

Thermal field-flow fractionation and the advanced analysis of complex polymers



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of Doctor of Philosophy in Polymer Science, from the
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Introduction

Thesis declarations

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December 2019

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Introduction

Declaration on publications

The nature of work and extent of contribution by the author of this thesis in all peer reviewed publications contained herein are as follows:

| Nature of work | Extent of contribution (%) |
|--|----------------------------|
| Experimental work, data analysis and discussion of results. | 90 |
| Drafting of manuscripts and responding to comments from the reviewers. | 90 |

The nature of the work carried out by co-authors is as follows: Experimental work, data analysis, discussion of results, drafting of manuscripts and responding to comments from the reviewers. The following co-authors have contributed to the publications contained in this thesis as subsequently tabulated.

Introduction

| Publication | Co-authors | Contribution (%) |
|---|--------------------|------------------|
| Core microstructure, morphology and chain arrangement of block copolymer self-assemblies as investigated by thermal field-flow fractionation. | Harald Pasch | 8 |
| | Guillaume Greyling | 2 |
| Stereocomplexation of polymers in micelle nanoreactors as studied by thermal field-flow fractionation. | Harald Pasch | 8 |
| | Guillaume Greyling | 2 |
| Thermal field-flow fractionation with quintuple detection for the comprehensive analysis of complex polymers. | Harald Pasch | 10 |

Declaration by co-authors:

1. The declaration above accurately reflects the nature and extent of the contributions by the co-authors to the manuscripts contained in this thesis.
2. In addition to the co-authors specified above, no other authors contributed to the manuscripts in this dissertation, and
3. All parties granted their consent for the inclusion of all work contained in the manuscripts, and any potential conflicts of interest have been disclosed to all parties.

Introduction

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Introduction

Contents

| | |
|--|------|
| Thermal field-flow fractionation and the advanced analysis of complex polymers | |
| Thesis declarations | i |
| Declaration on publications | ii |
| Declaration by co-authors:..... | iii |
| Acknowledgements..... | iv |
| List of figures..... | ix |
| List of tables | xi |
| Abstract..... | xii |
| Opsomming..... | xiv |
| Research outputs..... | xvii |
| Publications..... | xvii |
| Conference presentations..... | xvii |
| List of symbols and abbreviations..... | xix |
| Chapter 1. Introduction..... | 1 |
| Summary..... | 1 |
| 1.1 Background | 1 |
| 1.2 Research hypothesis | 5 |
| 1.3 Objectives..... | 8 |

Introduction

| | |
|---|----|
| 1.4 Thesis layout..... | 8 |
| Chapter 1: Introduction..... | 8 |
| Chapter 2: Literature review..... | 8 |
| Chapter 3: Experimental section..... | 8 |
| Chapter 4: Results and discussion..... | 8 |
| Chapter 5: Conclusions and recommendations | 8 |
| Chapter 6: References | 8 |
| Chapter 2. Literature review..... | 9 |
| Summary | 9 |
| 2.1 Field-flow fractionation..... | 9 |
| 2.2 FFF separation principles..... | 10 |
| 2.3 FFF sub-techniques..... | 12 |
| 2.4 Thermal field-flow fractionation..... | 13 |
| 2.5 ThFFF characterization of complex polymers..... | 17 |
| Chapter 3. Experimental work..... | 21 |
| Summary | 21 |
| 3.1 ThFFF conditions..... | 21 |
| 3.2 Basic self-assembly preparation..... | 22 |
| 3.3 Offline DLS..... | 23 |

| | |
|--|-----------|
| Introduction | |
| 3.4 ^1H NMR..... | 23 |
| 3.5 ATR-FTIR..... | 24 |
| 3.6 TEM..... | 24 |
| 3.7 SEC analysis..... | 24 |
| Chapter 4. Results and discussion..... | 26 |
| Summary | 26 |
| 4.1 Core microstructure, morphology and chain arrangement of block copolymer self-assemblies as investigated by thermal field-flow fractionation (Part 1)..... | 26 |
| 4.2 Stereocomplexation of polymers in micelle nanoreactors as studied by multiple detection thermal field-flow fractionation (Part 2)..... | 38 |
| 4.3 Thermal field-flow fractionation with quintuple detection for the comprehensive analysis of complex polymers (Part 3)..... | 53 |
| Chapter 5. Conclusions and recommendations..... | 64 |
| 5.1 Conclusions..... | 64 |
| <i>Part 1</i> | 64 |
| <i>Part 2</i> | 65 |
| <i>Part 3</i> | 65 |
| 5.2 Recommendations..... | 66 |
| Chapter 6. References..... | 67 |
| References | 67 |

Introduction

Introduction

List of figures

| | |
|---|----|
| Figure 1.1. Schematic illustration of the nexus between polymer properties and applications..... | 2 |
| Figure 1.2. Schematic representation of self-assemblies..... | 3 |
| Figure 2.1. Schematic illustration of FFF mechanism..... | 11 |
| Figure 2.2. Schematic illustration of the ThFFF mechanism and working principle.. | 14 |
| Figure 3.1. Schematic illustration of the basic preparation of self-assemblies..... | 22 |
| Figure 4.1. Graphical presentation for the study: Influence of microstructure (of the cores) on the ThFFF elution behaviour, and impact of T_g on 1H NMR resolution for self-assemblies prepared from symmetrical PBD-PS block copolymer analogues. | 27 |
| Figure 4.2. Graphical presentation for the study: Topology-based ThFFF separations for vesicles and homopolymers of similar hydrodynamic size and surface chemical composition..... | 28 |
| Figure 4.3. Schematic representation of the stereocomplexation of isotactic and syndiotactic PMMA..... | 38 |
| Figure 4.4. Graphical presentation of the analytical approach for the study: Stereocomplexation of polymers in micelle nanoreactors as studied by multiple detection thermal field-flow fractionation..... | 39 |
| Figure 4.5. Graphical presentation for the study: Thermal field-flow fractionation with quintuple detection for the comprehensive analysis of complex polymers..... | 53 |

Introduction

Figure 4.6. Schematic presentation of a ThFFF system equipped with five information-rich detectors for the fractionation and analysis of complex polymers.....55

Introduction

List of tables

Table 2.1. The nomenclature for FFF sub-techniques. 13

Introduction

Abstract

Self-assemblies (SAs) and composites designed from block copolymers (BCPs) have found an important niche in nanotechnology. In order to establish commercially viable and sustainable polymeric applications for such materials in industry, there is a need to develop advanced analytical methods for their characterization. SAs being polymeric in nature inherently exhibit molecular heterogeneity, and thus their molecular properties are not specific but rather exist as distributions, which immensely impacts on their ultimate performance. As such, there is a critical need to move away from the traditional batch mode analytical techniques which only yield average property values. Instead, it is imperative to embrace separation techniques such as size exclusion chromatography (SEC) and field-flow fractionation (FFF) which have been applied for the analysis of molecular properties and the corresponding distributions. In particular, the advent of thermal field-flow fractionation (ThFFF) as a sub-technique of FFF has enabled unique separations of SAs according to both hydrodynamic size (D_h) and chemical composition (CC).

Herein, the focus of the research was directed at developing multidimensional analytical techniques for the analysis of SAs in three separate but mutually related studies. In the first case, the focus was on investigating the impact of microstructural and molecular packing differences of the core domains on the behaviour of SAs. The core microstructure and molecular density are cumulatively shown to impact on the morphology, critical micelle concentration (CMC), hydrodynamic diameter (D_h), molar mass (M_w), aggregation number (Z), elastic behaviour and thermal stability.

Introduction

Secondly, ThFFF was successfully applied in the analysis of nanoreactors. Specific SAs are shown to be capable of functioning as micelle nanoreactors (MNRs) by triggering phase separated and thermally induced physical transitions within the micelle core to produce new SAs with unique properties. In essence, the MNRs are shown to circumvent the inherent precipitations associated with stereocomplexation (SC) of polymers, thus providing a vital route for studying SCs in solution.

Finally, a ThFFF-quintuple detection system (ThFFF-QD) was presented for the comprehensive analysis of SAs and other complex polymers. This novel approach used the so-called triple detection (TD), that is, differential viscometer (dVis), refractive index and (dRI) and static light scattering (SLS) detectors. TD was complimented by a second concentration detector (ultraviolet, UV) and a dynamic light scattering (DLS) detector.

The method development process for (ThFFF-QD) was based on linear, branched and isotopic polystyrenes (PSs) as model compounds. In particular, ThFFF of isotopic polystyrene analogues was fundamentally shown to exhibit gravimetric and density sensitivities. Structural information for SAs was elucidated from the Mark-Houwink (MH) and conformation plots and correlated with chemical composition, structure, compactness, molar mass, aggregation number (Z), size and intrinsic viscosity (IV).

Introduction

Opsomming

Selfversamelings (SAs) en samestellings wat uit blok ko-polimere (BCPs) ontwerp is, het 'n belangrike nis in nanotegnologie gevind. Ten einde kommersieel lewensvatbare en volhoubare polimeertoepassings vir sulke materiale in die industrie te vestig, is daar 'n behoefte om gevorderde analitiese metodes vir hul karakterisering te ontwikkel. SAs se polimeriese aard toon inherent molekule se heterogeniteit, en dus is hul molekule se eienskappe nie spesifiek nie, maar bestaan dit eerder as verspreidings, wat 'n geweldige impak op hul uiteindelijke gedrag het. As sodanig is daar 'n kritiese behoefte om weg te beweeg van die tradisionele bondel-modus analitiese tegnieke wat slegs gemiddelde eienskapwaardes lewer. In plaas daarvan is dit noodsaaklik om skeidings tegnieke soos grootte-uitsluitingschromatografie (SEC) en veld-vloefraksionering (FFF) te gebruik wat aangewend is vir die analise van molekule se eienskappe en die ooreenstemmende verspreidings. In die besonder het die koms van termiese veld-vloefraksionering (ThFFF) as 'n subtegniek van FFF, unieke en gesamentlike skeidings van SAs volgens beide hidrodinamiese grootte (D_h) en chemiese samestelling (CC) toegelaat.

Die fokus van die navorsings is gerig op die ontwikkeling van multidimensionele analitiese tegnieke vir die analise van SAs in drie afsonderlike, maar onderling verwante, studies. In die eerste plek is die fokus op die ondersoek van die impak van mikrostruktuur- en molekule se pakkingsverskille van die kernarea, op die gedrag van SAs. Daar word aangedui dat die kernmikrostruktuur en molekule se digtheid 'n kumulatiewe impak op die morfologie, kritiese miselkonsentrasie (CMC),

Introduction

hidrodinamiese deursnee (D_h), molêre massa (M_w), samevoegingsnommer (Z), elastiese gedrag en termiese stabiliteit het.

Tweedens, is ThFFF suksesvol toegepas in die analise van nanoreaktore. Daar word aangedui dat spesifieke SAs in staat is om as miselnanoreaktore (MNRs) te funksioneer deur fase-geskeide en termies geïnduseerde fisiese oorgange binne die miselkern te aktiveer om nuwe SAs met unieke eienskappe te produseer. In wese word daar aangedui dat die MNRs die inherente neerslae, wat verband hou met stereokompleksing (SC) van polimere, omseil en dus 'n belangrike roete bied vir die bestudering van SCs in oplossing.

'n ThFFF-vyfvoudige-opsporingstelsel (ThFFF-QD) vir die omvattende analise van SAs en ander komplekse polimere is voorgestel. Hierdie nuwe benadering gebruik die sogenaamde drievoudige opsporing (TD); wat differensiële viskometer- (dVis), brekingsindeks- (dRI) en statiese ligverstrooiings- (SLS) detektors is. TD is gekomplementeer deur 'n tweede konsentrasie detektor (ultraviolet, UV) en 'n dinamiese ligverstrooiings- (DLS) detektor.

Die metode-ontwikkelingsproses vir (ThFFF-QD) is gebaseer op lineêre, vertakte en isotopiese polistireen (PS) as modelverbindings. In die besonder, is daar fundamenteel aangedui dat ThFFF van isotopiese polistireenanaloë, gravimetriese- en digtheidsensitiwiteit uitbeeld. Strukturele inligting vir SAs is uit die Mark-Houwink (MH) en konformasiegrafieke verklaar en gekorreleer met chemiese samestelling, struktuur, kompaktheid, molêre massa, samevoegingsnommer (Z), grootte en intrinsieke viskositeit (IV).

Introduction

Introduction

Research outputs

Publications

1. UL Muza, GH Greyling, H Pasch. Core microstructure, morphology and chain arrangement of block copolymer self-assemblies as investigated by thermal field-flow fractionation. *Journal of Chromatography A* 1562 (2018) 87-95. DOI: 10.1016/j.chroma.2018.05.065
2. UL Muza, GH Greyling, H Pasch. Stereocomplexation of Polymers in Micelle Nanoreactors as Studied by Thermal Field-Flow Fractionation. *Analytical Chemistry* 90 (2018) 13987-13995. DOI: 10.1021/acs.analchem.8b03590
3. UL Muza, H Pasch. Thermal Field-Flow Fractionation with Quintuple Detection for the Comprehensive Analysis of Complex Polymers (just published). *Analytical Chemistry* (2019). DOI: 10.1021/acs.analchem.9b01384.

Conference presentations

1. UL Muza, GH Greyling, H Pasch. Core microstructure, morphology and chain arrangement of block copolymer self-assemblies as investigated by thermal field-flow fractionation. 19th International Symposium on Field and Flow-based Separations, Columbia, SC, 14-17 May 2018.
2. UL Muza, GH Greyling, H Pasch. A comprehensive analysis of the evolution of worm and jellyfish micelles by multi-detector thermal field-flow fractionation

Introduction

(ThFFF). PISA International Conference, Stellenbosch, South Africa, 9-10 November 2017.

3. UL Muza, GH Greyling, H Pasch. Thermal field-flow fractionation and the comprehensive analysis of complex polymers. Oral presentation at the 9th International Symposium on the Separation and Characterization of Natural and Synthetic Polymers, Amsterdam, The Netherlands, 30 January - 1 February 2019.
4. UL Muza, GH Greyling, H Pasch. Stereocomplexation of Polymers in Micelle Nanoreactors as Studied by Thermal Field-Flow Fractionation. Poster presentation at the 9th International Symposium on the Separation and Characterization of Natural and Synthetic Polymers, Amsterdam, The Netherlands, 30 January - 1 February 2019.
5. UL Muza, H Pasch. Novel Thermal Field-Flow Fractionation Quintuple Detection (ThFFF - QD) for the Comprehensive Analysis of Complex Polymers. Poster presentation at the 9th International Symposium on the Separation and Characterization of Natural and Synthetic Polymers, Amsterdam, The Netherlands, 30 January - 1 February 2019.
6. UL Muza, GH Greyling, H Pasch. Stereocomplexation of Polymers in Micelle Nanoreactors as Studied by Thermal Field-Flow Fractionation. Poster presentation at the 13th International Conference on Advanced Polymers via Macromolecular Engineering, Stellenbosch, South Africa, 15 - 18 April 2019.

Introduction

List of symbols and abbreviations

| | |
|-------|--|
| ABC | Amphiphilic block copolymer |
| ACN | Acetonitrile |
| AF4 | Asymmetric flow field-flow fractionation |
| A_2 | Second virial coefficient |
| BCP | Block copolymer |
| C | Concentration |
| CC | Chemical composition |
| CCD | Chemical composition distribution |
| CMC | Critical micelle concentration |
| CMD | Core microphase domain |
| CMT | Critical micelle temperature |
| dRI | Differential refractive index |
| dVis | Differential viscometer |
| D | Diffusion coefficient |
| DBC | Diblock copolymer |
| D_h | Hydrodynamic diameter |

Introduction

| | |
|------------------|---|
| DLS | Dynamic light scattering |
| dn/dc | Specific refractive index increment |
| D_T | Thermal diffusion coefficient |
| f | Coefficient of friction |
| F | Force |
| FFF | Field-flow fractionation |
| FIFFF | Flow field-flow fractionation |
| FTIR | Fourier-transform infrared spectroscopy |
| $^1\text{H-NMR}$ | Proton nuclear magnetic resonance spectroscopy |
| K | Boltzmann constant |
| L | Distance from the accumulation wall |
| MALDI-TOF MS | Matrix-assisted laser desorption/ionization mass spectrometry |
| MALS | Multiangle light scattering |
| MH | Mark-Houwink |
| MMD | Molar mass distribution |
| MD | Morphology distribution |
| M_η | Viscosity-average molar mass |

Introduction

| | |
|-----------|---|
| M_w | Weight-average molar mass |
| NMR | Nuclear magnetic resonance spectroscopy |
| PB-PS | Polybutadiene-polystyrene block copolymer |
| 1,2-PB-PS | 1,2-polybutadiene-polystyrene block copolymer |
| 1,4-PB-PS | 1,4-polybutadiene-polystyrene block copolymer |
| PMMA-PS | Polymethylmethacrylate-polystyrene block copolymer |
| i-PMMA-PS | Isotactic polymethylmethacrylate-polystyrene block copolymer |
| s-PMMA-PS | Syndiotactic polymethylmethacrylate-polystyrene block copolymer |
| PS | Polystyrene |
| PSD | Particle size distributio |
| R | Retention ratio |
| R_g | Radius of gyration |
| R_h | Hydrodynamic radius |
| SA | Self-assembly |
| SC | Stereocomplexation |
| SEC | Size exclusion chromatography |

Introduction

| | |
|------------|---|
| SEM | Scanning electron microscopy |
| SLS | Static light scattering |
| STEM | Scanning transmission electron microscopy |
| T | Temperature |
| T_c | Cold wall temperature |
| t_o | Void time |
| t_R | Retention time |
| ΔT | Temperature gradient |
| THF | Tetrahydrofuran |
| ThFFF | Thermal field-flow fractionation |
| TEM | Transmission electron microscopy |
| PSD | Particle size distribution |
| U | Field-induced mass transfer |
| UV | Ultraviolet |
| w | Channel thickness |
| Z | Aggregation number |

Introduction

Chapter 1. Introduction

Summary

This chapter serves as a brief introduction which articulates key concepts and outlines the scope of the entire thesis. In the concluding remarks, the research aims and objectives are be formulated.

1.1 Background

The history of materials has evolved from the modest Stone and Bronze Ages to ultimately include the more sophisticated and advanced polymer age. The persistent growth of materials science and technology has been greatly influenced by advanced progress in the synthesis of complex polymers and composites with unprecedented applications in state of the art industries.¹⁻⁴ With such major advancements in materials' design and application, and with the need to maintain such positive growth, there is a prerequisite to be able to measure and define such materials at a molecular level. This process of characterization allows polymer scientists and engineers to be able to correlate the measured molecular properties to the physical and mechanical properties.^{5,6} Ultimately, suitable commercial applications of numerous polymers can be recognized and established. Figure 1.1 illustrates the generic nexus between molecular, physical/mechanical properties and the final applications for polymeric materials.

Introduction

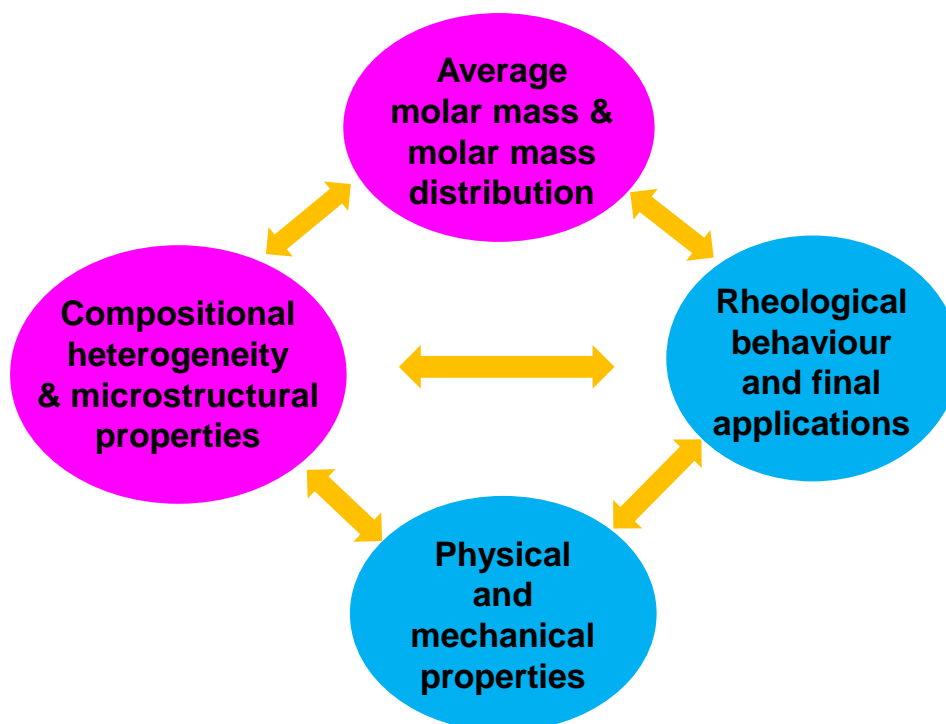


Figure 1.1. Schematic illustration of the nexus between polymer properties and applications.

Strength, elasticity, rheology, biodegradability, conductivity, thermal stability and toxicity, to mention just a few, are some of the critical physical and mechanical properties. Such properties emanate from fundamental molecular properties such as microstructure, molar mass (M_w), hydrodynamic size (D_h) and chemical composition (CC).⁷⁻¹⁰ However, polymers are complex materials whose fundamental molecular properties exist as distributions, and as such, polymers generally present major analytical challenges. Therefore, it becomes essential to continuously develop competent and advanced analytical techniques for their characterization.

Herein, the focus is on characterizing complex polymers referred to as self-assemblies (SAs) which are prepared essentially from block copolymers (BCPs).^{11,12} The schematic

Introduction

illustration of a characteristic SA structure is shown in Figure 1.2. These SAs account for a wide range of nanotechnological applications in pharmaceutical, cosmetics, biomedicine, electronics, locomotive and environmental industries.¹³⁻¹⁵

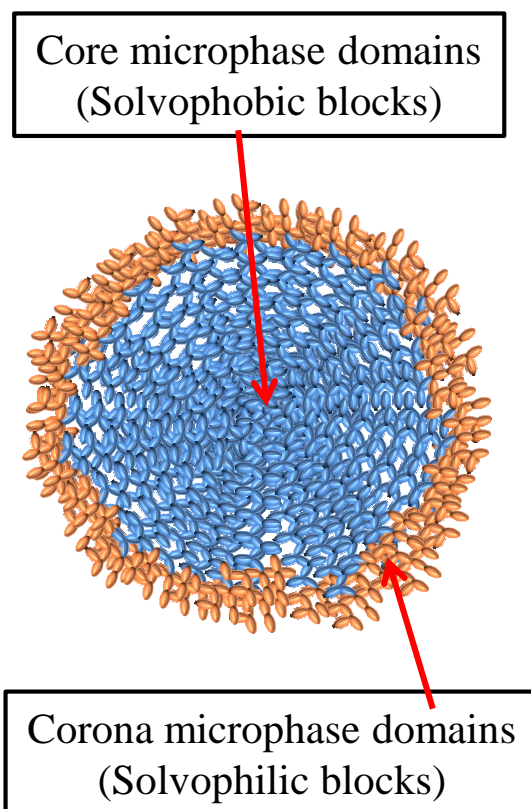


Figure 1.2. Schematic representation of self-assemblies.

The BCPs used to prepare SAs are complex polymers composed of two or more different types of polymer chains covalently bonded together. Some common BCPs are based on polystyrene (PS), whereby the PS block can be attached to polymers such as polybutadiene (PBd) and polymethylmethacrylate (PMMA). The different polymer chains and their microstructural variants are inherently diverse regarding solubility, polarity and solvent interactions, to mention just a few. In the case of two blocks, where one block is solvophilic and the other solvophobic for a particular solvent, BCPs

Introduction

can phase segregate into various microphase morphologies such as spheres, cylinders and vesicles.^{16,17} The solvophilic blocks segregate into corona microphase domains whilst the solvophobic blocks simultaneously segregate into the core microphase domains (CMDs).

Traditionally, bulk characterization techniques are utilised to measure the average values of fundamental molecular properties such as M_w , D_h and CC.¹⁸⁻²² Widely used bulk techniques include static light scattering (SLS), dynamic light scattering (DLS), Fourier transform infrared (FTIR) spectroscopy and nuclear magnetic resonance (NMR) spectroscopy. In addition, electron microscopic techniques such as transmission electron microscopy (TEM) and scanning emission microscopy (SEM) can also be used for micrographic imaging and qualitative analysis of size and morphology.²³⁻²⁵

The generic synthesis and production protocols result in polymers or SAs with a wide variety of property distributions rather than specific values. Consequently, the measurement of average property values alone using bulk characterization techniques becomes inadequate. In order to generate advanced understanding of molecular properties and their respective distributions, separation techniques need to be applied in order to measure these distributions.^{6,26} More so, separations are in essence a fundamental step in the deconvolution of complex sample compositions into constituent entities to enable a more reliable measurement of the associated molecular properties.

