Genetic Resistance to Mycobacterium tuberculosis Infection and Disease

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Natural history studies of tuberculosis (TB) have revealed a spectrum of clinical outcomes after exposure to Mycobacterium tuberculosis, the cause of TB. Not all individuals exposed to the bacterium will become diseased and depending on the infection pressure, many will remain infection-free. Intriguingly, complete resistance to infection is observed in some individuals (termed resisters) after intense, continuing M. tuberculosis exposure. After successful infection, the majority of individuals will develop latent TB infection (LTBI). This infection state is currently (and perhaps imperfectly) defined by the presence of a positive tuberculin skin test (TST) and/or interferon gamma release assay (IGRA), but no detectable clinical disease symptoms. The majority of healthy individuals with LTBI are resistant to clinical TB, indicating that infection is remarkably well-contained in these non-progressors. The remaining 5–15% of LTBI positive individuals will progress to active TB. Epidemiological investigations have indicated that the host genetic component contributes to these infection and disease phenotypes, influencing both susceptibility and resistance. Elucidating these genetic correlates is therefore a priority as it may translate to new interventions to prevent, diagnose or treat TB. The most successful approaches in resistance/susceptibility investigation have focused on specific infection and disease phenotypes and the resister phenotype may hold the key to the discovery of actionable genetic variants in TB infection and disease. This review will not only discuss lessons from epidemiological studies, but will also focus on the contribution of epidemiology and functional genetics to human genetic resistance to M. tuberculosis infection and disease.

Keywords: host genetics, resistance, tuberculosis, resisters, susceptibility

INTRODUCTION

Tuberculosis (TB), caused by the human pathogen Mycobacterium tuberculosis, was the leading cause of death due to a single infectious agent in 2016, resulting in 1.6 million deaths (1). The bacterium is spread through the air by droplet nuclei containing M. tuberculosis from the lungs of individuals with active disease to the respiratory tract of uninfected individuals (2). Infection by M. tuberculosis is a complex, multistage process progressing from the first encounter with the bacterium (Figure 1). For this reason a multistep course of disease has to be imagined (5).
Figure 1 | A simplified representation of the Mycobacterium tuberculosis infection spectrum and outcomes. The bacteria enter the respiratory system of the host via inhaled droplets and are engulfed by macrophages and dendritic cells. There are four potential outcomes after bacterial inhalation: (i) *M. tuberculosis* is immediately eliminated by the pulmonary immune system, (ii) the bacteria are contained in granulomas by recruited adaptive immune cells (including T cells and B cells) and infection does not progress to active TB. Although this containment can last for a lifetime, *M. tuberculosis* can also disseminate from granulomas (reactivation) or reinfection with another mycobacterial strain can occur, resulting in active TB, (iii) sub-clinical disease characterized by intermittent symptoms and periodic infectiousness, or (iv) infection develops into active TB. Adapted from Pai et al. (3) and Möller et al. (4).

After inhalation, the droplet nuclei move to the alveoli where the bacteria are phagocytosed by alveolar macrophages and dendritic cells. The phagocytosis of the bacterium invokes a strong host cellular immune response and a cascade of events is triggered that involves cytokines and chemokines (2). Not all individuals exposed to the bacterium will become infected and depending on the infection pressure, many will remain free of infection. In infected individuals the bacteria will begin to replicate in the intracellular environment and migrate to lymph nodes in the lung through the lymphatic system (6). In the first 2–8 weeks after infection, cell-mediated immunity will develop (7) and conversion to tuberculin reactivity takes place (6). To limit the spread and replication of the bacteria, granulomas are formed by activated T lymphocytes and macrophages. The majority of individuals will remain asymptomatic and contain the bacterium, and enter a stage termed latent TB infection (LTBI). Remarkably, it is estimated that ~25% of the global population was latently infected with *M. tuberculosis* in 2014 (8). At this stage the immune system can contain the infection, but if it fails, the infection may progress to active disease (7). Only 5-15% of immunocompetent LTBI positive individuals will progress to clinical TB (8). In these cases, the bacteria continue to replicate and disease symptoms will start to appear. Common symptoms of TB include persistent coughing, fever, coughing of blood, night sweats, weight loss, and chest pain. Diagnosis of pulmonary TB is possible through smear microscopy, bacterial culture of sputum or GeneXpert (9).

LTBI is at present inferred from measures of acquired anti-mycobacterial immunity, such as a tuberculin skin test (TST) and/or interferon gamma release assay (IGRA). The TST was the original gold standard for LTBI diagnosis (10). A delayed hypersensitivity reaction to mycobacterial antigens is measured by injecting tuberculin purified protein derivative (PPD) intradermally into the forearm, followed by measuring the induration 48h later (10). A positive TST in an immunocompetent individual is defined as an induration of 10 or more millimeters in high prevalence countries. The PPD antigens are not specific to *M. tuberculosis* and may result in false positive reactions if individuals were exposed to non-tuberculous mycobacteria or immunized with *M. bovis BCG* (10).

