

1 **Estimation of groundwater recharge via percolation outputs from a rainfall**  
2 **/ runoff model for the Verlorenvlei estuarine system, west coast, South**  
3 **Africa.**

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10 system

11 **Abstract**

12 Wetlands are conservation priorities worldwide, due to their high biodiversity and productivity, but are  
13 under threat from agricultural and climate change stresses. To improve the water management practices  
14 and resource allocation in these complex systems, a modelling approach has been developed to estimate  
15 potential recharge for data poor catchments using rainfall data and basic assumptions regarding soil and  
16 aquifer properties. The Verlorenvlei estuarine lake (RAMSAR #525) on the west coast of South Africa  
17 is a data poor catchment where rainfall records have been supplemented with farmer's rainfall records.  
18 The catchment has multiple competing users. To determine the ecological reserve for the wetlands, the  
19 spatial and temporal distribution of recharge had to be well constrained using the J2000 rainfall/runoff  
20 model. The majority of rainfall occurs in the mountains ( $\pm 650$  mm/yr) and considerably less in the  
21 valley ( $\pm 280$  mm/yr). Percolation was modelled as  $\sim 3.6\%$  of rainfall in the driest parts of the catchment,  
22  $\sim 10\%$  of rainfall in the moderately wet parts of the catchment and  $\sim 8.4\%$  but up to  $28.9\%$  of rainfall in  
23 the wettest parts of the catchment. The model results are representative of rainfall and water level  
24 measurements in the catchment, and compare well with water table fluctuation technique, although

25 estimates are dissimilar to previous estimates within the catchment. This is most likely due to the daily  
26 timestep nature of the model, in comparison to other yearly average methods. These results go some  
27 way in understanding the fact that although most semi-arid catchments have very low yearly recharge  
28 estimates, they are still capable of sustaining high biodiversity levels. This demonstrates the importance  
29 of incorporating shorter term recharge event modeling for improving recharge estimates.

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## 32 **1. Introduction**

33 Wetlands are systems that are saturated either by surface or groundwater with vegetation that has  
34 adapted to periods of saturated soil conditions. These systems are regarded as one of the most productive  
35 ecosystems on earth, providing valuable functions in filtering water, collecting sediments and retarding  
36 flow during flood events (Barbier et al., 1997; Baron et al., 2002). Due to the highly productive nature  
37 of these systems, they have also been the target of often intensive agricultural development (Schuyt,  
38 2005), resulting in competition for water resources. The availability of water is further impacted by  
39 climate change (Fay et al., 2016) and high potential evapotranspiration (Přibán and Ondok, 1985),  
40 which exacerbate this competition. Whilst the amount of water needed to sustain different agricultural  
41 crops is well constrained (Allen et al., 1998), less constrained is the water needed for the ecology and  
42 biodiversity profile of natural wetlands, often termed the ecological reserve. The ecological reserve is  
43 defined by the quantity and quality of water that is required to maintain aquatic ecosystems (Hughes,  
44 2001). These maintenance conditions are identified using ecological, geomorphological, hydraulic and  
45 hydrological knowledge of each system. Usually maintenance flow requirements are set for both peak  
46 and low flow periods, during average and low rainfall years, although the survival of wetlands is  
47 critically dependent on the degree to which the ecological reserve is met during low flow, especially  
48 during drought years. During such times, baseflow from aquifers contributes the majority of the  
49 ecological reserve, and for this reason baseflow is one of the most important parameters to constrain in  
50 a wetland catchment.

51 While there are many factors that influence baseflow from aquifers, the most important and variable is  
52 the rate of groundwater recharge. Various approaches can be used to estimate recharge, but essentially  
53 they can be grouped into three methods: 1) physical, for example water table fluctuation (WTF)  
54 (Crosbie et al., 2005) or channel water budget (Rantz, 1982); 2) chemical, for example chloride mass  
55 balance (Ting et al., 1998) or applied tracers (Forrer et al., 1999); and 3) numerical, for example  
56 rainfall/runoff modelling (SWAT, Arnold *et al.*, 2000) or variably saturated flow modelling (HYDRUS:  
57 Šimůnek et al., 2012). For the physical and chemical methods, some component of climate is compared  
58 to a groundwater component, for example the comparison between precipitation volume and

59 groundwater level. This approach can also be called actual recharge, as it determines the amount of  
60 water that reaches the groundwater table (Rushton, 1997), but in doing so it neglects any processes that  
61 occur in the unsaturated zone, thereby reducing its spatial and temporal extent. However, for numerical  
62 modelling of recharge, it is not possible to neglect what is happening in the unsaturated zone, as most  
63 models require information on the physical and chemical pathways of recharge. Therefore, this type of  
64 approach is rather defined as potential recharge, which is constrained by the amount of water that has  
65 percolated through the unsaturated zone, contributing to the saturated zone (Rushton, 1997), and hence  
66 requires knowledge of the percolation rate.

67 Within numerical modelling, the percolation rate (Scanlon et al., 2002) can be modelled either by  
68 looking at variably saturated flow or rainfall/runoff partitioning. Both these methods use a water-  
69 balance to determine the percolation volume using input data, such as climate (rainfall, temperature),  
70 vegetation (interception) and biosphere (soil texture) to partition water into runoff, infiltration,  
71 evaporation and recharge. These two methods differ in their ability to simulate soil moisture. Variably  
72 saturated flow models can simulate vertical distributions of soil moisture and estimate recharge by  
73 routing water through the soil column using soil hydraulic conductivities. Many rainfall/runoff models  
74 partition infiltrated water into storages based on soil type parameters (J2000: Krause, 2001; and ACRU:  
75 Schulze, 1995) . This makes variably saturated flow more favourable for estimating recharge for  
76 detailed studies due to its ability to simulate soil moisture. However, for larger spatial scales,  
77 rainfall/runoff models are able to model representative recharge (Scanlon et al., 2002) and are therefore  
78 more commonly used in regional scale studies.

79 This study looks at evaluating how well the percolation output from a J2000 rainfall/runoff model  
80 represents actual recharge and whether this can be used as a valid recharge input to a groundwater model  
81 for a wetland catchment. The J2000 model is a distributive hydrological model that can be used to  
82 simulate various components of the hydrological cycle by calibration of parameters using streamflow,  
83 climate and rainfall data. The validation of the percolation output is done by comparison to physical  
84 rainfall and water level data in the Verlorenvlei estuarine lake, a RAMSAR Convention (#525) listed  
85 wetland on the west coast of South Africa, north of Cape Town, where the high biodiversity profile is

86 linked to the intermittent connection between fresh and salt water. The catchment is also an important  
87 agricultural area, in particular supporting 15% of the South African potato industry (Potatoes South  
88 Africa, 2015). Despite the value of the region and lake system, the catchment is relatively data poor,  
89 partly because of a lack of operating gauging stations, and in spite of ongoing agricultural monitoring.  
90 At present, it is not sufficient to allow groundwater abstraction rates to be in equilibrium with recharge  
91 estimates, as this does not consider the requirements of the ecological reserve. Therefore, a groundwater  
92 model is needed to assess permissible abstraction rates, of which large spatial (catchment) and high  
93 temporal (daily) estimates of recharge are needed. Data poor catchments are a common feature across  
94 much of Africa, and this method may provide a mechanism for establishing sustainable groundwater  
95 management in other data scarce regions, particularly those that are also semi-arid to arid.