Introduction

Classical separation techniques such as size exclusion chromatography (SEC) have been widely used to characterize a broad spectrum of complex polymers.^{10,27,28} However, these techniques are column-based and have a low size limit, thus generally yield satisfactory results only for mechanically stable and not too high M_w polymers ($<5 \times 10^3$ kg/mol) in solution. This renders such separation techniques unsuitable for very high molar mass and delicate polymers such as SAs, gels and large aggregates. However, modern channel-based separation techniques have evolved as complementary alternatives.²⁹ In particular, the advent of field-flow fractionation (FFF) techniques coupled to an array of various light scattering and spectroscopic detectors has provided for the online measurement of numerous molecular properties and the associated distributions.³⁰⁻³⁴

Of the FFF family, thermal field-flow fractionation (ThFFF) shall be singled out as the separation technique of choice for the entirety of this thesis. This is partially due to the accompanying high selectivity owing to the unique ability of ThFFF to simultaneously achieve separations that are according to both size and surface chemistry.³⁵⁻³⁹ The underlying working principles of ThFFF as well as the detailed rationale for selecting such a technique for the analysis of polymer SAs shall be discussed in the next chapter.

1.2 Research hypothesis

Previous studies have determined that ThFFF can be applied for the characterization of SAs in solution. In particular, elution trends of SAs were shown to be influenced by the microstructure and charge of the corona microphase domains.⁴⁰⁻⁴² Alternatively,

Introduction

the microstructure of the core microphase domains (CMDs) may also impact on the solution behaviour of SAs and potentially influence ThFFF behaviour. Bearing that in mind, the present study seeks to address the following questions:

1. Can ThFFF be utilised to evaluate the impact of microstructure of the CMDs on properties such as the degree of crystallinity, elasticity, chain flexibility and topology on the elution behaviour of SAs?
2. Can microstructural differences of the cores affect compactness, thermal stability, degree of elasticity, molar mass (hence aggregation number) and hydrodynamic size (D_h) of the resultant SAs?
3. Do homopolymers and SAs of similar D_h have the same elution trends in ThFFF?

Notably, CMDs of SAs are fundamental compartments for housing solvophobic molecules in solution, thus SAs can be applied as nanoreactors for both physical and chemical in-situ processes within the core.⁴³⁻⁴⁶ Therefore, for CMDs composed of specific polymers, a physical process such as stereocomplexation (SC) owing to interchain interactions of chains with different microstructures can be expected to proceed in-situ within the core. It must be noted that stereocomplexes are generally crystalline macromolecules that precipitate out of solution.⁴⁷⁻⁴⁹ Therefore, SA nanoreactors may hypothetically provide a viable route to studying stereocomplexes in solution. As such, the following questions arise:

1. Are SAs capable of providing complimentary protocols in designing nanoreactors for the in-situ SC of stereoregular polymers within the CMDs, as characterized by multidimensional ThFFF?

Introduction

2. Can these nanoreactors provide alternative pathways in micelle design and modification?
3. Can precipitation effects associated with SCs be circumvented and can such properties related to SC be retained in solution? On that same note, can SCs potentially become achievable in solvents where such phenomena are typically not feasible, courtesy of such an in-situ approach within nanoreactors?

Overall, the broad study of complex SA using ThFFF systems requires the development of robust and competent analytical methods. One alternative route is through the incorporation of additional detectors followed by optimisation of such new systems. This expands the data and information pool obtainable from a single experimental ThFFF run. In this regard, the following questions become pertinent in the development of advanced multiple detection systems for the characterization of SAs:

1. What novel detector combinations can be incorporated into the traditional ThFFF multiple detection setups for the characterization of SAs?
2. What additional information of significance on SAs is derivable from such a novel setup?

All the questions raised in this research hypothesis section have cumulatively and synergistically been applied to establish the following central objectives.

Introduction

1.3 Objectives

1. In the instance where the CMDs are composed of 1,2- and 1,4-PBd microstructures, determine the influence of microstructure, morphology and chain arrangement on retention behaviour of SAs prepared from PBd-PS.
2. Investigate using ThFFF multiple detection the in-situ SC of CMDs composed of isotactic (i) and syndiotactic (s) PMMA inside MNRs composed of PS coronas.
3. Develop and apply ThFFF couple with ultraviolet (UV), differential refractive index (dRI), multiangle light scattering (MALS), differential viscometry (dVis), and dynamic light scattering (DLS) detectors, as a novel analytical method for the analysis of SAs of 1,4-PBd-PS in heptane as model compounds.

1.4 Thesis layout

This thesis is divided into five mutually related chapters as outlined below.

Chapter 1: Introduction

Chapter 2: Literature review

Chapter 3: Experimental section

Chapter 4: Results and discussion

Chapter 5: Conclusions and recommendations

Chapter 6: References

The next chapter will focus on the literature review and historical background of concern to the topical questions.

Literature review

Chapter 2. Literature review

Summary

This chapter discusses the historical background and the fundamental scientific contributions of relevance to this thesis. Most importantly, gaps in knowledge of interest to the present work shall be highlighted.

2.1 Field-flow fractionation

The year 1966 saw the inception of FFF onto the scientific landscape as a complimentary alternative to liquid chromatography, and the inventor was Calvin Giddings. By design, his FFF innovation functioned on a single phase (channel) working principle in contrast to the dual phase (column) observed in chromatography.³⁰ The single phase separation mechanism, which is void of any packing material has rendered FFF superior for the separation of fragile macromolecules such as gels, colloids, biomolecules and self-assemblies (SA).^{42,50}

In analogy to chromatography, FFF involves the use of carrier solvents for the mass transfer of differentially displaced (separated) entities for the subsequent measurement of their specific molecular properties using specialised detectors.⁵¹ However, unlike in SEC, a larger size separation range is involved in FFF, namely from 0.001-100 μm .²⁹ Therefore, larger sized molecules such as proteins, SAs and large aggregates which may otherwise be impossible to separate using SEC have now found a niche with FFF.^{31,52} FFF separations in general are achievable via mechanisms and working principles that shall be explained in detail in the subsequent subsection 2.2.

Literature review

2.2 FFF separation principles

FFF channels are composed of micro-thin and ribbon-like structures sandwiched between a depletion and an opposite accumulation wall, within which prevails laminar and parabolic flow profiles of the pumped carrier solvent.^{51,53} In order to induce separations, the flow direction of the channel is subjected to an orthogonal, easily adjustable and externally controlled field force which emanates exclusively from one extreme (depletion wall) of the channel. This instigates the mass transfer (U) of injected molecules in the direction of the field force towards the other extreme end of the channel (accumulation wall).^{30,53}

Owing to different molecules having variable responses to a particular field force, a potential is created for the differential displacement of molecules inside the active channel. The one directional field force-induced mass transfer will naturally create concentration gradients, and as a result a counteracting diffusional mass transfer (D) is prompted, whose rate is exclusively known to be a function of molecular size. Ultimately, the concurrent forces driving mass transfer of molecules inside the channel will balance out, and clusters of molecules having respective average equilibrium layer values ($l_1, l_2 \dots$) will correspond to specific retention times (t_R) and physicochemical properties, as illustrated in Figure 2.1.^{30,54}

Literature review

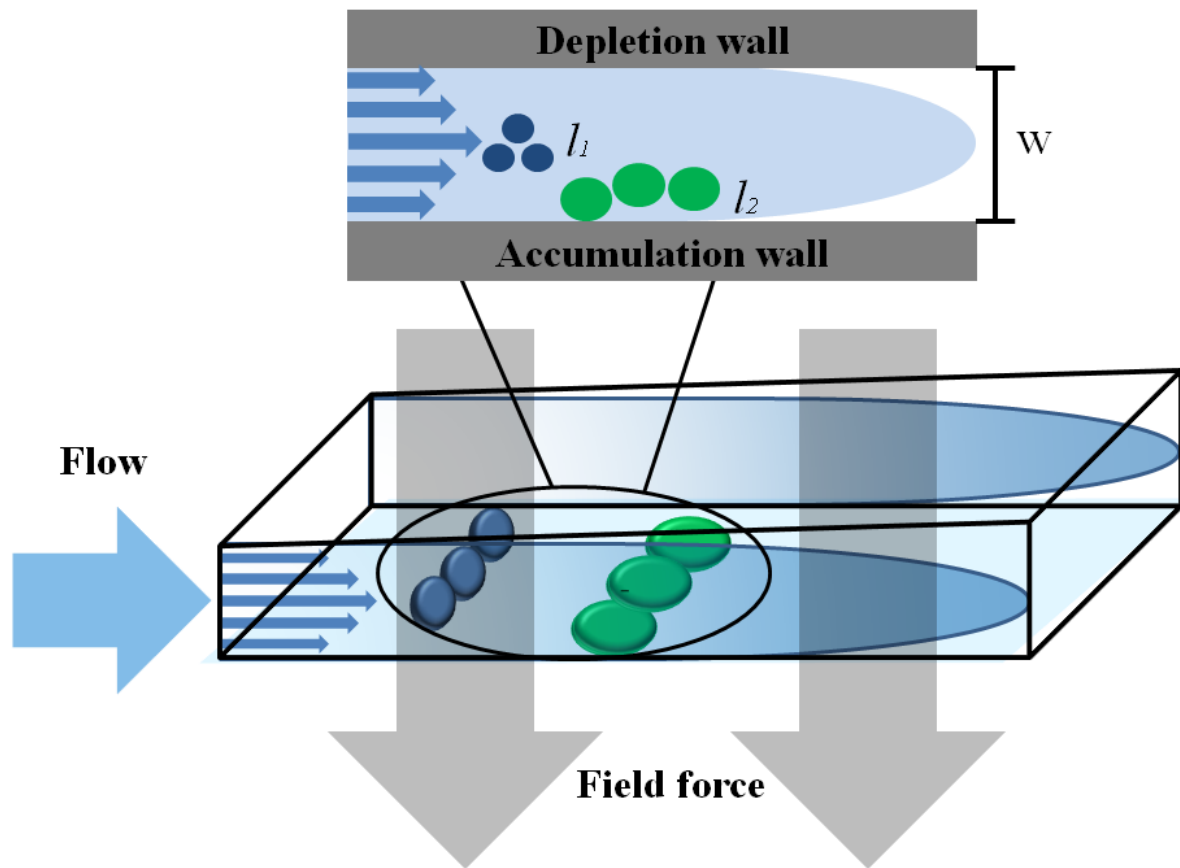


Figure 2.1. Schematic illustration of the FFF separation mechanism.

If the thickness of the channel is w , the ratio between l and w can be expressed as a dimensionless parameter λ (Equation 2.1):

$$\lambda = \frac{l}{w} \quad \text{Equation 2.1.}$$

As already alluded to, retention correlates to the equilibrium layers (l_n) within the channel, therefore λ can also be expressed as a ratio between the system volume and retention times (t_0) and (t_R), respectively, as shown in Equation 2.2:

$$\lambda = \frac{t_0}{t_R} \quad \text{Equation 2.2.}$$

Literature review

Therefore, the retention factor (R), which describes the ratio between (t_0) and (t_R) can be defined by Equation 2.3:

$$\frac{t_R}{t_0} = \frac{w}{l} = R \quad \text{Equation 2.3.}$$

if the field force (F) is given by Equation 2.4; where k is the Boltzmann constant, and T is the temperature:

$$F = \frac{6 k T}{R w} \quad \text{Equation 2.4.}$$

Therefore, the relationship between the retention behaviour and F experienced by a single molecular entity within a cluster of molecules at any equilibrium layer (l) can be given by the expression in Equation 2.5:^{29,30,55}

$$\frac{t_R}{t_0} = \frac{F w}{6 k T} \quad \text{Equation 2.5.}$$

The following sub-section shall outline the protocols observed in the nomenclature of sub-techniques of FFF.

2.3 FFF sub-techniques

The nomenclature for FFF sub-techniques primarily rests upon the specific external field force utilised to achieve separations, as illustrated in Table 2.1.

Literature review

Table 2.1. The nomenclature for FFF sub-techniques.

| Sub-technique | Acronym | External field force |
|-----------------------|---------|----------------------|
| Thermal FFF | ThFFF | Thermal gradient |
| Flow FFF | FIFFF | Flow |
| Asymmetrical flow FFF | AF4 | Flow |
| Sedimentation FFF | SdFFF | Gravitation |
| Electrical FFF | EFFF | Electrical current |

For the work at hand and as already referenced to in the concluding remarks of the previous chapter, emphasis shall be given to a sub-technique of FFF called ThFFF. Accordingly, the following subsection shall detail the specific working principles and mechanisms thereof.

2.4 Thermal field-flow fractionation

ThFFF makes use of a thermal gradient as the external field force to establish the differential displacement of molecules inside the channel. The channel in this specific sub-technique is constructed from a micrometer-thin ribbon-like material that is air-tightly sandwiched between two rectangular metallic plates.³⁷ When active, the bottom plate is always maintained at ambient temperatures by an externally operated cooling system. Flexible amounts of thermal energy can be applied to the top plate such that ultimately an array of preconceived thermal gradients can be established. Figure 2.2 illustrates the schematic of the ThFFF mechanism and working principle.

Literature review

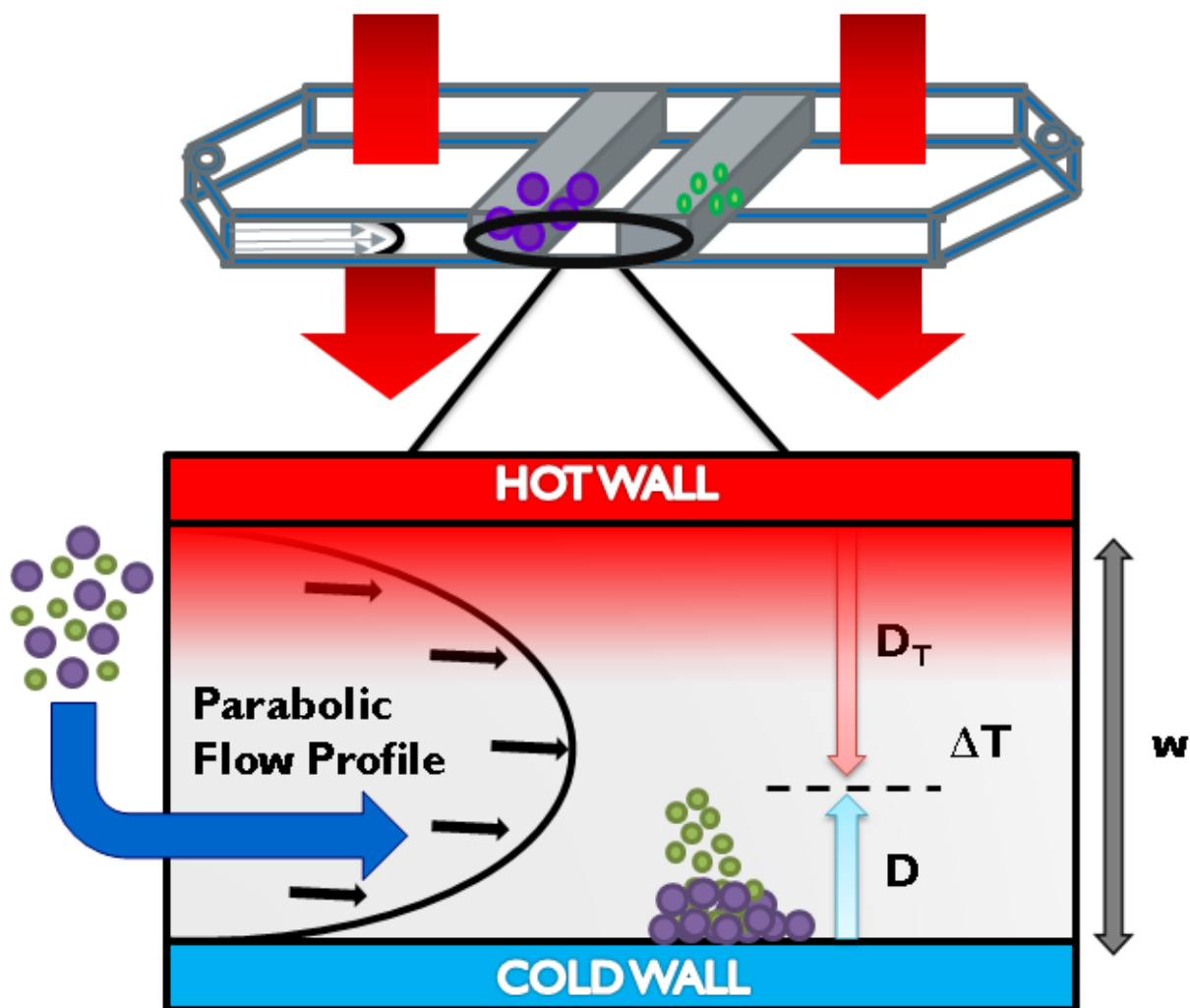


Figure 2.2. Schematic illustration of the ThFFF mechanism and working principle.

Molecules injected into the channel experience the thermal gradient, which generally drives them down the temperature gradient towards the colder plate, commonly referred to as the accumulation wall.⁵⁶ This thermal migration of molecules can be described by a technical term defined as the thermal diffusion coefficient (D_T).⁵⁷ The one directional accretion of molecules at the accumulation wall naturally creates an active concentration gradient, whereupon, opposing molecular migrations are

Literature review

established owing to passive ordinary diffusion (Brownian motion). The term diffusion coefficient (D) can be used to describe this particular mass transfer of molecules as a function of the concentration gradient.

Owing to the poorly understood concept of D_T , the thermal migration velocity (U) of the molecules can be defined in simple terms as follows (Equation 2.6):^{38,57,58}

$$U = D \left[\frac{D_T}{D} + \gamma \right] \frac{\Delta T}{w} \quad \text{Equation 2.6.}$$

Where γ is thermal expansion coefficient of the solvent and $\Delta T/w$ is the thermal gradient (assuming uniformity across the entire channel). At steady-state conditions, $|U| = F/f$, where “ F ” is the force exerted on the molecules inside the channel and “ f ” is the frictional force coefficient. From the Nernst-Einstein relationship, $f = kT/D$, thus, F can be expressed as (Equation 2.7):

$$F = |U| f = k T \frac{|U|}{D} \quad \text{Equation 2.7.}$$

The value of γ is generally ignored as it is insignificant relative to D_T/D , therefore, F can be evaluated from Equation 2.8 as shown below:

$$F = k T \left[\frac{D_T}{D} \right] \frac{\Delta T}{w} \quad \text{Equation 2.8.}$$

The relationship between retention and mass transfer can then be deduced from Equation 2.9 as follows:³⁰

$$\frac{t_R}{t_0} = \frac{D_T \Delta T}{D} \quad \text{Equation 2.9.}$$

Literature review

D can be calculated from the Einstein-Stokes law (Equation 2.10), where η is the solvent viscosity, and D_h is the polymer hydrodynamic diameter:

$$D = \frac{kT}{3\eta D_h} \quad \text{Equation 2.10.}$$

Ultimately an approximation of D_T can subsequently be evaluated from ThFFF experiments.^{37,59} At generally high M_w as in the case of SAs, D_T is known to be independent of M_w and entirely dependent on the surface chemistry of polymers.^{60,61} Therefore, the availability of D_T data can enable for the compositional analysis of SAs analysed by ThFFF.

ThFFF is generally a technique of choice when aprotic organic solvents as the carrier liquid are involved, although a few cases have been reported where aqueous solvents have been successfully utilised.^{42,62} The superiority of ThFFF as a separation technique primarily rests upon the following beneficial attributes:

1. The achievable separations can be a function of either size or peripheral chemical structure, or both the latter and former properties simultaneously.
2. Optimum operational conditions are easily attainable in the method development process.
3. The experimental set-up is relatively simple.
4. Sample recoveries are very high, closely approaching 100%.

The first attribute recognizes ThFFF as a technique with multiple sensitivities, which makes it more suitable for the separation of complex polymer systems in general.⁶³⁻

⁶⁵ A brief discussion on the history ThFFF as a unique technique for the separation

Literature review

and characterization of SAs and other pertinent complex polymers to this study shall be outlined in the subsequent sub-section.

2.5 ThFFF characterization of complex polymers

Advanced separation systems for the characterization of complex polymers typically involve experimental setups that are a combination of different (information-rich) detectors. Basic multiple detection involves the combination of UV, SLS, dRI and DLS detectors, to mention just a few, in order to generate information on composition, absolute M_w , D_h and the respective distributions thereof.^{66,67} Furthermore, additional information on D and D_T can also be obtained.

It has been shown that for block copolymers of polystyrene-poly(n-butylacrylate) and polystyrene-polymethylacrylate with similar percentage compositions, respectively, the ThFFF separations were dependent on comonomer content when using a thermodynamically good solvent.³⁵ More so, the separations were shown not to be entirely dependent on the sizes of the different types of copolymers. This strongly demonstrated the dual sensitivity of ThFFF towards both size and CC. A number of similar observations have been reported by different other authors.^{37,39,68} However, in other studies on BCPs, the separations were shown to be dependent on the size of the preferentially more soluble blocks when selective solvents were used.^{38,57,58} More recently, polystyrene-polyethylene oxide (PS-PEO) block copolymers and PS homopolymers were shown to co-elute when the PS homopolymers in question were of comparable M_w to the PS blocks of the respective PS-PEO copolymers.⁶³ The proposed hypothesis stipulates that BCPs tend to phase segregate when dissolved in

Literature review

selective solvents, such that the insoluble blocks constitute CMDs which are “shielded” from the solvent by the soluble blocks which dominate the peripheral compositions. In the postulated phase segregation, the less soluble blocks attain collapsed coil conformations and their contribution to size in solution is insignificant, whereas, the more soluble blocks exist as random coils whose influence on the overall size is profound.

In general, the setup and calculations involved in extrapolating compositional information from data generated from multiple detection techniques can be tedious when analysing complex polymers. In view of that, other than just multiple detector couplings, ThFFF has been hyphenated to a number of composition-sensitive detectors such as ^1H NMR,³³ matrix-assisted laser desorption/ionization time-of-flight mass spectrometry (MALDI-TOFMS),⁶⁹ and FTIR.⁷⁰ Consequently, quantitative and qualitative information on microstructural distributions can be derived from a single measurement using one hyphenated technique. However, these hyphenated techniques are generally very expensive compared to the standard concentration and light scattering detectors.

In summary, there has been a limited number of advanced studies reporting on the characterization of SAs by exploiting ThFFF-hyphenations and -multiple detection systems. Available ThFFF literature on SAs reports on the overall measurement of M_w , CC, particle size and morphology and the respective distributions.^{42,50} The tacticity of the corona of some SAs has been proven to influence the observed ThFFF behaviour.^{40,41} However, in general, tacticity-oriented research on SA systems using

Literature review

ThFFF primarily focused on the corona chemistry. On the other hand, the tacticity of the equally important core may have a substantial and perhaps indirect influence on ThFFF behaviour. This assertion is yet to be specifically addressed in a detailed study.

The importance of the SA core also stems from its renowned application as a facile storage compartment for hydrophobic drugs in the design of targeted drug delivery systems.^{44,71-73} Cancer drugs, for example, can be attached to biodegradable polymers, and under specific conditions this polymer-drug composite can phase segregate to form SAs with the drugs ideally forming the cores and the polymer forming the shells, respectively. These polymer-drug composite SAs can be designed and functionalized such that the drug can be delivered and released to targeted cells when the SAs are subjected to specific external stimuli.

The phase segregation of SAs allows for otherwise insoluble drugs or polymers to be kept in solution for further manipulation at nanoscopic levels. As such, SAs can function as in-situ nanoreactors with the core as the centre for physical or chemical reactions.^{45,46,74} However, a range of molecular information regarding these nanoreactors is crucial for their design and application, and multiple detection ThFFF can be considered as a suitable alternative and complementary technique for such characterizations.

