herbicides, rodenticides, fungicides but recently fertilizer have also been identified as potential environmental toxicants (WHO, 1990; 2013). Pesticides (active ingredients and surfactants) are characterised by their toxic properties since these chemicals are designed to kill those unwanted plants and animals (WHO, 1990; 2013; Colosio *et al.*, 1999). According to Magnarelli and Fonovich, (2013), pesticides may be considered as one of the contributing factors with when considering environmental contamination of today's world, pointing out that estimated 95% of applied herbicides formulations reach a destination other than their target organisms (plants). Aside from their toxic effects, many of these anthropogenic chemicals have been shown to have non-lethal health and ecological effects, both on human and wildlife. Documented effects include developmental abnormalities, thyroidal effects, gonadal and reproductive malformation among other effects (Relyea, 2005; Dinehart *et al.*, 2009; Egea-Seranno *et al.*, 2012).

Herbicides are widely used in South Africa, particular in agricultural and domestic environmental activities. In 1995, the South African Government (Department of Environmental Affairs) initiated a plan of action (Working for Water programme) to eradicate alien plants in water catchments using a variety of methods, including herbicides application. In light of the international concern that herbicides may have subtle toxic effects, including teratogenic and endocrine disrupting effects on non-target aquatic organisms, the use of herbicides close to or in aquatic ecosystems raises a health and ecological questions. This voiced concern are premised on the controversial ecological and

1 health antecedent of herbicides, like Atrazine that has been linked to widespread reported incidence  
2 of intersex and discontinuous gonads, disruption in sexual development and gonadal differentiation  
3 in wildlife (Hayes *et al.*, 2002; 2006; Tevera-Mendoza *et al.*, 2002a,b; Carr *et al.*, 2003;  
4 Trachantong *et al.*, 2013). In human, numerous health effects including cancer, bone marrow  
5 effects, reproductive effects, cytogenetic, neurotoxicity, immune system, skin effects have been  
6 linked to exposure to herbicides (WHO, 1990; WHO, 2013).

7 Arising from these non-target health and ecological impacts linked to herbicides, this study aimed  
8 to assess the ecotoxicity, potential endocrine disrupting (developmental abnormalities, thyroidal,  
9 gonadal and reproductive) as well as effects on adult reproduction in the aquatic African Clawed  
10 frog (*Xenopus laevis*). The focus of this study was to assess herbicide formulations, including diquat  
11 dibromide (Midstream), glufosinate ammonium (Basta), imazapyr (Arsenal) and glyphosate  
12 (Roundup, Kilo Max, Enviro Glyphosate) currently used in the South African “Working for Water”  
13 alien plants removal programme.

## 14 **7.2. Summary of Result**

15 In **Chapter Two**, comparative toxicity at different developmental stages of *Xenopus laevis* to the  
16 six selected herbicide formulations was assessed. To evaluate differential sensitivity among  
17 different developmental stages, the toxicity was assessed using tadpoles at three different  
18 developmental stages including embryos (NF-stage 8-11), premetamorphic larva stage (NF-stage  
19 48) and transitional tadpole stage (NF- stage 60). The result showed that:

- 20 • Midstream formulation (diquat dibromide) was found to be toxic to *Xenopus laevis*  
21 (amphibian larva) with a 96-hour LC50 of 0.83 mg/L, 0.7 mg/L and 11.8 mg/L at NF stage  
22 8-11, NF stage 48 and NF stage 60 respectively.