## 96 **2. Environmental Setting**

97 The Verlorenvlei catchment makes up the southern part of the Sandveld, a sub-region along the south-  
98 western coastline of South Africa, where the soils are particularly sandy. The catchment consists of the  
99 Piketberg Mountains in the east, which form the highest topographic elevation (1446m) and the eastern  
100 boundary of the catchment, down to Elandsbaai on the west coast. The dominant feature of the  
101 catchment is the Verlorenvlei estuarine lake, which is situated between Redelinghuis and Elandsbaai  
102 (Fig. 1), where the estuary transports semi-fresh water into the ocean (Fig. 1). The estuarine lake itself  
103 is around 15 km<sup>2</sup> in size, where the catchment has an area of 1832 km<sup>2</sup>.

### 104 **2.1 Hydrology**

105 The estuarine lake is fed by four main rivers, the Kruismans, Bergvallei, Hol and Krom Antonies (Fig.  
106 1). Previously, gauging stations existed along the Kruismans and Hol rivers, but have not been  
107 operational since 2009. There is still active water level monitoring within the estuarine lake close to  
108 Elandsbaai (Fig. 1). During dry periods, when the water level in the lake is low, stagnant and saline  
109 conditions exist, which favours the growth of large algal blooms. During the last seventeen years of  
110 monitoring, low water levels of below 0.5 m have been measured for 5 months in 2001, 9 months  
111 between 2004 and 2005, and more recently for 4 months between 2015 and 2016. (Fig 2). The likely

112 cause of these low water levels can be attributed to changes in rainfall patterns, although agricultural  
113 abstraction has potential in reducing flow in the lake's major feeding rivers. Although no gauging  
114 stations currently exist on the Krom Antonies River, it is considered the most significant contributor of  
115 both the quantity and quality of flow into the lake, as it receives water from the Piketberg Mountains.  
116 The Kruismans River originates from the east side of the Piketberg Mountains, which drains a large,  
117 relatively flat agricultural region (Fig. 1). The river passes through a wide neck in the eastern arm of  
118 the Piketberg Mountains, and then firstly joins up with the south draining Bergvallei River, and  
119 thereafter the north draining Krom Antonies and Hol Rivers (Fig. 1). The point on the Kruismans River  
120 after these three rivers have joined is termed the confluence. Below the confluence, the river is variably  
121 referred to as the Kruismans River and the Verloren River, but essentially drains westward until the  
122 beginning of the actual lake west of Redelinghuis.

## 123 **2.2 Hydrogeology**

124 The catchment geology is comprised of three major rock units (Fig. 3). The oldest rocks in the area are  
125 the Neoproterozoic Malmesbury Group, represented by the Piketberg Formation comprised of  
126 greywacke, sercitic schist, quartzite, conglomerate and limestone (Rozendaal and Gresse, 1994). These  
127 rocks make up the secondary fractured rock aquifer (Fig. 3). These rocks have been intruded by the  
128 Cambrian Cape Granite Suite. Although drilling has indicated their presence at depth, outcrops within  
129 the catchment are very poor to non-existent. The youngest rocks in the catchment are the sedimentary  
130 rocks of the Cambrian Table Mountain Group (TMG) which overlies both the Malmesbury Group and  
131 the Cape Granite Suite. The TMG makes up the Piketberg Mountains, and in this region is dominated  
132 by three formations, which are the Peninsula, Graafwater and Piekenierskloof formations (Johnson et  
133 al., 2006). The TMG makes up an important fractured rock aquifer in the Western Cape, and the  
134 Peninsula and Piekenierskloof formations are two of the most important aquifer units. The primary  
135 aquifer, which is located in the valley of the catchment, is made up of quaternary sediments dominated  
136 by coarse-grained, clean sand and therefore is high yielding. Previous recharge estimates for the primary  
137 aquifer are between 0.2 to 3.4% of rainfall, although majority of recharge is thought to occur primarily

138 within the high lying areas, which are dominated by the TMG aquifer (Conrad *et al.*, 2004), similar to  
139 other high elevation regions in the Western Cape.

### 140 **2.3 Climate and Vegetation**

141 In the Piketberg Mountains, where the Krom Antonies originates, the mean annual precipitation is  
142 around 537 mm/yr (Lynch, 2004) (Fig. 4). Rainfall decreases moving north-west from the Piketberg  
143 Mountains, reaching a low of 210 mm/yr at the mouth of Verlorenvlei, which is around 50 m above sea  
144 level (Lynch, 2004). The west coast is subject to a Mediterranean climate, where rainfall is generated  
145 by cut-off lows and synoptic scale low-pressure systems during winter (Holloway *et al.*, 2010). Mist  
146 and dew are also considered potential contributors to soil moisture but these are not monitored within  
147 the catchment. In summer, daily average air temperatures are between 17 and 23 °C, with mean  
148 evaporation rates between 5.5 and 7.35 mm/day (Schulze *et al.*, 2007)

149 . During winter, daily average air temperatures are between 8 and 13 °C, with mean evaporation rates  
150 between 1.5 and 2.3 mm/day (Schulze *et al.*, 2007). The dominant vegetation types within the study  
151 area are Strandveld and coastal Fynbos (Acocks, 1988). Strandveld is present in the western coastal  
152 plains, whereas Fynbos grows on sandy soils, which is further inland and closer to the sandstone  
153 geology. These vegetation types are adapted to low rainfall environment; therefore, direct soil  
154 evaporation is likely to be more important than transpiration although these are currently not well  
155 constrained within this catchment.

### 156 **2.4 Landuse**

157 Agriculture in the Sandveld is the major water user in the area, accounting for 90% of the total water  
158 requirements. Potatoes are the main food crop grown, accounting for over 6600 hectares and using  
159 around 20% of total recharge (DWAF, 2003). Potatoes in the Sandveld are usually grown in sandy soils,  
160 resulting in high yields, but require large amounts of water and fertilisers to grow successfully. Tea is  
161 the second most grown crop in the catchment, making up around 5000 hectares, although water is only  
162 used during processing. Tea is also planted in sandy soils and is generally rainfed, therefore having

163 limited impact on groundwater resources. Other high water-use agricultural activities include citrus and  
164 viticulture. Natural vegetation is also used for livestock grazing.

### 165 **3. Methodology**

#### 166 **3.1 Data Collection Methods**

167 Within the catchment, climate and water level fluctuations within the primary and secondary aquifer  
168 were monitored with the installation of weather stations and borehole and piezometer level loggers (Fig.  
169 1). These instruments were positioned throughout the catchment to understand groundwater responses  
170 to rainfall, and to validate the potential recharge outputs from the J2000 rainfall/runoff model. During  
171 this study rainfall and water level responses were monitored in boreholes between January and  
172 December 2016.

##### 173 ***3.1.1 Climate and rainfall***

174 Rainfall, windspeed, relative humidity, solar radiation and air temperature were measured by automatic  
175 weather stations (AWS) within, and outside the study catchment. These measurements were used as  
176 inputs into the Penman Monteith equation to estimate daily reference evaporation for the J2000 model.  
177 Climate data was collected from six stations (Fig. 1) of which four (Redelinghuys, Lambertsbaai Nortier  
178 (NC), Cape Columbine (CC) and Elandsbaai) are managed by the South African Weather Service  
179 (SAWS), and the other three (SV-AWS, Riviera, Piketberg) are managed by the Agriculture Research  
180 Council (ARC). The stations located within the study catchment are Redelinghuys, SV-AWS, Piketberg  
181 and Elandsbaai (Fig. 1). AWS data was screened to detect any data flags (such as anomalous or negative  
182 readings), missing records or short monitoring periods. Two new stations were installed in the  
183 catchment (Fig. 1), an Adcon Telemetry system (C-AWS) at the confluence between the Hol, Krom  
184 Antonies and Kruismans rivers at an elevation of 209 m, and a Mike Cotton Systems (M-AWS) at the  
185 foot of the Piketberg Mountains at an elevation of 237 m. On both systems, rainfall measurements have  
186 an accuracy of  $\pm 0.2$  mm, temperature is  $\pm 0.5^\circ\text{C}$  at  $20^\circ\text{C}$  and humidity is  $\pm 1-3\%$  between 0 and 90% and  
187  $3-5\%$  between 90 and 100% humidity. The confluence weather station (C-AWS) was installed to  
188 monitor the driest area, while the mountain weather station (M-AWS) was to monitor the wettest



189 accessible area. Both weather stations used telemetry, which allowed for near real-time readings and  
190 troubleshooting.