In contrast, IGRA is a whole blood assay, which uses the specific *M. tuberculosis* antigens ESAT-6, CFP-10, & TB7.7 to stimulate antigen-specific CD4 T cells to release interferon gamma, which is then measured. Even within LTBI individuals there is a
spectrum of infection states ranging from the early elimination of infection to subclinical TB, which cannot be differentiated by TST or IGRA (11–16).

In the case of immediate bacterial clearance, or complete resistance to infection (observed in a small fraction of the population) the innate immune system will inactivate the bacteria at the site of infection without the stimulation of an acquired immune response. These individuals, recently labeled innate resisters by Simmons et al. will have continued negative TST or IGRA results despite heavy and continued exposure to M. tuberculosis and will not be at risk of clinical TB (11, 17). The resister phenotype is likely heterogeneous and could include individuals who mount a protective adaptive immune response (termed adaptive resisters) perhaps involving B cells or unconventional T cell responses during early clearance of the bacterium (17). Also of interest are those LTBI individuals who have no risk of progression to clinical TB, labeled non-progressors, possibly due to an exceptionally well-contained infection or absence of viable bacteria in the granuloma (18). The elucidation of the genetic correlates that contribute to these infection and disease resistance phenotypes is a priority as it may translate to new interventions to prevent, diagnose, or treat TB.

Genetic investigations of TB susceptibility have been ongoing for decades, but gained momentum in recent years due to the availability of improved methodological approaches and technological advances. The majority of studies made use of classical approaches employed by clinical genetics and genetic epidemiology (linkage and association studies), but these have encountered difficulties also faced by genetic investigations of other complex diseases. Three continuing challenges involve polygenicity, the definition of TB phenotypes and the collection of appropriately large study cohorts with carefully defined homogenous phenotypes (11, 19, 20). More recently functional genetic studies, including epigenetics, microRNAs and transcriptomics, have also shed light on the genetic basis of TB susceptibility (21). This review will discuss the early epidemiological evidence of genetic susceptibility to M. tuberculosis infection and disease progression, but will also focus on the contribution of genetic epidemiology and functional genetics while highlighting controversies, current research gaps, and future developments.

### EPIDEMIOLOGICAL EVIDENCE OF GENETIC SUSCEPTIBILITY TO M. TUBERCULOSIS INFECTION AND CLINICAL TB RESISTANCE

Epidemiological evidence confirms the presence of both resisters and non-progressor phenotypes in high exposure settings. Approximately 50% of close household contacts develop positive TST or IGRA tests (22–26) and there are multiple examples of homogenous high exposure and heterogeneous infection [reviewed by Verrall et al. (27)]. For example the HIV epidemic resulted in TB control failure in South African mines during the 1990s with notification results exceeding 4,000 per 100 000 person-year (28). In the midst of this perfect TB storm, 13% of HIV negative miners had TST = 0 mm responses (28). TB outbreaks onboard ships of the United States Navy yielded similar findings with 5–10% of crew members at highest risk of exposure remaining TST negative (29, 30). Likely resisters were also detected amongst nurses who were exposed to TB patients (31–34). The contribution of hereditary factors to clinical disease susceptibility was recognized even before the discovery of the bacterium, due to the observation that TB often occurred in several individuals from the same family (35). Koch’s discovery of the bacterium in 1882 meant that it would be several decades before the host genetic component would again be considered as contributing factors to disease. In 1943 a seminal study investigating TB concordance found that monozygous twins were significantly more likely to both become diseased than dizygous twins (66.7 vs. 23%) (36). The study also included relatives of the twins and found that the degree of relatedness to the TB index case determined the risk of developing active disease (36). Descriptions of the natural history of clinical TB from the era before antibiotic treatment provide valuable insights into resistance to active disease. For example, pulmonary TB in immunocompetent individuals with no antimicrobial chemotherapy was fatal in ~50% of cases, 25% of individuals remained ill with chronic TB and the remaining 25% spontaneously achieved cure (37).

In addition to these “experiments of nature,” animal models have provided important evidence for a contribution of host genetics to TB infection and progression to clinical disease. Different patterns of disease resistance after infection have been observed in guinea pigs and inbred strains of mice (38). The rabbit model was extensively used by Lurie et al. to study resistance to disease progression and clearly represented two forms of genetically controlled resistance (39–41). The so-called “resistant” rabbits survived approximately twice as long as susceptible rabbits. Susceptible rabbits developed disseminated disease, while resistant rabbits developed cavitary TB (40, 42). An additional evaluation of Lurie’s findings by Werneck-Barroso indicated that 20–40% of rabbits exposed to the bacterium did not develop disease and the majority of these did not become TST positive, even after prolonged exposure (43). This suggests that some animals had an intrinsic ability to resist natural M. tuberculosis infection and that the bacteria were eliminated without stimulating an acquired immune response (43).