One form of multiple detection, the so-called “triple detection”, has been widely used in SEC and AF4 separations.⁷⁵⁻⁷⁷ Triple detection is a combination of a differential capillary viscometer (dVis), SLS, and concentration detection, which allows for the measurement and determination of the following parameters: intrinsic

Literature review

viscosity (IV), viscosity radii ($R_{g\eta}$ and R_η), Mark-Houwink (MH) parameters, viscosity molar mass (M_η), R_g and absolute M_w . From specific relations between some of the aforementioned parameters, corresponding plots can be established to determine properties such as branching, topology and the degree of branching. The conformation and MH plots, that is, $\log R_g$ vs. $\log M_w$ and $\log IV$ vs. $\log M_w$, respectively, can potentially be used to obtain topological information and compactness of SAs.

To the best of our knowledge, the coupling of ThFFF with a triple detection system is yet to be reported, let alone for the characterization of SAs. However, the coupling of ThFFF-dRI-Vis,⁷⁸ and ThFFF-dRI-SLS,^{40,64,65} have separately been reported. Most importantly, the cumulative and strategic coupling of the above-mentioned detectors for the ultimate goal of achieving comprehensive analysis of SAs remains to be explored.

The next chapter shall outline the design and development of experimental techniques and protocols. The output is aimed at redressing some of the gaps in knowledge that have been highlighted in the literature review.

Experimental work

Chapter 3. Experimental work

Summary

The instrumentation as well as all the technical and experimental protocols are outlined in this chapter.

3.1 ThFFF conditions

Separations were performed using the TF2000 (Postnova Analytics, Germany) instrument and online measurements were performed using the following detectors: multi-angle laser scattering (MALS), (PN3070, Postnova Analytics, Germany) with multiple measuring angles over a range of 35-145° and M_w calculations were obtained by manipulating the TF2000 software and using the Zimm plot, differential refractive index (dRI), (PN 3140, Postnova Analytics, Germany), dVis (PN3310), UV (PN 3212 at 254 nm, Postnova Analytics, Germany) and a zetasizer nano-series (Malvern Instruments, Worcestershire, UK) with built-in data processing software for dynamic light scattering (DLS) at an angle 175° for back scattering detection.

For each measurement, in excess of 100 μL of sample was manually injected into a 100 μL capillary sample loop to ensure capillary flooding, and each analysis was performed in triplicate. Predetermined sample concentrations and thermal gradients were used as required to avoid overloading and allow adequate separations. A stable cold wall temperature was maintained at about $\approx 25\text{ }^\circ\text{C}$ by an external chiller (Unichiller, Monitoring and Control Laboratories, South Africa). The appropriate

Experimental work

carrier solvents for all respective measurements were pumped by an isocratic pump (PN 1130, Postnova Analytics, Germany).

3.2 Basic self-assembly preparation

Figure 3.1 shows a schematic representation of the basic method applied in preparing the SAs. The respective BCPs were directly dissolved in a selective solvent for one block at temperatures ranging from 25-150 °C over a period of 10-30 minutes. The BCP + selective solvent solutions were allowed to cool and then left for a minimum of one week acclimatizing time prior to analysis.

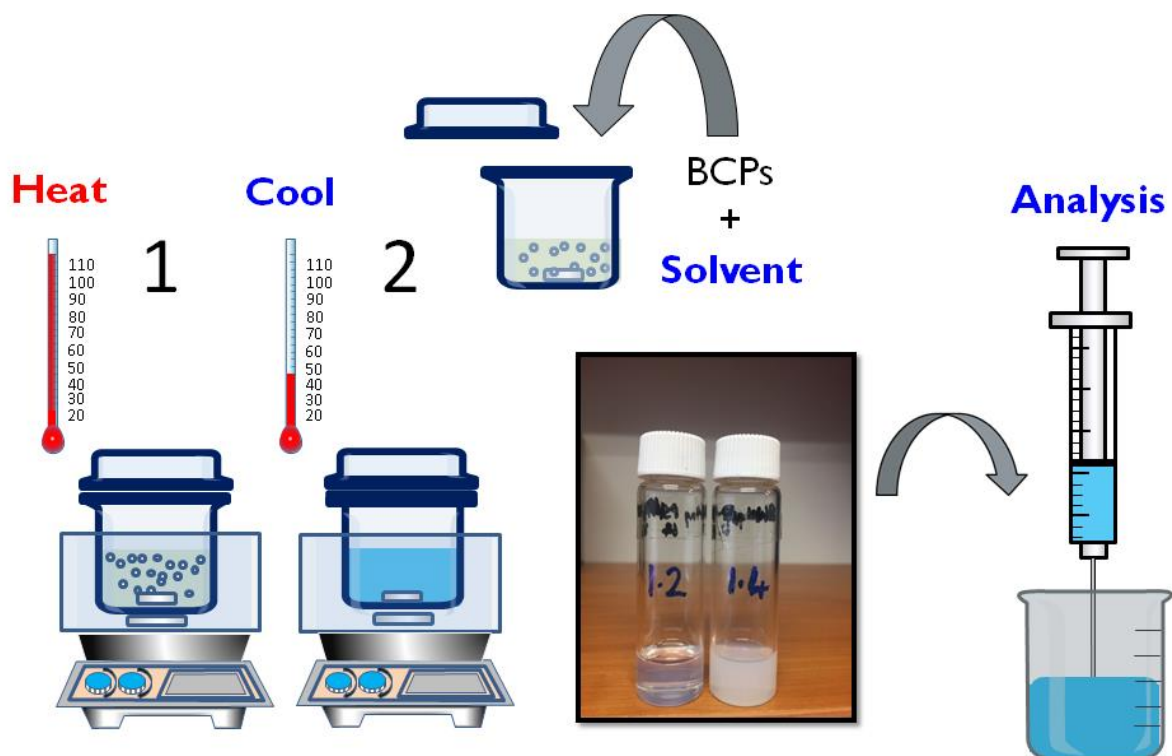


Figure 3.1. Schematic illustration of the basic preparation of self-assemblies.

Experimental work

3.3 Offline DLS

The Zetasizer Nano S (Malvern Panalytical, United Kingdom) was used to perform a triplicate of runs per measurement. A glass cuvette with an open round aperture was utilized for all measurements using 1 mL sample volumes at temperatures ranging from 20-60 °C. The sample concentrations ranged from 0.1-2.0 mg/mL, as deemed appropriated for specific experiments. Prior to all measurements, a five minute equilibrium time was programmed on the instrument. Relevant solvent viscosity values were used accordingly, as required by the DLS software (Zetasizer software 7.1.1). From the DLS software, D_h was reverse calculated from the diffusion coefficient (D) in accordance with the Stokes-Einstein equation shown below (Equation 3.1), where k , T and η represent Boltzmann' s constant, temperature and solvent viscosity, respectively.

$$D_h = \frac{k T}{3 \pi \eta D} \quad \text{Equation 3.1.}$$

3.4 ^1H NMR

Spectra were recorded using a 400 MHz Varian Unit Inova NMR spectrometer (Agilent/Varian, Palo Alto, California, USA). The measurements were carried out with a 5 mm dual broadband pulsed field gradient probe. 256 scans were performed with a relaxation delay of 1 s and acquisition time of 2.56 s. Data processing was carried out using Mestrenova software (version 11.0.2).

Experimental work

3.5 ATR-FTIR

All FTIR spectra were recorded in the absorbance mode using a Thermo Nicolet iS10 Spectrometer (Thermo Scientific, Waltham, MA) equipped with a diamond crystal. Each background and sample scan were performed 64 times with a spectral resolution of 4 cm⁻¹ over a range of 700-4000 cm⁻¹. Scientific OMNIC software (version 8.1) was utilised for data collection and processing.

3.6 TEM

The Zeiss MERLIN FE-SEM (Oberkochen, Germany) equipped with a Zeiss five-diode scanning transmission electron detector (Zeiss STEMA) was used for TEM imaging. Instrument control was performed using the Zeiss Smart SEM software. Beam conditions during analysis were a 20 kV accelerating voltage, 250 pA probe current, and a working distance of approximately 4 mm. All TEM micrographs were imaged in the bright field mode.

3.7 SEC analysis.

Comparative SEC studies were carried out using a Waters GPC (Milford, USA) comprising of the following components: Waters 2487 dual wavelength UV detector, Waters 1515 isocratic HPLC pump, Waters 410 differential refractometer (at temperature of 30 °C), Waters 717 plus autosampler, Waters in-line degasser. Column set-up: Two PLgel 5 μm Mixed-C columns and a PLgel 5 μm guard column, column temperature 30 °C, eluent system: THF (HPLC grade, BHT stabilized) at a flow rate of 1 mL/min at pressure of 941 psi. Concentration of samples: 2 mg/mL.

Experimental work

Calibration: Narrow polystyrene standards with a molecular weight range of 580-3187 000 g/mL.

Results and discussion

Chapter 4. Results and discussion

Summary

In this chapter, results that are published in international peer reviewed journals are discussed in detail as a tri-series of papers. An introductory section is presented prior to attaching each respective publication. The overall conclusions on the cumulative results are presented in a separate chapter.

4.1 Core microstructure, morphology and chain arrangement of block copolymer self-assemblies as investigated by thermal field-flow fractionation (Part 1).

This particular study is complementary to previous ThFFF studies where the emphasis was on investigating the impact of the corona microstructure on the micelle properties and retention behaviour.⁴⁰ It is shown that two types of vesicles both having PS shells, and either 1.2- or 1.4-PBd cores (prepared from respective PS-PBd block copolymers with symmetrical PS and PBd block sizes) are successfully separated by ThFFF. Properties that depend on microstructure such as the degree of crystallinity, elasticity, chain flexibility and topology of PS-b-PBd SAs are correlated to molecular structure, and the overall ThFFF retention behaviour is investigated.

Stereoregular 1.2-PBd isomers are more crystalline and rigid than the 1.4(cis)-isomers and, as such, the resultant vesicles are shown to have different degrees of core crystallinity, elasticity, and flexibility, which consequently impacts on the respective sizes, as illustrated in Figure 4.1. In an unexpected turn, the solvophobic PBd cores exhibit ¹H NMR resolution. This behaviour can be explained by the low T_g of PBd and

Results and discussion

the subsequent better mobility, thus the higher signal intensities in the ^1H NMR spectrum.

Symmetrical PBd-PS analogues

PBd cores: low T_g , high mobility; resolution
PS cores: high T_g , low mobility; no resolution

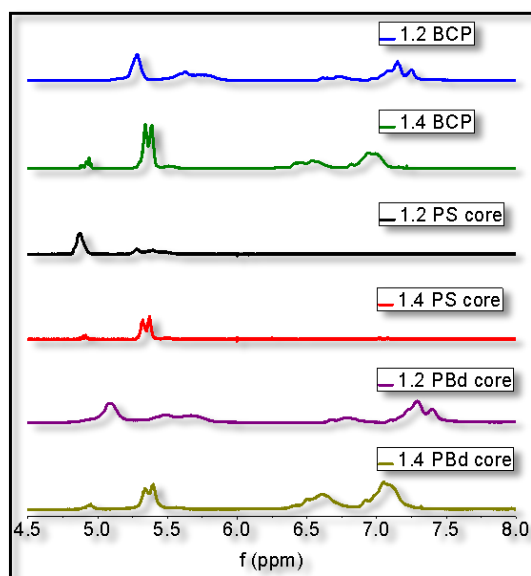
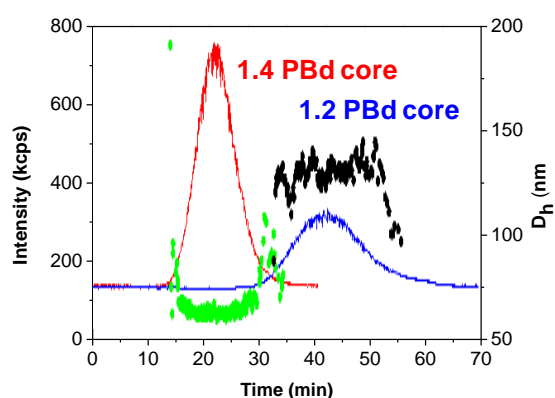
Size influenced by microstructure**Size-based elution**

Figure 4.1. Graphical presentation for the study: Influence of microstructure (of the cores) on the ThFFF elution behaviour, and impact of T_g on ^1H NMR resolution for self-assemblies prepared from symmetrical PBd-PS block copolymer analogues.

As a result, D_h , M_w , aggregation number (Z), and critical micelle concentration (CMC) of these vesicles are shown to be sensitive to the core microstructure, therefore, demonstrating the potential of microstructural differences of the cores as platforms for providing tuneable pathways for designing specific SAs. Furthermore, it was established that for vesicles and homopolymers of similar surface chemistry and D_h , ThFFF was sensitive towards the inherent differences in morphology and chain arrangement, and thus the two polymeric species were successfully separated. These results are illustrated in Figure 4.2.

Results and discussion

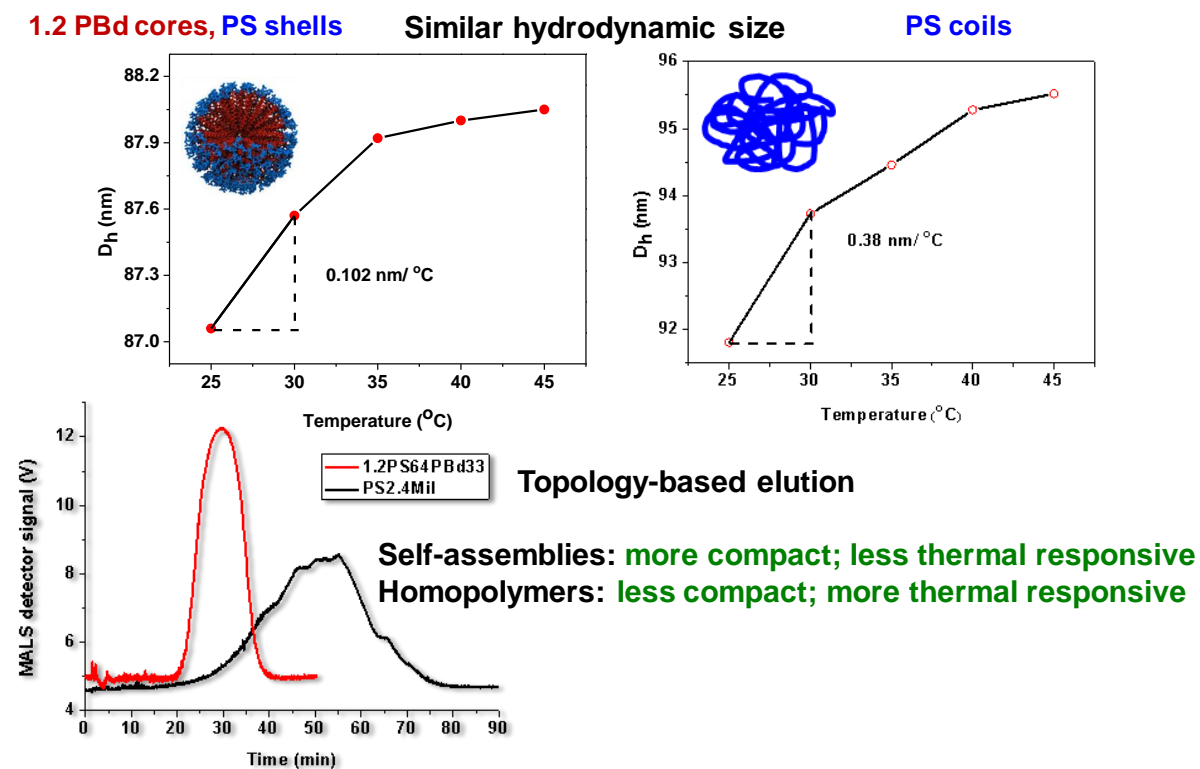



Figure 4.2. Graphical presentation for the study: Topology-based ThFFF separations for vesicles and homopolymers of similar hydrodynamic size and surface chemical composition.


Journal of Chromatography A 1562 (2018) 87–95

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Core microstructure, morphology and chain arrangement of block copolymer self-assemblies as investigated by thermal field-flow fractionation

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ABSTRACT

The self-assembly of block copolymers (BCPs), as a result of solvent selectivity for one block, has recently received significant attention due to novel applications of BCPs in pharmaceuticals, biomedicine, cosmetics, electronics and nanotechnology. The correlation of BCP microstructure and the structure of the resulting self-assemblies requires advanced analytical methods. However, traditional bulk characterization techniques are limited in the quest of providing detailed information regarding molar mass (M_w), hydrodynamic size (D_h), chemical composition, and morphology for these self-assemblies. In the present study, thermal field-flow fractionation (ThFFF) is utilised to investigate the impact of core microstructure on the resultant solution properties of vesicles prepared from polystyrene-polybutadiene block copolymers (PS-*b*-PBd) with 1.2- and 1.4-polybutadiene blocks, respectively. As compared to investigations on the impact of the corona microstructure, the impact of core microstructure on micellar properties has largely been neglected in previous work. In *N,N*-dimethylacetamide (DMAc) these BCPs form vesicles having PS shells and PBd cores. D_h , M_w , aggregation number, and critical micelle concentration of these micelles are shown to be sensitive to the core microstructure, therefore, demonstrating the potential of microstructural differences to be used for providing tuneable pathways to specific self-assemblies. It is shown that micelles prepared from BCPs of similar PS and PBd block sizes are successfully separated by ThFFF. It is further demonstrated in this study that PS-*b*-PBd vesicles and PS homopolymers of identical surface chemistry (PS) and comparable D_h in DMAc, can be separated by ThFFF.

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1. Introduction

The evolution of self-assembled structures from block copolymers (BCPs) in solution, being primarily a result of solvent selectivity for one block, has received much attention due to novel applications of self-assembled structures in pharmaceuticals, biomedicine, cosmetics, electronics and oil recovery [1–3]. These self-assembled structures can be spheres, cylinders or vesicles depending on various molecular factors such as the hydrophobic-hydrophilic (or more generally solvophobic-solvophilic) block ratio, chemical composition and molar mass. On the other hand, solution factors such as solvent type and thermodynamic quality, temperature, pH, ionic strength and concentration also determine what type of self-assembled structure is formed. In addition to

these effects, microstructural differences in BCPs are also known to influence physical and chemical properties. This was shown by Schmelz et al. and Du et al. who demonstrated that when the BCPs in solution are heated above the crystallization temperature of the core forming block, the resultant micelle morphologies can be manipulated via controlling the temperature at which crystallization occurs [4,5]. Furthermore, investigations on polyethylene glycol (PEO)-based BCP micelles with either ϵ -caprolactone (ϵ -CPL) or ϵ -decalactone (ϵ -DCL) as the hydrophobic blocks in aqueous solvents illustrated the influence of M_w and chemical composition (CC) of the core [6]. It was shown that the resultant amorphous or crystalline core, as induced by varying the M_w and CC of the micelle core, had an influence on properties such as the critical micelle concentration (CMC) and morphology [6].

The correlation of BCP microstructure to the structure of the resulting self-assemblies requires advanced analytical methods. Traditional bulk characterization techniques like electron microscopy, light scattering and spectroscopy are limited in the

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Results and discussion

88

U.L. Muza et al. / J. Chromatogr. A 1562 (2018) 87–95

quest of providing detailed information regarding molar mass (M_w), hydrodynamic size (D_h), chemical composition, and morphology for self-assemblies. Moreover, column-based techniques like size exclusion chromatography (SEC) can provide information on M_w , D_h and the associated distributions, however, fragile self-assemblies can be shear-degraded by interactions with the SEC column [7,8]. Thus, in order to fully comprehend and understand the behaviour of self-assemblies in solution, there is a need to establish robust characterization techniques capable of defining micelles at molecular level with regard to M_w , D_h , CC, and morphology.

Most recently, thermal field-flow fractionation (ThFFF) has been shown to be a versatile tool capable to separate and characterize micelles according to CC and size, microstructure and morphology [9–11]. ThFFF is a sub-technique of field-flow fractionation (FFF) that makes use of a thermal gradient as the driving force to separate analytes across a narrow channel clamped between two metallic plates [12]. In ThFFF, the temperature gradient is achieved by heating the one plate (hot wall) and cooling the other (the cold accumulation wall). The aspect ratio of the plates is such that a parabolic flow profile of the carrier solvent is established along the channel. Moreover, the thermal gradient drives analyte molecules away from the hot wall towards the cold/accumulation wall by the process of thermal diffusion. This in turn creates a concentration build-up of the analyte molecules at the accumulation wall, thereby triggering a counteracting concentration diffusion motion [13,14]. The thermal and concentration diffusion processes eventually balance out and the analyte molecules are differentially distributed across the channel. Thus, depending on the analytes position in the concentration gradient, they will reside in different velocity flow streams and elute at different times from the channel. Thermal diffusion, as described by the thermal diffusion coefficient (D_T), is sensitive to surface chemistry, while on the other hand, concentration diffusion, as described by the diffusion coefficient (D), is sensitive to size (D_h) [13,15]. As a result, separations according to D_h and CC are possible by ThFFF in one measurement [16,17].

ThFFF has previously been applied to separate and characterize poly(methyl methacrylate)-*b*-polystyrene (PMMA-*b*-PS) micelles with isotactic and syndiotactic coronas as a function of corona composition [18]. The CMC was found to be dependent on the tacticity of the PMMA corona. Micelles with the syndiotactic PMMA (sPMMA) corona exhibited lower CMC values than the isotactic equivalent owing to the better capability of the more flexible sPMMA blocks to pack together in the corona. Polystyrene-*b*-polybutadiene (PS-*b*-PBd) micelles of different PBd corona microstructures (1.2- and 1.4-PBd) have been shown via ThFFF to exhibit similar micelle-vesicle transition trends as a function of temperature gradients [9]. The corona microstructure was thus shown to have no significant impact on the resultant morphology.

Notably, in all previous ThFFF studies, emphasis has been on investigating the impact of the corona microstructure on the micelle properties [9,11,18]. It is against this background that the present study aims to utilise ThFFF to evaluate the impact of core microstructure on properties such as the degree of crystallinity, elasticity, chain flexibility and topology on the retention behaviour of PS-*b*-PBd self-assemblies. Since the stereoregular 1.2-PBd isomer is more crystalline and rigid than the 1.4(cis)-isomer, it is expected that self-assemblies with PBd cores having 1.2- and 1.4-isomeric structures should have different degrees of core crystallinity, elasticity and flexibility which would have an impact on morphology. This study describes the application of ThFFF coupled online to multi-angle laser light scattering (MALLS), differential refractive index (dRI) and dynamic light scattering (DLS) detectors to determine the influence of microstructure, morphology and chain arrangement of the PBd core on retention behaviour of PS-*b*-PBd self-assemblies in ThFFF.

Table 1
Sample code names and isomeric contents of the PS-PBd block copolymers and PS homopolymers, PS-polystyrene, PBd-polybutadiene.

| Sample ¹ | Code name | PBd content | Dispersity ² |
|--|----------------|-------------|-------------------------|
| 1.2-PS ₅₀₀ -PBd ₇₅ | 1.2-PS06-PBd75 | 1.2 > 90% | 1.09 |
| 1.4-PS ₅₀₀ -PBd ₈₀ | 1.4-PS05-PBd80 | 1.4 > 90% | 1.14 |
| 1.2-PS ₅₀₀ -PBd ₇₅ | 1.2-PS04-PBd33 | 1.2 > 90% | 1.08 |
| PS ₁₄₀₀ | PS 1.4Mil | 0 | 1.10 |
| PS ₂₄₀₀ | PS 2.4Mil | 0 | 1.10 |

¹ subscript numbers indicate molar masses in kg/mol.

² as M_w/M_n .

2. Materials and methods

2.1. Materials

All standards were purchased from Polymer Source (Montreal, Canada) and were used as received. The subscripts represent the molar masses for the respective blocks in kg/mol (Table 1).

HPLC grade *N,N*-dimethylacetamide (DMAc) (Sigma Aldrich, South Africa) was used as received for preparing the self-assemblies and as the carrier solvent. ¹H NMR spectra (see Section 2.4.) was recorded using deuterated DMAc (DMAc-*d*₆), deuterated cyclohexane (cyclohexane-*d*₁₂) and HPLC grade heptane. All solvents were purchased from Sigma Aldrich, South Africa.