191 Due to the limited AWS coverage and therefore limited rainfall measurements within the catchment,  
192 rainfall records were collected from nearby farmers to increase the network coverage (Fig. 1). The farm  
193 rainfall records used were those that were measured continuously, and where the rain gauges were  
194 located away from trees or other infrastructure. Record SD-R is on the Hol River beneath the Piketberg  
195 Mountains and so has a similar setting to record M-AWS. Record KK-R is in the middle of the Krom  
196 Antonies drainage, a sub-section of the catchment. Record FF-R is actually from outside of the  
197 catchment but is the only rainfall record from the top of the Piketberg Mountains and shows  
198 significantly higher rainfall than any other rainfall station. Daily rainfall was recorded at 8am in the  
199 morning, measuring rain that had fallen in the previous 24 hours. The rainfall records of the farmers  
200 were validated by comparison to the AWS data. The rainfall measurements from VL-R, which is  
201 approximately 400 m from C-AWS, agreed with the record from the C-AWS to within  $\pm 8$ mm. Climate  
202 and rainfall records presented are from 1 January to the 31 December 2016, although M-AWS only  
203 started on the 1<sup>st</sup> of March. Farmers records were used to assess how dry 2016 was in comparison to  
204 previous years.

### 205 *3.1.2 Groundwater Levels*

206 In this study, shallow groundwater is defined as water that is held in the primary aquifer within the  
207 Quaternary sediments (Fig 3, B1). The depth of the shallow groundwater was monitored in 26  
208 piezometers that were installed into the banks of the Krom Antonies, Hol and Kruismans rivers between  
209 1 and 2 meters from the edge of each river (Fig. 1). The piezometers were screened near the bottom to  
210 allow for lateral water flow, and a geotextile filter was used to reduce sediment build up. Where it was  
211 necessary, clay was used to seal the casing from above. Caps were fitted to the tops of all the  
212 piezometers, although only four piezometers, one for each stream, were selected for continuous water  
213 level monitoring. Water levels were monitored using Heron levellogger Nano 10 m pressure transducers,  
214 which have an accuracy of  $\pm 5$  mm for water level and  $\pm 0.5$  °C for the temperature. These sensors were  
215 installed at the maximum possible depth in each piezometer, to allow for the longest measurement

216 period, as it was expected that in the dry season the water level would drop below the piezometer. The  
217 installed piezometer depth varied between 2.5 and 3 m, due to presence of an impervious clay layer.  
218 Primary aquifer piezometers were monitored from 1 January to the 31 December 2016.

219 Groundwater within the secondary aquifer of the catchment (Fig 3, B2) was monitored at six existing  
220 boreholes (Fig. 1). EC profiling in these boreholes suggests that they are screened below 15 m, but  
221 borehole installation records are not available. Only boreholes that did not contain pumps were used for  
222 these installations. Water level fluctuations were measured with Heron Levelogger Nano pressure  
223 transducers, which have an accuracy of 0.05% FS and  $\pm 0.5$  °C (where FS is defined as the maximum  
224 water level fluctuation range). Because of this, the maximum drawdown in each borehole was  
225 determined and matched to an appropriate depth range (10 m, 30 m and 60 m FS). Water levels from  
226 transducers in both piezometers and boreholes were pressure compensated using weather stations that  
227 were no more than 20 km from any of the monitoring points. Water levels in secondary aquifer  
228 boreholes were monitored from 1 January to the 31 December 2016, although sensor failure (KKB03),  
229 incorrect sensor positioning (NFB05) and sensor removal (KVB06) reduced record length.

### 230 **3.1.3 Water Table Fluctuation (WTF) method**

231 The WTF method is one of the most common and simplest methods that can be used to calculate net  
232 recharge from shallow unconfined aquifers (Healy and Cook, 2002). The main assumption in the  
233 method is that the rise in groundwater level in an unconfined aquifer is due to recharge water arriving  
234 at the water table and can be expressed as:

$$R = \Delta h \times S_y \quad (1)$$

235 where  $S_y$  is specific yield and  $\Delta h$  is the change in water table height.. Mechanisms that can influence  
236 water table fluctuations are: 1) near surface evapotranspiration; 2) changes in atmospheric pressure  
237 which can be overcome using vented pressure transducers or by atmospheric correction of pressure  
238 transducers; and 3) entrapped air between the wetting front and the water table caused by a saturated  
239 soil surface which is impervious to air; 4) pumping from nearby wells 5) natural or induced changes in  
240 surface water elevation; and 6) oceanic tides (Healy and Cook, 2002). The WTF method requires the

241 identification of water table rises that are solely attributed to precipitation to estimate recharge (Healy  
242 and Cook, 2002) but with aquifers that are hydraulically connected to streams this can be difficult (e.g  
243 Brookfield et al., 2017). The removal of river response functions (RRF) (Spane and Mackley, 2011)  
244 using multiple regressions allows streamflow responses to be filtered out, although accurate streamflow  
245 records are required to do this. Within fractured rock aquifers with low porosities, water level responses  
246 to recharge are typically very large (e.g. Bidaux and Drogue, 1993) and while these responses can be  
247 measured, determining the specific yield is difficult. Consequently the WTF method is difficult to apply  
248 to this aquifer type.

#### 249 **3.1.4 Soil Types**

250 Nine different soil types have been identified within the catchment and include Arensols, Leptosols,  
251 Solonetz, Fluvisols, Planosols, Regosols, Lixisols, Cambisols, and Luvisols (Batjies et al., 2012).  
252 These largely reflect poorly formed, young soils, which are variably saline and are, or were,  
253 occasionally water logged. The Harmonized World Soil Database v1.2 (HWSD) (Batjes et al., 2012)  
254 was used to extract soil type information, including water storage capacity, average soil depth, depth of  
255 each horizon, texture and granulometry, which was then fed into the J2000 model (Table 1). For each  
256 soil type, two horizons were defined at a depth of 300 and 700 mm, where the proportion of sand to silt  
257 to clay in each was set. This allowed for groupings based on water holding capacity, which is necessary  
258 for defining the properties of medium pore storage (MPS) and large pore storage (LPS). MPS and LPS  
259 essentially represent two types of soil structure that differ in their pore size where LPS has a larger pore  
260 size than MPS.

#### 261 **3.2 Percolation Model Setup**

262 Percolation modelling was conducted using the JAMS/J2000 hydrological modelling package (Krause,  
263 2001). The processes that have the largest impact on modelled percolation, and therefore included in  
264 this study, are interception, infiltration, evapotranspiration, soil-water storage, and lateral water  
265 transport (Fig. 5). The model involves three main steps: (1) allocate how much rainfall goes to  
266 interception and how much to infiltration, based on vegetation cover types and rainfall patterns; (2) of

267 the rainfall that infiltrates, allocate how much is lost to evapotranspiration, how much is lost to surface  
268 runoff, and how much actually infiltrates further; and (3) of the amount that actually infiltrates further,  
269 assign how much contributes to interflow into the river system, and how much becomes modelled  
270 recharge calculated as percolation into the aquifer. In this study, percolation rate is calculated per  
271 hydrological response unit (HRU: Flügel, 1995).