- 1 • Basta formulation (glufosinate ammonium) was toxic to *Xenopus laevis* larva with 96-hour  
2 LC50 of 2.24 mg/L, 0.59 mg/L and 24.9 mg/l at NF stage 8-11, NF stage 48 and NF stage  
3 60 respectively
- 4 • Arsenal formulation (imazapyr) was slightly toxic to *Xenopus laevis* larva with 96 hour  
5 LC50 of 36 mg/L and 32.8 mg/L at NF stage 8-11 and NF stage 48 respectively
- 6 • Roundup formulation (glyphosate) was generally toxic to *Xenopus laevis* larva at 96-hour  
7 LC 50 of 1.052 mg/L, 0.89 mg/L and 2.75 mg/L at NF stage 8-11, NF stage 48 and NF stage  
8 60 respectively.
- 9 • Kilo Max formulation (glyphosate) showed low toxicity to *Xenopus laevis* larva with 96-  
10 hour LC 50 of 207.3 mg/L, 58.1 and 455 mg/L at NF stage 8-11, NF stage 48 and NF stage  
11 60 respectively
- 12 • Enviro Glyphosate formulation (glyphosate) also showed a relatively low toxicity to  
13 *Xenopus laevis* larva with 96-hour LC 50 of 464 mg/L, 134.6 mg/l and 5297 mg/L at NF  
14 stage 8-11, NF stage 48 and NF stage 60 respectively.
- 15 • This study showed that the 96-hour LC<sub>50</sub> of many of the herbicide formulations including  
16 Midstream, Basta and Roundup were below the expected environmental concentrations  
17 (EEC) of these formulations. And the exposure to these herbicide formulations at these  
18 toxicity level will eliminate all the exposed larva, in a way that could disrupt the population  
19 dynamics
- 20 • Therefore this study established/confirmed the differential toxicity response of *Xenopus*  
21 *laevis* to herbicide formulations. The premetamorphic larva stage (NF-stage 48) was  
22 observed to be more susceptible to all the herbicide formulations than even the embryos  
23 (NF stage 8-11) as well as the tadpoles undergoing final metamorphosis (NF stage 60). This  
24 implies that the vulnerable NF-stage 48 tadpoles may not be protected from the exposure

1 impacts of these herbicides, and this could seriously negate the population dynamics of the  
2 exposed *Xenopus laevis*.

3 In **chapter three**, using Frog Embryo Teratogenic Assay (FETAX), this study examined the lethal  
4 effects, along with non-lethal, developmental effects including types and incidence of  
5 developmental malformations, teratogenic index, and growth disruption following the exposure of  
6 *Xenopus laevis* embryo (NF stage 8-11) to diquat dibromide (Midstream), glufosinate ammonium  
7 (Basta), imazapyr (Arsenal) and glyphosate (Roundup, Kilo Max, Enviro Glyphosate) formulations.

8 The result showed that:

- 9 • Midstream, Roundup and Basta formulations were embryotoxic to the *Xenopus laevis*  
10 embryos, with 96-hour LC<sub>50</sub> of 0.83 mg/L, 1.052 mg/L and 2.24 mg/L respectively
- 11 • Arsenal formulation was found to be slightly embryotoxic with 96-hour LC<sub>50</sub> of 36 mg/L
- 12 • Kilo Max, and Enviro Glyphosate were not regarded as embryotoxic, with 96-hour LC<sub>50</sub> of  
13 207.3 mg/L and 464 mg/L respectively.

14 For growth inhibition and disruption, the results showed that

- 15 • Midstream formulation was a generalised growth disruptor in *Xenopus laevis* with a  
16 minimum concentration inhibiting growth at 1.0 mg/L. The Midstream formulation  
17 inhibited more than 100% body growth rate at concentration below its EEC of 1.0 mg/L
- 18 • Basta, Roundup formulations could be classified as growth disruptor with minimum  
19 concentrations inhibiting growth at 2.0 mg/L and 0.9 mg/L respectively. The two  
20 formulations significantly inhibited growth rates of the embryos at relevant environmental  
21 concentrations relative to the control group.
- 22 • Arsenal formulation could also be classified as growth disruptor as the formulation showed  
23 significant and concentration dependent growth inhibition at concentration of 30-45 mg/L  
24 compared to the control group.

- 1 • Kilo Max formulation was a potential growth disruptor with minimum concentration  
2 inhibiting growth at 190 mg/L, which is quite above relevant environmental concentration.
- 3 • Environ Glyphosate formulation was not regarded as growth disruptor as the formulation  
4 did not result in consistent growth inhibition at all exposure concentrations used.