2.2. ThFFF conditions

Measurements were performed using the TF2000 (Postnova Analytics, Landsberg, Germany) coupled in series to the following detectors, MALLS (7 angles over a range of 35–145° using Zimm plot) (PN3070, Postnova Analytics), dRI (PN 3140, Postnova Analytics) and a zetasizer nano-series (Malvern Instruments, Worcestershire, UK) with built-in data processing software was used for dynamic light scattering (DLS) detection at an angle 175° (back scattering detection). In excess of 100 μL of 1 mg/mL of the sample was manually injected into a 100 μL capillary sample loop to insure capillary flooding, and each analysis was performed in triplicate. A temperature drop (ΔT) of 25 °C was applied for all fractionations and an external chiller (Unichiller, Monitoring and Control Laboratories, South Africa) maintained a stable cold wall temperature at about \approx 25 °C. The carrier solvent for all measurements, (DMAc), was pumped by an isocratic pump (PN 1130, Postnova Analytics) at a flow rate of 0.2 mL/min. Values for D_T were calculated according to:

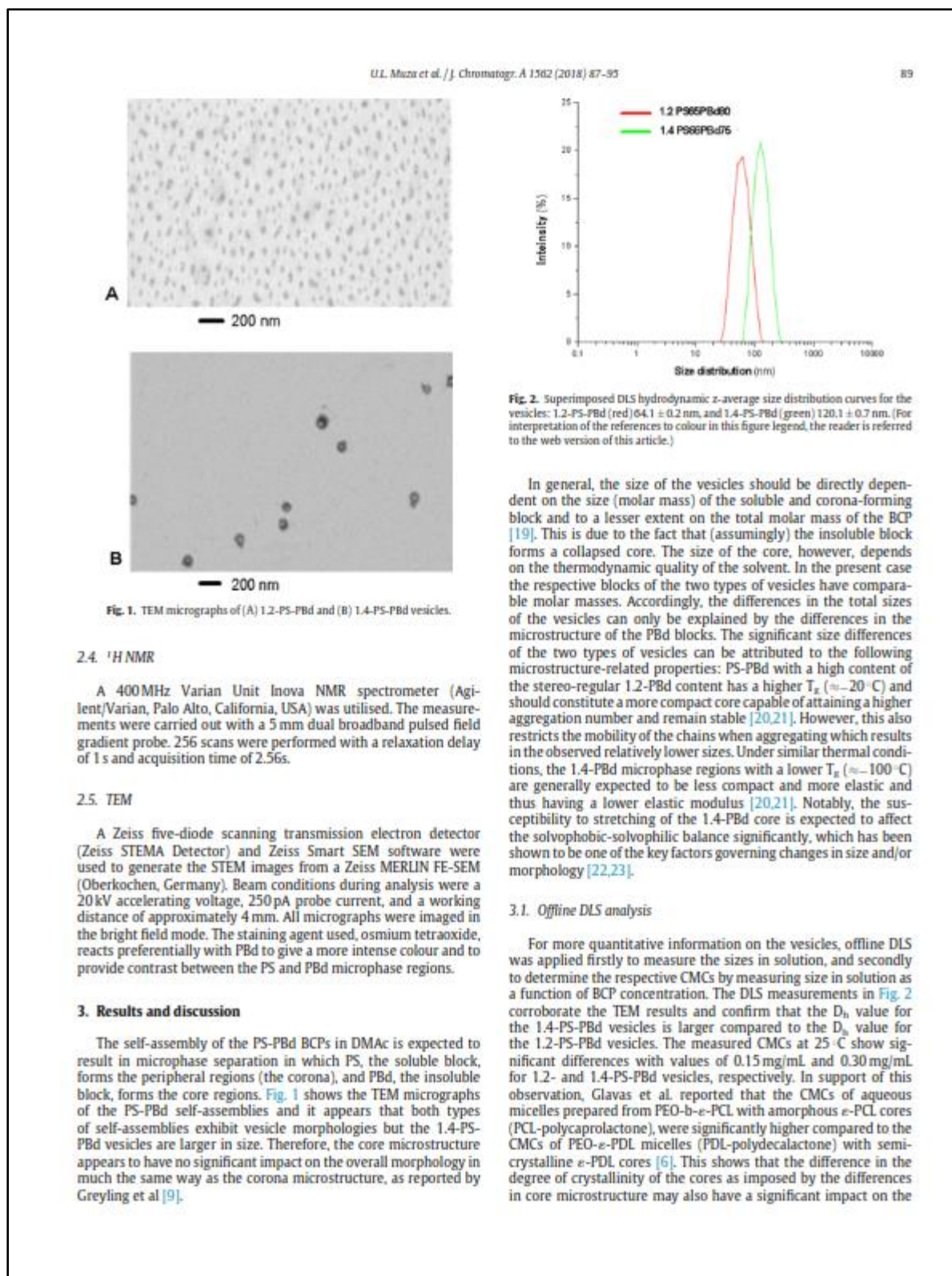
$$D_T = \frac{6Dt_R}{\Delta T t_0}$$

where D is the diffusion coefficient as determined by DLS, t_R and t_0 are the respective retention and void times of the sample and ΔT is the temperature difference between the hot and cold wall [12].

2.3. Micelle preparation

1.2- and 1.4-isomeric PS-PBd block copolymers of similar PS and PBd block molar masses are separately and directly dissolved in DMAc at a temperature of 70 °C for 30 min. Complete dissolution was confirmed by dynamic light scattering (DLS) experiments, whereby, stable and reproducible unimodal peaks are observed. The expected result is two different types of micelles, both having PS coronas, but one type having a 1.2-PBd core and the other having 1.4-PBd core.

Results and discussion



Results and discussion

90 U.L. Muza et al. / J. Chromatogr. A 1562 (2018) 87–95

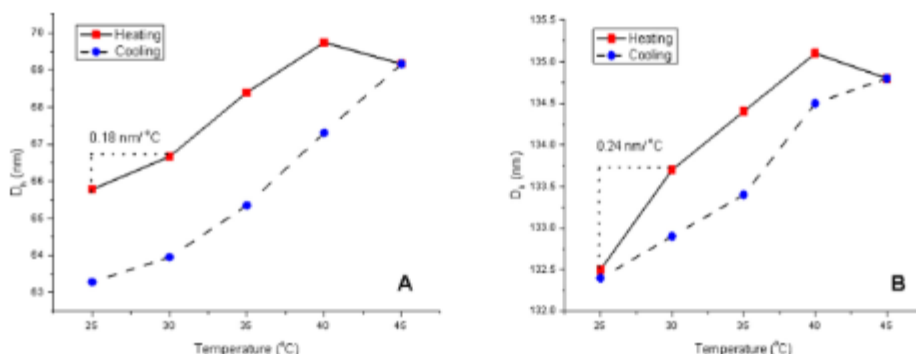


Fig. 3. Thermo-responsive behaviour of 1.2-PS-PBd (A) and 1.4-PS-PBd (B) at a concentration of 1 mg/mL. Red squares show the z-average size of the vesicles during the heating cycle while the blue circles show the size of the vesicles during the cooling cycle. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

CMC, as observed in this case. In general, the lower CMC of the 1.2-PS-PBd vesicles indicates a better thermodynamic stability of the vesicles due to their specific core microstructure.

The thermo-responsive behaviour of the vesicles shall be investigated by monitoring the changes in size as a function of temperature at similar concentrations. The thermo-responsive behaviour is an important variable as it relates to chain flexibility and elasticity of the vesicles.

Both types of vesicles show an increase in size with heating and in order to quantify this observation, the slope of the linear change in size between 25 and 30 °C was measured. This temperature range was selected as it most accurately describes the conditions the vesicles experience at the cold wall during analysis. It was found that the 1.4-PS-PBd micelles exhibit a steeper change in size with temperature with a slope of 0.24 nm/°C, as compared to 0.18 nm/°C for 1.2-PS-PBd micelles. The more pronounced thermo-responsive behaviour of 1.4-PS-PBd micelles implies higher chain flexibility and elasticity that can be attributed to the lower degree of crystallinity of 1.4-PBd relative to 1.2-PBd. The higher chain flexibility results in greater chain swelling and stretching of the 1.4-PBd isomer as a function of temperature. Therefore, 1.4-PS-PBd vesicles are quantitatively shown to have higher susceptibility to changes in the solvophobic-solvophilic balance, as expected. Furthermore, for the 1.4-PS-PBd vesicles the temperature dependent changes in size can be defined as reversible, as evident from the cooling curve, which shows that the vesicles ultimately attain size values similar to the initial ones. In order to obtain additional insight into the thermo-responsive behaviour of the vesicles, ^1H NMR analysis at various temperatures was performed (Fig. 3).

3.2. ^1H NMR analysis

^1H NMR analysis was first carried out for the two BCPs in cyclohexane, and then for their respective vesicles with PBd cores in DMAc. Secondly, the inverse self-assemblies with compact PS cores and PBd coronas were prepared and analysed for comparison. The inverse self-assemblies were prepared using the co-solvent method, with heptane as the selective solvent [24,25]. The overlaid spectra of the BCPs and all the self-assemblies are presented in Fig. 4. For the BCPs, the corresponding proton signals for PBd and PS are observed as expected at around 4.7–5.4 ppm and 6.5–7.3 ppm, respectively. For the BCPs in cyclohexane, the molar ratios for the PBd blocks as determined from peak integral ratios are 54 mol% and 53 mol% for 1.2- and 1.4-PBd, respectively. These

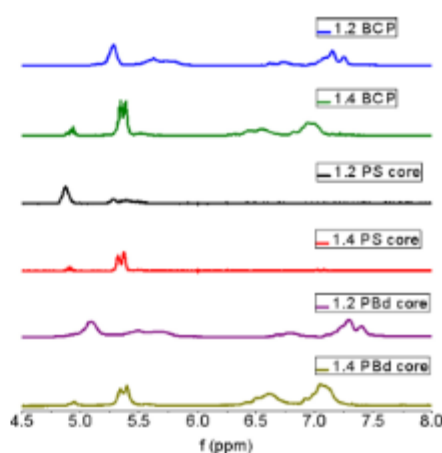


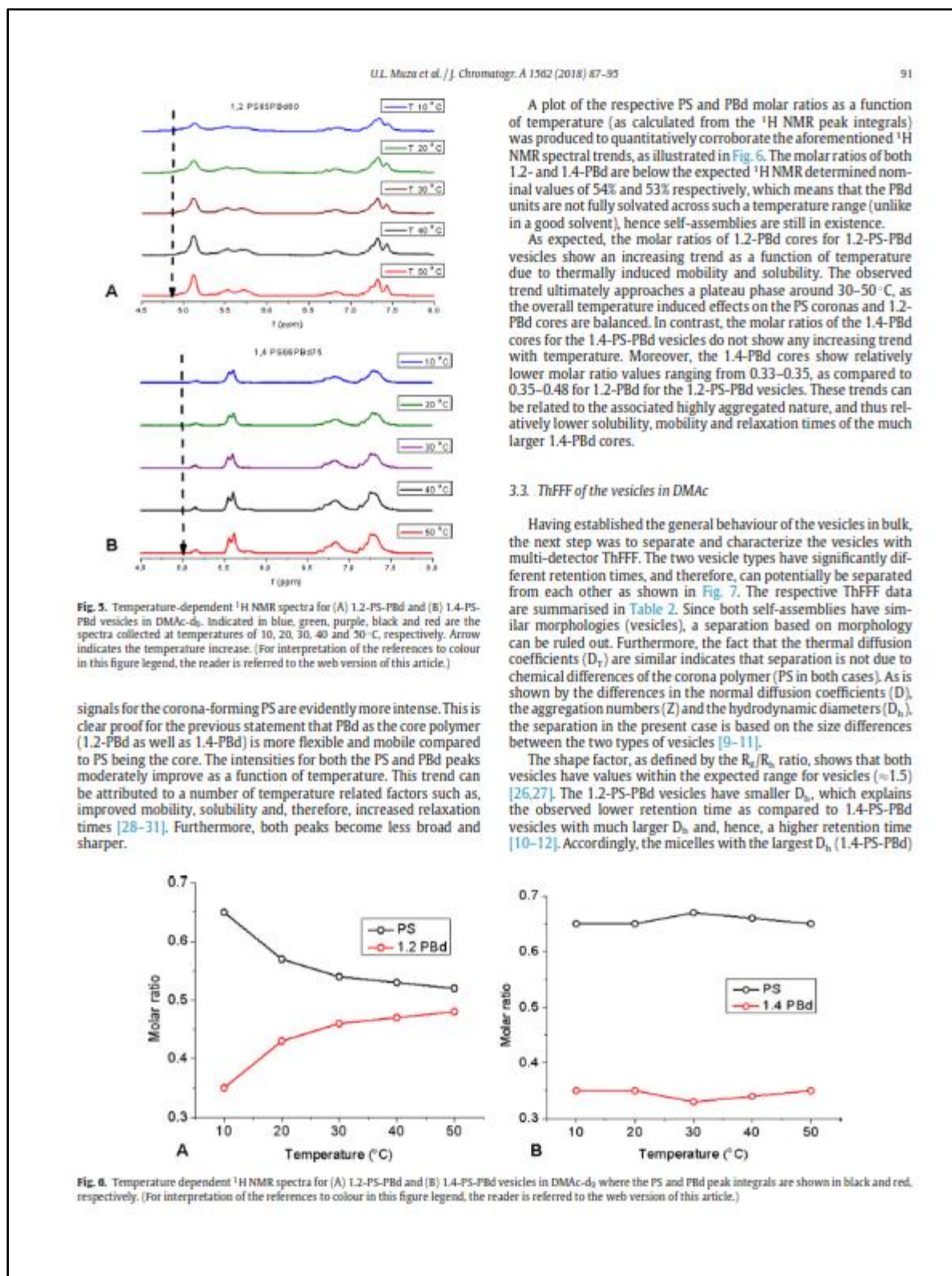
Fig. 4. ^1H NMR spectra of 1.2-PS-PBd (blue) and 1.4-PS-PBd (green) BCPs, 1.2- (black) and 1.4-PS-PBd (red) self-assemblies with PS cores and 1.2- (purple) and 1.4-PS-PBd (olive) vesicles with PBd cores. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

values are in close agreement with the nominal values provided by the supplier.

It is known that the ^1H NMR spectra of self-assemblies with compact cores typically display intense signals for the corona polymer whilst the signals for the core polymer are very broad and have very low intensities. [12–14] This trend is typically observed for self-assemblies where the core polymer has a high T_g and, thus, has a glassy and compact (solid like) nature, as is the case for the self-assemblies with PS cores [15,16]. The compact and solid-like cores typically have very low mobility and solubility, and consequently produce very broad ^1H NMR signals with very low intensities. In contrast to this type of self-assemblies, for the vesicles having PBd cores with very low T_g (≈ -20 °C to -100 °C), the cores are expected not to be as compact and solid-like. The expected better mobility should result in higher intensity PBd core signals, as clearly reflected in Fig. 5.

Both vesicles show pronounced proton signals at lower temperatures for the core-forming PBd blocks, although (as expected) the

Results and discussion



Results and discussion

92 U.L. Muza et al. / J. Chromatogr. A 1562 (2018) 87–95

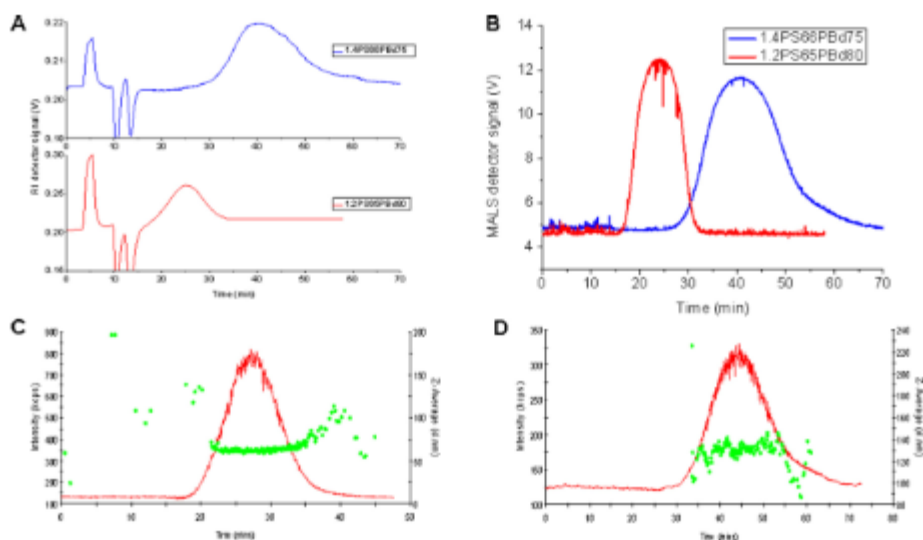


Fig. 7. Superimposed ThFFF RI (A) and MALLS (B) fractograms for 1.2-PS-PBd and 1.4-PS-PBd vesicles. Graphs (C) and (D) represent the respective DLS fractograms, where the green symbols represent the z-average size distributions. $t_0 = 13.3$ min. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

Table 2
ThFFF data for 1.2-PS-PBd and 1.4-PS-PBd vesicles.

| Sample code | t_R (min) | $M_w \times 10^3$ (kg/mol) | Z | D_h (nm) | $D \times 10^{-8}$ (cm ² /s) | $D_T \times 10^{-8}$ (cm ² /s K) | R_T/R_h |
|-------------|----------------|----------------------------|----------------|-----------------|---|---|-----------------|
| 1.2-PS-PBd | 24.1 ± 0.1 | 2.64 ± 0.01 | 33.0 ± 1.0 | 63.0 ± 0.2 | 0.70 ± 0.01 | 0.026 ± 0.002 | 1.67 ± 0.02 |
| 1.4-PS-PBd | 42.4 ± 0.3 | 28.3 ± 0.3 | 354 ± 12 | 131.0 ± 1.0 | 0.34 ± 0.02 | 0.022 ± 0.001 | 1.51 ± 0.04 |

record the lowest D values due to the inverse relationship between the two parameters.

The most important question to be answered is, if the separation of the two types of vesicles is solely based on size. As has been seen in previous work, the microstructure of the corona polymer has a pronounced effect on separation in ThFFF [9,11]. So far it is not known, however, if the microstructure of the core polymer may have a similar effect.

To try and answer this question, the ThFFF behaviour of 1.2-PS-PBd self-assemblies of different hydrodynamic sizes was compared to that of specific PS homopolymers. These PS homopolymers had hydrodynamic sizes that were comparable to the sizes of the self-assemblies. In this setup, the effect of core chemistry is factored out and the comparison is based on entities with similar sizes and surface chemistries.

3.4. ThFFF of 1.2-PS-PBd self-assemblies and PS homopolymers of similar sizes

The selected self-assemblies and homopolymers of similar D_h are shown in Table 3, with D_h measured by offline DLS. The respective homopolymers have broader size distributions compared to the self-assemblies, although both species have the same average sizes as depicted in Fig. 8. The results of the respective ThFFF separations are summarised in Table 4. As expected, broader ThFFF fractogram peaks are observed for the homopolymers (Fig. 9), in a trend similar to the offline DLS size distribution peaks shown in Fig. 8.

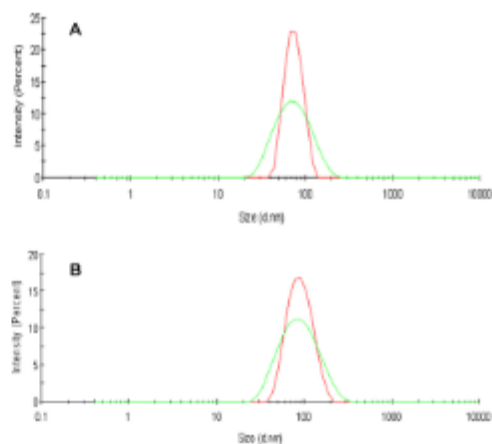


Fig. 8. DLS z-average size distribution by intensity for (A) PS 1.4Mil (green) and 1.2-PS05-PBd75 (red); and (B) PS 2.4Mil (red) and 1.2-PS04-PBd33 (green). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

Figs. 10 and 11 show comparisons of the ThFFF behaviour of the BCP vesicles and the corresponding PS homopolymers. As pointed

Results and discussion

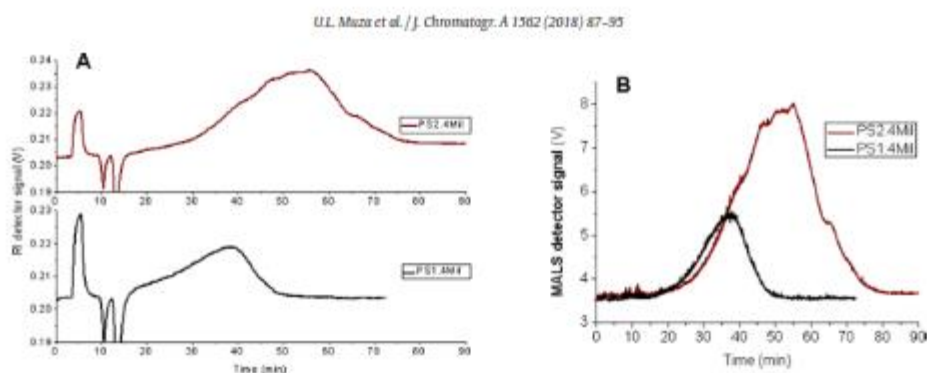


Fig. 9. Superimposed ThFFF RI (A) and MALLS (B) fractograms for PS 1.4MIL and PS 2.4MIL. $t_0 = 13.3$ min.

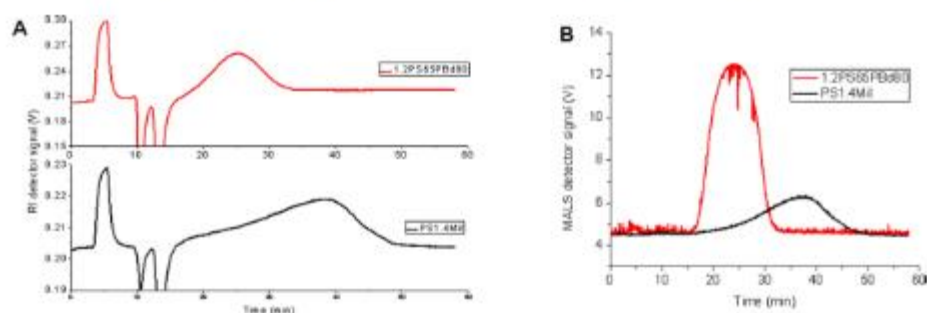


Fig. 10. Superimposed ThFFF RI (A) and MALLS (B) fractograms for PS 1.4MIL and 1.2-PS65PBd80. $t_0 = 13.3$ min.

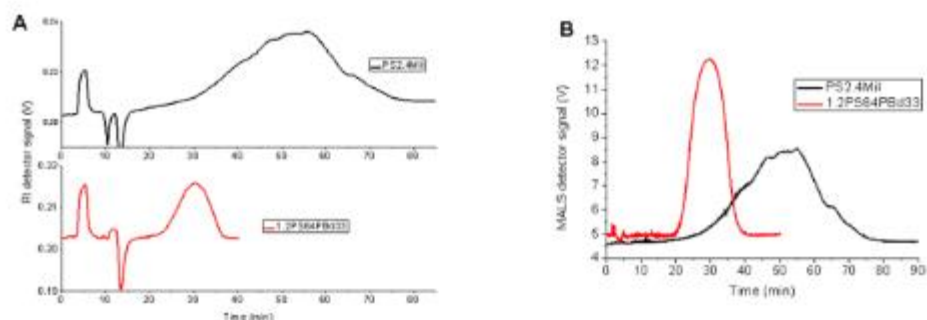


Fig. 11. Superimposed ThFFF RI (A) and MALLS (B) fractograms for PS 2.4MIL and 1.2-PS64PBd33. $t_0 = 13.3$ min.

Table 3
Hydrodynamic sizes of 1.2-PS-PBd self-assemblies and PS homopolymers.