### 272 ***3.2.1 Definition and setup of HRUs***

273 A HRU is an area with homogenous physiological and topographical features, used for distributive  
274 hydrological modeling in the J2000 modelling system. The SRTM-DGM (90 m) was used as the input  
275 Digital Elevation Model, where data gaps were filled using the standard fill algorithm from ArcInfo  
276 (Jenson and Domingue, 1988) after which flow direction, flow accumulation, slope, aspect, solar  
277 radiation index, mass balance index, and topographic wetness index were derived. HRU's were  
278 thereafter delineated using an AML (ArcMarkupLanguage) based automated tool (Pfennig et al., 2009).  
279 Finally, each HRU is assigned a file containing model parameters for each dominant soil, land use and  
280 geology class, and these remain constant throughout the modelling period (Flügel, 1995). The number  
281 of recommended HRUs is between 13-14 HRUs/km<sup>2</sup> (Pfannschmidt, 2008). However, the AML tool  
282 delineated 7008 HRUs within the modelled catchment giving a ratio of ~ 4 HRUs/km<sup>2</sup>. As flow paths  
283 rely on slope, the HRU delineation tool increases the number of HRU's across uniform topography and  
284 decreases the number of HRUs in areas of high topography such as the Verlorenvlei catchment.

### 285 ***3.2.2 Assignment of HRU Climate Properties***

286 The J2000 modelling system uses the inverse distance weighting (IDW) method for the regionalization  
287 of the input climate data, which is derived from the climate stations. Due to the scarce network of the  
288 climate stations within the catchment, and the significant differences in rainfall between the valley and  
289 the mountains, two farmers' rainfall records, FF-R and KK-R, were included in the study. FF-R was  
290 particularly important as it is at the highest elevation, which allowed for more representative  
291 estimations, due to better corrected rainfall in higher relief HRUs. Rainfall data was regionalised by

292 defining  $n$  weather records available (in this case eight) and estimating the influence of each on the  
 293 rainfall amount for each HRU by assigning a weighting ( $W(i)$ ) to each rainfall record using Eqn 2:

$$W(i) = \frac{\left(\frac{\sum_{i=1}^n wDist(i)}{wDist(i)}\right)}{\sum_{i=1}^n \left(\frac{\sum_{i=1}^n wDist(i)}{wDist(i)}\right)} \quad 2$$

294 where  $W(i)$  is the weight of each weather station and  $Dist(i)$  is the distance of each weather station to  
 295 the area of interest. In the case of data that is impacted by elevation such as rainfall, an elevation  
 296 correction is carried out by examining the correlation between rainfall amount and elevation. The  
 297 regression line created between the elevation and rainfall correlation should have a  $r^2$  value greater than  
 298 a specified limit, which in this study was set as 0.75. The calculation is then made according to Eqn 3:

$$MV_C = \sum_{i=1}^n \left( (\Delta H(i) * b_H + MV(i)) * W(i) \right) \quad 3$$

299 where  $MV_C$  is the corrected rainfall value,  $\Delta H(i)$  is the elevation difference between the station (i) and  
 300 the HRU,  $b_H$  is the slope of the regression line and  $MV(i)$  is the measured rainfall value.

### 301 **3.2.3 Setting of Interception vs Infiltration Amounts**

302 The J2000 model makes use of land use classes to determine the influence that vegetation has on the  
 303 water balance. These classes are defined according to wetlands, waterbodies, cultivated  
 304 (temporary/permanent, commercial, dryland/irrigated), shrub land and low Fynbos (thicket, bushveld,  
 305 bush clumps, high Fynbos). The model calculates throughfall by reducing net rainfall by the  
 306 vegetational interception capacity (Krause, 2001). The interception module uses a simple storage  
 307 approach, which calculates a maximum interception storage capacity based on the Leaf Area Index  
 308 (LAI) of the particular land use class. Seasonal changes have an impact on vegetation LAI and therefore,  
 309 the model incorporates variations in LAI based on season. When the maximum interception storage is  
 310 reached, the surplus is passed as throughfall to the soil module. Interception storage is exclusively  
 311 emptied by evapotranspiration. The maximum interception capacity ( $Int_{max}$ ) is calculated according to  
 312 Eqn.4:

$$Int_{max} = \alpha * LAI$$

4

313 where  $\alpha$  is the storage capacity per m<sup>2</sup> and set to 0.1 mm based on previous work in the region (Steudel  
314 et al., 2015), and LAI is set for the season of the land use class.

#### 315 **3.2.4 Proportioning of Water into Different Soil Components**

316 Throughfall is then passed onto the soil module, where the amount that infiltrates is calculated and the  
317 remainder is lost to surface runoff (Krause, 2001). The amount of infiltrated water is empirically  
318 determined by the model, using the maximum soil infiltration rate and the relative soil saturation deficit.  
319 The relative soil saturation deficit is determined using a relationship between the actual MPS to LPS,  
320 the maximum MPS to LPS and their water storage capacity. The water storage capacity for MPS and  
321 LPS was determined using the Rosetta, HYDRUS 1-D model (Šimůnek et al., 2006) incorporating soil  
322 textures from the HWSD. A pedotransfer function was applied to three hypothetical pressure scenarios  
323 namely: 0 mbar, 60 mbar and 15000 mbar. The storage capacity of MPS, water held at field capacity,  
324 was calculated by the difference in water content between 60 mbar and 15000 mbar, while LPS, which  
325 is water held against gravity, was calculated by the difference in water content between 0 and 60 mbar.

326 Within the J2000 model, the maximum soil infiltration rate is set for different seasons, where during  
327 dry conditions the maximum soil infiltration rate is higher than in wet conditions. The maximum  
328 infiltration rate of the soil was set as 100 mm/day during the dry season and 40 mm/day during the wet  
329 season, based on previous models constructed in the area (Steudel et al., 2015). If throughfall exceeds  
330 this maximum rate, the surplus water is fed to the depression storage. Depression storage is the ability  
331 of an area to retain water in pits and depressions, and once the depression storage capacity is exceeded,  
332 horizontal overland flow is simulated. Infiltrated water is then subdivided into MPS and LPS. Water  
333 can move from MPS to LPS, based on the saturation deficit of MPS where the remaining water is routed  
334 to LPS. Water can also move from LPS to MPS via diffusion. The total routed to LPS, calculated as a  
335 function of the relative soil saturation and the actual storage capacity, is then divided between  
336 percolation and interflow based on the slope. The slope weight is calculated using Eqn 5, based on the  
337 actual slope determined from the DEM and a user specified calibration factor *soilLatVertDist*, which

338 represents the distribution of the LPS outflow between lateral (interflow) and vertical (percolation)  
339 components:

$$Slope_W = \left(1 - \tan\left(slope * \frac{\pi}{180}\right)\right) * soilLatVertDist \quad 5$$

340 where  $Slope_W$  is the slope weight and  $soilLATVertDist$  is set as 0.7, based on the results of multiple  
341 simulations.

