Impact of post-stroke impairments on activities and participation as experienced by stroke survivors in a Western Cape setting

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ABSTRACT

Introduction: This paper explores causal connections between impairments, activity limitations and participation restrictions after stroke. Understanding the impact of impairment on activities and participation can assist with determining optimal interventions.

Methods: The study population (N=267) of this descriptive study were public health care users, from the eastern sub-district of the Western Cape Metropole, who had had a stroke in 2009 or 2010. Fifty-three study participants were selected through stratified, proportional, random sampling. Data were collected using the Stroke Impact Scale-3.0 (SIS); the Modified Barthel Index (MBI); the Loewenstein Occupational Therapy Cognitive Assessment and a language screening test. Linear regressions to determine if impairments could be correlated with activity limitations and participation restrictions were done with Spearman rank correlations. T-tests were used to determine if impairments had any statistically significant impact on activities and participation.

Results: The mean SIS participation score was 31.3/100. Participation as measured by the SIS showed positive correlations with, and was impacted significantly by limb strength (r=0.49; p<0.01), visual perception (r=0.57; p<0.01), spatial perception (r=0.31; p=0.02), motor praxis (r=0.58; p<0.01), visuomotor organisation (r=0.50; p<0.01), and thinking operations (r=0.61; p<0.01). The mean MBI score was 70.58/100. MBI scores showed positive correlations with, and were impacted significantly by limb strength (r=0.78; p<0.01), hand function (r=0.65; p<0.01), visual perception (r=0.45; p<0.01), motor praxis (r=0.43; p<0.01), visuomotor organisation (r=0.48; p<0.01), and thinking operations (r=0.56; p<0.01).

Conclusion: Motor, cognitive and perceptual impairments impacted activities and participation negatively. These impairments must be diagnosed and optimally managed.

Key words: Stroke, cognition, perception, activities, participation

INTRODUCTION AND LITERATURE REVIEW

The World Health Organization’s (WHO) International Classification of Functioning, Disability and Health (ICF) was used as the study framework. According to the ICF, disability is the result of a complex nonlinear interaction between health conditions that can cause impairments (loss or change of physiological or anatomical body function or structure) which might or might not curtail the ability to perform activities and participate in social roles. Participation as defined by the ICF is “involvement in life situations”.

Thus it encompasses the things that give meaning to peoples’ lives such as employment, home making, caring for family, sports, leisure activities, spiritual involvement, management of personal affairs, and community and civic activities. Impairments, activities and participation are influenced by contextual factors which consist of environmental and personal factors. This article focuses on the impact of impairments, typically experienced post-stroke, on activities and participation.

Stroke can result in multiple impairments of varied severity as any of the body functions controlled by the central nervous system can be affected. Paralysis, sensory, perceptual and cognitive deficits can lead to a variety of activity limitations. These impairments commonly affect activities like self-care, walking, stair climbing and speech, which in turn negatively impact on home making ability, employment, leisure and sport. Post-stroke outcomes have been explored in many previous studies. South African publications have tended to focus on one of the three ICF domains such as activity limitations, or provide information on outcomes at a point in time. Google Scholar searches where the term ICF was in turn combined with the terms activities, participation and stroke outcomes yielded three South African studies that used the ICF as a framework to explore post-stroke outcomes.

According to Rhoda’s impairments of the upper and lower limb lead to activity limitations in self-care and mobility and restricted participation, in caring for families and employment. Mudzi and colleagues did not explore impairments but indicated that participants struggled with completion of single and multiple tasks such as cooking meals, doing housework and interacting with other people. They also had difficulty participating in community, leisure and recreational activities. Finally Cunningham and Rhoda found that stairs, gravel surfaces and kerbs caused participation restrictions post stroke.