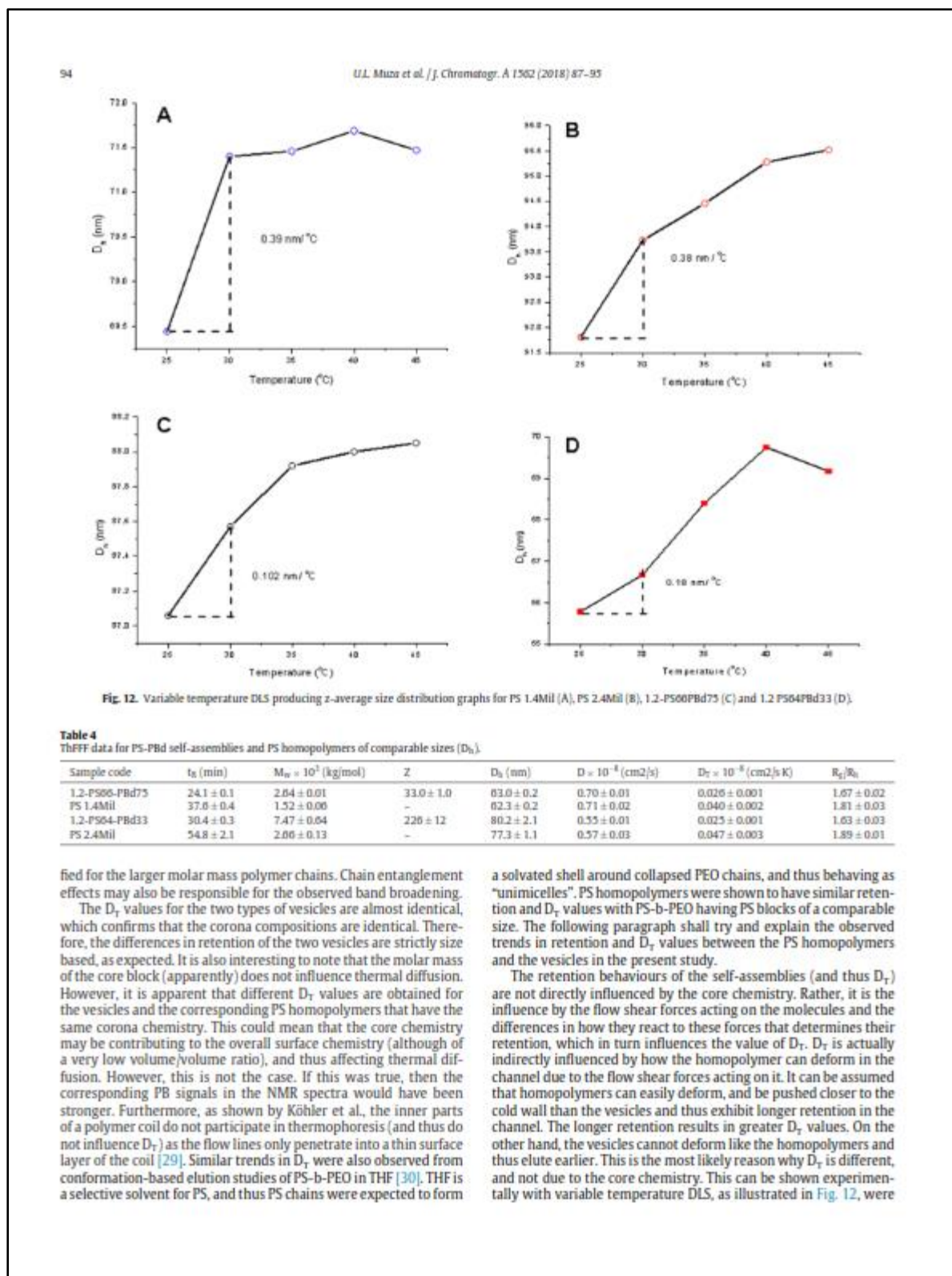
| Sample | Code | D_h (nm) |
|---|----------------|------------|
| 1.2-PS ₆₆ -PBd ₇₅ | 1.2-PS66-PBd75 | 64.1 ± 0.2 |
| PS ₁₄₀₀₀₀₀ | PS 1.4MIL | 63.7 ± 0.4 |
| 1.2-PS ₆₄ -PBd ₃₃ | 1.2-PS64-PBd33 | 94.3 ± 2.2 |
| PS ₂₄₀₀₀₀₀ | PS 2.4MIL | 92.7 ± 1.1 |

out before, comparisons are based on similar hydrodynamic sizes but different molecular structures.

The calculated shape factors for the PS homopolymers are of typical Gaussian coils and are comparable to literature values for

PS chains of similar sizes [28]. As expected, the shape factors for the self-assemblies confirm the presence of vesicles [26]. When now comparing the vesicles and the homopolymers of similar solution sizes, it is evident that the homopolymers generally have much larger retention. These unexpected results bring into question whether or not surface chemistry or rather a combination of the latter with morphology and chain arrangement could be impacting on the observed separations. The calculated D_T values can partially explain this observation since D_T is known to be sensitive to surface chemistry. The calculated D_T values for the homopolymers are shown to be more or less similar. The minor differences may be emanating from the chain entanglement effects which are ampli-

Results and discussion



Results and discussion

the PS homopolymers generally display a larger change in size per unit temperature, especially in the temperature range of 25 and 30 °C.

The slope of the change in size as a function of temperature was taken between 25 and 30 °C as this temperature range most accurately describes the conditions the vesicles and homopolymers experience at the cold wall during analysis. Thus, Fig. 12 shows that the homopolymers can more readily undergo changes in conformation as compared to the vesicles. This observation can explain why the two species distinctly separate under the conditions inside the channel despite the comparable solution sizes.

4. Conclusion

ThFFF was successfully applied in the separation and characterization of PS-*b*-PBd vesicles with 1.2- and 1.4-PBd cores, respectively. The core microstructure was shown to impact on the D_h , M_w , Z , and CMC of the vesicles, thus demonstrating the potential application of microstructural differences for providing designed BCP self-assemblies. Furthermore, it was established that for the vesicles and homopolymers of similar surface chemistry and D_h , ThFFF was sensitive towards the inherent differences in morphology and chain arrangement, and thus the two polymeric species were successfully separated.

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Results and discussion

4.2 Stereocomplexation of polymers in micelle nanoreactors as studied by multiple detection thermal field-flow fractionation (Part 2).

Stereoregular polymers can be chemically or physically manipulated to form unique crystalline stereocomplexes (SC)s that consequently precipitate out of solution. This paper demonstrates that micellar nanoreactors (MNR)s can be used to provide a viable route for studying SC in solution. A schematic representation of the SC of PMMA is given in Figure 4.3. While i-PMMA and s-PMMA separately exhibit random coil conformations in solution, blends of the two polymers form stereocomplexes under specific well-defined conditions.

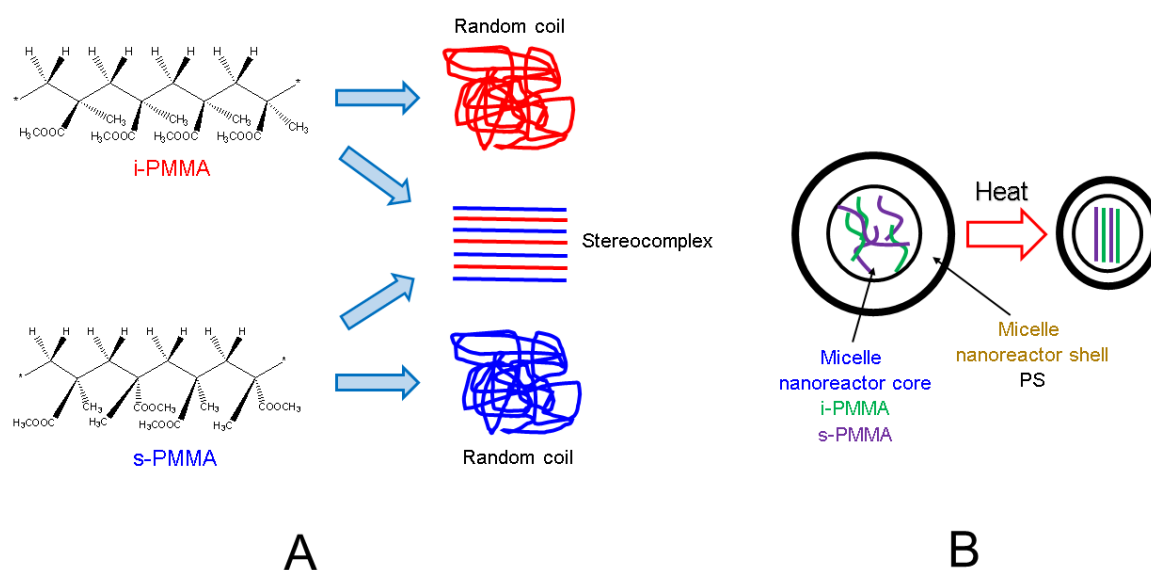


Figure 4.3. Schematic representation of the stereocomplexation of isotactic and syndiotactic PMMA.

As shown in Figure 4.4, significant changes in ATR-FTIR signal intensities, signal shifts and remarkable size changes are used as probes for SC. In addition to providing the

Results and discussion

expected hydrodynamic size measurements, DLS studies performed on the micelles and MNRs were also aimed at studying solubility.

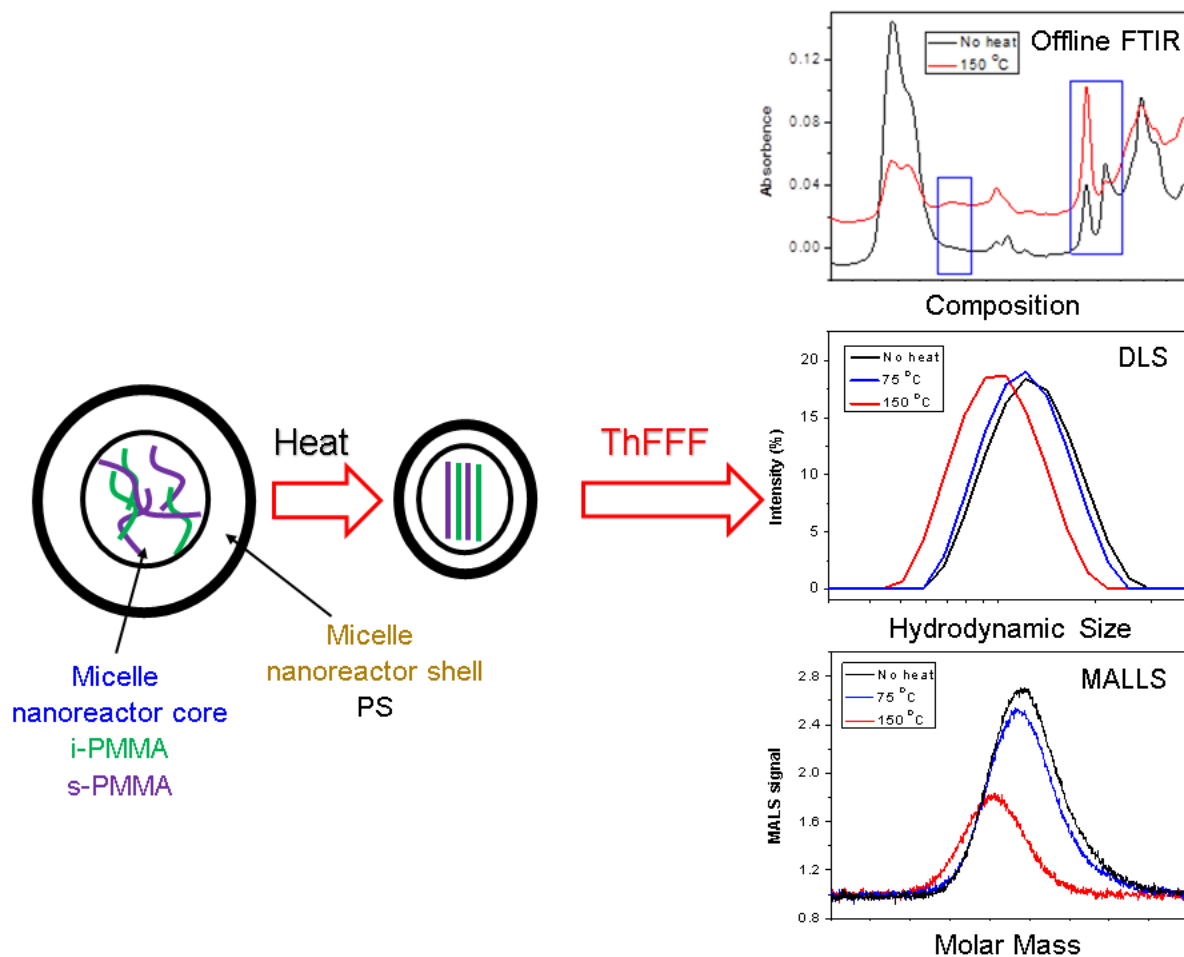


Figure 4.4. Graphical presentation of the analytical approach for the study: Stereocomplexation of polymers in micelle nanoreactors as studied by multiple detection thermal field-flow fractionation.

The primary confirmation for the solubility of the MNRs is obtained from the data “quality reports” and “expert advice” generated in the results sheets of all size measurements. “Good” quality is reported throughout as expected for soluble and homogeneous solutions without any “sedimentation” and such scenario is not

Results and discussion


typical for cases involving precipitation. Another confirmation for solubility is obtained from the fact that unimodality of the size distributions is consistently measured, more so within the nanometer range, where any precipitation would be rather expected to be in the micrometer size range. It is expected that the surface chemistry of all the MNRs is similar, being composed of PS shells. Therefore, any elution trends of these MNRs should strictly be based on their sizes, as is demonstrated in this work and illustrated in Figure 4.4.

The associated article and supplementary information for this study are presented as follows.

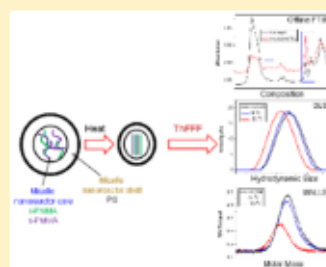
Stereocomplexation of Polymers in Micelle Nanoreactors As Studied by Multiple Detection Thermal Field-Flow Fractionation

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 Supporting Information

ABSTRACT: In this study the thermally induced in situ stereocomplexation (SC) of binary blends of symmetrical isotactic and syndiotactic polymethacrylates (*i*- and *s*-PMMA) inside micellar nanoreactors (MNR) with polystyrene (PS) shells is investigated using thermal field-flow fractionation (ThFFF) as a separation technique. The MNRs are prepared from three systematic binary blending ratios of pure micelles of *i*-PMMA-PS and *s*-PMMA-PS in a nonsolvent for PMMAs in order to produce mixed micelles with a binary microstructural composition of the interior PMMA cores. The SC of these stereoregular PMMA cores inside the MNRs is shown to be thermally induced as a function of annealing temperatures from room temperature up to 150 °C. This SC is initially confirmed by Fourier transform infrared spectroscopy (FTIR), whereby signal shifts are observed in the carbonyl absorption regions. These signal shifts are as a result of SC interactions between adjacent ester and alpha-methyl groups. Furthermore, temperature-dependent dynamic light scattering (DLS) results show that MNRs with more SC domains have a much more drastic reduction in size. Additional corroboration is provided by multiple detection ThFFF results which show significant differences in retentions and sizes between MNRs with stereocomplexed cores and those without. Ratios of *i*-PMMA:*s*-PMMA in the order of 1:1, 1:2, and 2:1 were investigated with all ratios exhibiting thermal annealing induced SC, of which the 1:2 ratio is shown to have the highest predisposition to SC.



INTRODUCTION

Polymer stereocomplexes are defined through stereoselective interactions between two complementing stereoregular polymers that interact and form a new entity, exhibiting new properties in comparison to the parent polymers. The main interactions are proposed to rely on stereoselective van der Waals forces. Stereocomplexes of poly(methyl methacrylate) (PMMA) homo- and blockcopolymers, poly(lactic acid) (PLA) homo- and blockcopolymers, and between D-configured PLA and L-configured peptides have been described.¹

The stereocomplexation (SC) of isotactic and syndiotactic poly(methyl methacrylate) (*i*- and *s*-PMMA) has been applied in designing novel and advanced materials such as ultrathin films, microcellular foams, hollow fiber dialyzers, thermoplastic elastomers, polymerization templates, and supramolecular nanospheres/networks. Factors such as the binary blending ratio, molar mass, solvent, concentration, annealing temperature, and complexation time are known to influence the SC.^{2–6} The SC in PMMA is in principle a result of the intricate interchain interactions of adjacent ester and α -methyl groups.^{7,8}

Although the first SC studies on PMMA were reported in the late 1950s,⁹ molecular dynamics and mechanism of formation of the SC remain ambiguous to date.^{10,11} It is known that relative to *i*-PMMA the geometrical configuration of *s*-PMMA favors more molecular interactions and the formation of closely packed chains which results in higher

glass transition temperature (T_g).¹² In general, tacticity differences are known to impact the blending compatibility and T_g of polymers. Binary blends of symmetrical *i*- and *s*-PMMA were found to undergo SC across the entire composition range as investigated by Schroeder et al.² *i*- and *s*-PMMA of different molar masses were also shown to exhibit similar trends.¹³ These results concur with earlier findings by Krause and Roman.¹⁴ However, contrasting results were reported by Bauer et al., who concluded that *i*-PMMA and *s*-PMMA binary systems were in fact immiscible. It must be noted, however, that in the case of contrasting findings different sample molar masses and analytical techniques were employed.¹⁵ A schematic representation of SC of PMMA is given in Figure 1A. While *i*-PMMA and *s*-PMMA separately exhibit random coil conformations in solution, blends of the two polymers form stereocomplexes under specific well-defined conditions.

Stereocomplexation studies of *i*- and *s*-PMMA have largely been confined to bulk characterization techniques such as X-ray diffraction, thermal analysis, and microscopy. This is mainly due to the fact that upon complex formation the samples become insoluble and precipitate. Preceding investigations have focused on homopolymer or block copolymer

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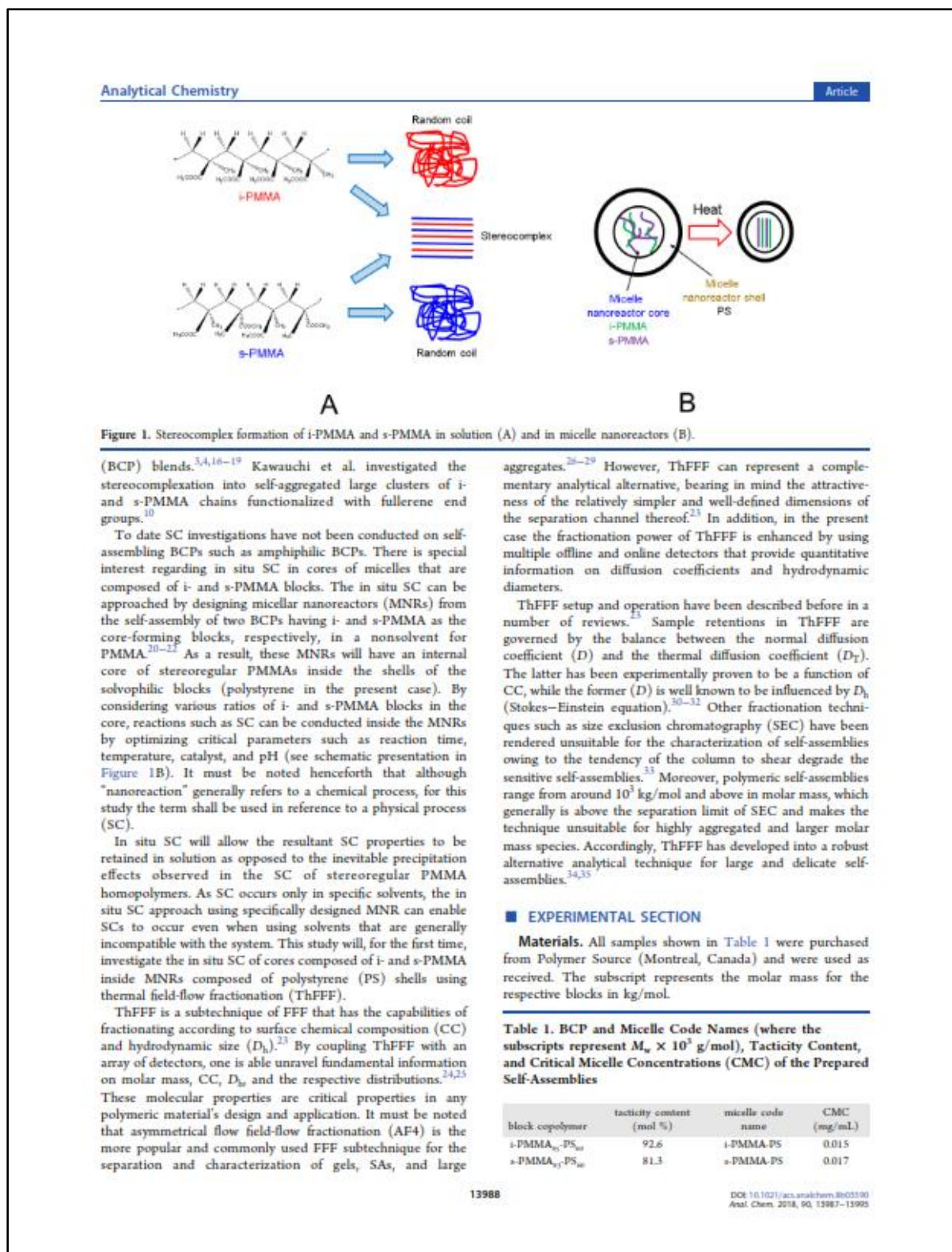


Table 2 shows MNR code names as well as the associated *i*-PMMA to *s*-PMMA ratios and nanoreactor temperatures.

Table 2. MNR Code Names, *i*-PMMA to *s*-PMMA Ratios, and Nanoreactor Temperatures

| nanoreactor code name | <i>i</i> -PMMA: <i>s</i> -PMMA ratio | nanoreactor temperature (°C) |
|-----------------------|--------------------------------------|------------------------------|
| 1.1 RT | 1:1 | room temperature (RT) |
| 1.1 T75 | 1:1 | 75 |
| 1.1 T150 | 1:1 | 150 |
| 1.2 RT | 1:2 | RT |
| 1.2 T75 | 1:2 | 75 |
| 1.2 T150 | 1:2 | 150 |
| 2.1 RT | 2:1 | RT |
| 2.1 T75 | 2:1 | 75 |
| 2.1 T150 | 2:1 | 150 |

Preparation of Micelles and MNRs. Two symmetrical BCPs, namely, *i*-PMMA-PS and *s*-PMMA-PS, were separately and directly dissolved in *p*-cymene (a nonsolvent for PMMA) at a temperature of 150 °C for 5 min. The core microstructure is shown not to significantly influence the critical micelle concentrations (CMCs) as shown by the values recorded in Table 1. The micellar solution was left for 1 week acclimatizing time. Thereafter, the MNRs were prepared from 1:1, 1:2, and 2:1 binary blending ratios of the pure micelles. Three sets of aliquots were further prepared from each of the respective ratios. One set was left at room temperature over a period of 1 week. The other two sets of aliquots were set at temperatures of 75 and 150 °C for 15 min, respectively.

ThFFF Conditions. Measurements were performed using the TF2000 instrument (Postnova Analytics, Germany) coupled in series to the detectors MALLS (PN3070, Postnova Analytics, Germany), dRI (PN 3140, Postnova Analytics, Germany), and a Zetasizer Nano series (Malvern Instruments, Worcestershire, UK) with built-in data processing software which was used for dynamic light scattering (DLS) detection at an angle of 175° (back-scattering detection). An excess of 100 μL of sample was manually injected into a 100 μL capillary sample loop to ensure capillary flooding, and each analysis was performed in triplicate. A temperature drop (ΔT) of 20 °C was applied for all fractionations, and an external chiller (Unichiller, Monitoring and Control Laboratories, South Africa) maintained a stable cold wall temperature at about ~21 °C. The carrier solvent, *p*-cymene (Sigma-Aldrich, South Africa), was pumped by an isocratic pump (PN 1130, Postnova Analytics, Germany) at a flow rate of 0.3 mL/min.

MALLS data was processed using the TF2000 software (Postnova analytics, Germany) over 7 scattering angles from the range of 35–145°. The Zimm plot was utilized to obtain molar mass (M_w) and the radius of gyration (R_g). The degree of compactness was assessed by calculating the apparent density (ρ_{app}) from the following equation; where $V(R_g)$ represents the volume of a sphere with a radius identical to R_g .^{26,36}

$$\rho_{app} = \frac{M_w}{V(R_g)}$$

The following assumptions are made regarding the separations reported herein this study. (1) ThFFF is rendered applicable for this specific sample system because the surface chemistry of the micelles and MNRs is deemed identical;³⁷

accordingly, their respective D_T values are expected to be constant.^{37,40} (2) Consequently, D becomes the only variable of concern regarding the observed ThFFF separations.

Offline DLS. Three runs were performed per measurement using a glass cuvette with an open round aperture at a temperature of 26.7 °C and using 1 mL sample volumes at respective concentrations of 2 mg/mL. Five minute equilibrium times were programmed prior to all measurements. A solvent viscosity value of 0.774 cP was used. From the DLS software (Zetasizer software 7.11), D_h was reverse calculated from the diffusion coefficient (D) in accordance with the Stokes–Einstein equation shown below, where k , T , and η represent the Boltzmann's constant, temperature, and solvent viscosity, respectively.

$$D = \frac{kT}{3\pi\eta D_h}$$

Attenuated Total Reflectance Fourier Transform Infrared Spectroscopy (ATR-FTIR). A Thermo Nicolet iS10 Spectrometer (Thermo Scientific, Waltham, MA) equipped with a diamond crystal was used for the FTIR analysis of thin films of the pure micelles and MNRs. Each sample was scanned 64 times with a spectral resolution of 8 cm⁻¹ over a range of 700–4000 cm⁻¹. Data collection and processing was performed using Scientific OMNIC software (version 8.1).