### 342 **3.2.5 Separation of Percolation from Interflow**

343 The amount of water that is available for actual percolation is then calculated according to Eqn 6:

$$Percolation = (1 - slope_W) * SoilOutLPS \quad 6$$

344 where  $SoilOutLPS$  is the calibration factor for the definition of LPS outflow (values range from 0-10)  
345 (Nepal, 2012). During this study, the  $SoilOutLPS$  calibration factor was determined using the Kruismans  
346 gauging station that was operational from 1970-2009 and estimated as 0.2. This low value implies that  
347 most of the water that infiltrates is rather lost to evapotranspiration rather than contributing to recharge.  
348 However, the actual percolation rate cannot exceed a maximum percolation rate (vertical hydraulic  
349 conductivity), the value for which is specified by the user. Maximum percolation was estimated by  
350 analysis of groundwater level fluctuations in two boreholes in the secondary aquifer, which were not  
351 impacted by drawdown from nearby pumping, WDB03 and KVB06 (Fig. 1). While recharge in these  
352 borehole is likely received via groundwater flow from the TMG, they are not affected by streamflow  
353 fluctuation, thereby providing the only means of estimating daily maximum soil percolation. For  
354 WDB03 the average daily fluctuation was 2.3 mm and the median 1.1 mm, whilst for KVB06 the  
355 average daily fluctuation was 2.9 mm and the median 2.1 mm. Based on this data, 2mm/day was used  
356 as the maximum soil percolation rate. If this rate is exceeded, the extra water is fed to interflow.  
357 Potential percolation is therefore the sum of actual percolation (percolation simulated by the model)  
358 and interflow.

### 359 **3.3 Model Calibration and Sensitivity Analysis**

360 During model calibration, the aim is to reduce the difference between simulated and measured  
361 dependent variables at each time step by modifying the model parameters, to predict the best measured  
362 outflow level. To ensure both quantitative and objective estimates of results during model calibration,  
363 a validation was used after each model run for both relative and absolute quality criteria (Wheater et  
364 al., 2007). As part of the model calibration, a sensitivity analysis (Fig. 6) is used to determine how  
365 sensitive estimated input values for different parameters are, with regard to the outputs (Krause et al.,  
366 2006; Nepal, 2012). The fully distributed HRU based JAMS/J2000 model was applied to a number of  
367 semi-arid catchments, as well as the nearby Berg River catchment (Steudel et al., 2015).

#### 368 ***3.3.1 Model calibration and parameter estimations***

369 In this study, calibration was completed by comparison of model outputs to gauging data from the  
370 Kruismans sub-catchment, using station G3H001 with records from 1989-2006. The model calibration  
371 was split into three periods: 1989-1991 for model initialisation, 1992-1998 for calibration and 1999-  
372 2006 for validation (for testing calibration parameter values). Thereafter the calibration parameters were  
373 used for modelling between 2013-2016 where a two-year initialisation (2013-2014) was incorporated.  
374 Before the automated calibration was conducted, the initial parameterization of the J2000 model was  
375 carried out by adapting and transferring model parameter values from the neighbouring Berg River  
376 catchment (Steudel et al., 2015). These parameter values were then integrated into the automated  
377 optimization tool, OPTAS (Fischer, 2013), which identifies optimal parameter value sets based on  
378 multi-criteria analysis (MCA) (Table 2). The automatic calibration makes use of the Nash-Sutcliffe  
379 efficiency and the Index of Agreement to describe efficiencies. The Nash-Sutcliffe efficiency ( $e_2$ )  
380 considers variability of the measured outflow, and integrates the sum of the difference squared between  
381 measured and modelled outflow, taking into account peak outflow squared residuals (Nash and  
382 Sutcliffe, 1970; Pfannschmidt, 2008). For low flow, a modification of the Nash-Sutcliff efficiency,  
383 which incorporates unsquared residuals ( $e_1$ ), is used (Pfannschmidt, 2008). Higher  $e_1$  and  $e_2$  values  
384 suggest a better correspondence between observed and modelled discharge. The Index of Agreement  
385 (Willmott, 1981), was used to relate the ratio of the mean square error to potential error. This form of



386 criteria for standardized square error is used for estimating the temporal representation of modelled  
387 runoff (Giertz et al., 2006). This MCA not only considers the effect of a single parameter on the quality  
388 of the output, but also the combined effect of all the parameters on the model.

### 389 **3.3.2 Parameter sensitivity**

390 The objective of a sensitivity analyses is to determine the influence that various independent variables  
391 have on a specific dependent variable, based on a given set of assumptions (Nepal, 2012). Sensitivity  
392 analysis can be conducted during construction, calibration and verification of a model (McCuen, 1973),  
393 using a variety of different techniques. In this study a Regional Sensitivity Analysis (RSA), also called  
394 Monte Carlo filtering (Hornberger and Spear, 1981), was used. RSA aims at identifying regions of input  
395 variability that produce extreme output values (Pianosi et al., 2016). During typical RSAs, model  
396 parameters are split up into behavioural (good) and non-behavioural (bad) populations depending on  
397 whether the variables behave as expected based on the model setup (Pianosi et al., 2016). However, this  
398 study an objective function, which makes use of observations against model accuracy, was used. During  
399 this type of RSA, splitting criteria are based on the minimum model performance requirements (Pianosi  
400 et al., 2016), where given thresholds were taken from previous studies (Nepal, 2012; Steudel et al.,  
401 2015).

## 402 **4 Results**

### 403 **4.1 Monitoring Results**

#### 404 **4.1.1 Rainfall Patterns**

405 Rainfall was measured at monitoring locations within the catchment between May and October 2016.  
406 Records from C-AWS and VL-R have yearly totals of 252.2 and 260 mm respectively, representing the  
407 lowest rainfall recorded in the catchment for 2016 (Fig. 7a and Fig. 7b). The largest rainfall event  
408 measured at C-AWS was 54 mm on the July 14, while 40 mm was recorded at VL-R for the same day.  
409 Average daily rainfall for C-AWS was 0.64 mm/day, while VL-R was 0.75 mm/day. Of the last five  
410 years that were measured, 2015 and 2016 were the two driest years for VL-R (Table 3).

411 SV-AWS received 292.2 mm rainfall for 2016 (Fig. 7c), which was slightly higher than C-AWS and  
412 VL-R. The largest rainfall event measured at SV-AWS was 61.7 mm on the July 14, which is slightly  
413 more than C-AWS and VL-R for the same event. The average daily rainfall for SV-AWS was 0.77  
414 mm/day. KK-R received 356 mm of rainfall in 2016, which was higher than C-AWS, VLR and SV-  
415 AWS. Rainfall records for KK-R date back to 1965, where in the last 12 years 2015 and 2016 are the  
416 two driest consecutive years, although rainfall in 2003 was lower (303 mm) than both 2015 and 2016  
417 (Table3). The largest rainfall event measured at KK-R during 2016 was 63 mm on the July 15. This  
418 appears to be the same event albeit recorded a day later than that at C-AWS, VL-R, and SV-AWS. The  
419 daily average for KK-R was 0.97 mm/day.

420 Precipitation gauges at SD-R and M-AWS (Moutonshoek AWS) measured rainfall at the foot of the  
421 Piketberg Mountains. SD-R, which is located near the Hol River, received slightly less rainfall (463  
422 mm) (Fig. 7e) than M-AWS (489 mm) (Fig. 7f) which is located near the Krom Antonies River, even  
423 though M-AWS had a shortened record (2016/03/01-2016/12/31). Rainfall records for SD-R date back  
424 to 1999, and indicate that 2015 (254 mm) was the driest year recorded (Table 3). The largest event  
425 measured during 2016 at SD-R was 62 mm on the July 15, while at M-AWS 57.2 mm was recorded for  
426 the previous day. The daily average for SD-R was 1.27 mm/day, while for M-AWS it was 1.55 mm/day.

427 Rainfall measured at FF-R in the Piketberg Mountains (Fig. 7g) for 2016 was the highest (639 mm) in  
428 the catchment. Rainfall records for FF-R date back to 2010 and indicate that 2015 was the driest year  
429 (398 mm) (Table 3). The largest measured event during 2016 at FF-R was 70 mm for the July 14. The  
430 daily average for this location was 1.75 mm/day.