None of these studies explored causal connections between the ICF domains. Assessing and understanding the impact of impairments on activities and participation are necessary to plan optimal interventions focussed on modifying these impairments. Therefore the aim of this paper is to explore causal connections between impairments, activity limitations and participation restrictions in a group of stroke survivors.
METHODS

A descriptive, mixed methods study was conducted. This paper focusses on the quantitative component related to participants' impairments, activity limitations and participation restrictions. All people living in a section of the eastern sub-district of the Western Cape Metropole Health District, who had a stroke between 1 January 2009 and 31 December 2010, and accessed public health services, formed the study population. This time frame was selected to ensure that participants would not be interviewed earlier than six months post-stroke. These first six months are considered the period of fastest recovery after a stroke.13,14

Population and population sample

In total 267 possible participants were identified from the records of public hospitals, community health care centres (CHCs) and two non-governmental organisations (NGOs) – a support group for stroke survivors and another providing home based care. Excluding stroke survivors that accessed private health care might have introduced bias since this group might be systematically different from their counterparts. However, this choice was made in the context of the larger study, because service provision was also assessed. Of these, after consultation with a statistician, 53 participants were selected through stratified, proportional, random sampling to participate in the study. The number of study participants had to be low enough to ensure that detailed data collection, including physical, speech, cognitive and perceptual evaluations, could be done within a reasonable time. On the other hand numbers had to be high enough to allow statistical analysis of data (95% CI). To ensure that participants from all communities were represented, strata were formed according to geographical sub-units in the study setting. The names of stroke survivors were placed alphabetically in each stratum and then numbered. A statistician used a computer-generated programme to draw random numbers for each stratum. The number of participants from each stratum was proportional to the total number of names in the stratum.

Data was collected in 2011 by the first author.

Measurement tools

A demographic data coding form, the Stroke Impact Scale (SIS) Version 3.0 (SIS-3)15, the Modified Barthel Index (MBI)16, the Loewenstein Occupational Therapy Cognitive Assessment (LOTCA)17 and a language screening test were used for data collection.

Stroke Impact Scale, Version 3.0 (SIS-3)15

The SIS-3 is a 58-item tool that assesses outcomes in eight domains: strength, hand function, mobility, activities of daily living, emotion, memory, communication and participation.18 The participation domain includes work (voluntary and/or paid), social activities, recreational activities (quiet (e.g. reading) and active (e.g. sport)), spiritual activities, roles in family, control over own life, and helping others. Participants are asked to record their responses on a 5-point Likert scale. Item scores are summarised to determine a score for each domain (range 0–24). A score of 100 can be obtained. Scores of 0–24 denote total dependency, 25–49 severe dependency, 50–74 moderate dependency and 75–90 little dependency.22 Scoring was done according to the guidelines by Shah et al.16 Internal consistency and validity of the MBI is well established as excellent21

The Modified Barthel Index (MBI)16

The MBI assesses 10 activities of daily living (ADL) and mobility domains: personal hygiene, bathing, feeding, toilet, stair climbing, dressing, bowel control, bladder control, ambulation and wheelchair mobility and transfers. Each item is scored numerically based on the extent of assistance the person requires. A maximum numerical score of 100 can be obtained. Scores of 0–24 denote total dependency, 25–49 severe dependency, 50–74 moderate dependency and 75–90 little dependency.22 Scoring was done according to the guidelines by Shah et al.16 Internal consistency and validity of the MBI is well established as excellent21

Loewenstein Occupational Therapy Cognitive Assessment (LOTCA)17

The LOTCA tests basic cognitive and perceptual skills needed for routine function in 21 areas of four domains i.e. orientation, visual and spatial perception, visuomotor organisation and thinking operations. The LOTCA shows excellent internal consistency with scores of 0.85, 0.87 and 0.95 for thinking operations, perception and visuomotor organisation.21 Katz et al.7 found through factor analysis that the LOTCA has suitable construct validity in the areas of perception, thinking operations and visuomotor organisation. According to Wang and colleagues the “LOTCA is capable of detecting cognitive impairment earlier and more comprehensively than the Mini–Mental State Examination (MMSE)” 24,3671. LOTCA scores were found to correlate positively with the MMSE scores (r = 0.934; p < 0.001)34.

Severe visual impairments prevented three of the participants from completing the LOTCA.

Measurement of Speech and Language

A limitation of the study was that due to time constraints it was not possible to complete a full speech and language test battery such as the Western Aphasia Battery (WAB). According to Wade23 the section of the MMSE that tests language skills is adequate for speech and language testing in certain situations. A modified version of this section of the MMSE with additional material from the WAB was used in this study. When one question is asked, as is the case in the MMSE, there can be a number of reasons why a participant could make a mistake, but if the same results are obtained with three questions, findings should be more valid. Modifications made were based on the first author’s (an occupational therapist) experience over twenty years in conducting detailed evaluations of stroke survivors.