RESULTS AND DISCUSSION

In the first step of this work, BCP micelles were prepared that have a PS corona and a PMMA core. The core is composed of either *i*-PMMA or *s*-PMMA blocks that, under the conditions of preparation, are in random coil conformation. The formation of self-assemblies from the respective symmetrical BCPs is confirmed by batch-mode DLS, where size distributions typical for self-assemblies and aggregations are recorded in the range from 100 to 150 nm (Figure 2).³⁷ Both

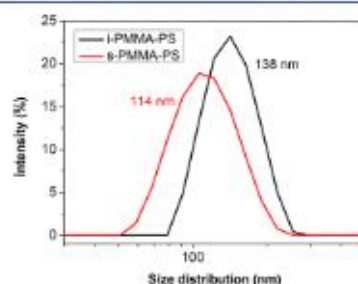


Figure 2. Z-average D_h distributions (offline) of *i*-PMMA-PS (black) and *s*-PMMA-PS (red).

distribution curves show unimodality which infers the existence of single and not multiple size distributions in solution. The self-assemblies with *i*-PMMA cores are shown to have larger D_h compared to the ones with *s*-PMMA cores.

A similar trend has previously been observed for self-assemblies prepared in a similar manner from symmetrical BCPs of polybutadiene-polystyrene BCPs with 1,2- and 1,4-polybutadiene cores, respectively.⁴¹ The observed differences in size should be emanating from variations in molecular

Results and discussion

Analytical Chemistry

Article

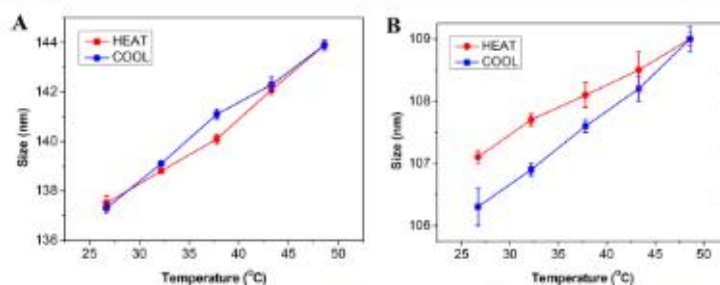


Figure 3. Z-average D_h as a function of temperature for (A) i-PMMA-PS and (B) s-PMMA-PS, where the red and blue curves represent the respective heating and cooling trajectories.

Table 3. Molar Mass (M_n), Aggregation Number (Z), Hydrodynamic Diameter (D_h), Retention Time t_R , Shape Factor (R_g/R_h), and Apparent Density (ρ_{app}) for the Pure Micelles

| sample | $M_n \times 10^3$ (kg/mol) | Z | D_h (nm) | t_R (min) | R_g/R_h | $\rho_{app} \times 10^{-4}$ (1/nm ³) |
|-----------|----------------------------|-----|-------------|-------------|-------------|--|
| i-PMMA-PS | 17.7 ± 0.6 | 295 | 137.5 ± 0.7 | 26.3 ± 0.4 | 0.80 ± 0.04 | 4.23 |
| s-PMMA-PS | 5.2 ± 0.1 | 87 | 113.5 ± 0.7 | 23.3 ± 0.1 | 0.75 ± 0.01 | 2.69 |

properties of the core forming blocks as imposed by their microstructural differences. In this context, remarkable differences exist between the glass transition temperatures (T_g) of either *i*- and *s*-PMMA or 1,2- and 1,4-PBD, and as a result significant differences in chain flexibility of the respective cores are expected. Thus, under similar experimental conditions, these self-assemblies will naturally have significant differences in their respective solvophobic–solvophilic balance index, which in turn is essential in governing the size and morphology of self-assemblies.^{37,42}

The differences in chain flexibility as imposed by the microstructural differences can be investigated by batch-mode DLS through measuring differential size changes as a function of temperature (Figure 3). As expected, i-PMMA-PS self-assemblies have a steeper slope for size change vs temperature owing to their lower T_g and thus higher chain flexibility (see Figure S1 in Supporting Information). On the other hand, s-PMMA cores in s-PMMA-PS micelles should have a higher T_g and are expected to be more compact and less flexible. Hence, the lower slope in the size vs temperature plot for s-PMMA-PS is as expected (see Figure S2 in Supporting Information).

The ThFFF analysis of the two types of micelles reveals that the higher D_h of i-PMMA-PS self-assemblies corresponds to higher molar mass and retention, as summarized in Table 3 and depicted in Figure 4. However, similar topologies are observed regardless of the microstructural differences of the cores for both self-assemblies, as similar shape factors (R_g/R_h) are recorded that are typical for spherical micelles (~0.8).^{35–37} It must be noted that Mozorov et al. have previously reported in detail that the thermal diffusion of polymers is only influenced by very ultrathin peripheral layers of their surface chemistry.⁴⁶ Therefore, microstructural differences between the cores of the two respective micelles (and later on MNRs) are expected not to affect their thermal diffusion and hence are inconsequential to the observed retention trends inside the channel.

The apparent density (ρ_{app}) of the micelles as expressed in the more appropriate form of aggregation number (Z) per unit volume can be calculated from the quotient of Z and the

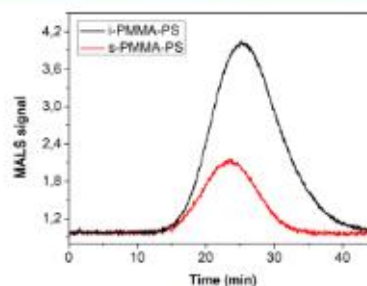


Figure 4. ThFFF fractograms for i-PMMA-PS (black) and s-PMMA-PS (red) micelles. Detector signal: MALS. Void time: 7.6 min.

associated volume as obtained from R_g . Z values are obtained from $M_w(\text{micelle})/M_w(\text{PS})$. The i-PMMA-PS micelles are shown to have the largest ρ_{app} value of the two. This characteristic may also be emanating from the fact that i-PMMA chains in the core of i-PMMA-PS micelles have a lower T_g ; thus, more intricate chain interactions are expected to be predominant, which results in more aggregation per unit volume. Thus, i-PMMA-PS micelles can be described to be the more compact of the two spherical morphologies.²⁶

Thereafter, mixed micelles were prepared from three binary blending protocols of the respective *i*- and *s*-PMMA-PS pure micelles in 1:1, 1:2, and 2:1 ratios in order to investigate the stereocomplexation (SC) of the “mixed” stereoregular cores. By triggering SC reactions of the two different PMMA components of the mixed cores inside the PS shells as a function of known SC parameters such as reaction time and temperature, the mixed micelles can essentially be considered as nanoreactors.²⁰ These MNR shall be investigated for the in situ SC of their stereoregular *i*- and *s*-PMMA mixed cores.

For SC to take place at room temperature (RT), a 7 day equilibrium time was allowed prior to analysis. FTIR spectra measured after equilibrium shows near perfect overlays for both the pure and the mixed micelles as shown in Figure 5. For

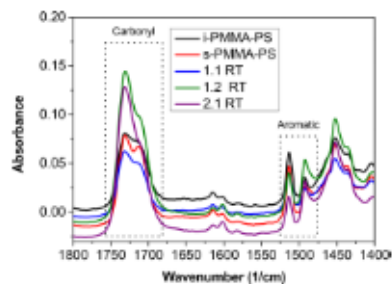


Figure 5. Superimposed ATR-FTIR absorbance spectra for the pure micelles and mixed-micelle nanoreactors (MNRs) at room temperature.

all of the samples the expected carbonyl and aromatic functionalities exhibit strong and perfectly overlaying signals at 1675–1750 and 1475–1525 cm^{-1} , respectively.⁴⁷ Therefore, no new signals or signal shifts were detected that would support the formation of SC of the mixed cores inside the nanoreactors.^{48,49}

Furthermore, batch-mode DLS and ThFFF results show no drastic changes in size and ThFFF retention time between the pure micelles and the MNRs as would be anticipated in the event of any SC (Table 4 and Figure S3 in Supporting Information). Rather, the mixed micelles are shown to generally possess the average sizes and retention times of the pure parent micelles.²⁵ The 1:2 ratio has a higher concentration of the smaller *s*-PMMA-PS micelles and thus exhibits the lowest D_h and molar mass values, while the 2:1 ratio contains more of the larger *i*-PMMA-PS micelles and hence the largest D_h and molar mass (Table 4). Accordingly, the retention of the micelles corresponds to the size trends, whereby the 2:1 ratio records the highest retention time and 1:2 the lowest. It must be generally noted that D_h results obtained by bulk DLS and ThFFF are very comparable, which highlights the reliability of the complementary use of both techniques.

As a follow up to the inability of the cores to undergo SC at RT conditions, heat was applied to the nanoreactors to provide activation energy and thermal stimulus to induce in situ SC of the cores. Thermal annealing has previously been applied in SC of stereoregular PMMAs in the bulk state.^{16,50} Temperatures of 75 and 150 °C are applied to the nanoreactors on a hot plate with vigorous agitation for 5 min. These two particular temperatures are ideal. (1) Each corresponds to the temperatures just above the T_g s for *i*- and *s*-PMMA. This approach allowed for measuring the annealing-induced SC as a function of a specific thermochemical property. (2) Annealing

temperatures are within the boiling point limits of the solvent (*p*-cymene).

The FTIR spectra of the MNRs shown in Figure 6 at the two temperature extremes, that is, at RT as lowest and 150 °C as highest, displays a remarkable decrease in the intensity of the carbonyl signals and the development of a new and broad signal around 1650 cm^{-1} . This new signal can be attributed to a signal shift of the original carbonyl signal due to the formation of SC domains from ester and methyl functionalities of *i*- and *s*-PMMA block inside the MNR core.^{5,8,11} SC in essence lowers the vibrational energy of the carbonyl groups, which explains the decrease in signal intensities and signal shifts from higher energy wavenumbers (1675–1750 cm^{-1}) to the observed lower energy wavenumber of 1650 cm^{-1} . The carbonyl signal shift is more intense for the 1:2 ratio followed by 1:1 and 2:1 ratios. Subsequently, the 1:2 ratio can be regarded as the most ideal for this particular case of SC of *i*- and *s*-PMMA inside the nanoreactors. This is in agreement with previous studies on stereoregular PMMA homopolymers.^{5,11}

Additional evidence is provided by batch DLS measurements which indicate size reduction trends as a function of temperature for all of the nanoreactors after thermal annealing (Figure 7). Moderate size reductions (~10–20 nm) occur at the transition from RT conditions to 75 °C. Much more significant size reductions between 30 and 40 nm are observed when the maximum annealing temperature (150 °C) is applied to the nanoreactors. These drastic changes in size are sufficiently significant to deduce the occurrence of thermally induced SC of the mixed cores in the nanoreactors. More intense SC-related size changes are observed at 150 °C as compared to 75 °C since 150 °C is above the T_g of both *i*- and *s*-PMMA, and thus, more intricate chain interactions are likely to happen. Second, higher temperatures are generally related to higher levels of kinetic and activation energy which enables stronger chain interactions that are required for SC.⁵⁰ As a result, SC domains are formed by the PMMA cores and the solvophobic–solvophilic balance is changed to favor smaller micelles with much more compact cores. Thus, the SC domains have the advantage of stabilizing the micelles.⁵¹

In support of the batch DLS, ThFFF analysis reveals that in addition to the decrease in D_h as a function of temperature, so are molar masses and ThFFF retention times (Table 5 and Figure 8). This is first due to the smaller D_h being expected to have lower retention times in the normal mode of elution. Second, lower aggregation numbers of the unimers and thus lower molar masses correspond to lower D_h . No topological changes as a function of temperature or blending ratios are observed as shape factor values between 0.7 and 0.8 are consistently determined.

For the *i*-PMMA to *s*-PMMA ratios in the core, the largest size changes per unit original length and the lowest final size

Table 4. Summarized Batch Mode DLS and ThFFF Results for the Pure Micelles and MNRs^a

| sample | batch D_h (nm) | $M_w \times 10^3$ (kg/mol) | D_h (nm) | t_R (min) | R_g/R_h |
|-------------------|------------------|----------------------------|-------------|-------------|-------------|
| <i>i</i> -PMMA-PS | 138.1 ± 0.2 | 17.7 ± 0.6 | 137.5 ± 0.7 | 26.3 ± 0.4 | 0.80 ± 0.04 |
| <i>s</i> -PMMA-PS | 114.0 ± 0.1 | 5.2 ± 0.1 | 113.5 ± 0.7 | 23.3 ± 0.1 | 0.75 ± 0.01 |
| 1:1 RT | 123.2 ± 0.1 | 10.3 ± 0.3 | 122.9 ± 0.8 | 25.1 ± 0.1 | 0.72 ± 0.03 |
| 1:2 RT | 117.6 ± 0.1 | 6.62 ± 0.11 | 117.0 ± 0.5 | 24.3 ± 0.2 | 0.84 ± 0.00 |
| 2:1 RT | 126.7 ± 0.2 | 12.0 ± 0.1 | 126.5 ± 0.3 | 25.2 ± 0.2 | 0.79 ± 0.02 |

^aHydrodynamic size (D_h), molar mass (M_w), retention time (t_R), and shape factor (R_g/R_h). $\Delta T = 30$ °C.

Results and discussion

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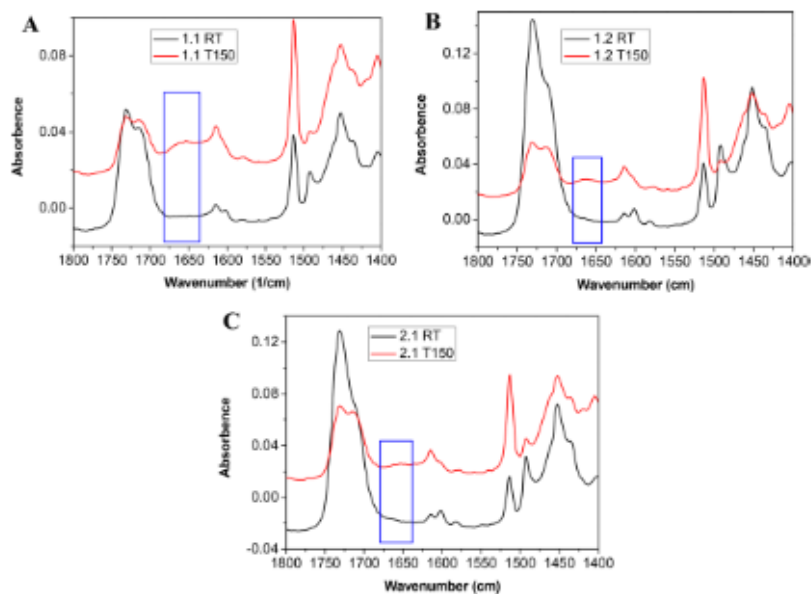


Figure 6. Superimposed ATR-FTIR absorbance spectra at RT (black) and 150 °C (red) for (A) 1:1, (B) 1:2, and (C) 2:1 mixed MNRs.

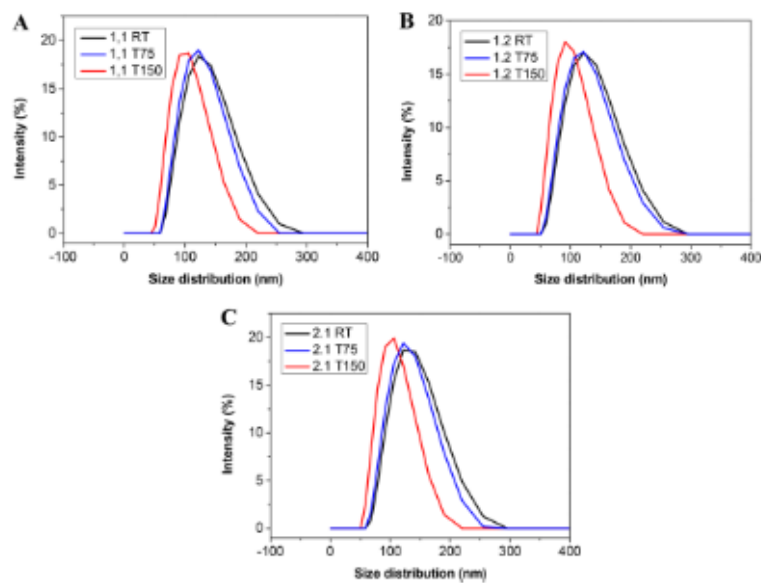


Figure 7. Superimposed Z-average D_x distribution graphs (offline) for (A) 1:1, (B) 1:2, and (C) 2:1 mixing ratios MNRs at room temperature (black), 75 °C (blue), and 150 °C (red).

values for the MNRs after SC are observed in the ratio order of 1:2, 1:1, and 2:1, as summarized in Table 6 and depicted in

Figure S4 of the Supporting Information. This trend may be attributed to the fact that the 1:2 and 1:1 ratios in particular

13992

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Results and discussion

Analytical Chemistry

Article

Table 5. Summarized ThFFF Results for the MNRs for a Range of Annealing Temperatures^a

| sample | $M_w \times 10^5$ (kg/mol) | D_h (nm) | t_R (min) | R_z/R_h |
|----------|-------------------------------|-------------|-------------|-------------|
| 1.1 RT | 10.3 ± 0.3 | 122.9 ± 0.8 | 25.1 ± 0.1 | 0.77 ± 0.03 |
| 1.1 T75 | 6.88 ± 0.01 | 115.6 ± 0.1 | 24.4 ± 0.1 | 0.70 ± 0.05 |
| 1.1 T150 | 4.71 ± 0.04 | 94.5 ± 0.4 | 21.2 ± 0.1 | 0.72 ± 0.03 |
| 1.2 RT | 6.62 ± 0.11 | 117.0 ± 0.5 | 24.3 ± 0.2 | 0.84 ± 0.00 |
| 1.2 T75 | 4.89 ± 0.08 | 109.0 ± 0.2 | 23.3 ± 0.1 | 0.76 ± 0.06 |
| 1.2 T150 | 3.07 ± 0.11 | 87.9 ± 0.1 | 20.5 ± 0.1 | 0.77 ± 0.03 |
| 2.1 RT | 12.0 ± 0.1 | 126.5 ± 0.3 | 25.2 ± 0.2 | 0.79 ± 0.02 |
| 2.1 T75 | 8.25 ± 0.12 | 121.1 ± 0.6 | 24.8 ± 0.1 | 0.81 ± 0.01 |
| 2.1 T150 | 6.00 ± 0.08 | 99.5 ± 0.5 | 23.0 ± 0.3 | 0.74 ± 0.03 |

^aMolar mass (M_w), hydrodynamic size (D_h), retention time (t_R), and shape factor (R_z/R_h). $\Delta T = 30$ °C.

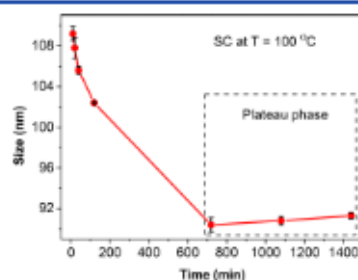
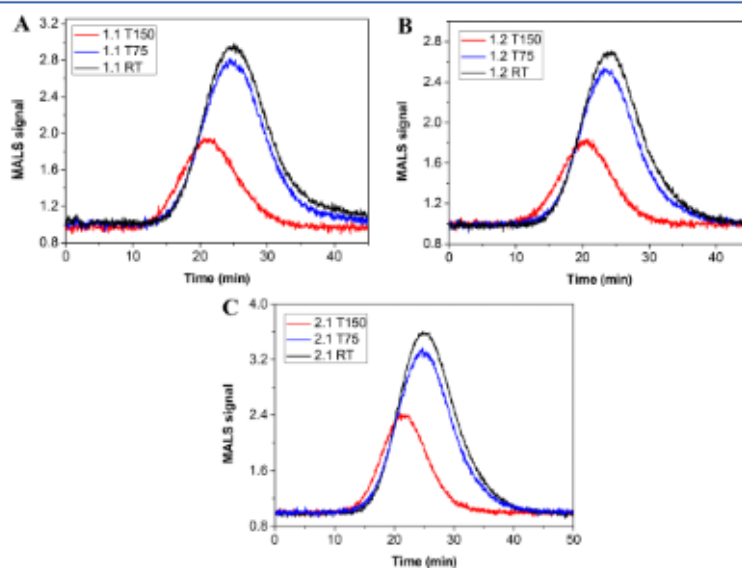
have previously been shown to be ideal for the SC of *i*- and *s*-PMMA, compared to other ratios.^{3–5,11,17} Most importantly, the $\Delta \rho_{app}$ percentage for the 1:2 ratio is observed to be the highest of the three with a value almost double that of the respective 1:1 and 2:1 ratios. The $\Delta \rho_{app}$ percentage values of the latter and former ratios are seen to be comparable. As such, the 1:2 ratio can be regarded as the most ideal one for generating the most compact MNRs from the SC conditions under consideration.

Kinetics of the Stereocomplexation. In the quest to understand the effect of time on SC, kinetic studies were performed by measuring size changes over time for a specific annealing temperature. The mixing ratio of 1:1 was chosen for mixing the pure micelles to form MNRs, and thermal annealing was carried out at a temperature of 100 °C. Such a temperature is expected to be high enough to induce substantial changes in

Table 6. Percentage Change in Size (D_h and M_w) and Apparent Density (ρ_{app}) from Room Temperature to 150 °C for the MNRs

| sample range | ΔD_h (%) | ΔM_w (%) | $\Delta \rho_{app}$ (%) |
|-----------------|------------------|------------------|-------------------------|
| 1.1 RT-1.1 T150 | 23.1 | 54.3 | 23.3 |
| 1.2 RT-1.2 T150 | 25.0 | 58.2 | 42.1 |
| 2.1 RT-2.1 T150 | 21.3 | 50.0 | 24.8 |

size and still remain low enough to avoid thermal degradation over a reasonable experimental time frame. DLS measurements were then performed in different intervals until no significant changes in size were observable as shown in Figure 9.

**Figure 9.** Z-average D_h change as a function of time for MNRs produced from a 1:1 ratio of the pure micelles at an annealing temperature of 100 °C.**Figure 8.** Superimposed ThFFF-MALS graphs for (A) 1:1, (B) 1:2, and (C) 2:1 mixing ratios MNRs at room temperature (black), 75 °C (blue), and 150 °C (red). Void time: 7.6 min

13993

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Analytical Chemistry

Article

The size changes follow a rather linear pattern from 10 to 40 min, and beyond this interval the trend begins to follow a dipping trajectory which eventually plateaus after 720 min. This signifies that significant size changes per unit time as induced by SC (annealing temperature 100 °C) are obtained in the first 40 min. The plateau phase suggests that the limit for thermally induced SC has been reached as governed by the temperature-induced mobility and energy of adjacent chains.

CONCLUSION

Block copolymer (BCP) self-assemblies are shown to be potentially capable of providing complementary protocols in designing thermally driven nanoreactions for the in situ stereocomplexation (SC) of stereoregular PMMAs within the core microphase, as characterized by a combination of multiple detection ThFFF (to provide fractionation) and DLS and FTIR (to provide size and composition information, respectively). These nanoreactions are shown to provide alternative pathways in micelle design and modification, where microstructural differences of the PMMA cores are also shown to affect compactness, thermal stability, degree of elasticity, molar mass (hence aggregation number), and hydrodynamic size of the resultant micelles.

Moreover, precipitation effects associated with SCs are circumvented, and as such, properties related to SC can generally be retained in solution. On that same note, SCs can thus potentially become achievable in solvents where such phenomena are typically not feasible via the in situ approach within nanoreactors.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.analchem.8b03590.

Supplementary DLS results and other fractograms for all ThFFF separations (PDF)

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Notes

The authors declare no competing financial interest.

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Analytical Chemistry

Article

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Results and discussion

Stereocomplexation of Polymers in Micelle Nanoreactors as Studied by Multiple Detection Thermal Field - Flow Fractionation.