5 For malformation incidence and teratogenic index, the result of this study showed that:

- 6 • Midstream formulation could be regarded as a teratogenic herbicide with a teratogenic  
7 index (TI) of 3.5, (which is above 1.5 for teratogenic index). The observed malformation  
8 included severe generalised edema, abdominal and cardiac edema, blistering, gut and head  
9 abnormalities, wavy tails, and tail flexus and eye malformation.
- 10 • Basta formulation showed a relatively low teratogenic potential with a teratogenic index  
11 (TI) of 1.1, (which is below the international teratogenic index of 1.5). The observed  
12 malformation included axial malformation (wavy tails), cardiac and abdominal edema and  
13 gut abnormalities.
- 14 • Arsenal formulation had a relatively low teratogenic potential with a teratogenic index of  
15 1.3 (Below the international teratogenic index of 1.5 standard). The observed malformation  
16 included edema, blistering, gut and eye malformations, as well as improper head and body  
17 formation.
- 18 • Both Roundup and Kilo Max formulation have relatively low teratogenic potential with a  
19 teratogenic index of 1.4 each (slightly below the international teratogenic index of 1.5  
20 standard). The observed malformation incidence included gut abnormalities, edema  
21 (abdominal, cardiac and severe generalised), blistering and eye malformations.
- 22 • Environ Glyphosate formulation could be regarded as a teratogenic herbicide with a  
23 teratogenic index of 1.6 (Above the international teratogenic index of 1.5). The observed  
24 malformation incidence included gut abnormalities, edema (abdominal, cardiac and severe  
25 generalised), blistering and eye malformations.

- 1 • Therefore, this present study confirmed the embryotoxicity of Midstream, Basta and  
2 Roundup formulations to *Xenopus laevis*. This study also confirmed that Midstream, Basta  
3 and Arsenal formulations are growth disruptor to *Xenopus laevis*. Moreover, it also showed  
4 that Midstream and Enviro glyphosate formulations are teratogenic to *Xenopus laevis*. It  
5 therefore mean that continuous use of these herbicide formulations (and other untested  
6 formulations) will potentially results in embryotoxicity and teratogenic effects in aquatic  
7 organisms like *Xenopus laevis*

8 In Chapter Four Impacts of Roundup, Kilo Max and Enviro Glyphosate formulations, Arsenal  
9 formulation (imazapyr), Midstream formulation (diquat dibromide) and Basta formulation  
10 (glufosinate ammonium) on metamorphosis was assessed using Xenopus Metamorphosis Assay  
11 (XEMA).

12 The results showed that:

- 13 • the Midstream (diquat dibromide) formulation can be regarded as a thyroid disruptor that  
14 significantly inhibited development of NF-stage 51 larva at concentration of 0.11 mg/L and  
15 0.14 mg/L relative to the expected environmental concentration (EEC) of 0.733 mg/L
- 16 • the Arsenal (imazapyr) formulation can also be regarded to be a thyroid disruptor that  
17 significantly inhibited development of NF stage 51 larva at concentration of 3.5 mg/L  
18 relative to the Control group
- 19 • the Kilo Max formulation can be regarded as thyroid disruptor that significantly inhibited  
20 NF stage 51 of the *Xenopus laevis* at concentration of 190 mg/L and 280 mg/L (Although  
21 these concentrations are above relevance environmental concentrations of this formulation).
- 22 • Basta (glufosinate ammonium) formulation may be regarded as a potential thyroid disruptor  
23 that significantly increased the thyroid gland area of tadpoles at concentration of 0.15 mg/L  
24 and 0.25 mg/L relative to the control and in comparison to the expected environmental

1 concentration (EEC) at 1.0mg/L, but developmental stages was not significantly different  
2 to the control. Although sign of thyroid disruption were recorded, more research is needed  
3 to confirm the Basta formulation as regard thyroid disruption

- 4 • Roundup formulation (glyphosate) is a potential thyroid disruptor, that significantly  
5 increased the gland area at concentration of 0.6mg/L compared to the control group and  
6 relative to the expected environmental concentration at 1.43 mg/L
- 7 • Enviro Glyphosate formulation did not affect developmental programme but can be  
8 regarded as a potential thyroid disruptor since the average thyroid gland area was reduced  
9 as well as whole body length and snout vent length at concentration of 28mg/L. More  
10 research is needed to confirm the thyroid disrupting action of this formulation.