#### 431 ***4.1.2 Primary Aquifer Groundwater Levels***

432 VLP01, which is the piezometer monitoring sub-surface flow below the confluence, showed a steady  
433 water level of around 1.5 m below surface between January 1 to June 14, 2016. Thereafter, due to  
434 rainfall received on the June 15, the water level rose 1.5 m to above the piezometer (Fig. 8a). The water  
435 level fluctuated around this point from June 15 to September 22. Thereafter a steady drop in water level

436 was measured, reaching a low of 1.2 m below the surface at the end of December. Water level spikes  
437 throughout the measuring period were rapid and steep.

438 Piezometer KRP02, which was installed on the Kruismans River, had a short monitoring length during  
439 the dry season, between January 1 to June 15, 2016, due to the water level dropping below the sensor  
440 (Fig. 8b). The water level in the piezometer rose to 0.5 m below surface on the June 15, fluctuating  
441 between 0.3 to 0.5 m until the October 24. Water level responses at this sensor were rapid, although the  
442 occurrence of responses was less frequent than in VLP01. Similarly, piezometer HOLP03 was dry from  
443 the January 1 until June 9, thereafter fluctuating from 0.9 to 0.3 m during the wet season (Fig. 8c). At  
444 this piezometer, water level responses to rainfall events were slower, where peaks were relatively small.

445 Piezometer KAP04 showed a steady decline in water level from January 1 until the January 26, 2016,  
446 thereafter was dry until the March 27, 2016 (Fig. 8d). Between March and December the water level  
447 rose to 0.95 m below surface, fluctuating between 0.8 and 0.6 m from April to June. On the June 15,  
448 the water level rose to 0.1 m below surface, fluctuating around 0.5 m until August. Thereafter a steady  
449 decline in the water level was observed between the August 13 and the end of December, where the  
450 water level was around 0.9 m below the surface. This location showed more rapid responses to rainfall  
451 events, which can be observed by the steep spikes in water levels (Fig. 8d).

452 Shallow groundwater was monitored in borehole VLB02 within the primary aquifer, near the  
453 confluence (Fig. 1). The water level in this borehole dropped from 6 to 9 m below surface from January  
454 1 to June 14, 2016. Thereafter, the water level rose above the measured static water level of 4.82 m to  
455 4.88 m in November, with a month rainfall lag. A steady decline in water level was observed from  
456 November until December, dropping below 5.5 m below surface.

#### 457 ***4.1.3 Secondary Aquifer Groundwater Levels***

458 Secondary aquifer groundwater levels were monitored in five existing boreholes none of which were  
459 actively pumped. However, three of the five monitored boreholes were close to boreholes that were  
460 pumped. These three include VLB01, KKB04 and NFB05. VLB01 was near three pumped boreholes  
461 where significant drawdown was observed. Minor water level recovery occurred when pumping ceased

462 (pump failure) during February and March 2016. However, when pumping recommenced, the water  
463 level dropped more than 40 meters between the June 15 and November 1, in 2016 (Fig. 9a). Water level  
464 recovery was monitored between the November 1 until the November 15, rising from 60 to 25 m due  
465 to the halting of pumping. The water levels monitored at KKB04 recorded limited fluctuations until the  
466 stress of pumping was added, where the water level dropped from 26 to 30 m between the October 24  
467 and end of December 2016 (Fig. 9b). KKB04 showed minor drawdown due to the small volume of  
468 water being abstracted. Borehole NFB05 has incomplete records, due to groundwater abstraction nearby  
469 resulting in drawdown below the sensor position from January 1 to the May 6. Thereafter, NFB05  
470 showed minor fluctuations in water levels around 28 m, recovering to 22 m in late October (Fig. 9c).

471 Monitoring boreholes WDB03 and KVB06 where away from abstraction points, hence water level  
472 fluctuations were minor over the course of the monitoring period. At WDB03 minor fluctuations were  
473 recorded throughout the year, persisting at around 9 m and dropping to a low high of 8.1 m in September  
474 (Fig. 9d). A slight recovery of 0.2 m was recorded towards the end of December. KVB06 showed  
475 limited fluctuations in water levels, persisting at around 28.5 m during the monitoring period (Fig. 9e).

## 476 **4.2 J2000 Modelling Results**

### 477 **4.2.1 Actual Percolation Results**

478 Actual percolation simulated for 2016 within the catchment ranged from 0 to 250 mm. The highest  
479 simulated actual percolation were in the higher relief regions, dominated by the TMG aquifer, which  
480 ranged from 80 to 210 mm (Fig. 10). In the valley, which is dominated by the primary aquifer but  
481 underlain by the secondary aquifer, simulated percolation ranged from 0 to 80 mm. In the driest part of  
482 the catchment at locations C-AWS, VL-R and SV-AWS (Fig. 11a-c), yearly simulated actual  
483 percolation corresponded to 8 mm, 18 mm and 3 mm for 2016. Actual percolation was simulated from  
484 the June 20 to the September 15 at these locations. Maximum soil percolation was reached (2 mm/day)  
485 for one day on the August 3 for C-AWS and for three days between August 3-5 for VL-R. In the  
486 moderately wet regions of the catchment (KK-R), simulated actual percolation for 2016 was 40 mm  
487 (Fig.11d). Actual percolation was simulated from the June 20 to the September 9 at KK-R. Maximum

488 soil percolation was reached for 18 days between July 23 to August 9, in 2016. In the wettest regions  
489 of the catchment (M-AWS) simulated actual percolation for 2016 was 44.5 mm (Fig. 11e). Actual  
490 percolation was simulated from the June 20 to the August 20 with maximum soil percolation being  
491 reached for 19 days between the July 22 and the August 9 at M-AWS for 2016.

#### 492 **4.2.2 Potential Percolation Results**

493 Potential percolation from the J2000 model includes actual percolation and interflow, and represents  
494 the amount of water that has passed through the vadose zone and can potentially contribute to recharge.  
495 Yearly potential percolation at locations C-AWS, VL-R and SV-AWS, was 18, 20.5 and 3 mm  
496 respectively (Fig. 11a-c), where interflow contributed a total of 10, 2.5 and 0 mm for 2016. Potential  
497 percolation was simulated between the June 20 to the September 15, where a maximum interflow of 1  
498 mm was simulated on the August 3 at location VL-R. At KK-R, 55 mm of potential percolation was  
499 simulated (Fig. 11d), where interflow contributed 15 mm for 2016. Potential percolation was simulated  
500 from the June 20 to the September 9 at KK-R, where a maximum interflow of 1.8 mm on the August 3.  
501 At M-AWS, 69 mm of potential percolation was simulated (Fig. 11e), where interflow contributed 24.5  
502 mm for 2016. Potential percolation was simulated from the June 20 to the August 20 at M-AWS, where  
503 a maximum interflow of 2.4 mm on the August 3.

#### 504 **4.2.3 Potential Evaporation**

505 Potential evaporation for 2016 at C-AWS, VL-R and SV-AWS, the driest regions in the catchment, was  
506 1454 mm, 1466 mm and 1662 mm (Fig. 12a-c). Potential evaporation at these locations during January  
507 was 10 mm/day, decreasing to 2 mm/day for May in 2016. Thereafter, potential evaporation was 2  
508 mm/day until September, rising to 6 mm/day at the end of December. Potential evaporation for 2016 in  
509 the moderately wet regions of the catchment at KK-R, was 1363 mm (Fig. 12d). Daily potential  
510 evaporation of 10 mm/day was simulated for January, decreasing to 2 mm/day for May in 2016.  
511 Thereafter, a potential evaporation of 2 mm/day was simulated from May until October, rising to 5  
512 mm/day at the end of December in 2016. Potential evaporation for 2016 (Mar – Dec) in the wettest  
513 region of the catchment at M-AWS, was 942 mm (Fig. 12e). At this location, daily evaporation was 6

514 mm/day in March until the end of April. Thereafter, potential evaporation was 2 mm/day until  
515 September, reaching 6 mm/day at the end of December in 2016.