No review of TB susceptibility would be complete without a discussion of the Lübeck disaster. During this tragedy, which took place from December 1929, 251 infants over a time period of 4 months were vaccinated with BCG accidentally contaminated with varying amounts of virulent M. tuberculosis [reviewed by Fox et al. (44)]. Clinical or radiological signs of TB were observed in 173 survivors, but 72 infants died from TB (44). Fox et al. pointed out three key lessons resulting from the accident. Firstly, 156 (68%) of those who had developed clinical disease, spontaneously resolved their symptoms, suggesting that newborn infants are remarkably resistant to TB. Secondly, based on available data, semiquantitative levels of M. tuberculosis contamination were inferred. At low levels of contamination, a wide range of clinical phenotypes was seen, revealing the extent of innate resistance to clinical TB. However, at high
doses of *M. tuberculosis* contamination, most babies were susceptible to disease, indicating that extreme exposure will eventually overcome host innate (genetic) resistance to disease (44). Therefore, the dose of *M. tuberculosis* is key to determine TB outcome. Lastly, two infants received vaccines with the lowest levels of contamination, but quickly progressed to disease and death, perhaps indicating that they were most susceptible to TB (44).

Isolated populations with little or no known past exposure to the TB bacterium, such as the indigenous populations of the Americas and sub-Saharan Africa, have a significantly higher TB mortality than non-indigenous populations whose ancestors had a longer mycobacterial exposure time (45–47). These observations support the “virgin soil” hypothesis, which postulates that the previous lack of exposure to a pathogen leads to hyper-susceptibility to infection, morbidity and mortality. Newer DNA evidence indicates that the *M. tuberculosis* complex was in fact already widespread around 4000 years ago in Ethiopia and TB infection was also found in western-central and western African individuals who arrived in Brazil as slaves during 1769–1830 (48, 49). The introduction of (European) TB strains possibly exacerbated by local host genetic factors and poor living conditions, contributed to the high mortality observed in these “naïve” populations (50). For example, analyses of the indigenous population of Canada, limited to the Qu’Appelle Agency located in Southeastern Saskatchewan, indicated an annual TB mortality rate of 10% in 1890 which fell to 0.2% after 40 years, but half of the population was eradicated (45). This is suggestive of a strong selection for TB resistance genes. More recent examples of the introduction of *M. tuberculosis* to underexposed populations is that of the Northern Aché of eastern Paraguay and the Yanomami Indians of the Brazilian Amazon (47, 51). Prevalence (18.2%) and infection (64.6%) rates in the Northern Aché quickly rose within 6 years of the first detected TB case (51). TST anergy, possibly reflecting reduced cell-mediated immune responses, and increased antibody responses were common in individuals with active TB from both these populations (47, 51). This could indicate that there has been no selection for TB resistance mechanisms in these groups. In contrast, the decline in TB incidence in North America and Europe during 1830–950, before the introduction of antimicrobial chemotherapy, has been ascribed to the increase of genetically determined resistance to TB due to natural selection after years of mycobacterial exposure (35, 48, 52–55). However, an estimation using Swedish fertility and mortality data, which included age-specific pulmonary TB mortality, from 1891 to 1900 indicated that changes in only the genetic make-up of the population would have been unlikely to account for the extreme decline in TB mortality (56). Although surviving individuals had a fitness advantage of 7–15% per generation compared to individuals who died, statistical calculations indicated that selection would only have reduced the frequency of rare susceptibility variants if these variants had large effects. In contrast, if rare resistance variants were in fact rare, 300 years would not have been sufficient for selection to increase the frequency of these variants to epidemiologically significant frequencies. Despite this, evidence for the role of natural selection in TB resistance is bolstered by findings from population genetic studies of the immune system which provide a context for the genetic interface between humans and mycobacteria (57–61).

**HERITABILITY, GENETIC EPIDEMIOLOGY, AND POPULATION GENETICS**

Heritability, genetic epidemiology, and population genetic studies have made significant contributions to reveal the role of human genetic variation in susceptibility to TB infection. The investigations of TST and IGRA as quantitative traits have shown high heritability for both, conditional to *M. tuberculosis* exposure (TST above 50% and for IGRA between 30 and 50%). Heritability of quantitative TST reactivity (in mm) among young healthy children exposed to an active TB case was estimated at 92% in Chile (62). In the Gambia, the heritability of TST considered as a categorical trait and quantitative IGRA reactivity in healthy twins aged 12 to 83 years was estimated at 71 and 39%, respectively (63). In Colombia evidence was detected for a major co-dominant gene explaining ~65% of TST variability (64), and in a South African familial sample, the heritability of quantitative IGRA responses was estimated to be between 43 and 58%, depending on the nature of the stimulating antigen (65). Recent data from Uganda, carefully adjusted for shared environment, also detected significant heritability of interferon gamma in response to *M. tuberculosis* culture filtrate (23–35%), ESAT6 (15–48%), and Antigen 85B (11–34%) (66).