11 The present study therefore established that the Midstream, Kilo Max and Arsenal disrupted the  
12 HPT axis in developing *Xenopus laevis* tadpoles. However, for the Basta, Roundup and Environ  
13 Glyphosate formulations, the potential as thyroid disruptors cannot be ignored since differential  
14 impacts on the thyroid glands of *Xenopus laevis* were recorded. Therefore the continuous use of  
15 these herbicide formulations will exposed aquatic organisms to thyroid disruptors and potentially  
16 affect their normal development.

17 **In Chapter five**, the impacts of Midstream (diquat dibromide), Basta (glufosinate ammonium),  
18 Arsenal (imazapyr), and glyphosate including Roundup, Kilo Max and Enviro Glyphosate  
19 herbicides formulations, applied during premetamorphosis through metamorphosis on gonadal  
20 development post metamorphosis was assessed.

21 The result showed that:

- 22 • the Midstream formulation exposure resulted in high incident of gonadal toxicity with the  
23 malformation index ranging between 32.5 and 60% at concentration of 0.05 through 0.14  
24 mg/L compared to the malformation index of 7.5% in the Control group. The observed

- 1 gonadal malformations included segmented hypoplasia, slightly translucence, segmented  
2 hypertrophy, aplasia, mixed sex, enlarged hypertrophy and folded gonad.
- 3 • the Basta formulation exposure led to some gonadal toxicity with the malformation index  
4 ranging between 15% and 27.5% at concentration of 0.05mg/L to 0.25 mg/L compared to  
5 malformation index of 12.5% in the Control group. The observed malformation included  
6 folded gonad, adhesion, angular deformity, enlarged hypertrophy, segmented aplasia,  
7 slightly translucence, angular deformity and hypoplasia.
  - 8 • the Arsenal formulation similarly showed some evidence of gonadal toxicity with the  
9 malformation index ranging from 17.5% to 35% at concentrations of 0.5 mg/L to 3.5 mg/L  
10 compared to 7.5% in the Control group. The observed malformation included segmented  
11 aplasia, narrow hypoplasia, slightly translucence, aplasia, angular deformity, tissue  
12 separation, partly narrow segmented hypoplasia, slightly translucence, folded gonads.
  - 13 • the Kilo Max formulation on the other hand showed a relatively high gonadal toxicity with  
14 malformation index ranging from 22.5% through 43% compared to the 10% in the Control  
15 group. The observed malformation included aplasia, segmented aplasia, segmented  
16 hypoplasia, slightly translucence, tissue separation, folded gonads, protuberances,  
17 segmented bifurcation and segmented hypertrophy.
  - 18 • the Roundup formulation showed relatively high gonadal toxicity with malformation index  
19 ranging between 20% and 30% at concentration of 0.2 mg/L to 0.6 mg/L compared to 7.5%  
20 in the Control group. The observed malformations included aplasia, segmented aplasia,  
21 narrow hypoplasia, partly narrow hypoplasia, segmented hypoplasia, folded gonads, angular  
22 deformity, translucence, adhesion and hypoplasia.
  - 23 • the Environ Glyphosate formulation resulted in high gonadal toxicity with the malformation  
24 index ranging from 17.5% and 37.5% at concentration of 9 mg/L to 28 mg/L compared to

1 7.5% in the Control group. The observed malformation included slightly translucence,  
2 segmented aplasia, segmented hypoplasia, aplasia, folded gonadal and narrow hypoplasia.