#### 516 **4.2.3 Actual Evaporation**

517 Actual evaporation simulated within the catchment was based on the availability of soil moisture so that  
518 evaporation and transpiration can take place. At C-AWS, VL-R and SV-AWS, simulated actual  
519 evaporation was 326, 319 and 317 mm respectively for 2016 (Fig. 11a-c). At these locations, little  
520 evaporation was simulated between January and March (less than 1 mm/day). Thereafter, 2 mm/day of  
521 actual evaporation was simulated from July until the end of December in 2016. Actual evaporation at  
522 KK-R was 375 mm for 2016 (Fig. 11d). At KK-R, simulated evaporation from January until March was  
523 less than 1 mm/day, although on the April 1 and October 1, 3 mm of actual evaporation was simulated.  
524 Actual evaporation simulated at M-AWS was 321 mm for 2016 (Fig. 11e). At M-AWS, little actual  
525 evaporation was simulated (less than 1 mm/day) until August where simulated actual evaporation  
526 reached 2 mm/day, continuing until the beginning of October in 2016.

#### 527 **4.2.3 Model Sensitivity**

528 The model sensitivity was assessed using an RSA with objective functions for specific variables (Fig  
529 6). For low flow criteria (E1) SoilOutLPS, maxPercolation, MaxInfiltrationDry and  $\alpha$ , the sensitivity  
530 analysis showed moderate sensitivity (12-16%). Model parameters MaxinfiltrationWet and  
531 SoilLatVertDist showed moderate to high sensitivity (19-25%). During peak flow criteria (E2),  
532 MaxPercolation, MaxInfiltrationWet and  $\alpha$  showed moderate sensitivity (8-16%), while model  
533 parameters SoilOutLPS, MaxInfiltrationDry and SoilLatVertDist showing moderate to high sensitivity  
534 (18-29%).

#### 535 **4.3 Water Table Fluctuation Results**

536 Monitoring within the primary aquifer showed that the aquifer is hydraulically connected to the stream  
537 system, and streamflow contributes to water table rises (Fig. 8). Most of the piezometers and boreholes  
538 into the primary aquifer show very erratic fluctuations in the water table making it difficult to separate  
539 out direct recharge from streamflow. However, borehole VLB02, which is around 100 m from river

540 shows a steady decline in water level from 6 m to 9 m below surface in mid-June 2016 (Fig 13a), before  
541 steadily recovering to 4.82 m in October 2016. The change from decline to recovery is marked by a  
542 relatively sharp inflection point and this inflection point is mimicked in piezometers VLP01, KRP02,  
543 HOP03 and KAP04. This inflection point appears to be in sync with measured rainfall at C-AWS. The  
544 current interpretation of this pattern is that the water level rise in the piezometers and boreholes is from  
545 streamflow due to the large change in water levels within the primary aquifer as reflected in the  
546 piezometer. Although it is likely that rainfall would also have an impact on this water level rise,  
547 streamflow filtering techniques are required in order to estimate recharge via the WTF method.  
548 Although borehole, VLB02 seems un-influenced by streamflow, towards the end of October where the  
549 water level rises from 4.82 to 4.88 m, without high resolution gauging data to allow for RFF filtering,  
550 it is not certain that this rise is attributed solely to rainfall.

## 551 **5. Discussion**

552 The monitoring of rainfall and groundwater levels within a catchment are important in hydrological  
553 studies where the prime objective is estimating groundwater recharge and baseflow, as in the case here.  
554 Within the Verlorenvlei catchment, water level fluctuations within the primary unconfined and  
555 secondary confined aquifer were measured in the valley that receives lower rainfall than the high  
556 recharge mountains. Although, the boreholes are in areas that receive little recharge, they are subject to  
557 local groundwater flow that is generated from the high hydraulic gradient created by the mountains on  
558 the boundaries of the catchment. The groundwater level monitoring has shown that the primary aquifer  
559 responds directly to rainfall but that the secondary aquifer does not, suggesting that it is receiving  
560 recharge from somewhere else via a different pathway. The most logical explanation for this is that the  
561 TMG aquifer, which makes up the mountainous region of the catchment and therefore has the highest  
562 recharge potential, is recharging the secondary aquifer by groundwater flow that bypasses the primary  
563 aquifer. Below we assess how representative the data is across the catchment and use this as a basis for  
564 evaluating the validity of the recharge estimates.

## 565 **5.1 Data Evaluation and Representativeness**

566 The two most important output parameters from the J2000 percolation model are simulated rainfall and  
567 simulated evapotranspiration. To evaluate the data and its representativeness across the catchment,  
568 simulated percolation and evapotranspiration have been compared to potential percolation and potential  
569 evaporation at locations C-AWS, SV-AWS, VL-R, KK-R, and M-AWS.

### 570 **5.1.1 Percolation**

571 C-AWS, VL-R and SV-AWS are in the drier regions of the catchment, where little actual percolation  
572 was simulated: 3% of rainfall at C-AWS (Fig. 11a), 7% of rainfall at VL-R (Fig. 11b) and 1% of rainfall  
573 at SV-AWS (Fig. 11c). Although C-AWS and VL-R are near each other, and hence would be expected  
574 to generate similar percolations, they are in different HRUs and therefore corrected rainfall most likely  
575 accounts for this difference. SV-AWS is located at Redelinghuis, which is considerably closer to the  
576 coast, where higher evapotranspiration reduces the amount of simulated percolation. In the moderately  
577 wet region of the catchment, location KK-R, simulated percolation corresponded to 10% of rainfall  
578 during 2016 (Fig. 11d). In the wettest region of the catchment, simulated percolation at M-AWS  
579 corresponded to 8.4% of rainfall (Fig. 11e), although surrounding HRU's suggest that a much higher  
580 percolation of up to 28.9% of rainfall is possible. Based on these results, actual simulated percolation  
581 from the J2000 model resembles the distribution of rainfall across the catchment.

### 582 **5.1.2 Evapotranspiration**

583 The atmospheric demand for water, which was modelled as potential evaporation, was much greater  
584 than simulated evapotranspiration. Simulated evapotranspiration was: 22% of potential evaporation at  
585 both C-AWS (Fig. 12a) and VL-R (Fig. 12b) and 19% of potential evaporation at SV-AWS (Fig. 12c).  
586 Simulated evapotranspiration was 28 % of potential evaporation in the moderately wet regions of the  
587 catchment at KK-R (Fig. 12d) and 34% of potential evapotranspiration at M-AWS (Fig. 12e).  
588 Essentially the higher the simulated evapotranspiration, the less water is available for percolation. If  
589 these figures are compared to actual rainfall received at different stations in the driest parts of the  
590 catchment, simulated potential evaporation is 24.4 mm greater than rainfall. This implies that overall



591 there is very little available for percolation, although on individual days rainfall can exceed potential  
592 evaporation. In the middle parts of the catchment which are moderately wet, simulated  
593 evapotranspiration was roughly equivalent to rainfall, while in the wettest parts of the catchment in the  
594 mountains, rainfall exceeded simulated evapotranspiration by 69.5 mm for 2016. The excess is then  
595 portioned into surface runoff, interflow and percolation.