Only a few molecular studies have investigated the genetic factors underlying *M. tuberculosis* infection resistance using TST reactivity. Candidate gene association studies have focused on TST response as a binary trait according to various thresholds (0, 5, or 10 mm) with a weak association reported for interleukin 10 (*IL10*) promoter variants (67, 68). Increased IL-10 production may contribute to the suppression of adaptive immune responses (68). A candidate gene association study of autophagy-related genes and LTBI, defined by a TST response greater than 5 mm, identified an association between a non-coding Unc-51 Like Autophagy Activating Kinase 1 gene (*ULK1*) variant and LTBI (69). A possibly associated role for ULK1 in the regulation of TNF secretion, both non-specific and *M. tuberculosis*–induced autophagy, and *M. tuberculosis* replication in monocytes was established (69). A genome-wide association study (GWAS) of TST reactivity in HIV positive individuals from Tanzania and Uganda pinpointed a polymorphism on chromosome 5q31.1 that protected against *M. tuberculosis* infection (Table 1) (80). This variant is located near the gene encoding IL-9, which is produced by mast and Th2 cells during inflammatory responses and has been associated with bronchial responsiveness, possibly linking resistance against *M. tuberculosis* infection and airway inflammation (80). A GWAS in Iceland detected associations between TST positivity (induration size not specified) and HLA class II variants (Table 1) (79). An imputed GWAS was done in 4,426 cases with a self-reported positive TST (defined as the presence of an induration) and 84 290 controls selected from more than 200 000 23andMe participants with European ancestry who completed a questionnaire on infection history.
<table>
<thead>
<tr>
<th>Population</th>
<th>Phenotype</th>
<th>Cases</th>
<th>Controls</th>
<th>Variant</th>
<th>Gene</th>
<th>Odds ratio [95% CI]</th>
<th>Reference</th>
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<td>Ghana TB</td>
<td>921</td>
<td>1740</td>
<td>–</td>
<td>rs4331426</td>
<td>Gene desert (chromosome 18)</td>
<td>1.19 [1.13–1.27]</td>
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<td>–</td>
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<td>USA</td>
<td>Extrapulmonary TB</td>
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<td>295</td>
<td>rs6071980</td>
<td>HSPA1, MAFB (intergenic, chromosome 20q12)</td>
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<td>–</td>
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<td>934</td>
<td>–</td>
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<td>115</td>
<td>rs2273061</td>
<td>JAG1</td>
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<td>(73)</td>
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<td>rs4461087</td>
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<td>5607</td>
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<td>ASAP1</td>
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<td>ASAP1</td>
<td>0.84 [0.79–0.89]</td>
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<td>Intergenic</td>
<td>0.68 [0.57–0.82]</td>
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<td>–</td>
<td>rs17590261</td>
<td>Intergenic</td>
<td>6.24 [2.38–16.33]</td>
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<td>rs6786408</td>
<td>FOXP1</td>
<td>1.47 [1.23–1.79]</td>
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<td>–</td>
<td>rs916943</td>
<td>AGMO</td>
<td>1.86 [1.33–2.6]</td>
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<td>Uganda HIV positive TB resistance</td>
<td>267</td>
<td>314</td>
<td>rs4921437</td>
<td>IL12B</td>
<td>0.37 [0.27–0.53]</td>
<td>(78)</td>
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<td>Tanzania</td>
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<td>–</td>
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<td>Iceland TST positivity</td>
<td>8162</td>
<td>277643</td>
<td>rs557011</td>
<td>Between HLA-DQA1 and HLA-DRB1</td>
<td>1.25 [1.17–1.33]</td>
<td>(79)</td>
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<td>–</td>
<td>rs9271378</td>
<td>Between HLA-DQA1 and HLA-DRB1</td>
<td>0.78 [0.73–0.84]</td>
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<td>–</td>
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<td>HLA-DQA1</td>
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<td>Uganda TST reactivity</td>
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<td>225</td>
<td>rs877566</td>
<td>IL9</td>
<td>0.27 [0.17–0.42]</td>
<td>(80)</td>
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<td>23 and Me Positive TST</td>
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<td>84290</td>
<td>rs2894257</td>
<td>HLA</td>
<td>1.36 [1.33–1.39]</td>
<td>(81)</td>
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<tr>
<td>China (Han Chinese) Pulmonary and extrapulmonary TB</td>
<td>4310</td>
<td>6386</td>
<td>rs4240897</td>
<td>MIFV2</td>
<td>0.79 [0.75–0.83]</td>
<td>(82)</td>
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<td>–</td>
<td>–</td>
<td>–</td>
<td>rs41553512</td>
<td>HLA class II</td>
<td>2.14 [1.78–2.57]</td>
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<td>–</td>
<td>–</td>
<td>rs2369497</td>
<td>RGS12</td>
<td>1.51 [1.35–1.68]</td>
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<td>Thailand Non-Beijing lineage-infected old age onset</td>
<td>182</td>
<td>489</td>
<td>rs1418425</td>
<td>CD53</td>
<td>1.74 [1.43–2.12]</td>
<td>(83)</td>
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</table>
The HLA rs2894257 variant on chromosome 6p21.32 was significantly associated with the presence of a TST induration \((p = 8.16 \times 10^{-36}, \text{OR} 1.36, 95\% \text{ CI} 1.33–1.39)\) and then after further fine mapping of the locus multiple independent associations between a history of a positive TST and HLA were detected (Table 1) (81). The HLA class II region could contribute to infection resistance by reduced presentation of \(M.\) tuberculosis antigens to T cells (79). In Uganda, a genome-wide linkage analysis (GWLA) reported suggestive, but not significant, linkage of persistent TST negativity (defined as a TST < 10 or 5 mm according to age and HIV status) with chromosomal regions 2q21-2q24 and 5p13-5q22 (84). The chromosome 2q region was subsequently investigated using an association scan in two independent cohorts from Uganda and associations were found with variants in the Zinc finger E-box-binding homeobox 2 (ZEB2) and Glycosyltransferase Like Domain Containing 1 (GTDC1) genes (85). These variants may regulate the histone deacetylase pathway, which has been implicated in infection resistance by transcriptomic investigations (discussed below) (86). Two loci were identified by GWLA in an HIV negative population from South Africa (87). TST1 was identified on chromosome 11p14 by focusing on the phenotype of TST > 0 mm vs. TST = 0 mm, and captures innate resistance to infection with \(M.\) tuberculosis. TST2 was mapped to region 5p15 and influences the intensity of TST reactivity - captured as TST induration in mm. Hence, TST2 reflects intensity of T-cell mediated anti-mycobacterial immune responses. The mapping of TST1 has been confirmed in an independent sample of different ethnic origins in France, and it was also shown that TST1 cannot be distinguished by linkage from TNF1, a locus controlling TNF production in response to BCG/IFN-gamma (88, 89).