During the test participants were asked to name three common objects, perform three commands, repeat three phrases, read and carry out three instructions, and write their name, address and the numbers 1 to 20. Participants whose dominant hand was affected were asked to attempt the writing task with their non-dominant hand and were assured that neatness did not matter. It is possible that visual impairments and or lack of education impacted on results, however, the primary author is of the opinion that material used in the LOTCA and speech and language evaluation should have been understood by most participants. Large print was used to compensate for a lack of spectacles. Participants who were blind or had never learned to read (one participant) and write (one participant) were not asked to perform the reading and writing tasks.

Recording of Vision Impairments

Participants were questioned as to whether they were experiencing any visual impairments or had previously been diagnosed with any visual impairments. Observations in terms of vision were also made by the first author while participants were completing forms or performing tests (e.g. LOTCA/Language Screening Test). Where participants reported on or appeared to be experiencing visual problems, this was recorded on the demographic data coding. A limitation of the study was that no formal vision screening was done.

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Data collection

The SIS-3 was translated into Afrikaans and isiXhosa which, together with English, form the dominant languages in the study setting. This involved both forward and backward translation. In the case of the other measures, questions were asked, or instructions given either by the first author or by a translator in the home language of the participant.

Data was collected at the participants’ homes or a central venue depending on their choice. The primary author provided transport where needed. On average, data collection took three hours per participant. Rest periods were provided.

The data were entered onto a spreadsheet and analysed by a statistician. Linear regressions to determine if impairments could be correlated with activity limitations and participation restrictions were done with Spearman rank correlations. T-tests were used to determine if impairments had any statistically significant impact on activities and participation.

Ethics

Participation in the study was voluntary and written informed consent was obtained from each participant. Participants were assured that all personal information that could divulge their identity would be kept confidential. Each participant was assigned a number which was used on the data collection tools and spread sheets. Approval for this study was obtained from the Committee for Human Research at Stellenbosch University, and permission was obtained from the Western Cape Department of Health to access records to identify people who had strokes in 2009 and 2010.

RESULTS

Demographic profile of participants

Table I provides a summary of participants’ demographic information. Their mean age was 62.56 years with the youngest participant being 29-years-old and the oldest 94.

<table>
<thead>
<tr>
<th>Age</th>
<th>Education</th>
<th>Income of family unit</th>
</tr>
</thead>
<tbody>
<tr>
<td>20–30</td>
<td>2% No formal education 11%</td>
<td>No income 6%</td>
</tr>
<tr>
<td>31–40</td>
<td>4% Grade 1–3 11%</td>
<td>R1–R2000.00 30% Female 45%</td>
</tr>
<tr>
<td>41–50</td>
<td>6% Grade 4–7 34%</td>
<td>R2001.00–R5000.00 53%</td>
</tr>
<tr>
<td>51–60</td>
<td>28% Grade 8–11 30%</td>
<td>R5000.00+ 11%</td>
</tr>
<tr>
<td>61–70</td>
<td>38% Grade 12 8%</td>
<td></td>
</tr>
<tr>
<td>71–80</td>
<td>19% Tertiary education 6%</td>
<td></td>
</tr>
<tr>
<td>80+</td>
<td>4%</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Gender</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Male 55%</td>
<td></td>
</tr>
</tbody>
</table>

The majority of participants (86%) had not passed grade 12. Three of the six participants with no formal education had taught themselves to read and write. Income was mainly generated through disability grants, pensions and money from spouses and family members living on the same property.

Impairments

Sixty-two percent of the participants suffered one stroke while 38% had had two or more strokes. Having had two or more strokes might have increased the impairments assessed in this study, but since data were collected at a point in time and no comparisons on severity of impairments were made it should not have caused bias. Due to multiple strokes, some participants were affected on both sides of the body. This resulted in 60% of participants being affected on the left side and 43% on the right side of the body. Two percent of participants reported that their limbs were unaffected.

The SIS-3 was used to evaluate impairments and Table II provides a summary of the scores. The mean score for overall recovery was 48.3/100 and the highest mean scores were obtained in the domains of communication, memory and thinking skills. Participation and hand function had the lowest mean scores.