3 For Sex Ratio effects, the result showed that:

- 4 • the Midstream formulation exposure resulted in a significant skewed sex ratio at  
5 concentration of 0.14 mg/L. This formulation therefore has the potential to be regarded as  
6 an estrogenic/antiandrogenic substance that would feminized the exposed tadpoles, and lead  
7 to a population skewed towards females in the wild.
- 8 • the Arsenal formulation showed a different to the sex ratio in the Control group, the low  
9 androgenic/antiestrogenic potential of this formulation will require further research.
- 10 • the Kilo Max formulation showed some trend towards increased female sex ratios in  
11 concentration dependent manner. The sex ratio was only significantly skewed compared to  
12 the Control group at a concentration of 280 mg/L. Clearly, the estrogenic/antiandrogenic  
13 potential of Kilo Max and its effects on sex ratio outcome in a population needs further  
14 study.
- 15 • the Basta, Roundup and Enviro Glyphosate formulations showed non-significant variations  
16 skewed when compared to the Control group. The estrogenic/antiandrogenic potential of  
17 these herbicide formulations deserves further study.

18 Therefore, this study showed that these herbicide formulations have the potential to cause  
19 differential gonadal toxicity and lead to various gonadal malformations and disruption in the  
20 phenotypic sex ratio. Functional implications of these reproductive disruptions at the population  
21 level awaits further study.

22 **In chapter six**, wild-caught, adult male *Xenopus laevis* frogs were exposed (30-day semi-static set-  
23 up) to two selected formulations, Midstream (diquat dibromide) and Arsenal (imazapyr)

1 formulations on adult *Xenopus laevis* to assessed the effects on the mature male reproductive  
2 system. The results showed that

- 3 • The adult *Xenopus laevis* have higher resistance and are less sensitive to the potential  
4 exposure impacts of both Midstream and Arsenal formulations at relevant environmental  
5 concentrations.
- 6 • There is wide differential response to pesticides between the adult stage relative to the eggs,  
7 embryos and larval developmental stages.
- 8 • The adult developmental stage could be a poor indicator of pesticides contamination and  
9 pollution in environment relative to the eggs, embryos and larval stages.

10

11 Therefore, exposure impacts of pesticides should focus more on the eggs, embryos and larval  
12 developmental stages rather than adults, as the impacts could be more severe at that stages.

13

### 14 **7.3. General Conclusion**

15 From the results obtained in the present study, evidence showed that all six herbicide formulations  
16 considered possessed varied toxicity and endocrine disrupting activities in different developmental  
17 stages of *Xenopus laevis*. Data obtained confirmed the embryotoxicity effects following exposure  
18 to Midstream, Basta and Roundup formulations. Teratogenic activities were linked to exposure to  
19 Midstream and Enviro Glyphosate formulations. Different degrees of the thyroid disrupting  
20 activities were observed following exposure to Midstream, Basta, Arsenal and Roundup  
21 formulations. Kilo Max and Enviro Glyphosate formulation on the other hand showed very low  
22 thyroid disrupting potential with the endpoints considered. The gonadotoxicity and reproductive  
23 disruption in juvenile *Xenopus laevis* frogs, including differential skewed sex ratios were recorded  
24 following exposure to Midstream, Kilo Max, Basta, Arsenal and Roundup formulations. For the

1 adult stage, the exposure to Midstream and Arsenal formulations showed that the adult are less  
2 sensitive, relative to eggs, embryos and larval stages, particularly at relevant environmental  
3 concentrations.

4 In general with the results obtained in the present study, calls for cautions against the continued  
5 exposure of aquatic organisms like amphibians and other sensitive and endangered wildlife  
6 organisms, to herbicides. Moreover, this study confirmed that sensitivity towards environmental  
7 toxicants may vary in developing (metamorphic) stages and that the FETAX assay should be  
8 extended to include different developmental stages. Importantly, those formulations to be used in  
9 the aquatic ecosystems, with LC50s that are less than the published expected environmental  
10 concentrations (EEC), should be prioritized for more detailed studies at the chronic exposure level.