Compared to the study of \(M.\) tuberculosis infection resistance, a larger number of investigations have been published addressing the genetic factors that protect against or predispose to developing clinical TB. Indeed, 11 TB GWAS have been done using clinical TB as phenotype (Table 1, reviewed by (20, 21)). Highlights included the identification of the 11p13 locus first identified in West Africa and replicated in Russia, Indonesia and South Africa (74, 75), a large Icelandic GWAS which identified HLA class II variants which was weakly replicated in Russia and Croatia (79) and a recent GWAS of TB resistance in HIV positive individuals from hyperendemic TB regions in Uganda and Tanzania (78). The latter study found an association with a locus at chromosome region 5q33.3. The associated variant is embedded in an H3K27A histone mark, but is also in a genomic region that includes IL12B, a gene known to underlie Mendelian susceptibility to mycobacterial disease (78).

Since the publication of previous reviews of clinical TB GWAS (20, 21), two additional studies have been completed using this study design. A three-stage replication approach was used in the Han Chinese and generated genotyping data (691 388 SNPs) for 972 TB cases and 1537 controls in the first stage (82). In the second stage, the top 45 loci were analyzed in 2278 TB cases and 2752 controls and the nine most significant variants were genotyped in 1060 TB cases and 2752 controls. Variants in three loci, namely MFN2 (rs4240897, \(p = 1.41 \times 10^{-11}\), OR 0.79, 95% CI 0.75–0.83), HLA class II (rs141553512, \(p = 7.93 \times 10^{-11}\), OR 2.14, 95% CI 1.78–2.57), and RGS12 (rs2269497, \(p = 3.37 \times 10^{-8}\), OR 1.51, 95% CI 1.35–1.68) were significantly associated with TB in a meta-analysis of the three stages (4310 cases vs. 6386 controls). These are all excellent TB candidate genes and gene expression data supported the functional significance of two of the identified variants. The rs4240897 variant regulates MFN2 expression suggesting that this variant could affect platelet count and macrophage differentiation. In addition, expression of this gene was increased in TB cases compared to controls (82). Another gene in close proximity to rs4240897 is TNFRSF8 and expression of this immune gene was lower in TB cases than controls. Signaling of the TNFRSF8/TNFRSF8 pathway enhanced interferon gamma production in response to \(M.\) bovis BCG stimulation (82). A GWAS done in Thailand relied on \(M.\) tuberculosis pathogen lineage information and identified a chromosome 1p13 association between 489 healthy controls and 182 cases with non-Beijing lineage-infected old age onset (rs1418425, \(p = 2.54 \times 10^{-5}\), OR 1.74, 95% CI 1.43–2.12) (83). The variant is located in the vicinity of the CD53 gene and expression of this leukocyte surface glycoprotein was correlated with active TB (83). In addition, the rs1418425 variant is a known cis-expression quantitative trait locus in \(M.\) tuberculosis infected dendritic cells (83).