Upenyu L. Muza, Guillaume Greyling, Harald Pasch

Supplementary Information:

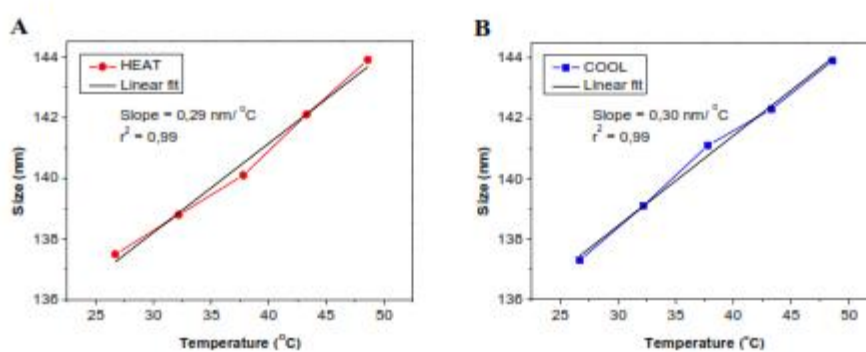
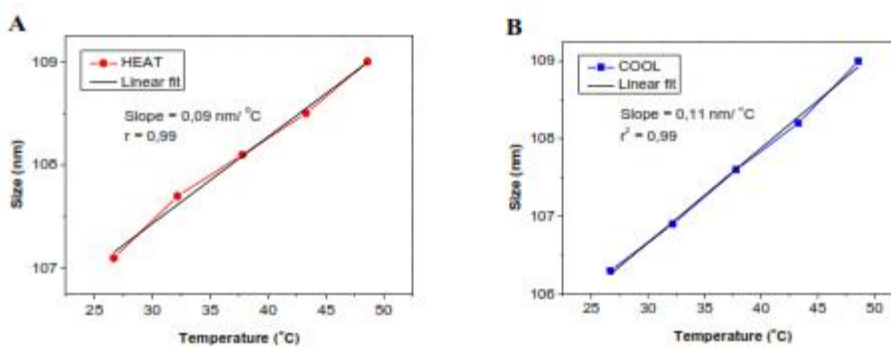


Figure S1. Z-average D_h as a function of temperature for i-PMMA-PS where red and blue curves represent the respective heating and cooling trajectories. Linear fit for (A) heating curve and (B) cooling curve.



Results and discussion

Figure S2. Z-average D_h distribution as a function of temperature for s-PMMA-PS where red and blue curves represent the respective heating and cooling trajectories. Linear fit for (A) heating curve and (B) cooling curve.

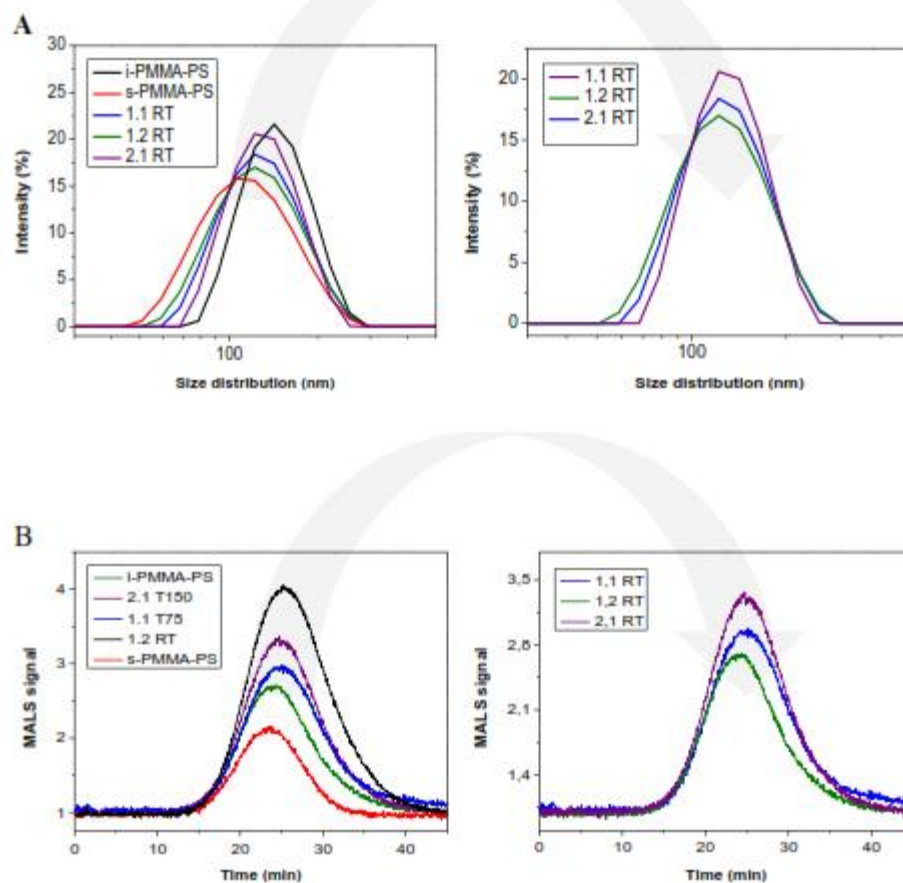


Figure S3. (A) Superimposed Z-average D_h distribution graphs and (B) ThFFF fractograms for the pure micelles and MNRs at room temperature. Detector: MALS. Void time: 7.6 min

Results and discussion

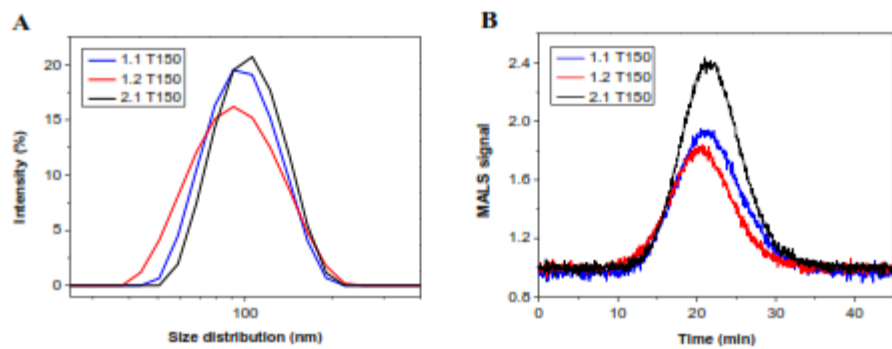


Figure S4. Superimposed (A) size distribution graphs and (B) ThFFF-MALS graphs for (A) 1:1, (B) 1:2 mixing ratios. Detector: MALS. Void time: 7.6 min

Results and discussion

4.3 Thermal field-flow fractionation with quintuple detection for the comprehensive analysis of complex polymers (Part 3).

The so called tripled detection (TD) approach is presented for the first time for ThFFF, with the additional and complimentary support from two other detectors in the form of ultraviolet (UV) and dynamic light scattering (DLS), to formulate a unique quintuple detection (QD) system for the characterization of complex polymers. This ThFFF-QD system has the following coupling of detectors in series, UV, multiangle light scattering (MALS), differential refractive index (dRI) and DLS, with the differential viscometer (dVis) connected parallel to the dRI. The method development of ThFFF-QD is achieved using star, linear, protonated (PSH) and deuterated (PSD) polystyrenes (PS) as model compounds. Figure 4.5 illustrates a graphical presentation of the analytical method thereof.

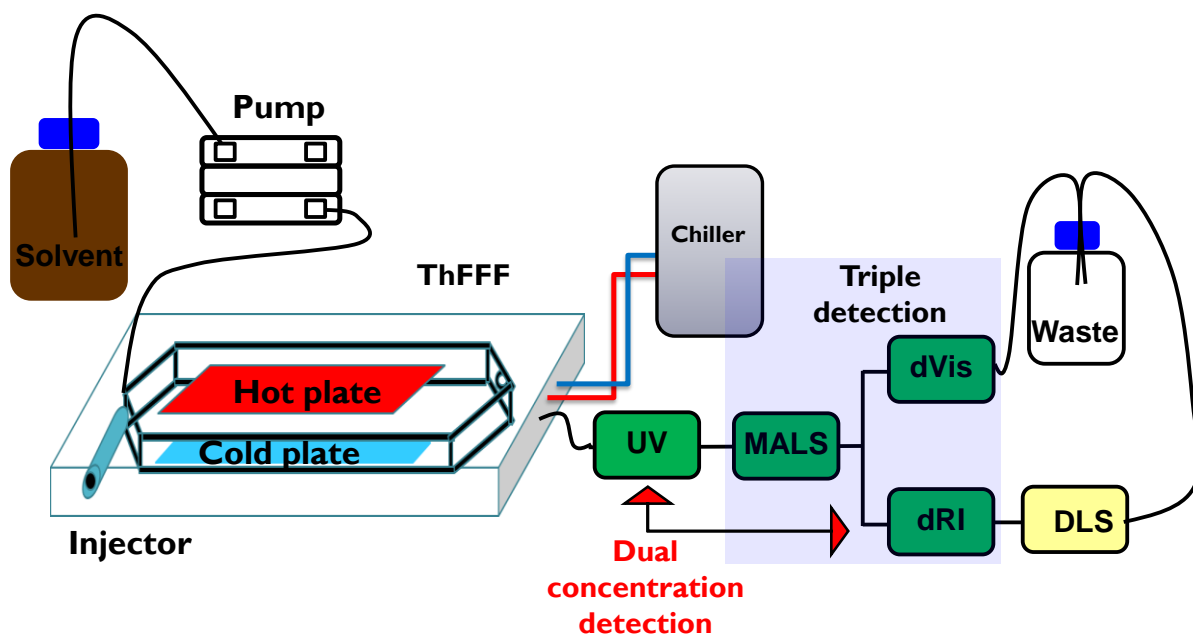


Figure 4.5. Graphical presentation for the study: Thermal field-flow fractionation with quintuple detection for the comprehensive analysis of complex polymers.

Results and discussion

Comparable and complimentary information regarding size, structure and molar mass (M_w) is concurrently obtained, thereby enabling a comprehensive analysis plus a self-validation protocol. Comparable values of coefficients of diffusion (D) and thermal diffusion (D_T) are obtained from the respective size values measured from dVis and DLS, respectively. As expected, size exclusion chromatography (SEC) of the isotopic PSs having similar molar masses produces co-elution, however, the alternative ThFFF separations provide higher resolution. As expected, all the calculated D_T s are comparable. However, significant resolution is observed for the separation of isotopic PSs, and such resolution cannot be explained by the inherent minor size differences.

On the other hand, significant differences in intrinsic viscosities (IVs) exist between the isotopic PS analogues, and notably the IVs share similar trends as a function of elution. To explain the elution trends, ThFFF can be hypothesized to exhibit sensitivity to gravimetric/density differences of the isotopic PS analogues. In the extended application of ThFFF-QD for the characterization of block copolymer (BCP) self-assemblies (SAs), the IVs and their respective distributions are shown to be measurable. In a novel approach, complimentary structural information from the online analysis of SAs is elucidated from the Mark-Houwink (MH) and conformation plots, respectively. The prowess of the strategic five detector combinations is illustrated in Figure 4.6.

Results and discussion

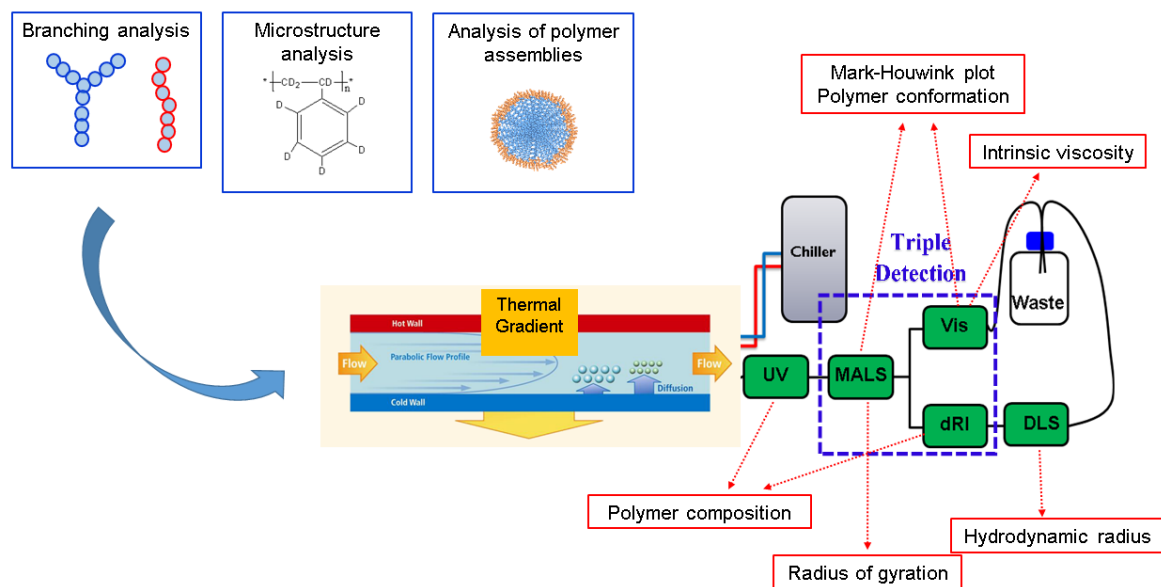


Figure 4.6. Schematic presentation of a ThFFF system equipped with five information-rich detectors for the fractionation and analysis of complex polymers.

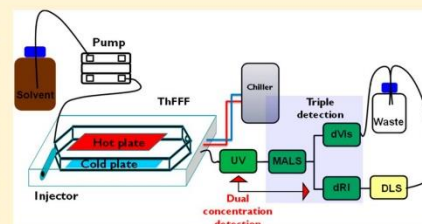
The utility of MH and conformation plots allows for the reliable derivation of polymer structure in solution as a function of M_w . The respective slopes of these plots yield valuable structural information. In a good solvent, random linear coils, hyperbranched and hard spheres are known to have slope values of 0.55, 0.3-0.5 and 0.33 for the conformation plot, and 0.7, 0.3-0.5 and 0 for the MH plot, respectively. Moreover, for 1.4-PBd-PS in heptane, the SA of the solvophobic PS to form core microphases within the solvophilic 1.4-PBd nanoshells is applied in order to derive UV detection calibrations of the inherently insoluble PS. This enables compositional analysis of such nanocarrier systems by applying the principles of dual concentration detection, where dRI permits total concentration detection of the entire 1.4-PBd-PS entity, whilst UV detection at a wavelength of 254 nm is only sensitive to PS.

Thermal Field-Flow Fractionation with Quintuple Detection for the Comprehensive Analysis of Complex Polymers

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ABSTRACT: With a constantly increasing complexity of macromolecular structures, advanced polymer analysis faces new challenges with regard to the comprehensive analysis of these structures. Today it goes without saying that comprehensive polymer analysis requires selective and robust fractionation methods in combination with a set of information-rich detectors. Thermal field-flow fractionation (ThFFF) has proven to be a powerful technique for the fractionation of complex polymers as well as polymer assemblies. In the present study, ThFFF is coupled to a set of five detectors to simultaneously provide quantitative information on a number of important molecular parameters, including molar mass, molecular size, chemical composition, molecular topology, intrinsic viscosity, and normal and thermal diffusion coefficients. The five-detector setup includes a triple detector device (multiangle light scattering (MALS), differential refractive index (dRI), and differential viscometer (dVis)) that is coupled to an ultraviolet (UV) detector for dual concentration detection and an online dynamic light scattering (DLS) detector. Triple detection consisting of MALS, dRI, and dVis provides information on molar mass, molecular size, and molecular topology. Dual concentration detection offers compositional analysis from a combination of UV and dRI detectors, whereas DLS provides information on diffusion coefficients and hydrodynamic radii. The power of this novel quintuple detector ThFFF (ThFFF-QD) is documented for three important fields of application, namely, the comprehensive analysis of (1) linear and star-shaped polymers, (2) hydrogenated and deuterated polymers, and (3) block copolymer self-assemblies. These applications highlight the novel approach of determining the most relevant molecular parameters, including Mark–Houwink and conformation plots, simultaneously in a single experiment.



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The physical properties of complex polymeric materials are primarily a function of the integral molecular properties, including molar mass, chemical composition, microstructure, and molecular topology.¹ These molecular properties typically exhibit distributions, and therefore, the application of batch-mode analytical techniques to comprehensively characterize such complexity is rather limited. Batch-mode techniques only yield average values regarding specific molecular parameters.² Selective fractionation methods that are sequentially coupled to multiple detection devices enable a more accurate acquisition of crucial information regarding multiple molecular parameters and their distributions.^{1–3}

Column-based methods such as size-exclusion chromatography (SEC) have classically been applied to conduct fractionations of polymers with molar masses (M_w) < 10⁴ kg/mol. Difficulties arise when it comes to higher M_w (>10⁴ kg/mol) and delicate structures such as self-assemblies (SAs), gels, and large aggregates, where interactions with the column may result in the shear degradation.⁴ The advent of field-flow fractionation (FFF) as a complementary technique has provided a less-harsh channel-based platform to circumvent such drawbacks. The channel is void of any packing material and is thus essential for the separation of larger and more delicate materials.^{5–8} To date, FFF has successfully been applied for the

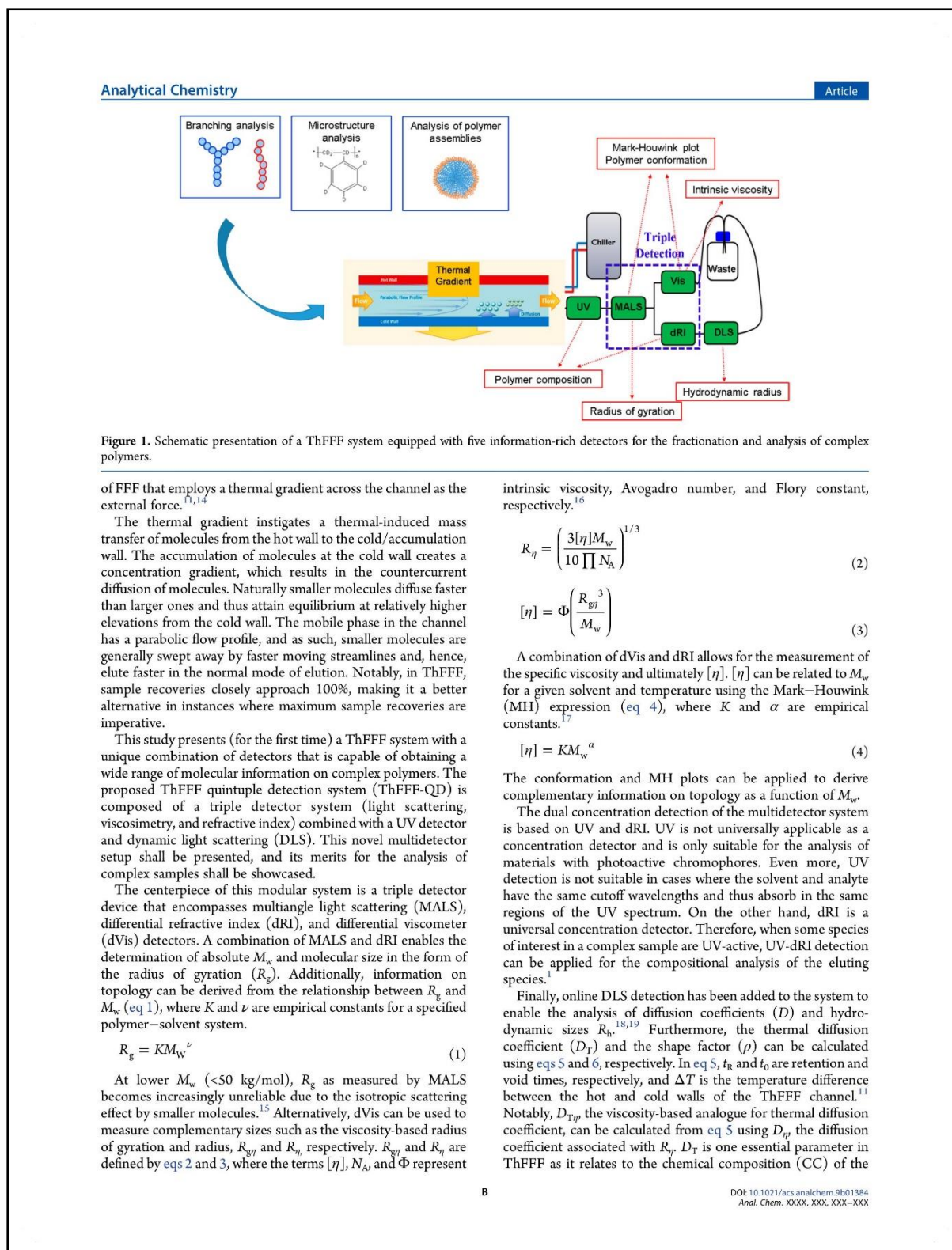
separation and characterization of gels, SAs, and large aggregates.^{9,10}

FFF separations are analogous to chromatography in that the fundamental working principles are flow-based. In contrast to column-based fractionations, an empty channel (with no stationary phase) is used.¹⁰ The flow drives the injected sample through the channel where fractionations are achieved by applying an external field perpendicular to the flow profile.¹¹ The external field fundamentally causes a differential displacement of the sample across the channel based on (but not limited to) hydrodynamic size (D_h), density, charge, or chemical composition.¹¹ The characteristic laminar flow inside the channel allows for faster elution of sample components at the center of the flow profile. Sample components distributed closer to the channel walls elute last in what is referred to as the normal mode of elution.^{12,13} After the fractionation in the FFF channel, an array of detectors can be implemented for a successive detection of relevant molecular parameters and their distributions. For this present study, separations shall be performed using thermal field-flow fractionation (ThFFF), a subtechnique

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analyte.^{14,20–22} This gives ThFFF an advantage over other FFF techniques in that the separations are a function of both D_h and CC.

$$D_T = \frac{6Df_R}{\Delta T t_0} \quad (5)$$

$$\rho = \frac{R_g}{R_h} \quad (6)$$

It is the idea of the present work to create a modular instrument system that is capable of addressing different tasks in the fractionation and analysis of complex polymers. Using ThFFF as the fundamental fractionation device, multiple information-rich online detectors shall provide specific and selective structural information. The principal idea of this system together with a number of proposed applications is presented in Figure 1.

The addition of an online viscometer (dVis) to other information-rich detectors enables the online measurement of absolute M_w , CCs, R_h , viscosity-based sizes ($R_{g\eta}$ and R_{η}), and $[\eta]$ for complex polymers and ultimately for SAs. $[\eta]$ and the respective sizes can subsequently be used to derive information on molecular density, shape, and structure of SAs as well as their respective distributions.^{23–25} In general, online viscometry is applied in polymer analysis when investigating branching and branching distributions.^{26–29} By conceptualizing the aggregation number (Z) as a form of branching, SAs can in principle be regarded as pseudobranched materials. To some point their solution properties can be expected to exhibit parallel trends with branched materials. The characterization of branching allows for the study and understanding of the correlation between molecular parameters and the associated mechanical, rheological, and viscoelastic properties.^{30,31}

In this study, the proposed novel ThFFF-UV-dRI-MALS-dVis-DLS multiple detection represents an unprecedented advanced system for highly detailed and comprehensive analysis of (but not limited to) block copolymer SAs in a single measurement. To illustrate the versatility of such a system, multitopological and isotopic polystyrenes (PSs), respectively, are fractionated and analyzed. SAs prepared from 1,4-polybutadiene-*block*-polystyrene (1,4-PBd-PS) shall be used as the model to demonstrate the wealth of molecular information that can be obtained by ThFFF-QD.

EXPERIMENTAL SECTION

Materials. The polymers described in Table 1 were used in this study.

The subscripts represent the M_w of the polymers in kg/mol as provided by the suppliers. PS250 kDa and PS102 kDa were supplied by Postnova, Germany, and TSK Toyo Soda MFG,

Table 1. Description of Polymer Samples Used

| sample | description | code name | dispersity (M_w/M_n) |
|---|-----------------|------------|--------------------------|
| PS ₂₅₀ | linear | PS250 kDa | 2.50 |
| PS _{97.6} | 3-arm star | PS98 kDa | 1.07 |
| PSH ₁₀₂ | 100% protonated | PSH102 kDa | 1.02 |
| PSD ₁₁₁ | 100% deuterated | PSD111 kDa | 1.02 |
| PSH ₂₀₀ | 100% protonated | PSH200 kDa | 1.01 |
| PSD ₂₁₁ | 100% deuterated | PSD211 kDa | 1.06 |
| 1,4-PBd ₃₅ -PS ₃₄ | BCP | 1,4-PBd-PS | 1.20 |

Japan, respectively. All deuterated homopolymers were obtained from PSS, Germany, and the block copolymers (BCPs) were purchased from Polymer Source, Canada. All materials were used as received.