Table II: Summary of SIS – 3 scores

<table>
<thead>
<tr>
<th></th>
<th>No problem (100)</th>
<th>Score below 50</th>
<th>Mean</th>
<th>Max</th>
<th>Min</th>
<th>SD</th>
</tr>
</thead>
<tbody>
<tr>
<td>Overall recovery</td>
<td>0%</td>
<td>70%</td>
<td>48.3</td>
<td>95</td>
<td>0</td>
<td>23</td>
</tr>
<tr>
<td>Limb strength</td>
<td>2%</td>
<td>70%</td>
<td>44.6</td>
<td>100</td>
<td>0</td>
<td>23.8</td>
</tr>
<tr>
<td>Hand function</td>
<td>6%</td>
<td>72%</td>
<td>32.9</td>
<td>100</td>
<td>0 (32% scored 0)</td>
<td></td>
</tr>
<tr>
<td>Communication</td>
<td>11%</td>
<td>17%</td>
<td>72.8</td>
<td>100</td>
<td>4</td>
<td>26.6</td>
</tr>
<tr>
<td>Memory and thinking skills</td>
<td>13%</td>
<td>23%</td>
<td>70.8</td>
<td>100</td>
<td>18</td>
<td>25.9</td>
</tr>
<tr>
<td>Emotional status</td>
<td>2%</td>
<td>49%</td>
<td>50.8</td>
<td>100</td>
<td>14</td>
<td>18.4</td>
</tr>
<tr>
<td>Activities of daily living</td>
<td>0%</td>
<td>51%</td>
<td>49.1</td>
<td>98</td>
<td>0</td>
<td>29.4</td>
</tr>
<tr>
<td>Home and community mobility</td>
<td>6%</td>
<td>49%</td>
<td>51.5</td>
<td>100</td>
<td>0</td>
<td>28.7</td>
</tr>
<tr>
<td>Participation</td>
<td>2%</td>
<td>79%</td>
<td>31.3</td>
<td>100</td>
<td>0</td>
<td>23.4</td>
</tr>
</tbody>
</table>

Results of the speech and language test, as shown in Figure 1, indicate that only a few of the participants had trouble naming objects. The biggest challenges were experienced when participants were required to repeat words, or write.

According to the LOTCA findings, visuomotor organisation, thinking skills, and attention and concentration were the most seriously affected cognitive and perceptual functions. For visuomotor organisation, which included planning, organising, spatial relationships, visual perception and construction, only 2% of the participants achieved the maximum score and 4% scored 27 out of a possible total of 28. The mean score was 15.66/28. With regard to the thinking skills component, various cognitive abilities such as abstract thinking, short term memory, problem solving, reasoning, planning, organising, sequencing, simple calculations and ability to follow instructions were tested. Participants found this challenging, with only 2% obtaining the maximum score of 31. The mean score was 16.85/31.

Figure 1: Percentage of participants who had difficulty or could not do the tasks of the speech and language test
The presence of cognitive and perceptual impairments may have hindered or prevented some participants from obtaining a disability grant. A 54 year old man who experienced difficulty with planning and slowed thought processing described his inability to collate the information required to initiate the disability grant application process. A 59 year old lady with impaired memory and thinking skills had been denied a disability grant, possibly due to the assessor for the grant not being aware of the extent of her cognitive impairments.

It is possible that cognitive impairments related to dementia, the normal ageing process and other disorders caused some bias in the results. Determining whether participants had pre-existing cognitive and perceptual impairments was beyond the scope of this study.

Visual impairments appeared to be common and (66%) of the participants reported increasing difficulty with their vision as they aged; 8% had hemianopia and 6% complained of double vision. A further 11% reported diabetic retinopathy, of whom two were virtually blind.

According to MBI scores 57% of participants were fully bowel continent, 11% were incontinent and 32% were continent some of the time, but accidents occurred. Forty-five percent of participants reported being fully bladder continent, while 11% were incontinent, the remainder, some of the time.

Activity Limitations
Activity levels were measured with the MBI and the mobility and ADL domains of the SIS-3. The mean MBI score was 70.58 and the median 78 out of a possible 100.