Clearly there is very little overlap with respect to the loci detected between the individual GWAS, but it seems that replication is more likely when populations with similar genetic backgrounds are compared. This was seen for the WTI locus in West and South Africa and it is possible that the same HLA class II factors are being tagged in Icelandic and other European populations, but this is not known at this point (74, 75, 81). When GWAS data from Han Chinese and Gambians were combined in a meta-analysis, no significant associations were detected (82). Deciphering the complete genetic architecture of a complex trait requires more than a single ancestry, as was the case for skin pigmentation genes and other phenotypes (90, 91). For this reason, population genetics also has to be considered in investigations of TB resistance (92). Excess European, South Asian and East Asian ancestry protects admixed South African individuals against active TB, whereas excess African ancestry increased the risk for developing disease. These disparities in disease incidence were harnessed in a TB admixture mapping study (75, 93). The contribution of ethnicity to TB resistance may be due to selection after centuries of exposure to \(M.\) tuberculosis (as discussed in the section “Early epidemiological evidence of natural TB resistance”). This is supported by findings that individuals with diverse genetic backgrounds have different rates of TB infection and disease progression (not affected by socio economic circumstances) and the intensity of immune responses differ (35, 52–54, 59).

**FUNCTIONAL GENETICS**

The mechanisms through which genetic variation contributes to TB resistance require functional follow-up to support statistical findings of epidemiological studies. Investigations of epigenetics,
microRNAs, and other products of transcription can provide functions to these genetic variants, but can also identify novel genes and pathways involved with TB resistance (94).

**Transcriptomics**

Transcriptional profiles generated from blood cells have contributed to the elucidation of pathways involved in resistance to infection. Genome-wide transcriptional profiles from infected monocytes isolated from TST positive and persistently negative household contacts from Uganda who did not develop TB at least two years after follow-up were generated using microarrays (86). Pathways controlled by histone deacetylase were associated with resistance to *M. tuberculosis* infection and indicated that this function is vital in the early innate immune response to infection (86). Although this anti-inflammatory mechanism holds promise as a therapy, the *in vitro* findings may not extend to effects *in vivo*. The use of histone deacetylase inhibitors did not increase survival in a sepsis model (95) and histone deacetylase-related genes were also expressed in TST positive individuals (86). In a non-human primate model, a signature of 34 pre-infection transcripts could differentiate between animals that would progress to active disease or develop LTBI (96). Twelve of the upregulated transcripts were associated with interferon, cell cycle and inflammation processes. When the outcome was stratified based on 18F-fluorodeoxyglucose (FDG) positron emission tomography coupled with computed tomography (PET CT), 30 pre-infection transcripts were differentially expressed between animals with low and high FDG avidity. The differentially expressed genes did not correspond to the clinical status or lung avidity groups, but function in the same pathways related to inflammation and interferon (96). The inherent genetic ability of the host to upregulate these pathways may correspond to poor infection outcomes (96). Importantly, both studies underline that a balanced inflammatory response, regulated by the host genome, is critical to determine the outcome of infection (86, 96).