11 The present study (six herbicide formulations) also confirmed that herbicide formulations might be  
12 a contributing factor to reckon with (through the toxicity, teratogenicity, and disruption of thyroidal  
13 pathways) when considering the global amphibian decline. Clearly, the potential contribution of  
14 pesticide/chemical contamination to a so called global decline of amphibians, cannot be ruled out.

15 The differential vulnerability of premetamorphic larva stages (for example NF-stage 48 vs NF-stage  
16 8-11 embryos) corroborate similar suggestions published for several anuran species, including  
17 *Lithobates clamitans*, *Lithobates pipiens*, *Anaxyrus arenarum* and *Anaxyrus americanus*.

18 Importantly, sensitive premetamorphic larva stage (NF-stage 48) should be included or repeated  
19 using a modified FETAX assay as standard Amphibian toxicity testing. It is therefore important  
20 that aquatic vertebrate testing be extended to include amphibians since most official testing usually  
21 only include fish and aquatic invertebrates as representative for aquatic organisms. Although in this  
22 study, focus was only on the aquatic amphibian, *Xenopus laevis*, varied response among  
23 developmental stages suggest that other amphibian species should also be included, but importantly  
24 also extending similar assays to other aquatic vertebrates, for example fish.

1 In South Africa, due to large diversity (number) and volumes of pesticides (mostly same  
2 formulation) widely applied, the lack of chronic exposure knowledge remains a concern. Moreover,  
3 the large volume of herbicides that are applied in present day agriculture as well as aquatic control  
4 programmes initiated to eradicate alien plants in river catchments (RSA government WfW  
5 programme) and other natural areas calls for extensive screening programmes using non-target  
6 organisms. This will lead to intelligent herbicide formulations selection, specifically aimed at  
7 aquatic application, considering the potential chronic, non-lethal physiological disrupting effects,  
8 in addition to the traditional toxicological endpoints. The fact that *Xenopus laevis* are locally  
9 endemic amphibian, may be at risk (especially during metamorphosis) and therefore makes it  
10 important for continued assessment/research of pesticides impacts including herbicides, using at  
11 least three developmental stages. This will help to extend the toxicity data-base regarding non-lethal  
12 effects of pesticides used in high volumes to formulate environmentally friendly management  
13 policies that will go a long way in policy formulation on pesticides impacts and management

14

#### 15 **7.4. Recommendations**

- 16 • Extensive and robust experimental researches are needed for most locally applied herbicide  
17 formulations in order to establish their potential health risk to wildlife and humans
- 18 • Improve capacity building and skill development are needed in in-vitro and in vivo  
19 screening protocols using a tiered screening programme to identify problematic herbicide  
20 formulations.
- 21 • General toxicity testing, including determination of LC 50 should be applied for a series of  
22 developmental stages when using aquatic sentinel species to screen locally used herbicides

- 1 • The use of standardized FETAX protocol should be encouraged widely as it is a four day  
2 (96 hour) bioassay, with clear endpoints linked to mortality, embryotoxicity, teratogenicity  
3 and growth disruption.
- 4 • The internationally accepted XEMA protocol for developmental effects (thyroidal  
5 disruption) should also be extensively encouraged, as it is very reliable, consistent, with  
6 easy to follow methodology and clear endpoints.
- 7 • The adult developmental stage could be a poor indicator of environmental contamination  
8 and pollution, hence egg-larval developmental stages should be focused for studies on  
9 exposure impacts of pesticides.
- 10 • Potentially linked endocrine disrupting activity of pesticides including herbicides, needs to  
11 be researched more widely, especially with focus on specific mechanism of actions.
- 12 • Long-term chronic exposure programmes including multi-generational studies should also  
13 be used more widely to establish and characterise the generational (and epigenetic) impacts  
14 of the pesticides
- 15 • Precautionary principle should be applied when selecting herbicide formulation for  
16 environmental application, especially for aquatic use, if impacts in terms of toxicity,  
17 developmental, thyroidal and reproductive disruptions are not known.
- 18 • A Central African databank must be established to share data to assist with interpretation  
19 and critical assessment of data obtained during past experiments as well as provide inter-  
20 laboratory validations.

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