### 596 **5.1.3 Recharge Estimates**

597 Percolation simulated using the J2000 model for rainfall/runoff modelling is water that has passed  
598 through the vadose zone into an aquifer. The model is unable to consider stacked aquifers, and thus  
599 routes water to the upper most aquifer at each location. In the mountains, this will be the TMG aquifer,  
600 whereas in the valley it will be the primary aquifer. Water level data measured in the catchment suggests  
601 that the secondary aquifer is recharged by the TMG aquifer, while the primary is likely recharged by  
602 streamflow and surface runoff that originates in the Piketberg Mountains. The majority of recharge  
603 simulated by the J2000 model occurs in the TMG aquifer, whilst considerably less recharge occurs in  
604 the primary aquifer. This is consistent with water level data in piezometers and boreholes throughout  
605 the catchment. However, the model does not consider recharge that could have occurred by streamflow  
606 into the primary aquifer, as the only recharge input that the model considers is rainfall. Within the J2000  
607 model runoff is routed to depression storage after interception is complete, and therefore partitions  
608 runoff from infiltration as two separate processes. However, these processes are likely not independent  
609 of one another, as runoff water influences primary aquifer recharge. Although the model does not  
610 account for the influence of streamflow on recharge to the primary aquifer, during the dry season it is  
611 likely that the secondary and TMG aquifers are the only contributors of baseflow, and therefore the  
612 quantification of their recharge is the most important.

### 613 **5.2 Comparison of Recharge Estimates**

614 Previous recharge estimates made by Conrad *et al.* (2004), within the Sandveld used a GIS approach  
615 that involved assigning literature estimates of recharge percentages based on MAP across the  
616 catchment. In the J2000 method, physical measurements of rainfall from nearby stations are considered,

617 and elevation correction factors are used to assign rainfall to each HRU. While MAP is satisfactory for  
618 large scale studies, for targeted studies in smaller catchments such as the Sandveld, these estimates do  
619 not provide enough spatial resolution. The resultant net position is that the J2000 model simulates ~30  
620 % more recharge than Conrad et al. (2004). The timestep nature of the J2000 model is producing a  
621 higher recharge value than a yearly average approach would. This is because the net yearly total  
622 evaporation exceeds the net yearly total rainfall, but daily there will be a higher probability that rainfall  
623 may exceed evaporation during the wet season. Furthermore, the spatial resolution (cell-size) of the  
624 J2000 (~0.25-1.2 km) and Conrad et al. (2004) are different (~1.5-5 km), therefore for comparison and  
625 to produce net yearly recharge estimates, J2000 estimates need to be included in a groundwater model  
626 and calibrated using literature estimates of rock and soil hydraulic conductivity. The use of water level  
627 fluctuations measured within the catchment are another possible way of estimating recharge, via the  
628 Water Table Fluctuation (WTF) method. This method however, only works for fluctuations in the water  
629 table in shallow unconfined aquifers, where estimates of specific yield exist. Although, borehole VLB02  
630 meets the criteria specified within the WTF method, during 2016 results showed that this borehole was  
631 influenced by streamflow and therefore would require RFF filtering if recharge is to be calculated. In  
632 the future for this catchment, RFF could be used to filter out streamflow and provide an additional  
633 measure of recharge, when gauging data becomes available.

### 634 **5.3 Model Evaluation**

635 Rainfall/runoff models have been used and validated in various studies to estimate groundwater  
636 recharge (Arnold and Allen, 1999; Hughes, 2004). While these approaches are well documented, it is  
637 important to highlight the limitations of these models. The J2000 sensitivity analysis suggests that  
638 soilLatVertDist (distribution of the LPS outflow between lateral (interflow) and vertical (percolation)  
639 components) is the most sensitive parameter based upon peak flow efficiency criteria (e2) with 28 %  
640 variation in model results (Fig. 6). With e2, maximum infiltration rate for dry conditions (19%),  
641 SoilOutLPS (calibration factor for the definition of LPS outflow) (17%),  $\alpha$  (canopy storage) (16%) are  
642 moderately sensitive. Soil maximum percolation (8%) and the maximum infiltration rate for wet  
643 conditions (9%) have low sensitivity in e2. For e1, which emphasizes sensitivity for low flow

644 conditions, the maximum infiltration rate for wet conditions shows the highest sensitivity (25%), with  
645 all other parameters showing moderate sensitivity (13-18%).

646 For rainfall/runoff models to produce reliable results, estimates of streamflow from gauging stations are  
647 traditionally used for model calibration. However, gauging stations are usually not positioned at the  
648 headwaters of the catchment area, where most of the runoff water is typically generated. The J2000  
649 model indicates that a dense network of climate data, including the use of informal rainfall records such  
650 as farm records, can be used as a substitute for limited rainfall/runoff data from gauging stations.  
651 Records obtained at high elevations were especially important to allow the model to correct rainfall for  
652 each HRU based on elevation. Water level monitoring data can be used to determine the direction of  
653 groundwater flow, and these measurements, along with a suitable DEM, should be used to determine if  
654 there is a large influence of hydraulic gradient on waterflow. Hydraulic gradient is accounted for by the  
655 slope function when partitioning water between interflow and percolation. In this model, the slope  
656 threshold was set to 0.7 (soilLatVertDist), meaning that if exceeded, all water was directed to interflow.  
657 The initial slope threshold used in this study was lower and caused all water to be diverted to interflow.  
658 Selection of the “correct” value is largely done on the basis of multiple simulations, by selecting the  
659 value that gave the most “reasonable” result, but the definition of “reasonable” varies based on the user.  
660 The sensitivity results here suggest that the slope threshold parameter is likely to be one of the most  
661 important variables in determining recharge wherever the minimum and maximum elevation in a  
662 catchment is significantly different. Despite these issues, the model results in this study are consistent  
663 with observation data in this area and known variations in recharge rates for semi-arid regions elsewhere  
664 in the world, suggesting that the modelling approach used here could be reproduced in other similar  
665 catchments worldwide.

## 666 **6. Conclusions**

667 Recharge is one of the most important parameters to quantify for addressing sustainable groundwater  
668 usage, but groundwater recharge estimates differ widely for different calculation methods even for a  
669 particular data set and catchment. In semi-arid and arid environments in particular, these estimates

670 appear to be too low to sustain sufficient ecosystem functioning. In this study, a different approach was  
671 taken by using a model that incorporated daily timestep estimates. In spite of the catchment being  
672 partially gauged, simulated daily rainfall, evapotranspiration and the proportioning of interflow to  
673 percolation were consistent with available climate and water level data. The most sensitive parameter  
674 in the model is the terrain slope which directly controls the proportioning between interflow and  
675 percolation. However, whilst the model would likely be transferable to other semi-arid to arid  
676 catchments, it remains to be tested as to whether the model can cope with humid climates where runoff  
677 is likely to be a more significant component. A critical component of this study was to get the densest  
678 network of rainfall data possible, where weather station data was supplemented with farmer's rainfall  
679 records to improve the modelling results. Farmer's rainfall records thus provide an important additional  
680 resource when considering data poor catchments. The daily timestep function of the model yielded a  
681 recharge estimate that is ~30% higher than previous estimates. This is because daily fluctuations, which  
682 are accounted for in the model, result in lower yearly ET, as ET potentials are lower during the wet  
683 season, although further modelling is required to determine net yearly recharge estimates. The results  
684 greatly reduce the apparent discrepancy between the very low calculated recharge rates in semi-arid  
685 catchments, and the apparent sustainability of most semi-arid catchments.

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