Preparation of SAs. The 1,4-PBd-PS BCPs were directly dissolved at 80 °C in heptane, a selective solvent for the 1,4-PBd block. Consequently, the resultant SAs were assigned to have PS cores and 1,4-PBd shells.

ThFFF Conditions. All measurements were carried out using the TF2000 instrument (Postnova Analytics, Landsberg, Germany) coupled to the following detectors: UV (PN 3212 at 254 nm), MALLS (PN3070), dVis (PN3310), and dRI (PN 3140), all from Postnova Analytics, Landsberg, Germany. A Zetasizer Nano series (Malvern Instruments, Worcestershire, U.K.) with built-in data-processing software was used for DLS detection at a back-scattering detection angle of 175°. Sample volume in excess of 100 μ L was manually injected into a 100 μ L capillary sample loop to ensure complete capillary flooding. For statistical purposes, each analysis was performed in triplicate. Respective temperature gradients (ΔT) of 80 and 30 °C per channel thickness of 127 μ m were applied for the fractionation of homopolymers and SAs, respectively. An externally controlled chiller (Uber Unichiller, Monitoring and Control Laboratories, South Africa) was used to maintain an isothermal and stable cold wall temperature at ~22 and 26 °C, for an applied ΔT of 30 and 80 °C, respectively. For specific experiments outlined in text, the following carrier solvents were pumped by an isocratic pump (PN 1130, Landsberg, Postnova Analytics) at a flow rate of 0.5 mL/min: tetrahydrofuran (THF), cyclohexane (CH), and heptane (all from Sigma-Aldrich, South Africa).

SEC Analysis. Comparative SEC studies were carried out using a Waters GPC comprising the following components: Waters 2487 Dual λ UV detector, Waters 1515 Isocratic HPLC pump, Waters 410 Differential refractometer (at a temperature of 30 °C), Waters 717 plus autosampler, Waters In-Line degasser. The column setup was as follows: two PLgel 5 μ m Mixed-C columns and a PLgel 5 μ m guard column; column temperature 30 °C; and eluent system, THF (HPLC-grade, BHT-stabilized) at a flow rate of 1 mL/min at pressure of 941 psi. The concentration of samples is 2 mg/mL. Calibration was done with narrow polystyrene standards with a molar mass range of 580–3 187 000 g/mol.

RESULTS AND DISCUSSION

This section is categorized into four chronological subsections, so as to systematically highlight the proficiency of ThFFF-QD in complex polymer analysis. The first subsection describes the inaugural method development process for ThFFF-QD, and the merits of triple detection and DLS are explained using PS samples of different M_w and topologies.

ThFFF-QD Method Development. ThFFF is applied as the separation technique for linear and star PS, and the results are summarized in Table 2 and Figure 2. The samples are chemically homogeneous; therefore, t_R is purely size-based, although linear analogues have been shown to undergo more size changes as a function of temperature.³² From triple detection and DLS, absolute M_w , R_g , $R_{g\eta}$, R_{η} , and R_h are obtained. R_g and R_h measurements from light scattering, which are based on the hard sphere size model, are juxtaposed with the respective $R_{g\eta}$ and R_{η} analogues measured from dVis using the viscosity size model, and the results are found to be comparable.

The logic of combining two intrinsically different size-measuring models allows for (1) a self-compensating analytical

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Anal. Chem. XXXX, XXX, XXX–XXX

Table 2. Triple Detector ThFFF Results for Linear PS250 kDa and 3-Arm Star PS98 kDa

| sample | $[\eta]$ (dL/g) | M_w (kg/mol) | t_R (min) | R_g (nm) | R_{gH} (nm) | R_h (nm) | R_q (nm) | $D \times 10^{-7}$ (cm ² /s) | $D_H \times 10^{-7}$ (cm ² /s) |
|-----------|-----------------|----------------|-------------|------------|---------------|------------|------------|---|---|
| PS250 kDa | 0.817 ± 0.06 | 235 ± 4 | 16.6 ± 0.1 | 24.6 ± 0.1 | 19.8 ± 0.2 | 15.2 ± 0.3 | 15.1 ± 0.3 | 0.299 ± 0.003 | 0.301 ± 0.002 |
| PS98 kDa | 0.426 ± 0.02 | 102 ± 1 | 10.1 ± 0.1 | 8.9 ± 0.1 | 11.5 ± 0.1 | 8.9 ± 0.2 | 8.8 ± 0.1 | 0.511 ± 0.002 | 0.517 ± 0.001 |

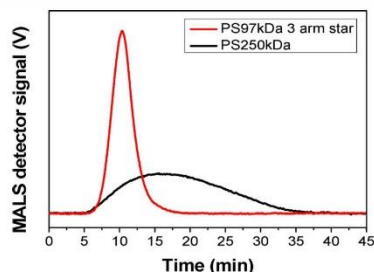


Figure 2. Superimposed MALS fractograms for linear PS250 kDa and 3-arm star PS98 kDa polymers.

system that is ideal when one of the two size models becomes unreliable and (2) a self-validating protocol where duplicate results from different techniques are a reflection of precision and reliability.

Fundamentally, $[\eta]$ measurements are shown to be reproducible using ThFFF. Therefore, MH plots can be generated to describe the topology of species separated by ThFFF. The MH plots for branched and linear PS show α values comparable to literature for similar materials (Figure 3).^{16,33,34} PS250 kDa has a higher α value of 0.71 as expected for linear chains, compared to 0.41 for the star PS98 kDa. This indicates (as expected) that linear polymeric entities exhibit stronger changes in $[\eta]$ with molar mass when compared to their branched alternatives and, therefore, result in less-dense and less-compact polymer coils in solution.^{24,26} The additional significance of coupling dVis to ThFFF shall be explained in the next subsection on the separation of isotopic forms of PS.

Separation of Isotopic PS. Protonated and deuterated polystyrenes (PSH and PSD, respectively) have similar chemical compositions but definite differences in gravimetric properties. PSD is the “heavier” alternative due to the presence of deuterium instead of hydrogen.³⁵ Insignificant size differences are known to exist between PSD and PSH, and as such, routine size-based separations are not feasible for these isotopes. To highlight this

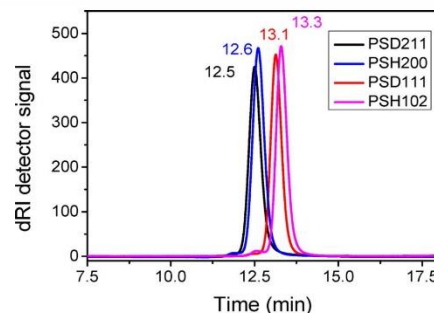
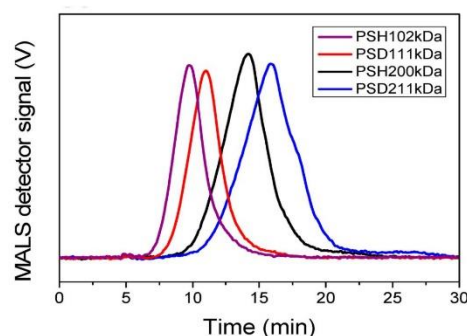


Figure 4. Superimposed SEC-dRI fractograms for isotopic PS analogues in THF.

Figure 5. Superimposed ThFFF-MALS fractograms for isotopic PS analogues in THF (thermodynamic good solvent), $t_0 = 5.10$ min.

assertion, a comparative study for the separation of isotopic PS analogues was performed using SEC as a size-based separation

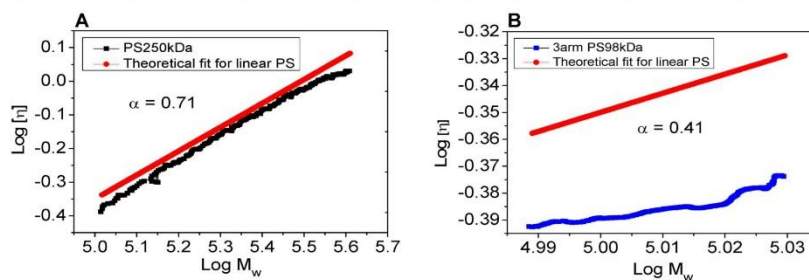


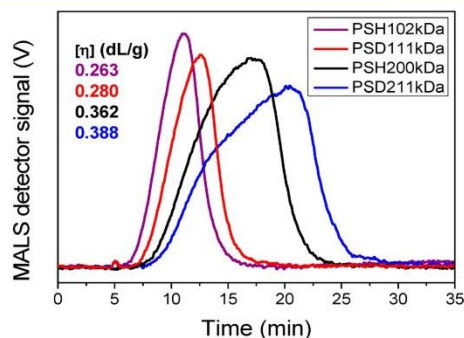
Figure 3. Mark-Houwink plots for (A) linear PS250 kDa and (B) 3-arm star PS98 kDa polymers.

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Anal. Chem. XXXX, XXX, XXX–XXX

Table 3. ThFFF Results for Isotopic PS Analogues (PSD and PSH) Using THF (Thermodynamically Good Solvent)

| sample | M_w (kg/mol) | t_R (min) | $[\eta]$ (dL/g) | R_g (nm) | $R_{g,app}$ (nm) | R_h (nm) | R_h (nm) | $D \times 10^{-7}$ (cm ² /s) | $D_p \times 10^{-7}$ (cm ² /s) | $D_T \times 10^{-7}$ (cm ² /s K) | $D_{hy} \times 10^{-7}$ (cm ² /s K) |
|------------|----------------|-------------|-----------------|------------|------------------|------------|------------|---|---|---|--|
| PSH102 kDa | 101 ± 1 | 9.81 ± 0.0 | 0.440 ± 0.01 | 13.7 ± 0.2 | 11.4 ± 0.1 | 9.2 ± 0.2 | 8.8 ± 0.2 | 0.52 ± 0.01 | 0.016 ± 0.02 | 0.016 ± 0.02 | 0.017 ± 0.01 |
| PSD111 kDa | 116 ± 1 | 11.1 ± 0.1 | 0.502 ± 0.01 | 15.9 ± 0.3 | 12.8 ± 0.1 | 10.3 ± 0.4 | 9.9 ± 0.1 | 0.46 ± 0.02 | 0.016 ± 0.02 | 0.017 ± 0.01 | 0.017 ± 0.01 |
| PSH200 kDa | 195 ± 4 | 14.3 ± 0.1 | 0.730 ± 0.02 | 20.9 ± 0.4 | 17.1 ± 0.2 | 13.5 ± 0.1 | 13.1 ± 0.1 | 0.34 ± 0.01 | 0.017 ± 0.01 | 0.017 ± 0.01 | 0.017 ± 0.01 |
| PSD211 kDa | 214 ± 2 | 16.0 ± 0.0 | 0.808 ± 0.00 | 21.5 ± 0.2 | 18.4 ± 0.1 | 14.9 ± 0.1 | 14.1 ± 0.1 | 0.32 ± 0.01 | 0.017 ± 0.01 | 0.017 ± 0.01 | 0.017 ± 0.01 |

Figure 6. Superimposed ThFFF-MALS fractograms and the respective color coded $[\eta]$ for isotopic PS analogues in cyclohexane (theta solvent), $t_0 = 5.12$ min.

method.³⁶ As expected, comparable retention times for PSD and PSH were observed (Figure 4).

In contrast, the application of ThFFF yields unexpected improved resolution, as evident in the overlaid MALS fractograms in Figure 5. Such resolutions cannot emanate exclusively from the minute size differences between the isotopic PS analogues (Table 3), and as expected, the size results recorded from triple detection and DLS are comparable. Subsequently, the respective D and calculated D_T values are also similar for both isotopic analogues. Therefore, the generic reasoning for ThFFF separations solely based on D and D_T becomes limited in this regard. On the other hand, the triple detection aspect of the ThFFF system incorporates $[\eta]$ as fundamentally important extra information, which may help to explain the observed elution trends.

An inspection of the respective $[\eta]$ and t_R values acknowledges a similar trend. Therefore, from such primary evidence, a gravimetric/density sensitivity of ThFFF can be assumed. To further consolidate such an assumption, ThFFF was performed using a theta solvent for PSH, cyclohexane (CH). The utility of a theta solvent ensures the determination of molecular properties in unperturbed dimensions. Once again, the measured $[\eta]$ and t_R are shown to have similar trends as previously seen with the use of THF, a thermodynamic good solvent for PS (Figure 6).

The consolidated utility of the techniques presented herein is cumulatively shown to be critical in comprehensively defining the microstructure and associated ThFFF elution trends of a complex polymer system. In the following subsections, the application of ThFFF-QD for the analysis of SAs is highlighted. All three concepts of the analytical system ascribed to ThFFF-QD are systematically applied for the comprehensive analysis of SAs.

ThFFF-QD of Polymer Self-Assemblies. The ThFFF of 1,4-PbD-PS SAs in heptane is summarized in Table 4 and Figure 7. From triple detection, the normalized aggregation number (Z) can be derived from the quotient of M_w of the SA and the unimer thereof. From R_g and $[\eta]$ measurements, two fundamental M_w dependent probes into SA structure can be presented in the form of the conformation and MH plots. In a good solvent, random linear coils and hyperbranched and hard spheres are known to have ν values of 0.55, 0.3–0.5, and 0.33 for the conformation plot and α values of 0.7, 0.3–0., and 0 for the MH plot.^{16,33,37}

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Anal. Chem. XXXX, XXX, XXX–XXX

Results and discussion

Analytical Chemistry

Article

Table 4. Results for ThFFF-QD of 1,4-PBd-PS Self-Assemblies in Heptane

| sample | ρ | $[\eta]$ (dL/g) | Z | t_R (min) | R_g (nm) | R_{gy} (nm) | R_h (nm) | R_f (nm) |
|------------|-----------------|------------------|--------------|----------------|----------------|----------------|----------------|----------------|
| 1,4-PBd-PS | 1.31 ± 0.08 | 0.191 ± 0.05 | 512 ± 10 | 18.9 ± 0.1 | 38.8 ± 0.8 | 44.1 ± 0.4 | 29.6 ± 1.1 | 33.8 ± 0.3 |

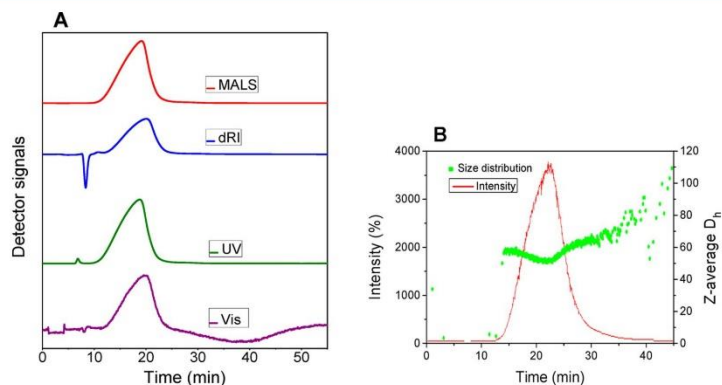
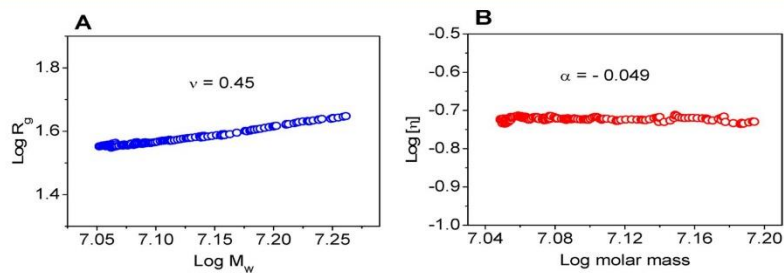
Figure 7. ThFFF-QD fractograms for 1,4-PBd-PS self-assemblies in heptane: (A) MALS (red), dRI (blue), UV (green), and dVis (violet) signals; (B) DLS fractogram, $t_0 = 7.1$ min.

Figure 8. (A) Conformation and (B) Mark-Houwink plots for 1,4-PBd-PS self-assemblies in heptane.

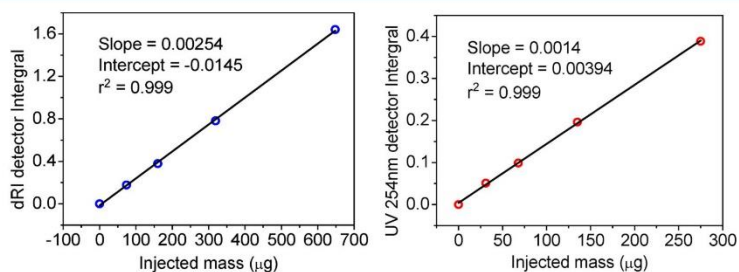


Figure 9. System calibrations using 1,4-PBd-PS SAs: dRI (A) and UV (B) detection.

Table 5. Compositional Analysis of 1,4-PBd-PS SAs Using ThFFF-UV-dRI

| sample | dRI integral | SA mass (μg) | UV integral | PS mass (μg) | PS % |
|--------|--------------------|---------------------------|--------------------|---------------------------|----------------|
| 1 | 1.3261 ± 0.002 | 527.8 ± 2.1 | 0.3300 ± 0.002 | 232.9 ± 2.3 | 44.1 ± 1.4 |
| 2 | 0.459 ± 0.002 | 186.4 ± 2.9 | 0.1218 ± 0.003 | 84.2 ± 1.6 | 45.2 ± 1.7 |
| 3 | 1.0173 ± 0.003 | 406.2 ± 1.8 | 0.2674 ± 0.002 | 188.2 ± 1.6 | 46.3 ± 1.1 |

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Anal. Chem. XXXX, XXX, XXX–XXX

Only with the present ThFFF method and the five-detector setup is it possible to obtain the MH and conformation plots (Figure 8) as well as all the parameters given in Table 4. From the conformation plot in Figure 8A, the ν value of 0.45 describes a species with properties that are the average of a sphere and a random coiled polymer.^{38–41} This information alone is inconclusive. Supporting Information is obtained from the MH plot, where α has a value close to zero. This would normally infer the existence of a hard sphere.

However, aggregations and cross-linked polymeric nanoparticles have also been shown to exhibit the same trait, where the characteristic M_w independent behavior of $[\eta]$ is observed.^{28,34} To get more explicit topological information, the ratio R_g/R_h (ρ) which is naturally independent of M_w is employed. A value of ~ 1.31 is obtained that infers the existence of hyperbranched polymers.^{16,33} Moreso, similar values have been reported for vesicle-like structures.⁴²

Compositional Analysis. In this subsection, an exceptional form of dual concentration detection is introduced for the compositional analysis of 1.4-PBd-PS SAs in heptane using ThFFF-UV-dRI. Unlike the 1.4-PBd shells, the PS cores have chromophores and are thus the only SA microphase potentially detectable by UV, while the entire 1.4-PBd-PS species is projected to be detectable by dRI.¹ Therefore, principles of compositional analysis by dual concentration detection using UV and dRI are applicable. An anomaly arises from PS being insoluble in heptane. However, from the previous subsection (Figure 7), the PS cores for 1.4-PBd-PS SAs were shown to be UV detectable. Therefore, the basic principles of self-assembling can be applied to effectively circumvent solubility challenges. In this respect, the insoluble PS cores are essentially “nanocarried” for analysis within the soluble 1.4-PBd shells. Therefore, the dual concentration detection of BCP SAs presents an unprecedented pathway for acquiring two distinct calibration curves from a single block copolymer SA sample matrix (Figure 9).

Accordingly, a concentration series of 1.4-PBd-PS SAs was prepared from simple dilutions of the bulk sample and then analyzed by ThFFF-UV-dRI, to first get a UV detector calibration curve for PS. The second calibration is from total concentration detection of 1.4-PBd-PS SAs by dRI, to permit compositional analysis as subsequently explained. Samples of 1.4-PBd-PS SAs of unknown concentrations were prepared for ThFFF-UV-dRI analysis, and the results are summarized in Table 5. From the integral of the dRI and UV detector signals, the respective total amounts of SAs and PS injected were determined from the associated linear calibration curves in Figure 9. However, due to systematic errors naturally associated with the technique, the amount of PS measured deviates moderately from the vendor stipulations.

CONCLUSIONS

Quintuple detector ThFFF has been presented as a new powerful method combination to obtain quantitative molecular information on complex polymers and polymer self-assemblies. In a single experiment, a multitude of molecular parameters can be analyzed from the simultaneously obtained signals of five information-rich detectors. These include information on molar mass, radius of gyration, intrinsic viscosity, and Mark–Houwink and conformation plots from the combination of dRI, MALS, and dVis. The chemical composition of eluting species can be analyzed by dual concentration detection using dRI and UV. Additional information on hydrodynamic radii and diffusion coefficients can be obtained from online DLS, and shape factors

of polymer self-assemblies are accessible from MALS and DLS data.

The present approach is universal and can be applied to polymers with different chemical compositions, microstructures, and molecular architectures as well as to polymer assemblies including micelles, vesicles, and microgels. The analysis of polymer nanocomposites can be considered as one future application.

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Results and discussion

Analytical Chemistry

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Conclusions and recommendations

Chapter 5. Conclusions and recommendations

5.1 Conclusions

The work presented herein has not only showcased the successful utility of ThFFF as a competent alternative to classical separation techniques such as SEC, but also highlights that ThFFF may be a much better technique, in particular for the separation and characterization of BCP self-assemblies. Summarised conclusions from three distinct but correlated studies alluded to in Chapter 4 are subsequently presented.

Part 1

The microstructure of core microphase domains (CMDs) is shown to be an essential molecular parameter governing solution behaviour of self-assemblies. Under investigation were two types of vesicles successfully prepared from two symmetrical BCPs of PBd-PS, with either 1.2- or 1.4-PBd, respectively. Using a combination of batch mode ^1H NMR, DLS, and ThFFF as a separation technique, core microstructure was shown to impact on M_w , aggregation number and size of the resultant vesicles. Thus, the potential application of microstructural differences for providing designed BCP vesicles is demonstrated. Both PBd cores (1.2- or 1.4-PBd) are shown to be uncharacteristically visible in the ^1H NMR spectrum owing to the very low T_g and less compact PBd chains as compared to PS cores. This allows for the detection of chain mobility. Temperature dependent DLS was applied to elucidate crystallinity and elasticity of the PBd cores by measuring size change as function of temperature. Size differences of the vesicles (as imposed by microstructural differences of the cores) were used to explain the ThFFF elution behaviour. Furthermore, it was established

Conclusions and recommendations

that ThFFF separations are also sensitive to topology, whereupon vesicles with PS shells and PS homopolymers of comparable D_h were shown to have different elution behaviour.

Part 2

In the second part, efforts were directed at designing a system capable of circumventing the typical precipitation effects as a result of stereocomplexation (SC) and, thus, allow for solution analysis of the resultant SC species. In this regard, PMMA-PS BCPs were utilised to design self-assemblies such that the cores were composed of the SC-active block (PMMA), and the shells were composed of a stabilising block (PS). Thermal energy was applied for the SC nanoreactions within the micelle cores. A combination of multiple detection ThFFF (to provide fractionation), DLS and FTIR (to provide size and composition information, respectively), were applied to monitor the SC nanoreactions. These nanoreactions are shown to provide alternative pathways in micelle design and modification, where microstructural differences of the PMMA cores are also shown to affect compactness, thermal stability, degree of elasticity, molar mass (hence aggregation number) and hydrodynamic size of the resultant SC species.

Part 3

The last section summarizes results derived from the unique utility of a five-detector combination to analyse ThFFF separations. The five-detector combination was strategically applied to design a system of three fundamentally different macromolecular analytical techniques. The following three techniques; DLS, dual

Conclusions and recommendations

concentration detection and triple detection, were applied to derive a wealth of information on size, diffusion coefficient, thermal diffusion coefficient, absolute M_w , aggregation number, intrinsic viscosity, chemical composition, and structure of BCP self-assemblies and nanocarrier systems. Notably, hydrogenated and deuterated PS isotopes were used for the method development process, whereupon uncharacteristically higher resolution was shown for the ThFFF separations. Such resolution was explainable from the intrinsic viscosities, which showcased similar trends with the retention times. As such, ThFFF was concluded to exhibit gravimetric and density sensitivities.

5.2 Recommendations

The introduction of ThFFF-QD allows for detailed analysis of short and long chain branching for high molar mass and complex polymeric entities such as dendrimers, which may be difficult to analyse using column-based techniques. In addition, the morphological evolution of SAs into large aggregates and gels, and the differentiation of such complexities can be studied by ThFFF-QD.

To date, ThFFF separations have been successfully investigated with online FTIR and triple detection, respectively. As such, a combination of FTIR and triple detection presents an unexplored dimension with multiple vantage points for future work on the characterization of branched polymers.

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Chapter 6. References

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