Table III: Modified Barthel Index Scores

<table>
<thead>
<tr>
<th>MBI score</th>
<th>Percentage of participants</th>
<th>Dependency level</th>
</tr>
</thead>
<tbody>
<tr>
<td>0–24</td>
<td>13%</td>
<td>Totally</td>
</tr>
<tr>
<td>25–49</td>
<td>8%</td>
<td>Severely</td>
</tr>
<tr>
<td>50–74</td>
<td>23%</td>
<td>Moderately</td>
</tr>
<tr>
<td>75–90</td>
<td>21%</td>
<td>Mildly</td>
</tr>
<tr>
<td>91–100</td>
<td>36%</td>
<td>Independent</td>
</tr>
</tbody>
</table>

Table III shows that 21% of the participants were totally or severely dependent and 36% were independent. Scores obtained in the various MBI domains are summarised in Figure 3, which shows that 59% of participants were independent in toileting and walking (to be classified as fully independent in walking the person must be able to walk 50m safely indoors without assistance from another person although the use of a walking assistive device is allowed). The biggest challenges were experienced with stair climbing and propelling a wheelchair (independence requires the person to propel the wheelchair independently for 50m, turn corners and get to a toilet or table).

The mean SIS-3 score for activities of daily living was 49.1 and for mobility was 51.5/100 as shown in Table II. The results of the SIS-3 show that only three participants considered themselves to be completely mobile at home and in the community. A comparison of SIS community mobility scores with vision problems showed that while not statistically significant (p = 0.3) participants with visual impairments struggled more with community mobility.

Table IV shows that limb strength, hand function and various cognitive and perceptual functions had a statistically significant (p<0.01) impact, as measured by the MBI, on performance of activities of daily living.

Table IV: Correlations between total MBI score and impairments

<table>
<thead>
<tr>
<th>Variable 1</th>
<th>Variable 2</th>
<th>r</th>
<th>p</th>
</tr>
</thead>
<tbody>
<tr>
<td>MBI total</td>
<td>Limb strength</td>
<td>0.78</td>
<td>&lt;0.01</td>
</tr>
<tr>
<td>MBI total</td>
<td>Hand function</td>
<td>0.65</td>
<td>&lt;0.01</td>
</tr>
<tr>
<td>MBI total</td>
<td>Visual perception</td>
<td>0.45</td>
<td>&lt;0.01</td>
</tr>
<tr>
<td>MBI total</td>
<td>Spatial perception</td>
<td>0.25</td>
<td>0.07</td>
</tr>
<tr>
<td>MBI total</td>
<td>Motor praxis</td>
<td>0.43</td>
<td>&lt;0.01</td>
</tr>
<tr>
<td>MBI total</td>
<td>Visuomotor organisation</td>
<td>0.48</td>
<td>&lt;0.01</td>
</tr>
<tr>
<td>MBI total</td>
<td>Thinking operations</td>
<td>0.56</td>
<td>&lt;0.01</td>
</tr>
</tbody>
</table>

Participation restrictions
The majority of participants experienced restrictions in their ability to participate in social, religious, sport, leisure and employment activities as measured by the SIS-3 (Table II). Seven point five percent of the participants scored zero in this category. Table V shows that cognitive and perceptual impairments as well as physical strength had a statistically significant negative impact on participation.

Table V: Impact of impairments on participation

<table>
<thead>
<tr>
<th>Variable 1</th>
<th>Variable 2</th>
<th>r</th>
<th>p</th>
</tr>
</thead>
<tbody>
<tr>
<td>SIS–3 Part</td>
<td>Limb strength</td>
<td>0.49</td>
<td>&lt;0.01</td>
</tr>
<tr>
<td>SIS–3 Part</td>
<td>Visual perception</td>
<td>0.57</td>
<td>&lt;0.01</td>
</tr>
<tr>
<td>SIS–3 Part</td>
<td>Spatial perception</td>
<td>0.31</td>
<td>0.02</td>
</tr>
<tr>
<td>SIS–3 Part</td>
<td>Motor praxis</td>
<td>0.58</td>
<td>&lt;0.01</td>
</tr>
<tr>
<td>SIS–3 Part</td>
<td>Visuomotor organisation</td>
<td>0.50</td>
<td>&lt;0.01</td>
</tr>
<tr>
<td>SIS–3 Part</td>
<td>Thinking operations</td>
<td>0.61</td>
<td>&lt;0.01</td>
</tr>
</tbody>
</table>