Although genome-wide transcriptomic studies of TB infection resistance are in their infancy, many studies have identified blood gene expression signatures for the classification of the TB pathogenesis stages (including the diagnosis of active TB) and monitoring of treatment efficacy (97–112). The value of these studies lies in their predictive accuracy, since TST and IGRA cannot fulfill this function (21). However, transcriptomics cannot detect those genetically determined for disease progression before the onset of the process (21). Several predictive signatures of TB risk have been developed from whole blood RNA sequencing. Recently a four-transcript signature, labeled RISK4, could predict disease progression up to 2 years before TB symptoms presented in Africa cohorts from South Africa, The Gambia and Ethiopia (113). This signature consists of two upregulated (growth arrest–specific 6 and septin 4) and two downregulated (cluster of differentiation 1C and B lymphocyte kinase) genes. A 16 gene predictive signature of TB risk was developed from whole blood RNA sequencing of adolescents and could not only identify individuals at risk of developing active TB after LTBI, but could also distinguish active disease from LTBI and other disease forms in two African populations and three validation samples (114). The sixteen genes were Ankyrin repeat domain 22, Apolipoprotein L1, basic leucine zipper ATF-like transcription factor 2, ETS Variant 7, Fc Fragment Of IgG Receptor Ia, Fc Fragment Of IgG Receptor Ib, Guanylate Binding Protein 1,2,4, and 5, Scavenger Receptor Class F Member 1, septin 4, Serpin Family G Member 1, Signal Transducer And Activator Of Transcription 1, Transporter 1, ATP Binding Cassette Subfamily B Member and TRAF-Type Zinc Finger Domain Containing 1. In HIV positive drug users with and without TB, expression of the IL-13 and autoimmune regulator genes were predictive of developing disease even 8 months before the actual diagnosis (115), but this signature was not validated in the RNA sequencing study of HIV negative adolescents (114).

To identify correlates of TB resistance using the LTBI phenotype as a proxy, network analysis was applied to a number of TB transcriptomic datasets. Here the focus was specifically on gene expression profiles of macrophages, as these cells can mount an antimicrobial response (116). IL-32 was identified as a functional marker of resistance to active TB and mediated interferon gamma vitamin D dependent antimicrobial immunity (116, 117). An *in vitro* investigation of monocytes (isolated from LTBI and active TB individuals) after *M. tuberculosis* infection indicated that IL-26 downregulation was beneficial to anti-mycobacterial activity, making it a plausible susceptibility candidate gene (94). A meta-analysis of 16 published studies identified a set of 380 genes that were differentially expressed in active TB in most investigations with interferon gamma as the most significant potential upstream regulated molecule (117).

**Epigenetics**

The contribution of epigenetic mechanisms to the regulation of inflammatory immune responses in TB is an emerging field and evaluations of the genetic regulation of transcriptomic responses can assist in revealing the biology of TB host resistance (118). Epigenetic regulation incorporates all chromosomal modifications that alter gene expression without changing the underlying coding DNA nucleotide sequence, such as DNA methylation and histone acetylation. Methylation events in monocytes and granulocytes could discriminate between TB cases and healthy LTBI controls (119).

Histone modifications have been linked to mTOR dependent regulation of glucose and glutamine metabolism in BCG-trained monocytes and macrophages, with histone H3 trimethylation of lysine 4 (H3Kme3) found to be significantly increased at the promoters of mTOR, HK2 and PFKP, while trimethylation of lysine 9 (H3Kme3) was significantly decreased (120). Histone H3 hypoacetylation, specifically at lysine 14 (H3K14ac) was associated with active pulmonary TB (121) as well as being essential for the activation of several pro-inflammatory cytokines (122, 123). Interestingly, the macrophage response to different immune challenges can result in the generation of histone marks associated with *de novo* enhancer elements (124, 125). These marks have been hypothesized to cause the epigenetic reprogramming of the macrophages leading to a stronger transcriptional response to a second stimulus (124). In addition to histone modifications, recent data suggests that DNA methylation also plays a significant role
in the reprogramming of innate immune cells and the regulation of transcriptional programs following *M. tuberculosis* infection (126).

Epigenetic modification of histone acetylation in monocyte-derived macrophages plays a significant regulatory role in *M. tuberculosis*-dependent gene expression and in the secretion of matrix metalloproteinase enzymes driving immunopathology. Modification of histone acetylases has implications for TB resistance too, based on the findings of Seshadri et al. discussed above (86). Variants in the regulatory regions of over 700 genes that were up- or downregulated after *M. tuberculosis* infection of monocyte-derived dendritic cells significantly influenced gene expression regardless of the stimulation status of cells. (127). These variants are expression quantitative trait loci (eQTL) and a subset of these (response-eQTL) were dependent on *M. tuberculosis* stimulation, indicating that epigenetic effects contribute to TB pathogenesis (126). Manipulating these regulatory mechanisms may have potential as host-directed therapy (128).

**MicroRNAs**

MicroRNAs (miRNAs) play a crucial role in TB pathogenesis (129). MiRNAs are short, non-coding RNA molecules that regulate mRNA translation and degradation and affect the function of many immune cell types (129). Used as markers, miRNAs can distinguish between active disease, LTBI or other microbial infections (130–137), and also influence TB susceptibility, specifically miR-155 and miR-223 (138–141). MiR-223 directly targeted chemotactic factors such as CXCL2, CCL3, and IL-6 to control neutrophil driven inflammation (138, 139). When miR-223 was deleted in a TB resistant mouse model these animals became extremely susceptible to TB, but the phenotype could be partly restored through the neutralization of the abovementioned chemotactic factors (138). miR-155 was highly expressed after mycobacterial infection both in vivo and in vitro (139). The induced expression of miR-155 enhanced the autophagic response in macrophages thereby stimulating mycobacterial phagosome maturation and reducing the survival rate of intracellular mycobacteria. In contrast, when miR-155 was inhibited, there was increased mycobacterial survival. The mechanism of action of miR-155 is through the targeting of Ras homolog enrich in brain (Rheb), a known negative regulator of autophagy. When miR-155 was bound to the 3’- untranslated region of Rheb, both autophagy and intracellular killing of mycobacteria were increased (139). In addition to expression analysis, genetic variants in miR-499 and miR-146a were associated with pulmonary TB susceptibility in a case-control association study (142).