At the time of the study, 74% of the participants were unemployed and 37% of them gave the stroke as their reason for unemployment. Nineteen percent had already retired at the time.
DISCUSSION

The results of this study provide a more detailed account of the possible causal connection between impairments, activity limitations and participation restrictions of stroke survivors in a South African setting. Study participants experienced activity limitations as indicated by the mean SIS-3 ADL score of 49.1 and a mean MBI score of 70.58 (Table III). Rhoda et al., Rhoda et al., and Mudzi et al. (MBI mean: 81.5), but that mobility and self-care did cause challenges. Rhoda, Mudzi et al., Cunningham and Rhoda13 found that activities of participants in an Eastern Cape study were less seriously affected by the stroke (MBI mean: 81.5), but that mobility and self-care did cause challenges. Rhoda, Mudzi et al., Cunningham and Rhoda attributed activity limitations to poor physical function and decreased muscle strength, while Rhoda et al. did not discuss possible causes. Findings from the current study showed, through statistical analysis, that muscle strength and function did indeed affect the ability to perform activities. It was further shown that perceptual and cognitive skills played a significant role in ADL. These are factors that were seemingly not formally identified in previous South African research, are in accordance with international findings and, are recognised by many clinicians. The mean SIS-3 participation score (31.3) was much lower than for studies completed in Australia (68.3)20, the United Kingdom (59.9)21 and Brazil (50.6)19. Low levels of participation of stroke survivors in South Africa have also been described by various South African authors8.10,11. These authors pointed out challenges with mobility and environmental barriers as reasons for participation restrictions. The current study findings showed that participation was significantly negatively impacted by a combination of physical (limb strength), perceptual (visual and spatial perception, visuo-motor organisation) and cognitive (thinking skills, motor praxis) impairments. International studies similarly found that cognitive and perceptual impairments had a significant negative impact on participation.25,26,27. Spritzer et al. found that even mild cognitive impairment led to significantly lower levels of participation amongst Australians who had a stroke.

Cognitive and perceptual impairments can have devastating impacts if they are not diagnosed. They have a negative impact on activities and participation as shown in the results of the current study. Abstract thinking, short term memory, problem solving, reasoning, planning, following instructions and performing simple calculations are vital for budgeting, shopping and generally caring for the needs of the family unit, conducting personal affairs and participating in social activities. If cognitive and perceptual functions are not formally assessed people may be denied financial compensation to which they are entitled. Their disability grant application might be rejected or they might have difficulty with collating information and completing the required documentation as assessed by the SIS-3. Not identified by a combination of physical and perceptual deficits can hamper return to employment in people with little physical impairment.28,30. Taking the above facts into consideration, it is of some concern that prior to participation in the study, only one participant had been formally tested with a standardised cognitive and perceptual evaluation measure.

The evaluation and treatment of cognitive and perceptual impairments are considered to be part of the role of occupational therapists. Remediation (restoration of lost function) and adapta-

of the stroke. Eight percent had returned to full or part time employment (two full-time and two part-time). Although the majority of participants were over 60-years-of-age, 20 fell within the economically active group. Types of employment before the stroke included security work, building, plumbing, construction work, domestic work and gardening. Of the 16 participants who were housewives, one was able to continue in this role unaided after the stroke. Prior to the stroke, seven families conducted informal businesses or supplemented their income with odd jobs. None of them could continue with this after the stroke.

CONCLUSION AND RECOMMENDATIONS

This study showed that cognitive and perceptual impairments have as important an impact on activities and participation as motor function. Therefore it is essential that cognitive and perceptual impairments are diagnosed and treated, as are motor impairments. It is recommended that all stroke survivors are routinely referred for cognitive and perceptual evaluations in addition to motor and functional evaluation. In instances were cognitive and perceptual impairments are identified, the patient, family and carers should be educated about these, the problems they cause and how to manage them. The treatment of cognitive and perceptual impairments is recognised as part of the role of occupational therapists and clinically positive outcomes have been observed in this regard. However, to date the effectiveness of treatment, has not been proven through research. Thus further research on the topic is essential.

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