**Transgenic Animals**

Although the animal models (discussed in the section “Early epidemiological evidence of natural TB resistance”) were initially used to study the natural occurrence of TB resistance, advances in molecular genetic techniques have facilitated the creation of resistant species. Transgenic cattle with a knock-in SP110 nuclear body protein (*SP110*) gene were created using transcription activator-like effector nuclease (TALEN)-mediated genome modification (143). The mouse homolog of this gene, Ipr1, was previously shown to mediate innate immunity in sst1 congenic mice and *SP110* variants were associated in some settings with human pulmonary TB (144, 145), but not others (146–149). In the transgenic cattle apoptosis instead of necrosis was activated after infection (143). *In vivo* and *in vitro* experiments indicated that these animals could control growth and proliferation of *M. bovis*. Significantly, transmission experiments using tuberculous cattle indicated that the transgenic animals were resistant to low dose *M. bovis* infection (143).

**CONTROVERSIES, CURRENT RESEARCH GAPS, AND FUTURE DEVELOPMENTS**

The four complementary theories of infectious disease propose that inter-individual variability in presentation depends on four factors, namely microbiological, ecological, immunological, and genetic (150). These elements not only intersect but are all required to dissect a complex infection phenotype such as TB resistance (150). To delineate the contribution of genetic factors will require innovative new approaches to combine available data sets to understand the resistance phenomenon, in particular in HIV-infected persons. Integrated clinical and laboratory defined phenotypes, whole genome sequencing, epigenetic and transcriptomic studies will be required to address this challenge.

The co-evolution of *M. tuberculosis* and humans has shaped host-pathogen interactions for thousands of years and has likely contributed to the diverse range of responses after infection including the phenotype of the TB resister (50, 61, 151). Host-pathogen interaction investigations are however complicated by the genetic heterogeneity of the bacterium (50). One approach is to use *M. tuberculosis* pathogen lineage information as was done for a TB GWAS in Thailand (Table 1) and several candidate gene association studies (83, 152–155). Despite the challenges, developments in this area could in future be used to design targeted vaccines and therapies directed to specific populations or individuals (50).

Several TB GWAS have interrogated resistance to disease, but most of these have been underpowered due to the extreme phenotypic heterogeneity. Meta-analyses can provide a solution to this problem and could provide insight into population-specific associations by harnessing linkage disequilibrium to fine map associations. The International TB Host Genetics Consortium has been established to collate TB GWAS data from individuals with pulmonary TB and healthy controls to do a large-scale meta-analysis (156). This large-scale approach will not be feasible to investigate persistently TST/IGRA negative individuals, since phenotyping is a costly process and requires several repeat assays to exclude those who revert and convert. Fortunately, since the TB resister phenotype is at the end of the TB susceptibility spectrum, it is possible that variants contributing to this extreme phenotype can be detected in limited sample sizes, as has been seen in investigations of HIV-infected participants who do not become infected or progress to active TB despite living in a TB endemic region (78, 86).
Finally, genome sequencing technologies, which are already used to diagnose individuals with Mendelian susceptibility to mycobacterial diseases, will deliver resistance variants not captured by microarray genotyping and imputing. Once genomes from TB resisters are available for data mining and analysis in system biology approaches—which will include transcriptomics, epigenomics, microbiomics, and other omics, we may be able to achieve prediction of individuals genetically determined as resistant.

CONCLUSION

The involvement of a human genetic component in susceptibility to infection with *M. tuberculosis* and progression to active disease is incontestable. Findings from clinical genetics, genetic epidemiology, population and functional genetics have all contributed to identify TB susceptibility genes. More intriguing is the other side of the phenotypic coin—that of resistance to either initial infection or, after infection, resistance to progression to disease. Although the phenomenon is now recognized, the exact genetic variants and mechanisms that contribute still require elucidation. The most successful approaches in resistance/susceptibility investigation have focused on specific infection and disease phenotypes and the resister phenotype may hold the key to the discovery of actionable genetic variants in TB infection and disease.

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All authors listed have made a substantial, direct and intellectual contribution to the work, and approved it for publication.

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